

# HORROCKS THEORY AND APPLICATIONS

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- I intend to review it briefly and to present some applications obtained by Coanda, Coanda-Trautmann and Malaspina-Rao.
- The last part will be devoted to the role of Horrocks ideas in a joint paper with Coanda and Manolache on globally generated vector bundles on  $\mathbb{P}^n$ .

# OUTLINE

- 1 MOTIVATION
- 2 A PREAMBLE: THE SERRE CORRESPONDENCE
- 3 WHAT IS HORROCKS THEORY?
- 4 THE COANDA-TRAUTMANN RESULT
- 5 HORROCKS CORRESPONDENCE ON THE QUADRIC SURFACE
- 6 THE SPLITTING THEOREM AND BABILONEAN TOWERS
- 7 GLOBALLY GENERATED BUNDLES AND HORROCKS THEORY

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- In fact, even on lower dimensional projective spaces the situation is not much better: on  $\mathbb{P}^4$  the only known example is the Horrocks-Mumford bundle and at least in characteristic 0, there are no examples on  $\mathbb{P}^5$  or  $\mathbb{P}^6$ .

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- The Ellingsrud Peskine theorem asserts that there is a  $d_0$  such that a smooth surface in  $\mathbb{P}^4$  of degree  $d \geq d_0$  must be of general type. The best known bound is 53 due to Decker-Schreyer in (2000) and the conjectural one is 16; for  $d = 15$  there are surfaces of non-general type due to Aure et al in (1997).

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$$\mathcal{F} \longleftrightarrow \Gamma_*(\mathcal{F}) := \bigoplus H^0(\mathbb{P}^n, \mathcal{F}(i))$$

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- In this setting we don't have yet an equivalence of categories: isomorphic modules in high degree, defines isomorphic sheaves; but using a convenient category of fractions, Serre's correspondence can be viewed as an equivalence between  $Coh(\mathbb{P}^n)$  and an algebraic category.

# A PREAMBLE: THE SERRE CORRESPONDENCE

- Also, as a byproduct, one has the following :

## THEOREM (SERRE)

A sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$  is locally free **if and only if**:

- $\Gamma_*(\mathcal{F})$  is finitely generated and
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What kind of information is encoded in the intermediate cohomology modules  $H^i_*$  for  $0 < i < n$ ?

- The answer is given by Horrocks theory!

# WHAT IS HORROCKS THEORY?

- A first result which is a good introduction to this subject is the following:

## THEOREM (HORROCKS)

A coherent sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$  split as a direct sum of line bundles **if and only if**

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- the objects are the vector bundles on  $\mathbb{P}^n$ , while the morphisms are classes of usual morphisms modulo those which factors through a direct sum of line bundles.
- In this category, isomorphism means isomorphism up to direct sum with line bundles.

# WHAT IS HORROCKS THEORY?

- The purpose of Horrocks theory is the description of the stable category  $SVP$  in terms of complexes of  $S$ -modules. To achieve this, one introduces the full sub-category  $FL$  in the derived category  $\mathcal{D}^b(S)$ , consisting of complexes which are exact except in the range  $1 \leq i \leq n - 1$ , where the cohomology is supposed to have finite length.

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- Using the right derived functor of  $\Gamma_*$  and an convenient truncation, starting with a vector bundle  $F$  on  $\mathbb{P}^n$  one produces a bounded complex in  $\mathcal{D}^b(S)$  which is in fact in  $FL$ .



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- Moreover, the cohomology modules of the image are nothing else than the intermediate cohomology modules of  $F$  itself.
- With these notations, one can conclude by:

## THEOREM (HORROCKS-WALTER)

There is an equivalence of categories  $Trunc \circ \mathbb{R}\Gamma_* : SVP \rightarrow FL$ .

# THE COANDA-TRAUTMANN RESULT

- Let  $\Lambda$  the exterior algebra in  $n + 1$  generators. Another description of the **stable category of vector bundles on  $\mathbb{P}^n$**  *SVP* is obtained by considering certain complexes over  $\Lambda$ . The main tools for the construction are:

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- the HT-complexes i.e. minimal complexes  $G^\bullet$  of free  $\Lambda$ -modules with each component  $G^p$  generated in degrees  $p - n \leq d \leq p - 1$ .

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- $L$  is the extension to complexes of the following elementary construction on  $\Lambda$ -modules:
- for  $N = \bigoplus N_p$  a module over  $\Lambda$ ,  $L(N)$  is the complex on  $\mathbb{P}^n$  defined by

$$\dots \rightarrow N_p \otimes \mathcal{O}(p) \rightarrow N_{p+1} \otimes \mathcal{O}(p+1) \rightarrow \dots$$

where the differential is induced by the module multiplication:

$$N_p \otimes V \rightarrow N_{p+1}.$$

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## THEOREM (COANDA-TRAUTMANN) (2006)

The functor  $G^\bullet \rightarrow Z^0L(G^\bullet)$  induces an equivalence between  $\mathcal{H}$ , the homotopy category of HT-complexes and the stable category of vector bundles on  $\mathbb{P}^n$ ,  $SVP$ .

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- As a conclusion, the original Horrocks's correspondence and the "exterior" one of Coanda-Trautmann describe the stable category of vector bundles by certain complexes over the symmetric or exterior algebra associated with  $\mathbb{P}^n$ .
- Also, in a paper from (2010) Coanda obtained a generalization of the above correspondence for sheaves on  $\mathbb{P}^n$  satisfying certain conditions and a more general class of HT-complexes.

# THE ORIGINAL HORROCKS APPROACH

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- This terminology explains Horrocks vision on the subject: the category of stable equivalence classes of vector bundles is alike the homotopy category, with the intermediate cohomology groups playing the role of "homotopy groups". Its original formulation of the correspondence has very much to do with the Postnikov decomposition used in topology.

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- The Walter's version, which uses the derived category can be seen as if it works with the "homotopy type" of the corresponding object as a whole and not with the Postnikov decomposition.

## EXAMPLE

- Eilenberg-MacLane bundles have a simple description in terms of HT-complexes (cf. Coanda-Trautmann (2006)). For it, one needs the second BGG functor  $R$  which transforms  $S$ -modules into complexes of  $\Lambda$ -modules:



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- If  $M$  is an  $S$ -module,  $R(M)$  has the graded parts  $R(M)_p = M_p \otimes \Lambda^\vee(p)$  with differential induced by the multiplication  $M_p \otimes V^\vee \rightarrow M_{p+1}$ .

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- If  $M$  has finite length and  $1 \leq j \leq n - 1$  then  $G^\bullet = R(M)(-j)$  is a HT-complex and the bundles which correspond to it is an Eilenberg-MacLane bundle with  $M$  as the only non-zero intermediate cohomology module in the  $j$  place.

# HORROCKS CORRESPONDENCE ON THE QUADRIC SURFACE

- A natural idea is to see if a Horrocks-type correspondence exists on other varieties than  $\mathbb{P}^n$ . Such a result was obtained by Malaspina-Rao in (2013). Let  $\mathbb{Q} \subset \mathbb{P}^3$  the quadric surface. In general, a vector bundle with all the intermediate cohomology modules 0 is called ACM. Let  $E$  a vector bundle on  $\mathbb{Q}$  without ACM summands. Let  $M$  its first (in fact only) intermediate cohomology module.

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- Consider the two intermediate cohomology modules:  
 $M_i = H^1_*(F_M \otimes \Sigma_i)$ , where the  $\Sigma_i$ 's are the two spinor bundles on the quadric.

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- Consider the two intermediate cohomology modules:  
 $M_i = H^1_*(F_M \otimes \Sigma_i)$ , where the  $\Sigma_i$ 's are the two spinor bundles on the quadric.
- The bundle  $E$  determines certain graded vector spaces  $W_i$  in  $M_i$ .

# HORROCKS CORRESPONDANCE ON THE QUADRIC SURFACE

The main result is:

## THEOREM (MALASPINA-RAO)

There is a bijection between isomorphism classes of bundles without ACM summands, and isomorphism classes of triples  $(M, W_1, W_2)$

# THE SPLITTING THEOREM AND BABILONEAN TOWERS

- For a vector bundle  $E$  on  $\mathbb{P}^n$  one can ask if it can be (stably)-extended to a higher dimensional  $\mathbb{P}^N$ . Also, one can ask (the babilonean towers problem) if such extensions exists indefinitely.



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- A first result in this direction is the following theorem:

THEOREM (HORROCKS) (1966)

If  $E$  is stably extendable to  $\mathbb{P}^{2n-3}$  and  $H^1_*(E) = H^1_*(E^\vee) = 0$ , then  $E$  is splittable.

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- Also, for the babilonean towers problem, the following is true (cf. Barth-Van de Ven (1974), Tyurin (1975), Sato (1978)):

## THEOREM

If  $E$  is indefinitely extendable, then it is splittable.

# THE SPLITTING THEOREM AND BABILONEAN TOWERS

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If  $E$  extends to  $\mathbb{P}^{n+m}$  with  $m > \sum_{i>0} \dim \text{Ext}^1(E, E(-i))$ , then it is splittable.

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# THE SPLITTING THEOREM AND BABILONEAN TOWERS

- A natural question is to find an effective version of the babilonian tower theorem. For example, in (2006), Coanda-Trautmann obtained:

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- In particular, the above result explain the difference between indefinitely extendable and indefinitely stable extendable bundles

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- -, Coanda and Manolache in arxiv:1305.3464 classified globally generated vector bundles with  $c_1 \leq 4$  on  $\mathbb{P}^n$ .

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- Next we try to decide which bundles can be extended to higher dimensional projective spaces using Horrocks methods.

THANK YOU!



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