

HEEGAARD-FLOER HOMOLOGY AND CUSPIDAL MULTIPLE PLANES

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IMAR

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- In the first part of the talk we intend to review these results following mainly
M. Borodzik, M. Hedden, C. Livingston, *Plane algebraic curves of arbitrary genus via Heegaard Floer homology*, arXiv:1409.2111
and
J. Bodnar, D. Celoria, M Golla, *Cuspidal curves and Heegaard Floer homology* , arXiv:1409.3282 .
- In the second part we shall present some connections with the theory of ramified coverings of the complex projective plane.
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1 CUSPIDAL CURVES AND HF-TYPE OBSTRUCTIONS

- Cuspidal curves
- Heegaard-Floer theory
- Obstructions for cuspidal curves and some examples

2 CUSPIDAL CURVES AND RAMIFIED COVERINGS OF $\mathbb{C}P^2$

- General coverings of surfaces
- Cuspidal coverings of the plane
- Examples of low degree coverings

- The link of a plane curve singularity is a usual link in S^3 .
- A cusp is a singular point whose link is a knot i.e. has one component (also called uni-branched)
- In the most part we will be concerned with cusps with only one Puiseux pair: namely the link is a torus knot or equivalently the cusp has a local parametrization of type $t \rightarrow (t^a, t^b)$.
- In general, for a singular point, its semi-group $\Gamma \subset \mathbb{Z}$ consists of the local intersection number with complex curves. For example, for a cusp with one Puiseux pair (a, b) the semi-group is generated by a and b .
- The **gap counting function** of the semi-group Γ is

$$I_m = \#\{\mathbb{Z} \setminus \Gamma \cap [m, \infty)\}$$

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TOPOLOGY OF THE COMPLEMENT

- For a cuspidal curve C of degree d , genus g and n cusps p_1, \dots, p_n we denote by δ_i the Milnor number of the cusp p_i ; it is in fact the genus of K_i -the link of p_i .
- The relation between the above numbers is:

$$\sum \delta_i + g = \frac{(d-1)(d-2)}{2}$$

- An essential step toward the application of HF-theory is the understanding of the topology of the complement: let N a regular neighborhood of C , $W = \mathbb{C}P^2 \setminus \text{Int}(N)$ and $Y = W \cap N$. With these notations we have:

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$H_1(Y, \mathbb{Z}) = \mathbb{Z}_{d^2} \oplus \mathbb{Z}^{2g}$, $b_2^\pm(W) = 0$. In fact $H_2(W)$ is generated by elements with square 0.

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HEEGAARD-FLOER THEORY I

- HF-theory associate to every 3-manifold with a torsion $spin^c$ -structure $t \in H^2(Y, \mathbb{Z})$ two \mathbb{Z}_2 vector spaces denoted by $HF^\infty(Y, t)$ and $HF^+(Y, t)$.
- Roughly speaking, one take a Heegaard diagram $(S, (\alpha_i), (\beta_i))$ and one considers the \mathbb{Z}_2 space generated by the intersection of the two tori $\prod \alpha_i$ and $\prod \beta_i$ in the symmetric product of S . On these spaces on introduce a differential taking into account the "holomorphic disks" between different generators. The homology produced by these differential are the above HF-homology groups.
- An important property of these two homology theories is that there is a canonical map

$$\pi : HF^\infty(Y, t) \rightarrow HF^+(Y, t)$$

- **Fact:** the main role of π is to produce two numbers associated to every torsion $spin^c$ -structure:

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- **Fact:** the main role of π is to produce two numbers associated to every torsion $spin^c$ -structure:

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- With the above notations, the following result is the basic tool coming from the HF-world to constrain the invariants of cuspidal curves:

THEOREM (OZSVATH-SZABO)

Consider (W, s) a $spin^c$ 4-manifold with boundary (Y, t) such that:

W is negative semi-definite

the restriction $H^1(W, \mathbb{Z}) \rightarrow H^1(Y, \mathbb{Z})$ is trivial

t is torsion

(Y, t) has standard HF^∞ (i.e. $\simeq \Lambda^* H^1(Y, t) \otimes \mathbb{Z}_2[U, U^{-1}]$)

Then the following holds:

$$c_1^2(s) + b_2^-(W) \leq 4d_b(Y, t) + 2b_1(Y)$$

- Apart the above theorem, the following two facts are essential for constraints for cuspidal curves:
 - the numbers $d_b(Y, t)$ are effectively computable
 - if Y is the boundary of a regular neighborhood of C , they are related to the gap function of the cusps.
- From all the previous facts, the first main result of Bodnar, Celoria and Golla is the following:

THEOREM (BCG)

Let C a cuspidal curve of degree d , genus g and one singular point with gap function l . Then for any $-1 \leq j \leq d-2$ and any $0 \leq k \leq g$ we have:

$$k - g \leq l_{jd+1-2k} - \frac{(d-j-2)(d-j-1)}{2} \leq k.$$

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- The main point in the above story is the Ozsvath-Szabo theorem where the topological properties of the pair (W, Y) play an important role.
- The same methods can be applied for cuspidal curves on other surfaces with similar topological properties.
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OBSTRUCTIONS FOR CUSPIDAL CURVES I

- The second main result of BCG refers to cuspidal curves with a single cusp of type (a, b) :

THEOREM (BCG)

For fixed genus g and sufficiently high degree d , one have:

$$a + b = 3d$$

$$\left(\frac{7b - 2a}{3}\right)^2 - 5b^2 = 8g - 4.$$

- In few words the proof goes as follows:
- the degree-genus relation in the case of one cusp of type (a, b) is

$$(d - 1)(d - 2) = (a - 1)(b - 1) + 2g$$

(the genus of the torus knot is $\frac{(a-1)(b-1)}{2}$)

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OBSTRUCTIONS FOR CUSPIDAL CURVES II

- A pair (a, b) is defined to be admissible if a, b are coprime, there is a degree satisfying the above relation and the gap function of the semi-group generated by a and b verifies the main theorem.
- The proof relies on the following two technical facts:
 - if $g \geq 1$ for almost all admissible pairs we have $6 < \frac{b}{a} < 7$
 - if $g \geq 1$ for almost all admissible pairs with $6 < \frac{b}{a} < 7$ we have $a + b = 3d$
- As consequence, for almost all admissible pairs we have $a + b = 3d$ and using the degree-genus relation one obtain the second identity of the theorem.

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EXAMPLES I

- A second objective of the BCG paper is the construction of some families of cuspidal curves with a single cusp with toral link.
- For any $k \in \mathbb{Z}$ define the Lucas sequence L_n^k by the Fibonacci recurrence starting from $k - 1$ and 1.
- The first existence result is:

THEOREM

Let $k \geq 2$ and $g = \frac{k(k-1)}{2}$. Then:

1. for any $i \geq 2$ there is a cuspidal curve with one toral cusp of degree $d = L_{4i-1}^k$ and Puiseux pair (L_{4i-3}^k, L_{4i+1}^k) .
2. for any $j \geq 1$ there is a cuspidal curve with one toral cusp of degree $d = -L_{-4j-1}^k$ and Puiseux pair $(-L_{-4j+1}^k, -L_{-4j-3}^k)$.
3. if $3 \mid g$ and $2g - 1$ is prime, then for $d \gg 0$ any such curve is of one of the the above types.

- **Remark:** the examples from the theorem all verifies $a + b = 3d$.

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EXAMPLES II

- The following two examples produces curves for which $a + b \neq 3d$:
- Let p non-divisible by 3 and $C_p : x^{p+3} + y^{p+3} + x^p z^3 = 0$
then $d = p + 3$, $g = p + 2$ and the cusp is of toral type $(p, p + 3)$
- Let $p \geq 2$ and $D_p : x^p z^{p-1} + x^{2p-1} + y^{2p-1} = 0$
then $d = 2p - 1$, $g = (p - 1)(p - 2)$ and the cusp is of toral type $(p, 2p - 1)$

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GENERAL COVERINGS OF SURFACES I

The motivation for the sequel is to construct (new/with computable invariants) surfaces starting from singular plane curves.

- The main result concerning general coverings is the following:

THEOREM (GRAUERT-REMMERT)

For X normal variety and D a divisor with complement U , an étale cover of U extends uniquely as a normal analytic cover Y of X .

- An important fact is that even if X is smooth, Y will acquire singularities corresponding with the singularities of D .
- A particular class of coverings are constructed from a n -divisible line bundle $L^{\otimes n}$ on X and a holomorphic section with divisor D . The resulting variety Y is the cyclic covering associated with the pair (L, D) .

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GENERAL COVERINGS OF SURFACES II

EXAMPLE: DOUBLE COVERINGS OF SURFACES

For $n = 2$ and D with only simple singularities (eg. suppose it has only nodes or simple cusps) denote by \bar{Y} the canonical resolution of the double cover $Y \rightarrow X$. Then the invariants of X and \bar{Y} are connected by the following relations:

- $\chi(\bar{Y}) = 2\chi(X) + \frac{1}{2}L \cdot K_X + \frac{1}{2}L^2$
- $p_g(\bar{Y}) = p_g(X) + h^0(X, K_X \otimes L)$
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- Concerning the Kodaira dimension, for example in the case of $X = \mathbb{C}P^2$ and D of degree $2m$ one have:

$$\text{kod}(\bar{Y}) = -1 \text{ for } m = 1, 2 \quad \text{kod}(\bar{Y}) = 0 \text{ for } m = 3$$

$$\text{kod}(\bar{Y}) = 2 \text{ for } m \geq 4$$

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CUSPIDAL COVERINGS OF THE PLANE I

- The study of ramified covers of the plane with cuspidal ramification divisor is a natural question viewing the following (classical) result published in 2008 by Ciliberto and Flamini:

THEOREM

The branch curve of a general projection of a surface S on $\mathbb{C}P^2$ is irreducible with only nodes and cusps.

- Also, a natural question is to find the configuration/position of the singularities of a given curve such that it is the branch curve of a generic projection. For example, a classical result in this direction is the following:

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1. $\deg(D) = n(n - 1)$
2. D has $\frac{1}{2}n(n - 1)(n - 2)(n - 3)$ nodes and $n(n - 1)(n - 2)$ cusps
3. there are curves L_1 and L_2 of degrees $(n - 1)(n - 2)$ and $(n - 1)(n - 2) + 1$ which contains the 0-cycle of singularities of D and have separate tangents at these points.

The above theorem was extended in 2012 by Friedman, Lehman, Leyenson and Teicher for surfaces in arbitrary projective spaces.

REMARK

Not every covering of $\mathbb{C}P^2$ is the restriction of a linear projection !

EXAMPLES OF LOW DEGREE COVERINGS I

Apart the degree 2 case where a cover is determined by an even line bundle and a section, a systematic study for low degree coverings was done by Miranda in 1985. His main result can be resumed as:

THEOREM (MIRANDA)

A triple cover $Y \rightarrow X$ is determined by a rank **two** vector bundle E on X and an element

$$\Phi \in \text{Hom}(S^3(E) \rightarrow \Lambda^2(E))$$

and conversely.

In this correspondence the branch locus in X is a divisor with associated line bundle $(\Lambda^2 E)^{-2}$.

For triple covers of surfaces $Y \rightarrow X$ with cuspidal ramification one has the following restriction:

$$\# \text{ of cusps} = 3c_2(E),$$

and the genus of the branch locus is $2c_1^2 - c_1 \cdot K_X + 1 - 3c_2(E)$.

EXAMPLES OF LOW DEGREE COVERINGS II

In particular, Y has the following invariants:

$$h^i(\mathcal{O}_Y) = h^i(\mathcal{O}_X) + h^i(E)$$

$$\chi(\mathcal{O}_Y) = \chi(\mathcal{O}_X) + \chi(E)$$

$$K^2_Y = 3K^2_X - 4c_1 \cdot K_X + 2c_1^2 - 3c_2$$

$$\chi(Y) = 3\chi(X) - 2c_1 \cdot K_X + 4c_1^2 - 9c_2$$

and the above formulas compute the invariants of triple covers of the plane for some explicit bundles (eg. split or twisted tangent bundle). Also, Miranda used the dictionary above to construct a sequence of successive triple covers of general type such that $\frac{c_1^2}{c_2}$ tends to 3 which is the Miyaoka upper bound.

THANK YOU!