

# JOINT SPECTRUM OF $n$ -TUPLE OF UPPER TRIANGULAR MATRICES WITH ENTRIES IN A UNITALL BANACH ALGEBRA

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Let  $(T_1, \dots, T_n)$  be  $n$ -tuple in  $(U_m(A))^n$ . We investigate formula for joint (Harte) spectrum of  $(T_1, \dots, T_n)$  with respect to upper triangular matrices algebra  $U_m(A)$  and obtain condition such that joint spectrum of the  $n$ -tuple in  $(U_m(A))^n$  equals with respect to  $U_m(A)$  and  $M_m(A)$ .

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## 1. INTRODUCTION

Suppose that  $A$  is a complex Banach algebra with unit 1, we denote the sets of invertible, left invertible, right invertible elements of  $A$ , respectively with  $Inv(A)$ ,  $Inv_{lt}(A)$ , and  $Inv_{rt}(A)$ . The *spectrum* of an element  $a \in A$  is the set  $\sigma(a) = \{\lambda \in \mathbb{C} \mid a - \lambda 1 \notin Inv(A)\}$ . For  $n$ -tuples  $a = (a_1, \dots, a_n)$  and  $b = (b_1, \dots, b_n)$  of elements of  $A$  we write

$$a.b = a_1b_1 + a_2b_2 + \dots + a_nb_n,$$

if  $a.b = 1$  declare that  $a$  is *left inverse* for  $b$  in  $A$ , and  $b$  is *right inverse* for  $a$ .

The *joint (Harte) spectrum*  $\sigma(a)$  for  $n$ -tuples  $a = (a_1, \dots, a_n)$  will be a set of  $n$ -tuples  $\lambda = (\lambda_1, \dots, \lambda_n)$  of complex numbers, for which the  $n$ -tuples  $a - \lambda = (a_1 - \lambda_1, \dots, a_n - \lambda_n)$  has no left or right inverses in  $A$ .

*Definition 1.1.* Let  $A$  be a unital Banach algebra.

- (i) The *left spectrum*  $\sigma^{left}(a) = \sigma_A^{left}(a)$  of  $n$ -tuples  $a$  with respect to  $A$  is the set of  $\lambda \in \mathbb{C}^n$  for which  $a - \lambda$  has no left inverse in  $A$ .
- (ii) The *right spectrum*  $\sigma^{right}(a) = \sigma_A^{right}(a)$  of  $n$ -tuples  $a$  with respect to  $A$  is the set of  $\lambda \in \mathbb{C}^n$  for which  $a - \lambda$  has no right inverse in  $A$ .
- (iii) The *joint spectrum*  $\sigma(a) = \sigma_A^{joint}(a)$  of an  $n$ -tuples  $a$  in  $A$  is the union of the left and right spectra of  $a$ .

In terms of ideals, an  $n$ -tuple  $\lambda \in \mathbb{C}^n$  is in the left spectrum of  $n$ -tuple  $a \in A^n$  if, and only if the left ideal  $\sum_j A(a_j - \lambda_j)$  generated by the  $n$ -tuple  $a - \lambda$  is proper. It's similar for the right spectrum.

Note that for a single element  $a \in A$  joint spectrum coincides with the usual spectrum of  $a$ . But there are many different properties, for example, spectrum of an element is always non-empty, but joint spectrum may be void, for more information see [6].

*Definition 1.2.* If  $a$  is an  $n$ -tuple of elements of  $A$ , a sequence  $(u) = (u_k)_{k=1}^\infty$  of elements of  $A$  which satisfies

$$(1) \quad \inf_k \|u_k\| > 0 = \lim_k \|u_k a_j\| \quad (j = 1, \dots, n)$$

is called an *approximate left annihilator* for  $a$  in  $A$ , with again a similar definition of right annihilator.

*Definition 1.3.* (i) The *left approximate point spectrum*  $\tau_A^{left}(a)$  of an  $n$ -tuple  $a \in A^n$  is the set of  $s \in \mathbb{C}^n$  for which  $a - s$  has approximate right annihilators in  $A$ .

(ii) The *right approximate point spectrum*  $\tau_A^{right}(a)$  of an  $n$ -tuple  $a \in A^n$  is the set of  $s \in \mathbb{C}^n$  for which  $a - s$  has approximate left annihilators in  $A$ .

(iii) The *joint approximate point spectrum*  $\tau(a) = \tau_A^{joint}(a)$  of an  $n$ -tuple  $a \in A^n$  is the union of its left and right approximate point spectra.

Dash, Coburn and Schechter used the concept of joint spectrum most for solving interpolation problems [2,3]. Harte studied joint spectrum and obtained many useful results, in an example he showed that  $\sigma(a)$  is compact in  $\mathbb{C}^n$ , but possibly empty. For  $n$ -tuples  $f = (f_1, \dots, f_n)$  of noncommutative polynomials in  $m$  variables on  $A$  there is inclusion  $f\sigma(a) \subset \sigma f(a)$  [6, Theorem 3.2] and equality holds if the elements  $a_j$  ( $j = 1, \dots, n$ ) commute with one another [6, Theorem 4.3]. There are many works related to this notion that they are considered on algebras such as Hilbert spaces, commutative Banach algebra, noncommutative normal operators and Waelbroeck algebras [4, 5, 7, 8, 10–12].

Let  $A$  be a Banach algebra and  $M_m(A)$  be the algebra of  $m \times m$  matrices with entries in  $A$ . We denote the subalgebra of  $M_m(A)$  which contains all upper triangular matrices by  $U_m(A)$ ; i.e.  $U_m(A) = \{T = (T_{ij}) \mid T_{ij} = 0, \text{ whenever } i > j\}$  and by  $M_{m,k}(A)$  we mean all  $m \times k$  matrices with entries in  $A$ . The algebra  $M_m(A)$  with the following norm is a Banach algebra

$$\|T\| = \sup_{1 \leq k \leq m} \left[ \sum_{j=1}^m \|T_{jk}\|_A \right].$$

If a Banach algebra  $A$  is unital, then  $M_m(A)$  is a unital Banach algebra with unit  $I_m$ , where it is a  $m \times m$  matrix with  $a_{ii} = 1$  and  $a_{ij} = 0$  for  $i \neq j$ .

Let  $A$  be a unital Banach algebra, we may write  $U_m$  or  $U$  for  $U_m(A)$ ,  $\sigma(T)$  for  $\sigma(T; A)$  or  $\sigma_A(T)$ . Denote the algebra of  $m \times m$  diagonal matrices with entries in  $A$  by  $D_m(A)$ . For  $\{R_k\}_{1 \leq k \leq m} \subseteq A$ , let  $dg(R_1, R_2, \dots, R_m)$  be the matrix in  $D_m(A)$  with diagonal entries  $R_1, R_2, \dots, R_m$ . For  $T \in M_m(A)$ , let  $dg(T) = dg(T_{11}, T_{22}, \dots, T_{mm})$ .

In this paper, we investigate joint spectrums of the above stated matrix algebras and our aim is characterizing these joint spectrums.

## 2. MAIN RESULTS

In the whole of this section, we assume that  $A$  is a unital Banach algebra. If  $J = J_{kj} \in U_m(A)$  is strictly upper triangular, that is  $J_{kk} = 0$  for all  $k$ , then  $I_m - J$  is invertible since  $J$  is nilpotent (the inverse is  $\sum_{k=0}^m J^k$ ).

*Remark 2.1.* Let  $(T_1, \dots, T_n) \in (U_m)^n$ , then  $\sigma(T_1, \dots, T_n; M_m) \subseteq \sigma(T_1, \dots, T_n; U_m)$  because if  $(T_1, \dots, T_n)$  is invertible in  $(U_m)^n$ , so that is invertible in  $(M_m)^n$  (because  $(U_m)^n \subseteq (M_m)^n$  implies  $Inv((U_m)^n) \subseteq Inv((M_m)^n)$ ).

PROPOSITION 2.1 ([1]). *Assume that  $T \in U_m(A)$ . Then*

$$(2) \quad \sigma(T) = \bigcup_{k=1}^m \sigma(T_{kk}).$$

*similar equalities hold for  $\sigma^{right}(T)$  and  $\sigma^{left}(T)$ .*

PROPOSITION 2.2. *Let  $n$ -tuple  $(T_1, \dots, T_n)$  in  $U_m(A)$ . Then*

$$(3) \quad \sigma(T_1, \dots, T_n) = \bigcup_{k=1}^m (\sigma(T_1(kk)) \times \sigma(T_2(kk)) \times \dots \times \sigma(T_n(kk))).$$

*Proof.* Let  $(\lambda_1, \dots, \lambda_n) \notin \sigma(T_1, \dots, T_n)$ , then  $n$ -tuple  $(T_1 - \lambda_1 I_m, \dots, T_n - \lambda_n I_m)$  has inverse like  $n$ -tuple  $(S_1, \dots, S_n)$  in  $U_m(A)$ . Thus

$$(S_1, \dots, S_n)(T_1 - \lambda_1 I_m, \dots, T_n - \lambda_n I_m) = I_m.$$

Clearly

$$\sum_{i=1}^n S_i(T_i - \lambda_i I_m) = I_m,$$

and so for any  $1 \leq k \leq m$

$$\sum_{i=1}^n S_i(kk)(T_i(kk) - \lambda_i 1_A) = 1_A.$$

Then in terms of ideals, for any  $1 \leq k \leq m$ , the left ideal  $\sum_{i=1}^n A(T_i(kk) - \lambda_i 1_A)$  generated by the  $n$ -tuple  $(T_1(kk) - \lambda_1 1_A, \dots, T_n(kk) - \lambda_n 1_A)$  equals to  $A$  and so contains  $1_A$ . This implies that  $1_A$  is in the sum of  $n$  ideals generated

by  $(T_i(kk) - \lambda_i 1_A)$  for  $1 \leq i \leq n$ 's and so for some  $j$ ,  $1_A$  is in ideal generated by  $(T_j(kk) - \lambda_j 1_A)$ . Then  $\lambda_j \notin \sigma(T_j(kk))$  and so

$$(\lambda_1, \dots, \lambda_n) \notin \sigma(T_1(kk)) \times \sigma(T_2(kk)) \times \dots \times \sigma(T_n(kk)).$$

This argument holds for any  $k$ , therefore

$$(\lambda_1, \dots, \lambda_n) \notin \bigcup_{k=1}^m (\sigma(T_1(kk)) \times \sigma(T_2(kk)) \times \dots \times \sigma(T_n(kk))).$$

Conversely, let  $(\lambda_1, \dots, \lambda_n) \notin \bigcup_{k=1}^m (\sigma(T_1(kk)) \times \sigma(T_2(kk)) \times \dots \times \sigma(T_n(kk)))$ . Then for any  $1 \leq k \leq m$ ,

$$(\lambda_1, \dots, \lambda_n) \notin \sigma(T_1(kk)) \times \sigma(T_2(kk)) \times \dots \times \sigma(T_n(kk)).$$

Thus, for arbitrary  $k$  there is,  $1 \leq i_k \leq n$  such that  $\lambda_{i_k} \notin \sigma(T_{i_k}(kk))$ . Therefore  $T_{i_k}(kk) - \lambda_{i_k} 1_A$  is invertible. Hence, there exists  $S_{i_k}(kk)$  such that

$$(T_{i_k}(kk) - \lambda_{i_k} 1_A) S_{i_k}(kk) = 1_A.$$

Assume that  $S_1, \dots, S_n$  are  $m \times m$  matrices that  $S_{i_k}(kk)$  lies in  $kk$  entry of one of them such as  $S_l$  ( $1 \leq l \leq n$ ) which the other entries of  $S_l$  are zero and the other matrices are zero matrices. Thus,

$$\sum_{i=1}^n (T_i(kk) - \lambda_i 1_A) S_i(kk) = (T_{i_k}(kk) - \lambda_{i_k} 1_A) S_{i_k}(kk) = 1_A.$$

Then there is a strictly upper triangular matrix  $J$  such that

$$\sum_{i=1}^n (T_i - \lambda_i I_m) S_i = I_m + J.$$

Therefore  $\sum_{i=1}^n (T_i - \lambda_i I_m) S_i = I_m - (-J)$  is invertible in  $U_m(A)$ . Let  $X = \sum_{k=0}^m (-J)^k$  be the inverse of  $\sum_{i=1}^n (T_i - \lambda_i I_m) S_i$ , then

$$\left( \sum_{i=1}^n (T_i - \lambda_i I_m) S_i \right) X = \sum_{i=1}^n (T_i - \lambda_i I_m) S_i X = I_m.$$

This makes a right inverse for  $n$ -tuple  $(T_1 - \lambda_1 I_m, \dots, T_n - \lambda_n I_m)$ . Similar argument shows that  $n$ -tuple  $(T_1 - \lambda_1 I_m, \dots, T_n - \lambda_n I_m)$  has a left inverse. Hence  $(\lambda_1, \dots, \lambda_n) \notin \sigma(T_1, \dots, T_n)$ .  $\square$

*Examples:*

(i) If  $A$  is a commutative Banach algebra and  $(T_1, \dots, T_n) \in U_m(A)^n$ , then

$$\begin{aligned} \sigma(T_1, \dots, T_n) = \bigcup_{k=1}^m (\{ \phi(T_1(kk)) : \phi \in \Phi \} \times \{ \phi(T_2(kk)) : \phi \in \Phi \} \times \dots \\ \times \{ \phi(T_n(kk)) : \phi \in \Phi \}). \end{aligned}$$

The proof is trivial by Proposition 2.2 and using Theorem 2.2 [6] in case  $n = 1$ .

- (ii) If  $X$  be a non-empty compact Hausdorff space and  $C(X)$  the algebra of all continuous functions on  $X$  with the sup-norm,  $A = C(X)$  and  $(T_1, \dots, T_n) \in U_m(A)^n$  then by Proposition 2.2 and using Theorem 2.2 [6] in case  $n = 1$  obtain equation (4) and by [9, page 23] for any  $i = 1, \dots, n$ , we have  $\sigma(T_i(kk)) = (T_i(kk))(X)$  and so by Proposition 2.2 we have equation (5):

$$(4) \quad \sigma(T_1, \dots, T_n) = \bigcup_{k=1}^m (\tau(T_1(kk)) \times \tau(T_2(kk)) \times \dots \times \tau(T_n(kk)))$$

$$(5) \quad = \bigcup_{k=1}^m ((T_1(kk)(X)) \times (T_2(kk)(X)) \times \dots \times (T_n(kk)(X))).$$

- (iii) Consider the Banach algebra  $H^\infty$  of all bounded analytic functions on the open unit disc and  $\mathcal{M}(H^\infty)$  the maximal ideal space, by [9, page 24],  $\sigma_{H^\infty}(f) = \overline{f(D)}$  for  $f \in H^\infty$ . If  $A = \mathcal{M}(H^\infty)$  and  $(T_1, \dots, T_n) \in U_m(A)^n$ , then by Proposition 2.2 we have

$$\sigma(T_1, \dots, T_n) = \bigcup_{k=1}^m (\overline{(T_1(kk)(D))} \times \overline{(T_2(kk)(D))} \times \dots \times \overline{(T_n(kk)(D))}).$$

Suppose that  $(T_1, \dots, T_n)$  be  $n$ -tuple of  $2 \times 2$  block matrices, we use the following notation for every  $T_i$  entries:

- (i)  $T_i^{11}$  be a  $k \times k$  matrix in 11 position,
- (ii)  $T_i^{12}$  be a  $k \times (m - k)$  matrix in 12 position,
- (iii)  $T_i^{22}$  be a  $(m - k) \times (m - k)$  matrix in 22 position.

By the above stated notice we have the following:

**COROLLARY 2.3.** *Let*

$$T_1 = \begin{pmatrix} T_1^{11} & T_1^{12} \\ 0 & T_1^{22} \end{pmatrix}, \dots, T_n = \begin{pmatrix} T_n^{11} & T_n^{12} \\ 0 & T_n^{22} \end{pmatrix}$$

where  $T_i^{11} \in M_k$ ,  $T_i^{22} \in M_{m-k}$ ,  $T_i^{12} \in M_{k, m-k}$ . Then  $T_i \in U_2$  for any  $1 \leq i \leq n$ , and

- (1)  $\sigma^{left}(T_1^{11}, \dots, T_n^{11}) \subseteq \sigma^{left}(T_1, \dots, T_n)$ ;
- (2)  $\sigma^{right}(T_1^{22}, \dots, T_n^{22}) \subseteq \sigma^{right}(T_1, \dots, T_n)$ .

*Proof.* (1) Let  $\lambda = (\lambda_1, \dots, \lambda_n) \notin \sigma^{left}(T_1, \dots, T_n)$ . Suppose that  $S = (S_1, \dots, S_n)$  is a left inverse of  $(T_1, \dots, T_n)$ , so  $\sum_{i=1}^n S_i(T_i - \lambda_i) = I_2$ . Then

$$\begin{pmatrix} S_i^{11} & S_i^{12} \\ S_i^{21} & S_i^{22} \end{pmatrix} \begin{pmatrix} T_i^{11} - \lambda_i & T_i^{12} \\ 0 & T_i^{22} - \lambda_i \end{pmatrix}$$

$$= \begin{pmatrix} S_i^{11}(T_i^{11} - \lambda_i) & S_i^{11}T_i^{12} + S_i^{11}(T_i^{22} - \lambda_i) \\ S_i^{21}(T_i^{11} - \lambda_i) & S_i^{21}T_i^{12} + S_i^{22}(T_i^{22} - \lambda_i) \end{pmatrix} = I_2.$$

It follows that

$$\sum_{i=1}^n S_i^{11}(T_i^{11} - \lambda_i) = I_{M_k} = I_k.$$

This implies that

$$\lambda = (\lambda_1, \dots, \lambda_n) \notin \sigma^{left}(T_1^{11}, \dots, T_n^{11}).$$

(2) By the similar method in (1), it is clear that (2) holds.  $\square$

Similar to [1], assume that  $T \in U_m$ . Suppose that a sequence of  $2 \times 2$  block matrices from  $T$  as follows:

For  $1 \leq k < m$ , let  $P_k$  be the block matrix

$$P_k = \begin{pmatrix} P_k[1, 1] & P_k[1, 2] \\ 0 & P_k[2, 2] \end{pmatrix},$$

where the entries are defined by:

- (i)  $P_k[1, 1]$  is the matrix in  $M_k$  with entries  $\{T_{pq} : 1 \leq p, q \leq k\}$ ;
- (ii)  $P_k[1, 2]$  is the matrix in  $M_{k, m-k}$  with entries  $\{T_{pq} : 1 \leq p \leq k, k < q \leq m\}$ ;
- (iii)  $P_k[2, 2]$  is the matrix in  $M_{m-k}$  with entries  $\{T_{pq} : k < p, q \leq m\}$ .

Note that in the following Proposition we use similar definition of block matrices,  $P_i^k[1, 1]$ ,  $P_i^k[1, 2]$  and  $P_i^k[2, 2]$  for any  $T_i$ :

- (i)  $P_i^k[1, 1]$  is the matrix in  $M_k$  with entries  $\{T_i(pq) : 1 \leq p, q \leq k\}$ ;
- (ii)  $P_i^k[1, 2]$  is the matrix in  $M_{k, m-k}$  with entries  $\{T_i(pq) : 1 \leq p \leq k, k < q \leq m\}$ ;
- (iii)  $P_i^k[2, 2]$  is the matrix in  $M_{m-k}$  with entries  $\{T_i(pq) : k < p, q \leq m\}$ .

PROPOSITION 2.4. Let  $(T_1, \dots, T_n) \in (U_m)^n$ . Then

$$(6) \quad \sigma(T_1, \dots, T_n; (M_m)^n) = \bigcup_{j=1}^m (\sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj)))$$

if and only if

$$(7) \quad \begin{aligned} \sigma(T_1, \dots, T_n; (M_m)^n) &= (\sigma(P_1^k[1, 1]; (M_k)^n) \times \dots \times \sigma(P_n^k[1, 1]; (M_k)^n)) \\ &\cup (\sigma(P_1^k[2, 2]; (M_{m-k})^n) \times \dots \times \sigma(P_n^k[2, 2]; (M_{m-k})^n)), \end{aligned}$$

for any  $1 \leq k \leq m$ .

*Proof.* Suppose  $\sigma(T_1, \dots, T_n; (M_m)^n) = \bigcup_{j=1}^m (\sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj)))$ . Without loss of generality we take  $(\lambda_1, \dots, \lambda_n) = 0$ . Let

$$0 \notin (\sigma(P_1^k[1, 1]; M_k) \times \cdots \times \sigma(P_n^k[1, 1]; M_k) \cup (\sigma(P_1^k[2, 2]; M_{m-k}) \times \cdots \times \sigma(P_n^k[2, 2]; M_{m-k})),$$

so for any  $1 \leq k \leq m$ ,

$$(8) \quad 0 \notin (\sigma(P_1^k[1, 1]; M_k) \times \cdots \times \sigma(P_n^k[1, 1]; M_k))$$

and

$$(9) \quad 0 \notin (\sigma(P_1^k[2, 2]; M_{m-k}) \times \cdots \times \sigma(P_n^k[2, 2]; M_{m-k})).$$

Now we use induction on  $k$  to show that for any  $1 \leq k \leq m$ ,

$$0 \notin \bigcup_{j=1}^k \sigma(T_1(jj)) \times \cdots \times \sigma(T_n(jj)).$$

For  $k = 1$ ,  $P_i^1[1, 1] = T_i(11)$ , then by (8),  $0 \notin (\sigma(T_1(11)) \times \cdots \times \sigma(T_n(11)))$ . For  $k = p - 1$ , assume that  $0 \notin \bigcup_{j=1}^{p-1} \sigma(T_1(jj)) \times \cdots \times \sigma(T_n(jj))$ .

**Claim:** For  $k = p$ ,  $0 \notin \bigcup_{j=1}^p \sigma(T_1(jj)) \times \cdots \times \sigma(T_n(jj))$ .

It is sufficient to show that  $0 \notin \sigma(T_1(pp)) \times \cdots \times \sigma(T_n(pp))$ . By (8),  $0 \notin (\sigma(P_i^p[1, 1]; M_p)$  for some  $1 \leq i \leq n$ . Then for some  $1 \leq i \leq n$ ,  $0 \notin (\sigma^{right}(P_i^p[1, 1]; M_p)$  and  $0 \notin (\sigma^{left}(P_i^p[1, 1]; M_p)$ . Similarly by (9) for some  $1 \leq i \leq n$ ,  $0 \notin (\sigma^{right}(P_i^p[2, 2]; M_{m-p})$  and  $0 \notin (\sigma^{left}(P_i^p[2, 2]; M_{m-p})$ . Now if  $0 \in \sigma(T_1(pp)) \times \cdots \times \sigma(T_n(pp))$ , then for any  $1 \leq i \leq n$ ,  $0 \in \sigma(T_i(pp))$ . Therefore  $0 \in \sigma^{right}(T_i(pp))$  or  $0 \in \sigma^{left}(T_i(pp))$ . Rewrite definition of  $P_i^p[1, 1]$ :

$$P_i^p[1, 1] = \begin{pmatrix} P_i^{p-1}[1, 1] & \begin{pmatrix} T_i(1p) \\ \vdots \\ T_i((p-1)p) \end{pmatrix} \\ 0 & T_i(pp) \end{pmatrix}.$$

If  $0 \in \sigma^{right}(T_i(pp))$ , by Corollary 2.3 case (1),  $0 \in \sigma^{right}(P_i^p[1, 1])$  and this is a contradiction. Hence for any  $1 \leq i \leq n$ ,  $0 \in \sigma^{left}(T_i(pp))$ . Similarly,

$$P_i^{p-1}[2, 2] = \begin{pmatrix} T_i(pp) & (T_i(p(p+1)) \quad \cdots \quad T_i(pm)) \\ 0 & P_i^p[2, 2] \end{pmatrix}.$$

Again by Corollary 2.3 case (1),  $0 \in \sigma^{left}(P_i^{p-1}[2, 2])$ , which is a contradiction. Thus

$$0 \notin \sigma(T_1(pp)) \times \cdots \times \sigma(T_n(pp)).$$

This shows that the claim is true, *i.e.* for any  $1 \leq k \leq m$ ,

$$0 \notin \bigcup_{j=1}^k \sigma(T_1(jj)) \times \cdots \times \sigma(T_n(jj)).$$

Now set  $k = m$  and obtain  $0 \notin \bigcup_{j=1}^m \sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj))$  and by (6),  $0 \notin \sigma(T_1, \dots, T_n; M_m)$ .

Let  $0 \notin \sigma(T_1, \dots, T_n; (M_m)^n)$ . By (6),  $0 \notin \bigcup_{j=1}^m (\sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj)))$ , then for any  $1 \leq j \leq m$ ,  $0 \notin \sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj))$ . Thus for some  $1 \leq i \leq m$ ,  $0 \notin \sigma(T_i(jj))$ . By  $P_i^k$ 's definition we have

$$T_i = \begin{pmatrix} P_i^k[1, 1] & P_i^k[1, 2] \\ 0 & P_i^k[2, 2] \end{pmatrix} \quad \text{and} \quad P_i^k[1, 1] = \begin{pmatrix} T_i(11) & \dots & T_i(1k) \\ & \ddots & \\ 0 & \dots & T_i(kk) \end{pmatrix} \in U_k.$$

By Proposition 2.1,  $\sigma(P_i^k[1, 1]; U_k) = \bigcup_{j=1}^k \sigma(T_i(jj))$ . Similar to above, by induction on  $k$ , we can show that for any  $1 \leq k \leq m$ ,  $0 \notin \sigma(P_i^k[1, 1]; U_k)$  and we know that  $\sigma(P_i^k[1, 1]; M_k) \subseteq \sigma(P_i^k[1, 1]; U_k)$ , so for some  $1 \leq i \leq n$  and any  $1 \leq k \leq m$ ,  $0 \notin \sigma(P_i^k[1, 1]; M_k)$ . Thus  $0 \notin (\sigma(P_1^k[1, 1]; M_k) \times \dots \times \sigma(P_n^k[1, 1]; M_k))$ .

Similarly we can show that  $0 \notin (\sigma(P_1^k[2, 2]; M_{m-k}) \times \dots \times \sigma(P_n^k[2, 2]; M_{m-k}))$ . Therefore

$$0 \notin (\sigma(P_1^k[1, 1]; M_k) \times \dots \times \sigma(P_n^k[1, 1]; M_k) \cup (\sigma(P_1^k[2, 2]; M_{m-k}) \times \dots \times \sigma(P_n^k[2, 2]; M_{m-k})).$$

Conversely, suppose that (7) holds. By remark 2.1, we have

$$\sigma(T_1, \dots, T_n; M_m) \subseteq \sigma(T_1, \dots, T_n; U_m) = \bigcup_{j=1}^m (\sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj))).$$

Let  $0 \notin \sigma(T_1, \dots, T_n; M_m)$ , so by equation (7) we have

$$0 \notin (\sigma(P_1^k[1, 1]; M_k) \times \dots \times \sigma(P_n^k[1, 1]; M_k))$$

and

$$0 \notin (\sigma(P_1^k[2, 2]; M_{m-k}) \times \dots \times \sigma(P_n^k[2, 2]; M_{m-k})).$$

Similar to the above argument, by induction on  $k$ , we can show that

$$0 \notin \bigcup_{j=1}^m \sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj)).$$

Therefore  $\bigcup_{j=1}^m \sigma(T_1(jj)) \times \dots \times \sigma(T_n(jj)) \subseteq \sigma(T_1, \dots, T_n; M_m)$ .  $\square$

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