# APPROXIMATELY DUAL FRAMES IN BANACH SPACES VIA SEMI-INNER PRODUCTS

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In this paper, we develop the concept of dual and approximately dual frames in Banach spaces via semi-inner products and some properties of dual and approximate dual frames are investigated. Also, we introduce g-dual frames in these spaces and some relationships between g-duals and approximate duals are stated. Finally, the  $\epsilon$ -nearly g-dual frames and their relations with g-duals are studied in Banach spaces using semi-inner products.

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# 1. INTRODUCTION AND PRELIMINARIES

The concept of frame was introduced by Duffin and Schaeffer [12] in 1952. After some decades, Young reintroduced frames in abstract Hilbert spaces [30]. Daubechies, Grossmann and Meyer studied frames deeply in 80's [8]. Feichtinger and Grochenig [16, 22] extended the concept of frames from Hilbert spaces to Banach spaces and defined atomic decomposition and Banach frames. Frames have many nice properties which make them very useful in sampling [13, 14], signal processing [17, 28], filter bank theory [3], and many other fields. Recent applications of the frames in compressed sensing was given in [4] and applications of the frames to operator theory was given in [21]. A sequence  $\{f_j\}_{j\in\mathcal{J}}$  in  $\mathcal{H}$  is said to be a frame for  $\mathcal{H}$  if there exist positive real numbers A, B such that

$$A\|f\|^2 \le \sum_{j \in \mathcal{J}} |\langle f, f_j \rangle|^2 \le B\|f\|^2, \quad f \in \mathcal{H}.$$

The elements A and B are called the lower and the upper frame, respectively. Suppose that  $\{f_j\}_{j\in\mathcal{J}}$  is a frame of  $\mathcal{H}$ . The operator  $T: \mathcal{H} \to \ell^2(\mathcal{J})$  defined by  $T(f) = \{\langle f, f_j \rangle\}_{j\in\mathcal{J}}$  is called the analysis operator.  $T^*$  is called the synthesis operator. The operator  $S = T^*T$  is called the frame operator of  $\{f_j\}_{j\in\mathcal{J}}$ .

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A very useful property of a frame  $\{f_j\}_{j\in\mathcal{J}}$  for a Hilbert space  $\mathcal{H}$  is that  $\{f_j\}_{j\in\mathcal{J}}$  has a dual frame  $\{g_j\}_{j\in\mathcal{J}}$ , i.e. there exists a frame  $\{g_j\}_{j\in\mathcal{J}}$  for  $\mathcal{H}$  such that for all  $f \in \mathcal{H}$ ,

$$f = \sum_{j \in \mathcal{J}} \langle f, g_j \rangle f_j = \sum_{j \in \mathcal{J}} \langle f, f_j \rangle g_j.$$

It is not easily to find a dual for a frame in general. A more general concept, namely, approximate dual is introduced by O. Christensen and R. S. Laugesen [6], which are more available. In this paper, we intend to introduce these concepts on Banach spaces and so some necessary concepts are introduced as follows.

A sequence space  $X_d$  is called a BK-space, if it is a Banach space and the coordinate functionals are continuous on  $X_d$ . If the canonical vectors forms a Schauder basis for  $X_d$ , then  $X_d$  is called a CB-space and its canonical basis is denoted by  $\{e_j\}_1^\infty$ . If  $X_d$  is reflexive and a CB-space, then  $X_d$  is called an RCB-space. Also, the dual of  $X_d$  is denoted by  $X_d^*$ .

The spaces  $\ell^{\infty}, c, c_0, \ell^p (1 \leq p < \infty)$  are *BK*-spaces with their natural norms. Also the space  $\ell^{\infty}$  has no Schauder basis, since it is not separable and the spaces  $c_0$  and  $\ell^p (1 \leq p < \infty)$  have  $\{e_i\}_1^{\infty}$  as their Schauder bases.

The concept of semi-inner product, which was introduced in 1961 by G. Lumer [27] and modified by other researchers, is presented in the following definition.

Definition 1.1. [23] Let X be a complex (real) vector space. A semi-inner product (in short s.i.p.) on X is a function from  $X \times X \to \mathbb{C}$ , denoted by [.,.], such that for all  $f, g, h \in X$  and  $\lambda \in \mathbb{C}$ ,

1.  $[\lambda f + g, h] = \lambda[f, h] + [g, h]$  and  $[f, \lambda g] = \overline{\lambda}[f, g]$ , 2.  $[f, f] \ge 0$ , for all  $f \in X$  and [f, f] = 0 implies f = 0, 3.  $|[f, g]|^2 \le [f, f][g, g]$ .

However an s.i.p. space need not satisfy the following properties (i)  $[f,g] = \overline{[g,f]}$ , (ii) [f,g+h] = [f,g] + [f,h].

If [.,.] is a s.i.p. on X then  $||f|| := [f, f]^{\frac{1}{2}}$  is a norm on X. Conversely, if X is a normed vector space then it has a s.i.p. that induces its norm in this manner which is called the compatible semi-inner product [27].

Let X be a Banach space. We define a duality map  $\Phi_X : X \to X^*$  as follows. Given  $f \in X$ , by the Hahn-Banach theorem, there exists an  $f^* \in X^*$ such that  $||f|| = ||f^*||$  and  $f^*(f) = ||f||^2$ . Set  $\Phi_X(f) = f^*$ , and  $\Phi_X(\lambda f) = \overline{\lambda}f^*$ , and define  $\Phi_X$  on the rest of X in the same manner. In general,  $\Phi_X$  is not unique, linear or continuous. The duality map  $\Phi_X$  induces a semi-inner product [.,.] if we set  $[f,g] = g^*(f)$  [29]. We shall use this definition for  $g^*, g \in X$ . Note that if X is a Hilbert space, then the duality map is unique [29].

Recall that a Banach space X is called strictly convex, if for any pair of vectors  $f, g \neq 0$  in X, the equation  $||f + g||_X = ||f||_X + ||g||_X$ , implies that there exists a  $\lambda > 0$  such that  $f = \lambda g$  [11]. In these spaces, the duality mapping from X to X<sup>\*</sup> is unique and bijective when X is reflexive [11, 15]. In other words, for each  $f^* \in X^*$  there exists a unique  $g \in X$  such that  $f^*(g) = [g, f]$ , for all  $g \in X$ . Moreover, we have  $||f^*||_{X^*} = ||f||_X$ . Also,  $[f^*, g^*]_* := [g, f]$ ,  $f, g \in X$ , defines a compatible semi-inner product on  $X^*$  [23]. Note that, in this case  $g^{**} = g$ , indeed for any  $f \in X$ 

$$\hat{g}(f^*) = f^*(g) = [g, f] = [f^*, g^*]_* = g^{**}(f^*),$$

where  $\hat{g}$  is the Gelfand transform of g in  $X^{**}$ .

A Banach space X will be said to be uniformly convex if to each  $\varepsilon$ ,  $0 < \varepsilon \leq 2$ , there corresponds a  $\delta(\varepsilon) > 0$  such that the conditions  $||f||_X = ||g||_X = 1$ ,  $||f - g||_X \geq \varepsilon$  imply  $||\frac{f+g}{2}||_X \leq 1 - \delta(\varepsilon)$  [7]. We recall that Hilbert spaces,  $L^p$  and  $\ell^p$  for 1 are uniformly convex and <math>C[0, 1] is not uniformly convex [5, 7].

We know that a uniformly convex Banach space is reflexive [5], but a reflexive Banach space is not necessarily uniformly convex [9]. Also, every uniformly convex Banach space is strictly convex [5].

In 2011, H. Zhang and J. Zhang [31] introduced frames in Banach space X via s.i.p. that is presented in the following definition.

Definition 1.2. [31] Let X be a separable Banach space and [.,.] be a compatible semi-inner product on X. Also let X be reflexive and strictly convex and  $X_d$  be an CB- space. Then a sequence  $\{f_j\}_{j\in\mathcal{J}}\subseteq X$  is called an  $X_d$ -frame for X if for any  $f\in X$ 

(i)  $\{[f, f_j]\}_{j \in \mathcal{J}} \in X_d$ ,

(ii) there exist positive constants A, B such that

 $A\|f\|_X \le \|\{[f, f_j]\}_{j \in \mathcal{J}}\|_{X_d} \le B\|f\|_X, \quad f \in X.$ 

If the right side of this inequality holds then we say that  $\{f_j\}_{j\in\mathcal{J}}$  is an  $X_d$ -Bessel sequence for X.

Recall that an indexed set  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  is an  $X_d$ -Riesz basis for X if  $\overline{span}\{f_j\}_{j \in \mathcal{J}} = X$  and  $\sum_{j \in \mathcal{J}} c_j f_j$  converges in X for all  $c = \{c_j\}_{j \in \mathcal{J}} \in X_d$  and there exists  $0 < A \leq B < \infty$  such that

$$A \| \{c_j\}_{j \in \mathcal{J}} \|_{X_d} \le \| \sum_{j \in \mathcal{J}} c_j f_j \|_X \le B \| \{c_j\}_{j \in \mathcal{J}} \|_{X_d}, \quad c = \{c_j\}_{j \in \mathcal{J}} \in X_d.$$

Let  $F = \{f_j\}_{j \in \mathcal{J}}$  be an  $X_d$ -Bessel sequence. The analysis operator  $U_F : X \to X_d$  is defined by  $U_F(f) := \{[f, f_j]\}_{j \in \mathcal{J}}$  and the adjoint  $U_F^* : X_d^* \to X_d$ 

 $X^*$  of  $U_F$  is called the synthesis operator which is given by  $U_F^*(\{c_j\}_{j\in\mathcal{J}}) := \sum_{j\in\mathcal{J}} c_j f_j^*$ .

Let X be a strictly convex separable Banach space,  $X_d$  be a uniformly convex BK-space and  $F = \{f_j\}_{j \in \mathcal{J}}$  and  $F^* := \{f_j^*\}_{j \in \mathcal{J}}$  be  $X_d$  and  $X_d^*$ -Bessel sequences with analysis operators  $U_F$  and  $U_{F^*}$  for X and X<sup>\*</sup>, respectively. We define the  $X_d$ -frame operator  $S_F : X \to X$  for  $\{f_j\}_{j \in \mathcal{J}}$  by  $S_F f := U_{F^*}^* U_F f =$  $\sum_{j \in \mathcal{J}} [f, f_j] f_j$ , for any  $f \in X$ , that is well-defined bounded linear operator.  $S_F$  is not bijective in general (see [31] for more details).

We need the following results of [31] in our study.

PROPOSITION 1.3. A subset  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  forms an  $X_d$ -Bessel sequence for X if and only if  $\sum_{j \in \mathcal{J}} b_j f_j^*$  converges in  $X^*$  for all  $b \in X_d^*$  and

$$\|\sum_{j\in\mathcal{J}}b_jf_j^*\|_{X^*} \le B\|b\|_{X_d^*}.$$

PROPOSITION 1.4. A sequence  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  is an  $X_d$ -frame for X if and only if the operator  $U_F^*$  is bounded and surjective from  $X_d^*$  to  $X^*$ .

THEOREM 1.5. Suppose that  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  and  $F^* = \{f_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  are  $X_d$ -Bessel sequence and  $X_d^*$ -Bessel sequence with analysis operators  $U_F$  and  $U_{F^*}$  for X and  $X^*$ , respectively. Then the operator  $S_F : X \to X$  is bijective and bounded if and only if  $\{f_j\}_{j \in \mathcal{J}}$  is an  $X_d$ -frame and  $\{f_j^*\}_{j \in \mathcal{J}}$  is an  $X_d$ -frame and  $\{f_j^*\}_{j \in \mathcal{J}}$  is an  $X_d$ -frame and  $\{f_j^*\}_{j \in \mathcal{J}}$  is an equation of X and in this case we have

$$f = \sum_{j \in \mathcal{J}} [f, f_j] S_F^{-1} f_j , \quad f \in X$$

and

$$f^* = \sum_{j \in \mathcal{J}} [f_j, f] (S_F^{-1})^* f_j^* = \sum_{j \in \mathcal{J}} [S_F^{-1} f_j, f] f_j^* , \quad f \in X.$$

THEOREM 1.6. If  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  is an  $X_d$ -frame for X and  $R(U_F)$ has an algebraic complement in  $X_d$  then there exists an  $X_d^*$ -frame  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  for  $X^*$  such that

(1.1) 
$$f = \sum_{j \in \mathcal{J}} [f, f_j] g_j , \quad f \in X$$

and

(1.2) 
$$f^* = \sum_{j \in \mathcal{J}} [g_j, f] f_j^*, \quad f \in X.$$

The content of the present paper is as follows. In section 2, we introduce the dual, pseudo-dual and approximate dual of an  $X_d$ -Bessel sequence in Banach space via s.i.p. and some properties and relations between of these concepts are given. In section 3, we describe the notions of g-dual frame and some necessary and sufficient condition for their existence are discussed. Finally, we study the concept of  $\epsilon$ -nearly g-dual frame for an  $X_d$ -Bessel sequence and some results on them are obtained.

Throughout this paper, we assume that X is an uniformly convex separable Banach space,  $X_d$  is an uniformly convex BK-space,  $\mathcal{J}$  a countable index set and  $I_X$  is the identity operator on X. For two Banach spaces X and Y, we denote by B(X,Y) the collection of all bounded linear operators between X and Y. Also, we write B(X) instead of B(X,X).

#### 2. DUAL AND APPROXIMATELY DUAL VIA S.I.P

Theorem 2 leads us to introduce the dual of an  $X_d$ -Bessel sequence as follows.

Definition 2.1. Let  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  be an  $X_d$ -Bessel sequence for X. An  $X_d^*$ -Bessel sequence  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  is called a dual of F if

$$f = \sum_{j \in \mathcal{J}} [f, f_j] g_j , \quad f \in X.$$

If  $G^*$  is a dual of F then we can write  $f^* = \sum_{j \in \mathcal{J}} [g_j, f] f_j^*$ , for all  $f \in X$ . Note that, the relation  $f = \sum_{j \in \mathcal{J}} [f, g_j] f_j$ , is not true, in general. This is established in the following example.

*Example.* Consider the space  $X := \ell^3(\mathbb{N}_2)$  with the semi-inner product

$$[a,b] := \|b\|_X^{-1} \sum_{j \in \mathcal{J}} a_j \bar{b_j} |b_j|.$$

Let  $X_d$  be an arbitrary BK-space. For any  $f \in X$ , we have  $f^* = \frac{f|f|}{\|f\|_X}$ . Let  $F = \{f_1, f_2\} = \{(1, 1), (4, 1)\} \subseteq X$ . The facts that  $\overline{span}F^* = \overline{span}\{f_1^*, f_2^*\} = \overline{span}\{\frac{(1,1)}{(2)^{\frac{1}{3}}}, \frac{(16,1)}{(65)^{\frac{1}{3}}}\} = \ell^{\frac{3}{2}}(\mathbb{N}_2)$  and  $\overline{span}F = \ell^3(\mathbb{N}_2)$ , imply that F and  $F^*$  are  $X_d$  and  $X_d^*$ -frame for X and  $X^*$ , respectively. Now consider

$$G = \{ (-\frac{(2)^{\frac{1}{3}}}{15}, \frac{16(2)^{\frac{1}{3}}}{15}), (\frac{(65)^{\frac{1}{3}}}{15}, -\frac{(65)^{\frac{1}{3}}}{15}) \}$$

Then  $G^*$  is a dual of F and we can write  $f = \sum_{j \in \mathcal{J}} [f, f_j] g_j$ , for all  $f \in X$ . But  $f = \sum_{j \in \mathcal{J}} [f, g_j] f_j$  does not hold for all  $f \in X$ , for example, if we take  $f = (0, 2) \in X$  then

$$\sum_{j \in \mathcal{J}} [f, g_j] f_j = (-1 + (16)^3)^{\frac{-1}{3}} (\frac{32(2)^{\frac{1}{3}}}{15})(1, 1) \neq (0, 2).$$

Remark 2.2. If  $U_F: X \to X_d$ ,  $U_F(f) = \{[f, f_j]\}_{j \in \mathcal{J}}$  is the analysis operator of  $X_d$ -Bessel sequence  $\{f_j\}_{j \in \mathcal{J}} \subseteq X$  for X with the adjoint operator  $U_F^*: X_d^* \to X^*$ ,  $U_F^*(\{c_j\}_{j \in \mathcal{J}}) = \sum_{j \in \mathcal{J}} c_j f_j^*$  and  $U_{G^*}: X^* \to X_d^*$ ,  $U_{G^*}(g^*) := \{[g_j, g]\}_{j \in \mathcal{J}}$  is the analysis operator of  $X_d^*$ -Bessel sequence  $\{g_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  for  $X^*$  with the adjoint operator  $U_{G^*}^*: X_d \to X, U_{G^*}^*(\{d_j\}_{j \in \mathcal{J}}) = \sum_{j \in \mathcal{J}} d_j g_j$ , then the relation (1.1), (1.2) can be written as follows

$$f = U_{G^*}^* U_F(f)$$
,  $f \in X$ , *i.e.*  $I_X = U_{G^*}^* U_F$ 

and hence

 $f^* = U_F^* U_{G^*}(f^*), \quad f \in X, \ i.e. \ I_{X^*} = U_F^* U_{G^*}.$ 

Recall that  $A \in B(X)$  is called an adjoint abelian operator if there exists a duality map  $\Phi_X : X \to X^*$ , such that  $A^*\Phi_X = \Phi_X A$  (equivalently,  $(Ax)^* = A^*x^*$ , for all  $x \in X$  or [Ax, y] = [x, Ay], for all  $x, y \in X$ ). It is well-known that if A is bijective and adjoint abelian then  $A^{-1}$  is also adjoint abelian (see [29]).

For example, if X is a Hilbert space, then the adjoint abelian operators are precisely the self-adjoint ones [29], and every adjoint abelian operator on C(K), (K compact) or  $L^p(1 is a multiple of an isometry whose$ square is the identity [18]. As another example of adjoint abelian operator, if $X is the <math>\ell^p$  sum of a one dimensional and a two dimensional space, then

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & i \\ 0 & -i & 0 \end{bmatrix}$$

is adjoint abelian on that space [19].

The following lemma shows that adjoint abelian operators preserve  $X_d$ -Bessel sequences. For the ordinary frames, it has been shown that if  $\{f_j\}_{j \in \mathcal{J}}$ is a frame for Hilbert space  $\mathcal{H}$  and  $T \in B(\mathcal{H})$  then  $\{Tf_j\}_{j \in \mathcal{J}}$  is a frame for  $\mathcal{H}$ if and only if T is surjective. In the Banach setting we may have the following lemma.

LEMMA 2.3. Suppose that  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  is an  $X_d$ -Bessel sequence for X with the bound B and  $T \in B(X)$  is an adjoint abelian operator then

(i)  $TF = \{Tf_j\}_{j \in \mathcal{J}} \subseteq X$  is an  $X_d$ -Bessel sequence for X with the bound ||T||B.

(ii) Let F be an  $X_d$ -frame for X and  $T \in B(X)$  is an adjoint abelian operator then  $T^* \in B(X^*)$  is surjective if and only if TF is an  $X_d$ -frame for X.

*Proof.* (i) For any  $\{c_j\} \in X_d^*$ , by Proposition 1, one can see that

$$\|\sum_{j\in\mathcal{J}} c_j (Tf_j)^*\|_{X^*} = \|T^* \sum_{j\in\mathcal{J}} c_j f_j^*\|_{X^*} \le \|T^*\|\| \sum_{j\in\mathcal{J}} c_j f_j^*\|_{X^*}$$

$$\leq B \|T^*\| \|\{c_j\}\|_{X_d^*} = B \|T\| \|\{c_j\}\|_{X_d^*}$$

(ii) First, suppose that  $T \in B(X)$  is an adjoint abelian operator such that  $T^* \in B(X^*)$  is surjective. Let F be an  $X_d$ -frame for X, then  $U_F^*$  is bounded and surjective. On the other hand, the synthesis operator of TF is  $U_{TF}^*: X_d^* \to X^*$  which is of the following form

$$U_{TF}^{*}(\{c_{j}\}_{j\in\mathcal{J}}) = \sum_{j\in\mathcal{J}} c_{j}(Tf_{j})^{*} = \sum_{j\in\mathcal{J}} c_{j}T^{*}f_{j}^{*} = T^{*}\sum_{j\in\mathcal{J}} c_{j}f_{j}^{*} = T^{*}U_{F}^{*}(\{c_{j}\}_{j\in\mathcal{J}}).$$

Now, since  $T^*U_F^*$  is bounded and surjective hence, by Proposition 2, TF is an  $X_d$ -frame for X. For the inverse, let TF be an  $X_d$ -frame for X then  $U_{TF}^* = T^*U_F^*$  is bounded and surjective and thus  $T^*$  is surjective.  $\Box$ 

Now, the notions pseudo-dual and approximate dual of an  $X_d$ -Bessel sequence for X are introduced and their relations and properties are established.

Definition 2.4. Let  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  be an  $X_d$ -Bessel sequence for Xand  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  be an  $X_d^*$ -Bessel sequence for  $X^*$  then F and  $G^*$  are said to be

(i) approximately dual  $X_d$ -frames if

 $||I_X - U_{G^*}^* U_F||_X < 1 \text{ or } ||I_{X^*} - U_F^* U_{G^*}||_{X^*} < 1.$ 

(ii) pseudo-dual  $X_d$ -frames if  $U_{G^*}^* U_F$  or  $U_F^* U_{G^*}$  is bijection on X and  $X^*$ , respectively.

Note that, if  $F = \{f_j\}_{j \in \mathcal{J}}$  and  $G^* = \{g_j^*\}_{j \in \mathcal{J}}$  are approximately dual  $X_d$ -frames then trivially F and  $G^*$  are pseudo-dual  $X_d$ -frames.

Now, let F and  $G^*$  be approximately dual  $X_d$ -frames. In this case, under some condition on  $G = \{g_j\}_{j \in \mathcal{J}}$  we may construct a dual of F. In fact, since  $\|I_X - U_{G^*}^* U_F\|_X < 1$  then  $U_{G^*}^* U_F$  is invertible and for any  $f \in X$  we have  $f = (U_{G^*}^* U_F)^{-1} (U_{G^*}^* U_F) f = (U_{G^*}^* U_F)^{-1} \sum_{j \in \mathcal{J}} [f, f_j] g_j = \sum_{j \in \mathcal{J}} [f, f_j] (U_{G^*}^* U_F)^{-1} g_j.$ 

Put  $H = \{h_j\}_{j \in \mathcal{J}} = \{(U_{G^*}^* U_F)^{-1} g_j\}_{j \in \mathcal{J}}$  and let  $H^* = \{h_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  be an  $X_d^*$ -Bessel sequence for  $X^*$  and  $U_H^*$  and  $U_{H^*}^*$  are synthesis operators of Hand  $H^*$ , respectively, then we can write  $f = U_{H^*}^* U_F f$ ,  $f \in X$ , and this means that  $H^*$  is a dual of F.

PROPOSITION 2.5. Let  $F = \{f_j\}_{j \in \mathcal{J}}$  and  $G^* = \{g_j^*\}_{j \in \mathcal{J}}$  be  $X_d$  and  $X_d^*$ -Bessel sequences for X and  $X^*$ , respectively, then

(i) If  $G^*$  is a dual frame for F, then F and  $G^*$  are approximately  $X_d$ -dual frames.

(ii) If F and  $G^*$  are approximately dual  $X_d$ -frames, then F and  $G^*$  are pseudo-dual  $X_d$ -frames.

(iii) If F and  $G^*$  are pseudo-dual  $X_d$ -frames and  $T \in B(X)$  is bijection such that  $T^*$  is an adjoint abelian operator, then F and  $T^*G^* = \{T^*g_j^*\}_{j \in \mathcal{J}}$ are pseudo-dual  $X_d$ -frames.

(iv) If F and G<sup>\*</sup> are pseudo-dual  $X_d$ -frames and  $(U_{G^*}^*U_F)^{-1}$  is an adjoint abelian operator, then  $H^* = \{((U_{G^*}^*U_F)^{-1}g_j)^*\}_{j \in \mathcal{J}}$  is a dual of F.

*Proof.* The proofs of (i) and (ii) are trivial by definitions.

For the proof of (iii), by Lemma 1,  $T^*G^*$  is an  $X_d^*$ -Bessel sequence, thus the synthesis operator for  $T^*G^*$  is  $U_{T^*G^*}^* = TU_{G^*}^*$ , since

$$U_{T^*G^*}^*(\{c_j\}_{j\in\mathcal{J}}) = \sum_{j\in\mathcal{J}} c_j (T^*g_j^*)^* = \sum_{j\in\mathcal{J}} c_j Tg_j = T\sum_{j\in\mathcal{J}} c_j g_j = TU_{G^*}^*(\{c_j\}_{j\in\mathcal{J}}).$$

The assumptions that F and  $G^*$  are pseudo-dual frames and T is bijection imply that  $U^*_{T^*G^*}U_F = TU^*_{G^*}U_F$  is bijection and then F and  $T^*G^*$  are pseudodual  $X_d$ -frames.

For (iv), note that, if F and  $G^*$  are pseudo-dual  $X_d$ -frames then  $(U_{G^*}^* U_F)^{-1}$  exists and is bounded and hence  $H^*$  is an  $X_d^*$ -Bessel sequence. In this case we have:

$$\sum_{j \in \mathcal{J}} [f, f_j] (U_{G^*}^* U_F)^{-1} g_j = ((U_{G^*}^* U_F)^{-1} \sum_{j \in \mathcal{J}} [f, f_j] g_j = ((U_{G^*}^* U_F)^{-1} (U_{G^*}^* U_F) f) = f = f = 0$$

Therefore, F and  $H^*$  are dual frames.  $\Box$ 

Under some conditions on the  $X_d$ -frame operator  $S_F$ , we may construct a dual of the  $X_d$ -frame  $F = \{f_i\}_{i \in \mathcal{J}}$  as follows.

PROPOSITION 2.6. Let  $S_F := U_{F^*}^* U_F : X \to X$  be a bijective, bounded and adjoint abelian operator, then  $\{(S_F^{-1}f_j)^*\}_{j \in \mathcal{J}}$  is a dual of  $\{f_j\}_{j \in \mathcal{J}}$ .

*Proof.* Suppose that  $S_F$  is bijective, bounded and adjoint abelian operator, then by Theorem 1,  $\{f_j\}_{j\in\mathcal{J}}\subseteq X$  and  $\{f_j^*\}_{j\in\mathcal{J}}\subseteq X^*$  are  $X_d$ -frame and  $X_d^*$ -frame for X and  $X^*$ , respectively, and thus  $\{(S_F^{-1}f_j)^*\}_{j\in\mathcal{J}}$  is an  $X_d^*$ -Bessel sequence since  $S_F^{-1}$  is adjoint abelian operator and hence

$$f = \sum_{j \in \mathcal{J}} [f, f_j] S_F^{-1} f_j, \quad f \in X.$$

i.e.  $\{(S_F^{-1}f_j)^*\}_{j\in\mathcal{J}}$  is a dual frame of  $\{f_j\}_{j\in\mathcal{J}}$ .  $\Box$ 

THEOREM 2.7. Suppose that  $F = \{f_j\}_{j \in \mathcal{J}} \subseteq X$  and  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subseteq X^*$  are approximately dual  $X_d$ -frames then the following holds,

(i) If  $U_{G^*}^* U_F$  is an adjoint abelian operator then the dual frame  $H^* = \{((U_{G^*}^* U_F)^{-1} g_j)^*\}_{j \in \mathcal{J}}$  of F can be written as follows

$$((U_{G^*}^*U_F)^{-1}g_j)^* = g_j^* + (\sum_{j \in \mathcal{J}} (I_{X^*} - (U_{G^*}^*U_F)^*))^n g_j^*.$$

(ii) Let  $N \in \mathbb{N}$  be given, consider the corresponding partial sum,

$$\gamma_j^{(N)} = g_j + \sum_{n=1}^N (I_X - U_{G^*}^* U_F)^n g_j$$
$$= \sum_{n=0}^N (I_X - U_{G^*}^* U_F)^n g_j$$

and let  $\Gamma^* = \{(\gamma_j^{(N)})^*\}_{j \in \mathcal{J}}$  be a  $X_d^*$ -Bessel sequence then  $\Gamma^*$  is an approximate dual of F. Denoting its associated synthesis operator by  $U_{\Gamma^*}^*$  we have

$$||I_X - U^*_{\Gamma^*} U_F||_X \le ||I_X - U^*_{G^*} U_F||_X^{N+1} \to 0, \quad when N \to \infty.$$

*Proof.* (i) Since  $f = (U_{G^*}^* U_F)^{-1} (U_{G^*}^* U_F) f = (U_{G^*}^* U_F)^{-1} \sum_{j \in \mathcal{J}} [f, f_j] g_j = \sum_{j \in \mathcal{J}} [f, f_j] (U_{G^*}^* U_F)^{-1} g_j, f \in X$  then  $H^*$  is a dual of F and we have

$$((U_{G^*}^*U_F)^{-1})^* = (I_{X^*} - (I_{X^*} - (U_{G^*}^*U_F)^*))^{-1}$$
$$= \sum_{n=0}^{\infty} (I_{X^*} - (U_{G^*}^*U_F)^*)^n.$$

Now, by the fact that  $U_{G^*}^* U_F$  is an adjoint abelian operator we get

$$((U_{G^*}^* U_F)^{-1} g_j)^* = ((U_{G^*}^* U_F)^*)^{-1} g_j^*$$
  
=  $g_j^* + (\sum_{j \in \mathcal{J}} (I_{X^*} - (U_{G^*}^* U_F)^*)^n g_j^*.$ 

(ii) Note that

$$U_{\Gamma^*}^* U_F f = \sum_{j \in \mathcal{J}} [f, f_j] \gamma_j^{(N)}$$
  
=  $\sum_{j \in \mathcal{J}} [f, f_j] (\sum_{n=0}^N (I_X - U_{G^*}^* U_F)^n g_j)$   
=  $\sum_{n=0}^N (I_X - U_{G^*}^* U_F)^n \sum_{j \in \mathcal{J}} [f, f_j] g_j$   
=  $\sum_{n=0}^N (I_X - U_{G^*}^* U_F)^n U_{G^*}^* U_F f$   
=  $\sum_{n=0}^N (I_X - U_{G^*}^* U_F)^n (I_X - (I_X - U_{G^*}^* U_F)) f$ 

$$= \sum_{n=0}^{N} (I_X - U_{G^*}^* U_F)^n f - (I_X - U_{G^*}^* U_F)^{n+1} f$$
  
=  $f - (I_X - U_{G^*}^* U_F)^{N+1} f$ 

and hence

$$||I_X - U_{\Gamma^*}^* U_F||_X = ||(I_X - U_{G^*}^* U_F)^{N+1}||_X \le ||I_X - U_{G^*}^* U_F||_X^{N+1} < 1.$$

In the next proposition, we prove a stability result for having an approximate dual.

PROPOSITION 2.8. Suppose that  $F = \{f_j\}_{j \in \mathcal{J}}$  is an  $X_d$ -Bessel sequence in X and  $H = \{h_j\}_{j \in \mathcal{J}}$  is an  $X_d$ -Bessel sequence for which

$$\|\{[f, h_j]\}_{j \in \mathcal{J}} - \{[f, f_j]\}_{j \in \mathcal{J}}\|_{X_d} \le R \|f\|_X, \quad f \in X$$

for some R > 0. Consider a dual  $X_d$ -frame  $G^* = \{g_j^*\}_{j \in \mathcal{J}}$  of H with the synthesis operator  $U_{G^*}$  and assume that  $G^*$  has upper frame bound C. If CR < 1, then F and  $G^*$  are approximately dual  $X_d$ -frames, with

$$||I_X - U_{G^*}^* U_F||_X < 1.$$

*Proof.* From the fact that  $G^* = \{g_j^*\}_{j \in \mathcal{J}}$  is a dual for  $H = \{h_j\}_{j \in \mathcal{J}}$  hence  $U_{G^*}^* U_H = I_X$  and therefore

 $\|I_X - U_{G^*}^* U_F\|_X = \|U_{G^*}^* U_H - U_{G^*}^* U_F\| = \|U_{G^*}^* (U_H - U_F)\| \le \|U_{G^*}\| \|U_H - U_F\| \le CR < 1.$ 

## 3. G-DUAL AND APPROXIMATELY G-DUAL

The concept of g-dual frames introduced for ordinary frame in [10]. In this section, we are going to express this notion for an  $X_d$ - Bessel sequence in Banach space via s.i.p. Also, we present some relations between g-dual and approximate dual. Finally, we define the concept of  $\epsilon$ -nearly g-dual frame for an  $X_d$ - Bessel sequence.

Definition 3.1. Let  $F = \{f_j\}_{j \in \mathcal{J}} \subset X_d$  be an  $X_d$ - Bessel sequence for X. An  $X_d^*$ - Bessel sequence  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subset X_d^*$  is called a generalized dual  $X_d$ -frame (or g-dual  $X_d$ -frame) for F for  $X^*$  if there exists an invertible operator  $A \in B(X)$  such that

$$f = \sum_{j \in \mathcal{J}} [Af, f_j] g_j , \quad f \in X.$$

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*Example.* Let  $X := \ell^3(\mathbb{N}_2)$  be endowed with its standard s.i.p and  $F = \{f_1, f_2\} = \{(1, 1), (1, 4)\} \subseteq X$ . Also, assume that A is defined by A(a, b) = (2b, a). Then for

$$G = \{ \left(-\frac{(2)^{\frac{1}{3}}}{15}, \frac{8(2)^{\frac{1}{3}}}{15}\right), \left(\frac{(65)^{\frac{1}{3}}}{15}, -\frac{(65)^{\frac{1}{3}}}{30}\right) \}$$

one can see that  $G^*$  is a g-dual of F with respect to the operator A.

Clearly, if  $A = I_X$ , then  $G^*$  is a dual  $X_d$ -frame for F. Also, by Theorem 1, when  $S_F$  is bijective and bounded, we have

$$f = \sum_{j \in \mathcal{J}} [f, f_j] S_F^{-1} f_j = \sum_{j \in \mathcal{J}} [S_F^{-1} f, f_j] f_j , \quad f \in X.$$

Therefore, any frame is a g-dual  $X_d$ -frame for itself. Also, if  $U_F$  and  $U_{G^*}$  are synthesis operators of F and  $G^*$ , respectively, then the equality  $f = \sum_{j \in \mathcal{J}} [Af, f_j] g_j$  means that  $f = U_{G^*}^* U_F A f$  and we can write  $I_X = U_{G^*}^* U_F A$  i.e.  $A^{-1} = U_{G^*}^* U_F$  and thus

$$A^{-1}f = \sum_{j \in \mathcal{J}} [f, f_j]g_j, \ f \in X$$

Remark 3.2. Note that, if  $G^*$  is a g-dual of F with respect to A, then  $F^*$  is not necessarily the dual of G with respect to A. For example, assume that  $X := \ell^3(\mathbb{N}_2)$  with the semi-inner product as in Example 1, and  $X_d$ -frame  $F = \{f_1, f_2\} = \{(1, 1), (1, 4)\} \subseteq X$ . Consider  $G^* \subseteq \ell^{\frac{3}{2}}(\mathbb{N}_2)$  by  $G = \{g_1, g_2\} = \{(\frac{16(2)^{\frac{1}{3}}}{5}, -\frac{(2)^{\frac{1}{3}}}{5}), (-\frac{(65)^{\frac{1}{3}}}{5}, \frac{(65)^{\frac{1}{3}}}{5})\}$ . One can see that  $G^*$  is a g-dual of F with corresponding operator  $Af = \frac{1}{3}f$ , but we can not write  $f = \sum_{j \in \mathcal{J}} [Af, g_j]f_j$  for all f. Indeed, for  $f = (0, 1) \in \ell^3(\mathbb{N}_2)$  we have

$$\sum_{j \in \mathcal{J}} [Af, g_j] f_j = -\frac{1}{15} ((2)^{12} - 1)(2)^{\frac{1}{3}} (1, 1) \neq (0, 1).$$

The relation between g-dual and approximate dual is stated in the following proposition.

PROPOSITION 3.3. Suppose that F and  $G^*$  are  $X_d$  and  $X_d^*$ -Bessel sequences for X and  $X^*$ , respectively. Then F and  $G^*$  are approximately dual  $X_d$ -frames if and only if  $G^*$  is a g-dual of F with respect to some  $A \in B(X)$  with  $||I_X - A^{-1}||_X < 1$ .

*Proof.* Firstly, assume that F and  $G^*$  are approximately dual  $X_d$ -frames. Then  $U^*_{G^*}U_F$  is invertible and putting  $A^{-1} = U^*_{G^*}U_F$ . One can write

$$f = (U_{G^*}^* U_F) (U_{G^*}^* U_F)^{-1} f = \sum_{j \in \mathcal{J}} [(U_{G^*}^* U_F)^{-1} f, f_j] g_j, \quad f \in X$$

i.e.  $G^*$  is a g-dual of F with respect to the operator A. The proof of the inverse is trivial.  $\Box$ 

Remark 3.4. (i) Recall that, if X, Y and Z are Banach spaces then we say  $T \in B(X, Y)$  majorizes  $Q \in B(X, Z)$  if there exists  $\lambda > 0$  such that  $||Qf|| \leq \lambda ||Tf||$  for all  $f \in X$  (for more details see [2]).

(*ii*) As we know, if  $A \in B(X)$  and  $sp(A) \cap (-\infty, 0) = \emptyset$  then A has a unique square root which is denoted by  $A^{\frac{1}{2}}$  (see [20, 26]).

Now, we state a sufficient and necessary condition for two  $X_d$  and  $X_d^*$ -Bessel sequences for X and  $X^*$ , respectively, such that they are g-dual frames. In Hilbert spaces, there is a similar expression for classical frame that is stated in [13].

THEOREM 3.5. Let F and  $G^*$  be  $X_d$  and  $X_d^*$ -Bessel sequences for X and  $X^*$ , respectively,  $sp(S_F) \cap (-\infty, 0) = \emptyset$ ,  $S_F^{\frac{1}{2}}$  is an adjoint abelian operator and  $\{[f, f_j]\}_{j \in \mathcal{J}} = \{[f_j, f]\}_{j \in \mathcal{J}}^*$ . Then  $G^*$  is a g-dual of F with respect to an invertible operator  $A \in B(X)$  if and only if  $U_{G^*}^* U_F$  is invertible and there exists an operator  $Q \in B(X)$  such that  $U_{G^*}^* U_F = QS_F^{\frac{1}{2}}$ .

*Proof.* First, if  $G^*$  is a g-dual of F with respect to an invertible operator  $A \in B(X)$ , then  $f = \sum_{j \in \mathcal{J}} [Af, f_j] g_j = U^*_{G^*} U_F A f$ ,  $f \in X$ .

Also, note that

$$[S_F A f, A f] = \| \{ [A f, f_j] \}_{j \in \mathcal{J}} \|_{X_d}^2.$$

indeed

$$[S_F A f, A f] = [U_{F^*}^* U_F A f, A f]$$
  
=  $(A f)^* (U_{F^*}^* U_F A f)$   
=  $U_{F^*} (A f)^* (U_F A f)$   
=  $[U_F A f, (U_{F^*} (A f)^*)^*]$   
=  $[\{[A f, f_j]\}_{j \in \mathcal{J}}, \{[f_j, A f]\}_{j \in \mathcal{J}}^*]$   
=  $[\{[A f, f_j]\}_{j \in \mathcal{J}}, \{[A f, f_j]\}_{j \in \mathcal{J}}]$   
=  $\|\{[A f, f_j]\}_{j \in \mathcal{J}}\|_{X_d}^2.$ 

Now  $G^*$  is an  $X^*_d$ -Bessel sequence so for some  $D \ge 0$ 

$$\begin{aligned} \|U_{G^*}^* U_F A f\|_X &= \sup_{\substack{\|g^*\|=1, g \in X}} g^* (U_{G^*}^* U_F A f) \\ &= \sup_{\substack{\|g\|=1}} [U_{G^*}^* U_F A f, g] \\ &= \sup_{\|g\|=1} [\sum_{j \in \mathcal{J}} [A f, f_j] g_j, g] \end{aligned}$$

$$= \sup_{\|g\|=1} \sum_{j \in \mathcal{J}} [Af, f_j] [g_j, g]$$

$$\leq \sup_{\|g\|=1} \|\{[Af, f_j]\}_{j \in \mathcal{J}} \|_{X_d} \|\{[g_j, g]\}_{j \in \mathcal{J}} \|_{X_d^*}$$

$$\leq \sup_{\|g\|=1} \|\{[Af, f_j]\}_{j \in \mathcal{J}} \|_{X_d} D \|g\|_X$$

$$= D \|\{[Af, f_j]\}_{j \in \mathcal{J}} \|_{X_d}$$

$$= D [S_F A f, A f]^{\frac{1}{2}}$$

$$= D [S_F^{\frac{1}{2}} S_F^{\frac{1}{2}} A f, A f]^{\frac{1}{2}}$$

$$= D [S_F^{\frac{1}{2}} A f, S_F^{\frac{1}{2}} A f]^{\frac{1}{2}}$$

$$= D [S_F^{\frac{1}{2}} A f, S_F^{\frac{1}{2}} A f]^{\frac{1}{2}}$$

i.e.

$$||U_{G^*}^* U_F A f||_X \le D ||S_F^{\frac{1}{2}} A f||_X$$

and thus  $S_F^{\frac{1}{2}}A$  majorizes  $U_{G^*}^*U_FA$ . By Proposition 3 [2], there exists the operator  $Q \in B(X)$  such that  $U_{G^*}^*U_FA = QS_F^{\frac{1}{2}}A$  and by invertibility of A, we have  $U_{G^*}^*U_F = QS_F^{\frac{1}{2}}$ . The opposite implication holds by definition.  $\Box$ 

By adding a condition to assumptions of Theorem 4, we obtained the following result.

COROLLARY 3.6. Let F and  $G^*$  be  $X_d$  and  $X_d^*$ -Bessel sequences for X and  $X^*$ , respectively,  $sp(S_F) \cap (-\infty, 0) = \emptyset$ ,  $S_F^{\frac{1}{2}}$  is an adjoint abelian operators and  $\{[f, f_j]\}_{j \in \mathcal{J}}^* = \{[f_j, f]\}_{j \in \mathcal{J}}$ . Then F and  $G^*$  are approximately dual  $X_d$ -frames if and only if there exists an operator  $Q \in B(X)$  such that  $U_{G^*}^* U_F = QS_F^{\frac{1}{2}}$  and  $\|I_X - QS_F^{\frac{1}{2}}\|_X < 1$ .

Finally, we state the concept of an  $\epsilon$ -nearly g-dual frame in Banach space (see [24]).

Definition 3.7. Suppose that X is a Banach space and let  $F = \{f_j\}_{j \in \mathcal{J}} \subset X$  be an  $X_d$ -Bessel sequence for X, also let  $0 < \epsilon < 1$ . An  $X_d^*$ -Bessel sequence  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subset X^*$  is called an  $\epsilon$ -nearly g-dual frame for F if there exists an invertible operator  $A \in B(X)$  such that

$$||f - \sum_{j \in \mathcal{J}} [Af, f_j] g_j ||_X < \epsilon ||f||_X, \quad f \in X.$$

Clearly by definition, all g-dual frames and ordinary dual frames of any  $X_d$ -frame are  $\epsilon$ -nearly g-dual frame. Also, if  $G^* = \{g_j^*\}_{j \in \mathcal{J}}$  is an  $\epsilon$ -nearly g-dual frame of  $F = \{f_j\}_{j \in \mathcal{J}}$  then it is not necessary that  $F^*$  is an  $\epsilon$ -nearly g-dual frame of G.

PROPOSITION 3.8. Let  $F = \{f_j\}_{j \in \mathcal{J}} \subset X$  and  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subset X^*$  be  $X_d$ and  $X_d^*$ -Bessel sequence for X and  $X^*$ , respectively. Then  $G^*$  is an  $\epsilon$ -nearly g-dual frame of F if and only if  $G^*$  is a g-dual frame of F.

*Proof.* The necessary part is obvious. For the converse, let  $G^*$  be an  $\epsilon$ nearly g-dual frame of F. Thus we have  $||I_X - U^*_{G^*}U_FA||_X < \epsilon < 1$ , hence  $U^*_{G^*}U_FA$  is an invertible operator and we can write

$$f = (U_{G^*}^* U_F A) (U_{G^*}^* U_F A)^{-1} f = \sum_{j \in \mathcal{J}} [A(U_{G^*}^* U_F A)^{-1} f, f_j] g_j, \quad f \in X.$$

So,  $G^*$  is a g-dual frame of F.  $\Box$ 

THEOREM 3.9. Let  $F = \{f_j\}_{j \in \mathcal{J}} \subset X$  be a  $X_d$ -Bessel sequence for X,  $G^* = \{g_j^*\}_{j \in \mathcal{J}} \subset X^*$  and  $H^* = \{h_j^*\}_{j \in \mathcal{J}} \subset X^*$  be  $X_d^*$ -Bessel sequences for  $X^*$ . If  $G^*$  is a g-dual frame of F with respect to an invertible operator A, then  $H^*$ is a g-dual frame of F with respect to A if and only if

$$Rang(U_{G^*} - U_{H^*}) \subset Ker(U_F^*).$$

*Proof.* First, if  $H^*$  is a g-dual of F, then there exists an invertible operator  $A \in B(X)$  such that

$$f = \sum_{j \in \mathcal{J}} [Af, f_j] h_j = U_{H^*}^* U_F A f, \quad f \in X.$$

Hence  $A^{-1} = U_{H^*}^* U_F$ , since by assumption, we have  $A^{-1} = U_{G^*}^* U_F$ , thus  $U_{H^*}^* U_F = U_{G^*}^* U_F$ , and therefore  $Rang(U_{G^*} - U_{H^*}) \subset Ker(U_F^*)$ .

For the inverse, assume that  $Rang(U_{G^*} - U_{H^*}) \subset Ker(U_F^*)$  holds, then  $U_{H^*}^*U_F = U_{G^*}^*U_F$  and thus we have  $A^{-1} = U_{H^*}^*U_F = U_{G^*}^*U_F$ , now we can write

$$f = A^{-1}Af = U_{H^*}^* U_F Af = \sum_{j \in \mathcal{J}} [Af, h_j] f_j, \ f \in X.$$

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