

# GEOMETRIC ESTIMATES OF THE FIRST EIGENVALUE OF A QUASILINEAR OPERATOR

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We study the nonlinear eigenvalue problem for quasilinear operator  $Lu = -\Delta_p u + V|u|^{p-2}u$  and we give some geometric estimates of the first eigenvalue of the operator  $L$  on closed Riemannian manifold with some geometric conditions as integral curvature condition.

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## 1. INTRODUCTION

In this paper we investigate the principal eigenvalue of quasilinear operator

$$Lu = -\Delta_p u + V|u|^{p-2}u$$

on closed Riemannian manifold  $(M^n, g)$ , where  $V$  is a nonnegative smooth function on  $M$ . On a compact Riemannian manifold  $(M^n, g)$ , for  $p \in (1, +\infty)$  the  $p$ -Laplace operator is defined as

$$\Delta_p u = \operatorname{div}(|\nabla u|^{p-2} \nabla u),$$

and in local coordinate, it is written as

$$\Delta_p u = -\frac{1}{\sqrt{\det g}} \sum_{i,j} \frac{\partial}{\partial x^i} \left( \sqrt{\det g} g^{ij} |\nabla u|^{p-2} \frac{\partial u}{\partial x^j} \right).$$

The  $p$ -Laplacian is a second order quasilinear elliptic operator and when  $p = 2$ ,  $\Delta_p$  reduces to the usual Laplace-Beltrami operator. Corresponding to the operator  $L$ , we have two eigenvalue equations

$$(1.1) \quad (\text{Dirichlet}) \quad \begin{cases} Lu = \bar{\lambda}|u|^{p-2}u & \text{on } M, \\ u = 0 & \text{on } \partial M, \end{cases}$$

$$(1.2) \quad (\text{Neumann}) \quad \begin{cases} Lu = \bar{\lambda}|u|^{p-2}u & \text{on } M, \\ \frac{\partial u}{\partial \nu} = 0 & \text{on } \partial M, \end{cases}$$

where  $\nu$  is the outward normal on  $\partial M$ . The least eigenvalue of (1.1) and (1.2) on compact manifold are zero with the corresponding eigenfunctions being constants. Hence the first nontrivial Dirichlet eigenvalue (1.1) for  $M$  is defined by

$$(1.3) \quad \lambda_{1,p}(M) = \inf_{0 \neq u \in W_0^{1,p}(M)} \frac{\int_M (|\nabla u|^p + V|u|^p) d\mu}{\int_M |u|^p d\mu},$$

and the first Neumann eigenvalue is given by

$$(1.4) \quad \Lambda_{1,p}(M) = \inf_{0 \neq u \in W^{1,p}(M)} \left\{ \frac{\int_M (|\nabla u|^p + V|u|^p) d\mu}{\int_M |u|^p d\mu} \mid \int_M |u|^{p-2} u d\mu = 0 \right\},$$

where  $d\mu$  is the Riemannian volume element induced by  $g$  and the function  $u$  is then called the eigenfunction of operator  $L$  corresponding to  $\lambda$  (or  $\Lambda$ ) on  $M$ . Obviously, the infimum does not change when we replace  $W_0^{1,p}(M)$  by  $C_0^\infty(M)$ .

For each point  $x \in M^n$ , we denote the smallest eigenvalue for the Ricci tensor  $Ric : T_x M \rightarrow T_x M$  by  $\rho(x)$ . Let

$$Ric_-^K(x) = ((n-1)K - \rho(x))_+ = \max\{0, (n-1)K - \rho(x)\},$$

$$\|Ric_-^K\|_{q,R} = \sup_{x \in M} \left( \int_{B(x,R)} (Ric_-^K)^q d\mu \right)^{\frac{1}{q}}.$$

Then  $\|Ric_-^K\|_{q,R} = 0$  iff  $Ric \geq (n-1)K$ . The normalized  $q$ -norm of  $u$  on the domain  $\Omega$  we denote by

$$\|u\|_{q,\Omega}^* = \left( \frac{1}{Vol(\Omega)} \int_\Omega |u|^q d\mu \right)^{\frac{1}{q}}.$$

The first eigenvalue of the geometric operator as Laplace-Beltrami and  $p$ -Laplacian associated to a Riemannian metric  $g$  on a compact Riemannian manifold  $M^n$  has been extensively studied in mathematical literature. For instance, Matei [13] generalized Cheng's first Dirichlet eigenvalue comparison of balls for Laplacian [7] to the  $p$ -Laplacian and found a sharp lower bound for the first nontrivial eigenvalue of  $p$ -Laplacian on closed Riemannian manifold with the Ricci curvature bounded below by  $(n-1)K$ ,  $K > 0$ . Then Valtorta [21] and Naber-Valtorta [14] give this result for  $K = 0$  and  $K \in \mathbb{R}$ , respectively. L. F. Wang [22] studied the geometric estimate for the first eigenvalue of weighted  $p$ -Laplacian on closed Riemannian manifold when the Bakry-Emery curvature has a positive lower bound and found a lower bound for the first eigenvalue. Abolarinwa [1] obtained classical estimates of Faber-Krahn inequality and Cheeger-type inequality for the first eigenvalue of  $p$ -Laplacian on compact manifold with zero boundary condition. Seto and Wei [19] give various estimates of the first eigenvalue of the  $p$ -Laplace operator on closed Riemannian

manifold with integral curvature conditions. There are other some results on the first eigenvalue of  $p$ -Laplacian, see for instance [10, 12, 11, 20, 24].

In this paper, we extended the first eigenvalue estimate for  $p$ -Laplacian given in [1, 19, 13] to the operator  $L$ . The eigenvalue and generalized eigenvalue of operator  $L$  studied in [15, 16] on  $\mathbb{R}^n$ . Under the assumption that the integral Ricci curvature is sufficiently small, we will prove the following first eigenvalue estimates:

**THEOREM 1.1** (Cheng-Type Estimate). *Let  $(M^n, g)$  be a complete Riemannian manifold and  $V$  is a radial function. Let  $\mathbb{M}_K^n$  be the complete simply connected space of constant curvature  $K$ ,  $B_K(r) \subset \mathbb{M}_K^n$  is the ball of radius  $r$ . For any  $x_0 \in M$ ,  $K \in \mathbb{R}$ ,  $r > 0$ ,  $p > 1$  and  $q > \frac{n}{2}$  there exists an  $\epsilon = \epsilon(n, p, q, K, r)$  such that if  $\partial B(x_0, r) \neq \emptyset$  and  $\|Ric_-^K\|_{\bar{q}, B(x_0, r)}^* < \epsilon$  then*

$$(1.5) \quad \lambda_{1,p}(B(x_0, r)) \leq p\lambda_{1,p}(B_K(r)) + C(n, p, q, K, r) \left( \|Ric_-^K\|_{\bar{q}, B(x_0, r)}^* \right)^{\frac{p}{2}},$$

where  $\bar{q} = \max\{q, \frac{p}{2}\}$  and  $\lambda_{1,p}(B_K(r))$  is the first Dirichlet eigenvalue of the operator  $L$  in the model space  $\mathbb{M}_K^n$ .

**THEOREM 1.2** (Lichnerowicz-Type Estimate). *Let  $(M^n, g)$  be a complete Riemannian manifold. For  $q > \frac{n}{2}$ ,  $p > 2$  and  $K > 0$ , there exists  $\epsilon = \epsilon(n, p, q, K)$  such that if  $\|Ric_-^K\|_{\bar{q}, B(x_0, r)}^* < \epsilon$  then*

$$(1.6) \quad \begin{aligned} (p-1)(\Lambda_{1,p}(M))^{\frac{2}{p}} + K_2(\Lambda_{1,p}(M))^{\frac{1}{p}-1} \\ \geq \frac{n + \sqrt{n}(p-2)}{n-1 + \sqrt{n}(p-2)} [(n-1)K - 2\|Ric_-^K\|_{\bar{q}}^*] \end{aligned}$$

where  $K_2 := \max_M |\nabla V|$ . In particular, when  $Ric \geq (n-1)K$ , we get

$$(1.7) \quad (p-1)(\Lambda_{1,p}(M))^{\frac{2}{p}} + K_2(\Lambda_{1,p}(M))^{\frac{1}{p}-1} \geq (n-1)K.$$

When  $V$  is constant function the estimate (1.6) implies the theorem 1.2 of [19] for  $p > 2$ . We recall Faber-Krahn inequality that it asserts that in  $\mathbb{R}^n$  balls minimize the first eigenvalue of the Dirichlet eigenvalue problem of Laplace-Beltrami among sets with given volume. In following we give Faber-Krahn-type estimate for operator  $L$ .

**THEOREM 1.3** (Faber-Krahn-Type Estimate). *Let  $M^n$  be a compact Riemannian manifold and there exists a positive constant  $K$  such that  $Ric \geq (n-1)K$ . Let  $\Omega \subset M$  be domain and  $B_K \subseteq S_K^n$  be a geodesic ball in the Euclidean  $n$ -dimensional sphere with radius  $\frac{1}{K^2}$  such that  $\frac{Vol(\Omega)}{Vol(M)} = \frac{Vol(B_K)}{Vol(S_K^n)}$ .*

Then for  $p > 1$ ,  $\lambda_{1,p}(\Omega) \geq \lambda_{1,p}(B_k)$ . Equality holds if and only if there exists an isometry from  $M$  to  $S_K^n$  which sends  $\Omega$  to  $B_K$ .

**THEOREM 1.4** (Lichnerowicz-Obata-Type Estimate). *Let  $M^n$  be a compact Riemannian manifold. Suppose there exists a positive constant  $K$  such that  $\text{Ric} \geq (n-1)K$ . Then for any  $p > 1$ ,  $\Lambda_{1,p}(M) \geq \Lambda_{1,p}(S_K^n)$ . Equality holds if and only if  $M$  is isometric  $S_K^n$ .*

To state the next result we recall the Cheeger's isoperimetric constant  $\mathcal{C}(M)$  [6] which is defined as

$$\mathcal{C}(M) = \inf_N \frac{\text{Vol}(\partial N)}{\text{Vol}(N)}, \quad N \subset\subset M,$$

where  $N$  is a manifold with compact closure in  $M$  and  $\partial N$  is assumed to be smooth.

**THEOREM 1.5** (Cheeger-Type Estimate). *Let  $N$  be a compact manifold with smooth boundary in a complete Riemannian manifold  $M$ . Then we have the following estimate*

$$(1.8) \quad \lambda_{1,p}(N) \geq \left( \frac{1}{p} \mathcal{C}(N) \right)^p.$$

As an application of Cheeger type estimate we will obtain the following Mckean type estimate.

**THEOREM 1.6** (Mckean-Type Estimate). *Let  $M$  an  $n$  dimensional complete simply connected Riemannian manifold of negative constant sectional curvature  $-K$ . Let  $B_R(x)$  be a geodesic ball of radius  $R$  in  $M$ . Then*

$$\lim_{R \rightarrow \infty} \lambda_{1,p}(B_R(x)) = \lambda_{1,p}(M) \geq \frac{1}{p^p} ((n-1)\sqrt{-K})^p.$$

## 2. PROOF OF RESULTS

Suppose that  $(M^n, g)$  is a complete Riemannian manifold. For a given point  $x_0 \in M$ , let  $r(x) = d(x_0, x)$  be distance function and  $\psi(x) = (\Delta r - \bar{\Delta}^K r)_+$  where  $\bar{\Delta}^K r$  is the Laplace operator on the model space  $\mathbb{M}_K^n$ . For the operator  $L$  of radial function, we have the following comparison.

**PROPOSITION 2.1.** *If  $u$  is a radial function such that  $u' = \frac{du}{dr} \leq 0$ , then*

$$(2.1) \quad Lu \leq \bar{L}u - \psi u' |u'|^{p-2},$$

where  $\bar{L}u = \bar{\Delta}_p u + V|u|^{p-2}u$ .

*Proof.* From the definition of the  $p$ -Laplacian we have

$$\Delta_p u = (p-2)|\nabla u|^{p-4} \text{Hess } u(\nabla u, \nabla u) + |\nabla u|^{p-2} \Delta u.$$

Since  $u = u(r)$  is a radial function we get

$$\begin{aligned} Lu &= -\Delta_p u + V|u|^{p-2}u \\ &= -(p-2)u'|u|^{p-2}u'' - |u'|^{p-2}(u'' + u'\Delta r) + V|u|^{p-2}u \\ &= \bar{L}u - (\Delta r - \bar{\Delta}^K r)u'|u|^{p-2}, \end{aligned}$$

when  $u' \leq 0$ , we have  $(\Delta r - \bar{\Delta}^K r)u'|u|^{p-2} \geq \psi u'|u|^{p-2}$  which completes the proof.  $\square$

Now we prove theorem 1.1.

*Proof of Theorem 1.1.* Let  $\bar{u}$  be a first eigenfunction for the Dirichlet problem for the operator  $L$  on  $B_K(r)$  with  $\bar{u}(0) = 1$ . Hence  $0 \leq \bar{u} \leq 1$ . Let  $r = r(x) = \text{dist}(x_0, x)$  and  $B = B(x_0, r)$ . Therefore  $\bar{u}(r) \in W_0^{1,p}(B)$ . Let

$$Q = \frac{\int_B (|\nabla \bar{u}|^p + V|\bar{u}|^p) d\mu}{\int_B |\nabla \bar{u}|^p d\mu}.$$

By the definition of the first eigenvalue of (1.4) we have  $\lambda_{1,p}(B) \leq Q$ . Using integration by parts and proposition 2.1, imply

$$\begin{aligned} Q &= \frac{\int_B \bar{u} L \bar{u} d\mu}{\int_B |\bar{u}|^p d\mu} \leq \frac{\int_B (\bar{u} \bar{L} \bar{u} - \psi \bar{u}' |\bar{u}'|^{p-2} \bar{u}) d\mu}{\int_B |\bar{u}|^p d\mu} \\ (2.2) \quad &= \lambda(B_K(r)) - \frac{\int_B \psi \bar{u}' |\bar{u}'|^{p-2} \bar{u} d\mu}{\int_B |\bar{u}|^p d\mu} \leq \lambda_{1,p}(B_K(r)) + \frac{\int_B \psi |\bar{u}'|^{p-1} d\mu}{\int_B |\bar{u}|^p d\mu}. \end{aligned}$$

Hölder inequality implies

$$\int_B \psi |\bar{u}'|^{p-1} d\mu \leq \left( \int_B \psi^p d\mu \right)^{\frac{1}{p}} \left( \int_B |\bar{u}'|^p d\mu \right)^{1-\frac{1}{p}}.$$

If  $r_0 \in (0, r)$  such that  $\bar{u}(r_0) = \frac{1}{2}$  then the decreasing of  $\bar{u}$  yields  $\bar{u} \geq \frac{1}{2}$  on  $[0, r_0]$ . Hence

$$\begin{aligned} \int_B |\bar{u}|^p d\mu &\geq \left( \int_B |\bar{u}|^p d\mu \right)^{1-\frac{1}{p}} \left( \int_{B(x_0, r_0)} |\bar{u}|^p d\mu \right)^{\frac{1}{p}} \\ &\geq \left( \int_B |\bar{u}|^p d\mu \right)^{1-\frac{1}{p}} (\text{Vol}(B(x_0, r_0)) 2^{-p})^{\frac{1}{p}}. \end{aligned}$$

Thus

$$\frac{\int_B \psi |\bar{u}'|^{p-1} d\mu}{\int_B |\bar{u}|^p d\mu} \leq 2 \left( \frac{\int_B \psi^p d\mu}{\text{Vol}(B(x_0, r))} \right)^{\frac{1}{p}} \left( \frac{\int_B |\bar{u}'|^p d\mu}{\int_B |\bar{u}|^p d\mu} \right)^{1-\frac{1}{p}}$$

$$\begin{aligned}
&= 2 \left( \frac{\int_B \psi^p d\mu}{\int_B d\mu} \right)^{\frac{1}{p}} \left( \frac{\text{Vol}(B(x_0, r))}{\text{Vol}(B(x_0, r_0))} \right)^{\frac{1}{p}} \left( \frac{\int_B (|\bar{u}'|^p + V|\bar{u}|^p) d\mu}{\int_B |\bar{u}|^p d\mu} \right)^{1-\frac{1}{p}} \\
&= 2Q^{1-\frac{1}{p}} \left( \int_B \psi^p d\mu \right)^{\frac{1}{p}} \left( \frac{\text{Vol}(B(x_0, r))}{\text{Vol}(B(x_0, r_0))} \right)^{\frac{1}{p}} \\
&\leq 2Q^{1-\frac{1}{p}} \|\psi\|_{\bar{q}, B(x_0, r)}^* \left( \frac{\text{Vol}(B(x_0, r))}{\text{Vol}(B(x_0, r_0))} \right)^{\frac{1}{p}}.
\end{aligned}$$

On the other hand from [17, 18], we have

$$\|\psi\|_{\bar{q}, B(x_0, r)}^* \leq C(n, \bar{q}) \left( \|\text{Ric}_-^K\|_{\bar{q}, B(x_0, r)}^* \right)^{\frac{1}{2}},$$

hence

$$\frac{\int_B \psi |\bar{u}'|^{p-1} d\mu}{\int_B |\bar{u}|^p d\mu} \leq C(n, p, \bar{q}, K, r) \left( \|\text{Ric}_-^K\|_{\bar{q}, B(x_0, r)}^* \right)^{\frac{1}{2}} Q^{1-\frac{1}{p}},$$

and

$$Q \leq \lambda_{1,p}(B_K(r)) + C(n, p, q, K, r) \left( \|\text{Ric}_-^K\|_{\bar{q}, B(x_0, r)}^* \right)^{\frac{1}{2}} Q^{1-\frac{1}{p}}.$$

Using Young's inequality we get

$$Q \leq \lambda_{1,p}(B_K(r)) + \frac{1}{p} C(n, p, q, K, r) \left( \|\text{Ric}_-^K\|_{\bar{q}, B(x_0, r)}^* \right)^{\frac{p}{2}} + \frac{p-1}{p} Q.$$

it gives (1.5).  $\square$

*Proof of Theorem 1.2.* Aubry's diameter estimate [2] implies  $M$  is closed. The  $p$ -Bochner formula as follows

$$\begin{aligned}
(2.3) \quad \frac{1}{p} \Delta(|\nabla u|^p) &= |\nabla u|^{p-2} \{ (p-2) |\nabla |\nabla u||^2 + |\text{Hess}u|^2 \\
&\quad + \langle \nabla u, \nabla \Delta u \rangle + \text{Ric}(\nabla u, \nabla u) \}.
\end{aligned}$$

Integrating of (2.3) on  $M$  we have

$$\begin{aligned}
(2.4) \quad 0 &= \int_M |\nabla u|^{p-2} \{ (p-2) |\nabla |\nabla u||^2 + |\text{Hess}u|^2 \\
&\quad + \langle \nabla u, \nabla \Delta u \rangle + \text{Ric}(\nabla u, \nabla u) \} d\mu.
\end{aligned}$$

For the Hessian term we have

$$\begin{aligned}
\int_M |\nabla u|^{p-2} |\text{Hess}u|^2 d\mu &\geq \int_M |\nabla u|^{p-2} \frac{(\Delta u)^2}{n} d\mu = \frac{1}{n} \int_M \Delta u \Delta_p u d\mu \\
&\quad - \frac{p-2}{n} \int_M \Delta u |\nabla u|^{p-4} \text{Hess}u(\nabla u, \nabla u) d\mu
\end{aligned}$$

$$(2.5) \quad \geq \frac{1}{n} \int_M \Delta u \Delta_p u d\mu - \frac{p-2}{\sqrt{n}} \int_M |\nabla u|^{p-2} |\text{Hess}u|^2 d\mu.$$

Therefore

$$(2.6) \quad \int_M |\nabla u|^{p-2} |\text{Hess}u|^2 d\mu \geq \frac{1}{n + \sqrt{n}(p-2)} \frac{1}{n} \int_M \Delta u \Delta_p u d\mu.$$

For the curvature term we obtain

$$(2.7) \quad \begin{aligned} & \int_M |\nabla u|^{p-2} \text{Ric}(\nabla u, \nabla u) d\mu \\ & \geq (n-1)K \int_M |\nabla u|^p d\mu - \int_M |\text{Ric}_-^K| |\nabla u|^p d\mu \\ & \geq (n-1)K \int_M |\nabla u|^p d\mu - \|\text{Ric}_-^K\|_q^* \left( \int_M |\nabla u|^{\frac{pq}{q-1}} d\mu \right)^{\frac{q-1}{q}}. \end{aligned}$$

By Sobolev inequality [8] for  $|\nabla u|^{\frac{p}{2}}$  there is a constant  $C_s = C_s(n, q, K)$  such that

$$\left( \int_M |\nabla u|^{\frac{pq}{q-1}} d\mu \right)^{\frac{q-1}{q}} \leq C_s \frac{p^2}{4} \int_M |\nabla u|^{p-2} |\nabla |\nabla u||^2 d\mu + 2 \int_M |\nabla u|^p d\mu$$

and combining it with (2.7), we conclude that

$$(2.8) \quad \begin{aligned} & \int_M |\nabla u|^{p-2} \text{Ric}(\nabla u, \nabla u) d\mu \geq (n-1)K \int_M |\nabla u|^p d\mu \\ & \quad - \|\text{Ric}_-^K\|_q^* \left( C_s \frac{p^2}{4} \int_M |\nabla u|^{p-2} |\nabla |\nabla u||^2 d\mu + 2 \int_M |\nabla u|^p d\mu \right). \end{aligned}$$

Plugging (2.6) and (2.8) into (2.4), we get

$$(2.9) \quad \begin{aligned} 0 & \geq \left[ (p-2) - C_s \|\text{Ric}_-^K\|_q^* \frac{p^2}{4} \right] \int_M |\nabla u|^{p-2} |\nabla |\nabla u||^2 d\mu \\ & \quad - \frac{n-1 + \sqrt{n}(p-2)}{n + \sqrt{n}(p-2)} \int_M \Delta u \Delta_p u d\mu \\ & \quad + [(n-1)K - 2\|\text{Ric}_-^K\|_q^*] \int_M |\nabla u|^p d\mu. \end{aligned}$$

For  $p > 2$ , let  $\|\text{Ric}_-^K\|_q^*$  be chosen sufficiently small such that

$$\left[ (p-2) - C_s \|\text{Ric}_-^K\|_q^* \frac{p^2}{4} \right] > 0.$$

Then we can rewrite (2.9) as

$$(2.10) \quad \begin{aligned} 0 & \geq - \frac{n-1 + \sqrt{n}(p-2)}{n + \sqrt{n}(p-2)} \int_M \Delta u \Delta_p u d\mu \\ & \quad + [(n-1)K - 2\|\text{Ric}_-^K\|_q^*] \int_M |\nabla u|^p d\mu. \end{aligned}$$

Let  $u$  be the first eigenfunction for operator  $L$ . Then

$$\begin{aligned}
 - \int_M \Delta u \Delta_p u d\mu &= \int_M Lu \Delta u d\mu - \int_M V |u|^{p-2} u \Delta u d\mu \\
 &= \Lambda_{1,p}(M) \int |u|^{p-2} u \Delta u d\mu - \int_M V |u|^{p-2} u \Delta u d\mu \\
 &= -\Lambda_{1,p}(M) \int \langle \nabla(|u|^{p-2} u), \nabla u \rangle d\mu \\
 &\quad + \int_M \langle \nabla(V |u|^{p-2} u), \nabla u \rangle d\mu \\
 &= -(p-1) \Lambda_{1,p}(M) \int |u|^{p-2} |\nabla u|^2 d\mu \\
 &\quad + (p-1) \int V |u|^{p-2} |\nabla u|^2 d\mu + \int_M |u|^{p-2} u \langle \nabla V, \nabla u \rangle d\mu \\
 &\geq -(p-1) \Lambda_{1,p}(M) \left( \int_M |u|^p d\mu \right)^{1-\frac{2}{p}} \left( \int_M |\nabla u|^p d\mu \right)^{\frac{2}{p}} \\
 &\quad - \int_M |u|^{p-1} |\nabla V| |\nabla u| d\mu \\
 &\geq \left( -(p-1) (\Lambda_{1,p}(M))^{\frac{2}{p}} - K_2 (\Lambda_{1,p}(M))^{\frac{1}{p}-1} \right) \int_M |\nabla u|^p d\mu.
 \end{aligned}$$

This gives

$$\begin{aligned}
 (2.11) \quad 0 &\geq -\frac{n-1+\sqrt{n}(p-2)}{n+\sqrt{n}(p-2)} \left( (p-1) (\Lambda_{1,p}(M))^{\frac{2}{p}} + K_2 (\Lambda_{1,p}(M))^{\frac{1}{p}-1} \right) \\
 &\quad + [(n-1)K - 2\|Ric_-^K\|_*],
 \end{aligned}$$

which is (1.6).  $\square$

*Proof of Theorem 1.3.* Let  $u$  be the eigenfunction associated with  $\lambda_{1,p}(\Omega)$ . Let  $\Omega_t := \{x \in \Omega \mid u > t\}$ , then  $\partial\Omega_t = \{x \in \Omega \mid u = t\}$  and symmetrise them by considering a family of concentric geodesic ball  $\Omega_t^*$  in  $S_K^n$  with the same center as  $B_K$  satisfying  $Vol(\Omega_t) = \alpha Vol(\Omega_t^*)$  where  $\alpha = \frac{Vol(M)}{Vol(S_K^n)}$ . We construct a radially decreasing function  $u^* : B_K \rightarrow \mathbb{R}$  by setting  $u^*|_{\partial\Omega_t^*} = t$ , therefore  $\partial\Omega_t^* = \{x \in B_K^n : u^*(x) = t\}$  and  $u^* \in W_0^{1,p}(B_K)$ . Also, similar to [3], we construct a radially increasing  $u_* : B_K \rightarrow \mathbb{R}$  by

$$u_*(x) = \inf\{t \geq 0 \mid |\Omega_t| < |\Omega| - C_n |x|^n\},$$

where  $C_n$  is the volume  $S_K^n$ . Similarly,  $V^*$  and  $V_*$  are defined. We denote by  $d(\partial\Omega_t)$  the  $(n-1)$ -dimensional Riemannian measure of the set  $\partial\Omega_t$ . By the



co-area formula [5], we have

$$\begin{aligned} \frac{\partial}{\partial t}(Vol(\Omega_t)) &= \frac{\partial}{\partial t} \int_{\{u>t\}} d\mu = \frac{\partial}{\partial t} \int_t^{+\infty} \left( \int_{\{u=t\}} \frac{1}{|\nabla u|} d(\partial\Omega_t) \right) dt \\ &= - \int_{\{u=t\}} \frac{1}{|\nabla u|} dt \end{aligned}$$

Again, by the co-area formula

$$\begin{aligned} \int_{\Omega} |u|^p d\mu &= \int_0^{\infty} t^p \int_{\partial\Omega_t} \frac{1}{|\nabla u|} d(\partial\Omega_t) dt = \int_0^{\infty} \frac{d}{dt}(t^p) \int_0^{\infty} \int_{\partial\Omega_t} \frac{1}{|\nabla u|} d(\partial\Omega_t) dt \\ &= \int_0^{\infty} pt^{p-1} Vol(\Omega_t) dt = p\alpha \int_0^{\infty} t^{p-1} Vol(B_K) dt \\ &= \alpha \int_{B_K} |u^*|^p d\mu. \end{aligned}$$

Similar method of [3, Theorem 3.8] we have

$$\int_{\Omega} V|u|^p d\mu \geq \alpha \int_{B_K} V_*|u^*|^p d\mu.$$

Since  $u^*$  is a radial function, we get  $|\nabla u^*| = \left| \frac{\partial u^*}{\partial r} \right|$  which is a constant on  $\partial\Omega_t^*$ . Applying Hölder inequality we obtain

$$\begin{aligned} Vol(\{u = t\}) &= \int_{\{u=t\}} d\mu = \int_{\{u=t\}} \frac{|\nabla u|^{\frac{p-1}{p}}}{|\nabla u|^{\frac{p-1}{p}}} d\mu \\ &\leq \left( \int_{\{u=t\}} \frac{1}{|\nabla u|} d\mu \right)^{\frac{p-1}{p}} \left( \int_{\{u=t\}} |\nabla u|^{p-1} d\mu \right)^{\frac{1}{p}}. \end{aligned}$$

On the other hand

$$Vol(\{u^* = t\}) = \int_{\{u^*=t\}} d\mu = \left( \int_{\{u^*=t\}} \frac{1}{|\nabla u^*|} d\mu \right)^{\frac{p-1}{p}} \left( \int_{\{u^*=t\}} |\nabla u^*|^{p-1} d\mu \right)^{\frac{1}{p}}.$$

Since  $Vol(\Omega_t) = Vol(\{u^* > t\})$  we get  $\int_{\{u=t\}} \frac{1}{|\nabla u|} d\mu = \int_{\{u^*=t\}} \frac{1}{|\nabla u^*|} d\mu$ . By Gromov's isoperimetric inequality [9] we have  $Vol(\{u = t\}) \geq \alpha Vol(\{u^* = t\})$ . It implies that

$$\int_{\{u=t\}} |\nabla u|^{p-1} d(\partial\Omega_t) \geq \alpha \int_{\{u^*=t\}} |\nabla u^*|^{p-1} d(\partial\Omega_t^*).$$

Now using the co-area formula for gradient

$$\int_{\Omega} |\nabla u|^p d\mu = \int_0^{\infty} \left( \int_{\{u=t\}} |\nabla u|^{p-1} d(\partial\Omega_t) \right) dt$$

$$\geq \alpha \int_0^\infty \left( \int_{\{u^*=t\}} |\nabla u^*|^{p-1} d(\partial\Omega_t^*) \right) dt = \alpha \int_{B_K} |\nabla u^*|^p d\mu.$$

By Rayleigh quotient, we obtain

$$\frac{\int_\Omega (|\nabla u|^p + V|u|^p) d\mu}{\int_\Omega |u|^p d\mu} \geq \frac{\int_{B_k} (|\nabla u^*|^p + V_*|u^*|^p) d\mu}{\int_{B_k} |u^*|^p d\mu} \geq \lambda_{1,p}(B_k).$$

Hence  $\lambda_{1,p}(\Omega) \geq \lambda_{1,p}(B_k)$ . Now, we consider the equality

$$(2.12) \quad \lambda_{1,p}(\Omega) = \lambda_{1,p}(B_k)$$

holds. Let  $u$  be a eigenfunction corresponding to eigenvalue  $\lambda_{1,p}(\Omega)$  and  $\{u_n\}_{n \in \mathbb{N}} \in W_0^{1,p}(\Omega)$  be a sequence of Mors function which converges to  $u$  in  $W_0^{1,p}(\Omega)$ . Suppose  $\{u_n^*\}_{n \in \mathbb{N}}$  is their symmetrization. Since  $\{u_n\}_{n \in \mathbb{N}}$  is bounded in  $W_0^{1,p}(\Omega)$  then  $\{u_n^*\}_{n \in \mathbb{N}}$  is bounded too and there is a  $u^* \in W_0^{1,p}(B_K)$  such that  $\{u_n^*\}_{n \in \mathbb{N}}$  and  $\{|\nabla u_n^*|\}_{n \in \mathbb{N}}$  are converge to  $u^*$  and  $|\nabla u^*|$  weakly in  $W_0^{1,p}(B_K)$  and strongly in  $L^p(B_K)$ , respectively. It follows that  $\|u^*\|_{W^{1,p}(B_K)} \leq \liminf \|u_n^*\|_{W^{1,p}(B_K)}$ . Therefore

$$\begin{aligned} \int_\Omega |u|^p d\mu &= \lim_{n \rightarrow \infty} \int_\Omega |u_n|^p d\mu = \alpha \lim_{n \rightarrow \infty} \int_{B_K} |u_n^*|^p d\mu = \alpha \int_{B_K} |u^*|^p d\mu \\ \int_\Omega |\nabla u|^p d\mu &= \lim_{n \rightarrow \infty} \int_\Omega |\nabla u_n|^p d\mu \geq \alpha \lim_{n \rightarrow \infty} \int_{B_K} |\nabla u_n^*|^p d\mu = \alpha \int_{B_K} |\nabla u^*|^p d\mu. \end{aligned}$$

Similarly  $\int_\Omega V|u|^p d\mu \geq \alpha \int_{B_K} V_*|u^*|^p d\mu$ . Hence

$$\lambda_{1,p}(\Omega) = \frac{\int_\Omega (|\nabla u|^p + V|u|^p) d\mu}{\int_\Omega |u|^p d\mu} \geq \frac{\int_{B_K} (|\nabla u^*|^p + V_*|u^*|^p) d\mu}{\int_{B_K} |u^*|^p d\mu} \geq \lambda_{1,p}(B_K).$$

Equality (2.12) implies that

$$\lambda_{1,p}(\Omega) = \frac{\int_\Omega (|\nabla u|^p + V|u|^p) d\mu}{\int_\Omega |u|^p d\mu} = \frac{\int_{B_K} (|\nabla u^*|^p + V_*|u^*|^p) d\mu}{\int_{B_K} |u^*|^p d\mu} = \lambda_{1,p}(B_K),$$

and

$$(2.13) \quad \int_\Omega |\nabla u|^p d\mu \leq \alpha \int_{B_K} |\nabla u^*|^p d\mu.$$

Therefore  $u^*$  is a first eigenfunction for  $L$  on  $B_K$ . Since  $M$  is compact,  $\{u_n\}_{n \in \mathbb{N}}$  and  $\{u_n^*\}_{n \in \mathbb{N}}$  converge uniformly to  $u$  and  $u^*$ , respectively. It follows that  $u^*$  is the symmetrization of  $u$ . Let  $(a, b)$  be an interval of regular values of  $u$ , we get

$$(2.14) \quad \int_{u^{-1}((a,b))} |\nabla u|^p d\mu \geq \alpha \int_{(u^*)^{-1}((a,b))} |\nabla u^*|^p d\mu.$$

On the other hand, for any  $n \in \mathbb{N}$ , we have

$$(2.15) \quad \int_{\Omega \setminus u^{-1}((a,b))} |\nabla u_n|^p d\mu \geq \alpha \int_{B_K \setminus (u_n^*)^{-1}((a,b))} |\nabla u^*|^p d\mu,$$

hence

$$(2.16) \quad \int_{\Omega \setminus u^{-1}((a,b))} |\nabla u|^p d\mu \geq \alpha \int_{B_K \setminus (u^*)^{-1}((a,b))} |\nabla u^*|^p d\mu.$$

Therefore equation (2.13) implies

$$(2.17) \quad \int_{u^{-1}((a,b))} |\nabla u|^p d\mu = \alpha \int_{(u^*)^{-1}((a,b))} |\nabla u^*|^p d\mu.$$

It follows then that  $Vol(u^{-1}(t)) = Vol(u^*)^{-1}(t)$  for any regular volume  $t$  of  $u$ . But inequality in Gromov's isoperimetric inequality implies that there exists an isometry from  $M$  to  $S_K^n$  which sends  $\Omega_t$  to  $\Omega_t^*$ . Let  $\{t_n\}_{n \in \mathbb{N}}$  be a sequence of regular values for  $u$ , with  $\lim_{n \rightarrow \infty} t_n = 0$ . Then  $\Omega_{t_n}$  are concentric geodesic balls included in  $\Omega$  with  $\lim_{n \rightarrow \infty} Vol(\Omega_{t_n}) = Vol(\Omega)$ . Let  $\Omega_0$  be the geodesic ball in  $M$  with the same center as  $\Omega_{t_n}$  and with  $Vol(\Omega_0) = Vol(\Omega)$ . the geodesic ball  $\Omega_0$  is included in  $\Omega$ . Therefore  $\Omega = \Omega_0$  and there exists an isometry from  $M$  to  $S_K^n$  which sends  $\Omega$  to  $B_K$ . Conversely is obvious.  $\square$

LEMMA 2.2. *Let  $u$  be the first eigenfunction for the operator  $L$  on  $M$  and  $A$  be a nodal domain of  $u$ . Then*

$$\Lambda_{1,p}(M) = \frac{\int_A (|\nabla u|^p + V|u|^p) d\mu}{\int_A |u|^p d\mu}.$$

*Proof.* By classical density theorems,  $u$  is the limit of a sequence  $\{u_n\}_{n \in \mathbb{N}}$  of  $C^\infty$  functions such that zero is regular value for each  $u_n$ . Let  $A = u^{-1}(\mathbb{R}_+)$  and  $A_n = u_n^{-1}(\mathbb{R}_+)$ . The boundary of  $A_n$  is the closed regular hypersurface  $u_n^{-1}(0)$ . For any  $n \in \mathbb{N}$  we have

$$\begin{aligned} \Lambda_{1,p}(M) \int_{A_n} |u|^{p-2} u u_n d\mu &= \int_{A_n} u_n L u d\mu \\ &= - \int_{A_n} u_n \Delta u d\mu + \int_{A_n} V |u|^{p-2} u u_n d\mu \\ &= \int_{A_n} [|\nabla u|^{p-2} \langle \nabla u_n, \nabla u \rangle + V |u|^{p-2} u u_n] d\mu. \end{aligned}$$

Thus

$$\Lambda_{1,p}(M) = \frac{\int_{A_n} [|\nabla u|^{p-2} \langle \nabla u_n, \nabla u \rangle + V |u|^{p-2} u u_n] d\mu}{\int_{A_n} |u|^{p-2} u u_n d\mu}.$$

By extracting a subsequence if necessary and we can assume that  $\{u_n\}_{n \in \mathbb{N}}$  and  $\{|\nabla u_n|\}_{n \in \mathbb{N}}$  converge almost every where to  $u$  and  $|\nabla u|$ , respectively. Therefore the two sequences

$$\frac{\int_{A_n} [|\nabla u|^{p-2} \langle \nabla u_n, \nabla u \rangle + V|u|^{p-2} u u_n] d\mu}{\int_{A_n} |u|^{p-2} u u_n d\mu} \quad \text{and} \quad \frac{\int_{A_n} (|\nabla u_n|^p + V|u_n|^p) d\mu}{\int_{A_n} |u_n|^p d\mu}$$

converge to

$$\Lambda_{1,p}(M) = \frac{\int_A (|\nabla u|^p + V|u|^p) d\mu}{\int_A |u|^p d\mu}.$$

□

**COROLLARY 2.3.** *Let  $u$  be a first eigenfunction for  $L$  on  $M$ ,  $p > 1$  and Let  $A_1 = u^{-1}(\mathbb{R}_+)$  and  $A_2 = u^{-1}(\mathbb{R}_-)$  be the nodal domains of  $u$ . Then  $\Lambda_{1,p}(M) = \lambda_{1,p}(A_1) = \lambda_{1,p}(A_2)$ .*

*Proof.* For each  $i = 1, 2$ , we have

$$(2.18) \quad \lambda_{1,p}(A_i) \leq \frac{\int_{A_i} (|\nabla u|^p + V|u|^p) d\mu}{\int_{A_i} |u|^p d\mu} = \Lambda_{1,p}(M).$$

Hence  $\Lambda_{1,p}(M) \geq \max\{\lambda_{1,p}(A_1), \lambda_{1,p}(A_2)\}$ . Let  $u_1$  and  $u_2$  be the first eigenfunctions for the Dirichlet problem for  $L$  in  $A_1$  and  $A_2$ , respectively. Extend  $u_i$  by zero outside  $A_i$  and  $\beta$  be a real constant satisfying  $\int_M |u_1 + \beta u_2|^{p-2} (u_1 + \beta u_2) d\mu = 0$ . Then

$$\begin{aligned} \Lambda_{1,p}(M) \int_{A_1} |u_1|^p d\mu + \Lambda_{1,p}(M) \int_{A_2} |\beta u_2|^p d\mu &= \Lambda_{1,p}(M) \int_M |u_1 + \beta u_2|^p d\mu \\ &\leq \int_M (|\nabla(u_1 + \beta u_2)|^p + V|u_1 + \beta u_2|^p) d\mu \\ &= \int_{A_1} (|\nabla u_1|^p + V|u_1|^p) d\mu + \int_{A_2} (|\nabla(\beta u_2)|^p + V|\beta u_2|^p) d\mu \\ &= \lambda_{1,p}(A_1) \int_{A_1} |u_1|^p d\mu + \lambda_{1,p}(A_2) \int_{A_2} |\beta u_2|^p d\mu. \end{aligned}$$

From (2.18) conclude that  $\Lambda_{1,p}(M) = \lambda_{1,p}(A_1) = \lambda_{1,p}(A_2)$ . □

*Proof of Theorem 1.4.* Let  $u$  be a first eigenfunction of  $L$  on  $M$  and  $\mathfrak{B} = u^{-1}((0, \infty))$ . If necessary we can replace  $u$  by  $-u$  and we may assume that  $\text{Vol}(\mathfrak{B}) \leq \frac{1}{2} \text{Vol}(M)$ . From corollary 2.3, we have

$$(2.19) \quad \Lambda_{1,p}(M) = \lambda_{1,p}(\mathfrak{B}).$$

Let  $\mathfrak{B}^*$  be a geodesic ball of center  $x_0$  in  $S_K^n$  with  $\frac{\text{Vol}(\mathfrak{B})}{\text{Vol}(M)} = \frac{\text{Vol}(\mathfrak{B}^*)}{\text{Vol}(S_K^n)}$ . From theorem 1.3 we get

$$(2.20) \quad \lambda_{1,p}(\mathfrak{B}) \geq \lambda_{1,p}(\mathfrak{B}^*).$$

Since  $Vol(\mathfrak{B}) \leq \frac{1}{2}Vol(M)$  we get  $Vol(\mathfrak{B}^*) \leq \frac{1}{2}Vol(S_K^n)$  and  $\mathfrak{B}^*$  is contained in the hemisphere of center  $x_0$  which we denote by  $S_{K+}^n$ . Also, since the first eigenfunction of  $L$  on  $S_K^n$  is radial with respect to a point  $x_0$ , then the nodal domains of this function are geodesic balls centered at  $x_0$  and  $-x_0$ , respectively. Thus

$$(2.21) \quad \Lambda_{1,p}(S_K^n) = \lambda_{1,p}(S_{K+}^n) = \lambda_{1,p}(S_{K-}^n) \leq \lambda_{1,p}(\mathfrak{B}^*).$$

From (2.19), (2.20) and (2.21) we obtain  $\Lambda_{1,p}(M) \geq \Lambda_{1,p}(S_K^n)$ . Equality implies that we have  $\lambda_{1,p}(\mathfrak{B}) = \lambda_{1,p}(\mathfrak{B}^*)$  and theorem 1.3 implies that  $M$  is isometric to  $S_K^n$ .  $\square$

*Proof of Theorem 1.5.* Let for some constant  $c(N)$  depending to the geometry of  $\Omega$ ,

$$\int_N |\nabla \phi| d\mu \geq \int_N \phi d\mu, \quad \forall \phi \in C_0^\infty(N).$$

Setting  $\phi = u^p$ , we can write

$$\begin{aligned} c(N) \int_N u^p d\mu &\leq \int_N |\nabla u^p| d\mu = p \int_N |u^{p-1} \nabla u| d\mu \\ &\leq p \left( \int_N |u|^p d\mu \right) \left( \frac{\int_N |\nabla u|^p d\mu}{\int_N |u|^p d\mu} \right)^{\frac{1}{p}} \\ &\leq p \left( \int_N |u|^p d\mu \right) \left( \frac{\int_N (|\nabla u|^p + V|u|^p) d\mu}{\int_N |u|^p d\mu} \right)^{\frac{1}{p}} \\ &\leq p(\lambda_{1,p}(N))^{\frac{1}{p}} \int_N |u|^p d\mu. \end{aligned}$$

Hence  $\lambda_{1,p}(N) \geq \left(\frac{1}{p}c(N)\right)^p$ . By applying co-area formula for  $\phi$  we estimate the last inequality from below.

$$\begin{aligned} \int_N |\nabla \phi| d\mu &= \int_{-\infty}^{+\infty} \left( \int_{\Omega_t} d\Omega_t \right) dt = \int_{-\infty}^{+\infty} Vol(\partial\Omega_t) dt \\ &= \int_{-\infty}^{+\infty} \frac{Vol(\partial\Omega_t)}{Vol(\Omega_t)} Vol(\Omega_t) dt \\ &\geq \inf_t \left( \frac{Vol(\partial\Omega_t)}{Vol(\Omega_t)} \right) \int_{-\infty}^{+\infty} Vol(\Omega_t) dt \\ &= \inf_t \left( \frac{Vol(\partial\Omega_t)}{Vol(\Omega_t)} \right) \int_N V\phi d\mu = c(N) \int_N |u|^p d\mu. \end{aligned}$$

It follows that  $\lambda_{1,p}(N) \geq \left(\frac{1}{p}c(N)\right)^p$ .  $\square$

*Proof of Theorem 1.6.* By inequality (1.8),

$$\lambda_{1,p}(B_R(x)) \geq \left( \frac{1}{p} \mathcal{C}(B_R(x)) \right)^p.$$

Fixe a point  $y \notin B_R(x)$  such that  $\eta(y) = d(x, y)$ . The function  $\eta$  is differentiable with  $\|\nabla\eta\| = 1$  and by Laplacian comparison theorem we have

$$\Delta\eta \geq \sqrt{-K} \coth \sqrt{-K} \eta \geq (n-1)\sqrt{-K}.$$

On the other hand

$$\text{Vol}(\partial B_R(x)) = \int_{\partial B_R} dA \geq \int_{\partial B_R} \langle \nabla\eta, \omega \rangle dA = \int_{B_R} \Delta\eta d\mu,$$

where  $\omega$  is the outward normal on  $\partial B_R(x)$ . Therefore

$$\text{Vol}(\partial B_R) \geq (n-1)\sqrt{-K} \int_{B_R} dA = (n-1)\sqrt{-K} \text{Vol}(B_R),$$

hence

$$\mathcal{C}(B_R(x)) = \frac{\text{Vol}(\partial B_R(x))}{\text{Vol}(B_R(x))} \geq (n-1)\sqrt{-K}.$$

We conclude that  $\lambda_{1,p}(B_R(x)) \geq \left( \frac{(n-1)\sqrt{-K}}{p} \right)^p$ .  $\square$

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