

*To Lucian Bădescu on the occasion of his 70<sup>th</sup> birthday,  
with admiration, gratitude and friendship*

## HILBERT SURFACES OF BIPOLARIZED VARIETIES

M.C. BELTRAMETTI, A. LANTERI and M. LAVAGGI

*Communicated by Marian Aprodu*

Let  $X$  be a normal Gorenstein complex projective variety. We introduce the Hilbert variety  $V_X$  associated to the Hilbert polynomial  $\chi(x_1\mathcal{L}_1, \dots, x_\rho\mathcal{L}_\rho)$ , where  $\mathcal{L}_1, \dots, \mathcal{L}_\rho$  is a basis of  $\text{Pic}(X)$ ,  $\rho$  being the Picard number of  $X$ , and  $x_1, \dots, x_\rho$  are complex variables. After reviewing general properties of  $V_X$ , we focus on the following specific topics. First, we consider the Hilbert surface of a bipolarized variety  $(X, L_1, L_2)$ , namely, the surface of degree  $\dim(X)$  in a 3-dimensional affine space, associated to  $\chi(xK_X + yL_1 + zL_2)$ . Special emphasis is given to the case of 3-folds. Next, we treat the case of the Hilbert curve of a polarized 4-fold  $(X, L)$ , that is, the plane quartic curve associated to  $\chi(xK_X + yL)$ . We also study quotients of Hilbert surfaces under the Serre involution  $s$  induced by Serre duality, and we characterize surfaces in a 3-dimensional affine space which are invariant under  $s$ .

*AMS 2010 Subject Classification:* Primary 14C20, 14N30; Secondary 14M99, 14H50.

*Key words:* multipolarized variety, Hilbert variety, bidegree, Serre involution.

## INTRODUCTION

Let  $X$  be an irreducible projective variety. Looking at the real vector space  $N(X)$  of numerical equivalence classes of divisors on  $X$  with real coefficients, following Kleiman's approach [7] and Mori's work [11], led to remarkable results in algebraic geometry. In particular, from the adjunction theoretic point of view, in the study of a polarized variety there are natural half-spaces arising in the dual vector space  $N(X)^*$  to looking at: those where a suitable adjoint bundle is negative. On the other hand, considering numerical equivalence classes with complex coefficients could suggest a new interesting point of view. This is exactly the idea pursued in [3] and [8], focusing on a complex algebraic plane curve which turns out to be naturally associated to any polarized variety. In this paper, inspired by [3], we take a natural step forward on this topic. In

particular, we deal with the Hilbert surface of a bipolarized variety  $(X, L_1, L_2)$ , with special attention to the case of 3-folds, and with the Hilbert quartic curve of a polarized 4-fold  $(X, L)$ .

Let us make everything more precise, outlining the plan of the paper.

Let  $\text{Pic}^0(X) \subset \text{Pic}(X)$  denote the subgroup of topologically trivial line bundles on  $X$ , so that  $\text{Pic}(X)/\text{Pic}^0(X)$  is the Néron-Severi group  $NS(X) \subseteq H^2(X, \mathbb{Z})$ . The function sending every  $\mathcal{L} \in \text{Pic}(X)$  to its Euler characteristic  $\chi(\mathcal{L})$  gives rise to a polynomial function  $p$  from  $\mathbf{N}(X) := \text{Pic}(X)/\text{Pic}^0(X) \otimes_{\mathbb{Z}} \mathbb{C}$  to  $\mathbb{C}$ . This is a polynomial of degree  $\dim(X)$  with rational coefficients. We call the hypersurface  $V_X \subset \mathbf{N}(X)$ , defined by the vanishing of  $p$ , the *Hilbert variety of  $X$* . Of course we can also regard  $V_X$  as a real hypersurface in  $\mathbf{N}(X)$ . Besides being invariant under conjugation,  $V_X$  is invariant under the linear map induced by Serre duality since  $\chi(\mathcal{L}) = (-1)^{\dim(X)} \chi(K_X \otimes \mathcal{L}^*)$ . We call this latter map,  $s : \mathbf{N}(X) \rightarrow \mathbf{N}(X)$ , the *Serre involution*.

Note that  $\mathbf{N}(X) \cong \mathbb{A}_{\mathbb{C}}^{\rho}$ , where  $\rho := \rho(X)$  is the Picard number of  $X$ . Given a multipolarized variety  $(X, L_1, \dots, L_t)$ , we have the vector subspace  $\langle K_X, L_1, \dots, L_t \rangle \subset \mathbf{N}(X)$  generated by  $L_1, \dots, L_t$  and  $K_X$ . This is a proper subspace if  $t < \rho - 1$ . Moreover, it is at least one dimensional since the  $L_i$ 's are ample. We assume here that  $\langle K_X, L_1, \dots, L_t \rangle$  is isomorphic to  $\mathbb{C}^{t+1}$ , since if this is not true, then we fall in the degenerate case when there are integers  $x, y_1, \dots, y_t$  (not all zero) with  $xK_X + y_1L_1 + \dots + y_tL_t$  topologically trivial. We denote by  $p(x, y_1, \dots, y_t)$  the polynomial on  $\mathbb{C}^{t+1}$  that  $\chi(xK_X + y_1L_1 + \dots + y_tL_t)$  extends to. We denote the *Hilbert variety of the multipolarized variety  $(X, L_1, \dots, L_t)$*  by  $V_{(X, L_1, \dots, L_t)}$ . For  $t = \rho - 1$  and  $\langle K_X, L_1, \dots, L_{\rho-1} \rangle = \mathbf{N}(X)$ , note that  $V_{(X, L_1, \dots, L_{\rho-1})}$  is just the Hilbert variety  $V_X$  of  $X$ .

On  $\langle K_X, L_1, \dots, L_{\rho-1} \rangle$ , the fixed point set of the involution  $s$  consists of  $\frac{1}{2}K_X$ ; we call it the *central point* of  $s$ . The Taylor expansion of  $p(x, y_1, \dots, y_{\rho-1})$  at this point has all coefficients of powers whose parity is different from that of  $\dim(X)$  equal to zero. In particular,  $(\frac{1}{2}, 0, \dots, 0) \in V_X$  if  $\dim(X)$  is odd, and if the point belongs to  $V_X$  when  $\dim(X)$  is even, it is a singular point. These and related general facts are discussed in Section 1.

In Section 2 we introduce some numerical invariants we need, the bidegrees of a bipolarized  $n$ -fold, following the same idea as in [4, §13.1]. We then prove some basic relations between them, which follow from the Hodge index theorem.

Let  $D$  be any divisor on  $X$ . In Section 3 we point out as the Riemann-Roch theorem provides for  $\chi(D)$  a very useful expression to treat multipolarized manifolds. The idea is to write  $D = E + \frac{1}{2}K_X$ , and to express  $\chi(D)$  in terms of  $E$  and the Chern classes of  $X$  in a quite effective way for our purposes. As a sample of the effectiveness, we shortly discuss the quadric Hilbert surface of a bipolarized surface  $(X, L_1, L_2)$ .

Section 4 is devoted to the case of bipolarized 3-folds  $(X, L_1, L_2)$ . We study some geometrical properties of the Hilbert cubic surface  $\mathcal{S} = V_{(X, L_1, L_2)}$ . In particular, we interpret the central point of the Serre involution as an Eckardt point; moreover, inspired by the study of singular points at infinity of the Hilbert curve of a polarized manifold, done in [3], we look at the singularities of the curve at infinity of  $\mathcal{S}$ .

In Section 5 we come back to Hilbert curves, studied in [3]. We provide explicit examples of quartic Hilbert curves of polarized 4-folds of any possible genus. A key result here is Lemma 2.5 which allows us to interpret a plane section of the Hilbert surface of a bipolarized  $n$ -fold as the Hilbert curve of a corresponding polarized  $n$ -fold. Precisely, let  $(X, L_1, L_2)$  be a bipolarized  $n$ -fold and let  $\mathcal{S}$  be its Hilbert surface. For positive integers  $a, b$ , let  $L := aL_1 + bL_2$  and let  $\Gamma_{a,b}$  be the Hilbert curve of the polarized variety  $(X, L)$ . Then  $\Gamma_{a,b}$  is a suitable plane section of  $\mathcal{S}$ . We refer to [2] for the study of the  $j$ -invariant of the Hilbert cubic  $\Gamma_{a,b}$  in the case of a bipolarized 3-fold  $(X, L_1, L_2)$ .

In Section 6, quotients of projective Hilbert surfaces  $\mathcal{S}$  with respect to the natural extension,  $\bar{s}$ , of the Serre involution  $s$  are analyzed. It is shown that the quotient is equipped with a natural map into  $\mathbb{P}^6$ . We show that, for suitable hyperplane sections  $h$  of  $\mathcal{S}$ , the curve  $h/\langle \bar{s} \rangle$  is a Castelnuovo curve in  $\mathbb{P}^3$ , assuming  $\mathcal{S}$  to be smooth.

Inspired by [3, Section 7], Section 7 is devoted to characterize surfaces in a 3-dimensional space which are invariant under the Serre involution. They provide a natural context which Hilbert surfaces fit into.

A lot of computations have been carried out with Maple 14 `algcurves` package; we simply refer for them to [10] throughout the paper, but we can make such computations available if necessary.

This paper grew up from some ideas developed in connection with the Master thesis of the third author [9], inspired by [3].

**Notation and terminology.** We work on the complex field  $\mathbb{C}$  and use the standard terminology in algebraic geometry.

In particular, we denote by  $\mathcal{O}_X$  the structure sheaf of a projective variety  $X$ . For any coherent sheaf  $\mathcal{F}$  on  $X$ ,  $h^i(\mathcal{F})$  stands for the complex dimension of  $H^i(X, \mathcal{F})$ . Moreover,  $\chi(\mathcal{F}) := \sum_i (-1)^i h^i(\mathcal{F})$  is the Euler characteristic of  $\mathcal{F}$ .

Let  $L$  be a line bundle on  $X$ , and let  $|L|$  be the complete linear system associated to it. The *Kodaira dimension*,  $\kappa(L)$ , of  $L$  is defined as  $\kappa(L) = -\infty$  whenever  $|mL| = \emptyset$  for every  $m \in \mathbb{N}$ , and  $\kappa(L) = \max_{m>0} \{\dim(\phi_m(X))\}$ , where  $\phi_m$  is the rational map defined by  $|mL|$ , otherwise. Note that  $\kappa(L) = \kappa(mL)$  for any positive integer  $m$ .

We say that  $L$  is *numerically effective* (*nef*, for short) if  $L \cdot C \geq 0$  for all

effective curves  $C$  on  $X$ . Moreover,  $L$  is said to be *big* if  $\kappa(L) = \dim(X)$ . If  $L$  is nef then this is equivalent to  $c_1(L)^n > 0$ , where  $c_1(L)$  is the first Chern class of  $L$  and  $n = \dim(X)$ . We say that  $L$  is *spanned* if it is spanned by global sections, i.e. globally generated, at all points of  $X$  by  $H^0(X, L)$ .

If  $L$  is spanned we say that  $L$  is *very ample* if the morphism  $X \rightarrow \mathbb{P}^N$  defined by  $|L|$  is an embedding, where  $N = h^0(X, L) - 1$ . We say that  $L$  is *ample* if there exists  $m > 0$  such that  $L^{\otimes m}$  is very ample.

The pull-back  $\iota^*L$  of  $L$  by an embedding  $\iota : W \hookrightarrow X$  is denoted by  $L_W$ . We denote by  $K_X$  the canonical bundle of a Gorenstein variety  $X$ .

If  $L_1, L_2$  are nef and big line bundles, the 3-tuple  $(X, L_1, L_2)$  is called *quasi-bipolarized variety*. If  $L_1, L_2$  are ample line bundles, the 3-tuple  $(X, L_1, L_2)$  is called *bipolarized variety*.

When no confusion arises, we use the additive notation for the tensor product of line bundles. We freely use the notation  $Y = 0$  to denote a cycle  $Y \subset X$  numerically equivalent to zero.

## 1. THE HILBERT VARIETY: GENERALITIES

In this section, we will show how to obtain the Hilbert variety associated to a smooth  $n$ -fold and some properties closely related to it. The Hilbert variety does not depend on any polarization.

Let  $X$  be a complex projective irreducible variety. Let  $\text{Pic}^0(X) \subset \text{Pic}(X)$  denote the subgroup of topologically trivial line bundles. Set  $\mathbf{N}(X) := (\text{Pic}(X)/\text{Pic}^0(X)) \otimes_{\mathbb{Z}} \mathbb{C}$ . The Euler characteristic map

$$\chi : \text{Pic}(X) \rightarrow \mathbb{Z},$$

defined by  $L \mapsto \chi(L)$ , gives rise to a polynomial function

$$p : \mathbf{N}(X) \rightarrow \mathbb{C}.$$

Note that  $\mathbf{N}(X) \cong \mathbb{A}_{\mathbb{C}}^{\rho}$ , where  $\rho := \rho(X)$  is the Picard number of  $X$ . If  $\mathbf{N}(X) = \langle L_1, \dots, L_{\rho} \rangle$  with  $L_1, \dots, L_{\rho} \in \text{Pic}(X)$ , we can write  $\mathcal{L} = \sum_{i=1}^{\rho} x_i L_i \in \mathbf{N}(X)$ ,  $x_i \in \mathbb{C}$ , for all  $\mathcal{L} \in \mathbf{N}(X)$ . Then the image

$$p(\mathcal{L}) = p(x_1, \dots, x_{\rho})$$

is the evaluation in  $\mathcal{L}$  of the polynomial  $p \in \mathbb{C}[x_1, \dots, x_{\rho}]$ , when we consider  $x_1, \dots, x_{\rho}$  as complex variables. In other words, for  $x_1, \dots, x_{\rho}$  integers, we consider the Hilbert polynomial

$$(1) \quad \chi(x_1, \dots, x_{\rho}) := \chi(x_1 L_1 + \dots + x_{\rho} L_{\rho}),$$

and we denote by  $p(x_1, \dots, x_{\rho})$  the polynomial  $\chi(x_1, \dots, x_{\rho})$  when we consider  $x_1, \dots, x_{\rho}$  as complex variables.

Let us consider the affine algebraic set  $V_X := V(p)$ , which is a hypersurface of degree  $\dim(X)$  in  $\mathbf{N}(X) \cong \mathbb{A}_{\mathbb{C}}^{\rho}$ . We also refer to  $V_X$  as the (*affine*) *Hilbert variety associated to  $X$* . Note that the coefficients of the polynomial  $p$  are rational numbers; therefore  $V_X$  is defined over  $\mathbb{Q}$ , hence over  $\mathbb{R}$ . In particular, one can also consider  $V_X$  as a real affine hypersurface in  $\mathbb{A}_{\mathbb{R}}^{\rho}$ .

From now on, apart from the Hilbert variety and unless otherwise specified, we will use the word *variety* to mean a normal, Gorenstein, complex projective variety,  $X$ .

Up to a suitable choice of generators, we may assume  $\mathbf{N}(X) = \langle K_X, \mathcal{L}_1, \dots, \mathcal{L}_{\rho-1} \rangle$ , provided that  $K_X$  is not numerically trivial. Thus, we can write an element  $\mathcal{L} \in \mathbf{N}(X)$  as  $\mathcal{L} = xK_X + \sum_i y_i \mathcal{L}_i$ , with  $\mathcal{L}_i \in \text{Pic}(X)$  and  $x, y_i \in \mathbb{C}$ ,  $i = 1, \dots, \rho - 1$ . Then sending

$$\mathcal{L} = xK_X + \sum_{i=1}^{\rho-1} y_i \mathcal{L}_i \mapsto (1-x)K_X - \sum_{i=1}^{\rho-1} y_i \mathcal{L}_i$$

defines a map

$$s : \mathbf{N}(X) \rightarrow \mathbf{N}(X), \quad (x, y_1, \dots, y_{\rho-1}) \mapsto (1-x, -y_1, \dots, -y_{\rho-1}),$$

that we call *Serre involution*. More precisely, for integers  $x, y_i$ , look at the Hilbert polynomial  $\chi(x, \dots, y_i, \dots) := \chi(xK_X + \sum_i y_i \mathcal{L}_i)$ . By Serre duality,

$$\begin{aligned} \chi(x, \dots, y_i, \dots) &= \chi\left(xK_X + \sum_{i=1}^{\rho-1} y_i \mathcal{L}_i\right) \\ &= (-1)^{\dim(X)} \chi\left((1-x)K_X - \sum_{i=1}^{\rho-1} y_i \mathcal{L}_i\right) \\ &= (-1)^{\dim(X)} \chi(1-x, \dots, -y_i, \dots). \end{aligned}$$

According to the above notation, denote by  $p(x, \dots, y_i, \dots)$  the polynomial  $\chi(x, \dots, y_i, \dots)$  when we consider  $x, y_i$  as complex variables. Thus,

$$p(x, y_1, \dots, y_{\rho-1}) = (-1)^{\dim(X)} p(1-x, -y_1, \dots, -y_{\rho-1}).$$

Clearly, the Hilbert variety  $V_X$  is fixed under the Serre involution  $s$ , that is  $s(V_X) = V_X$ . Moreover the (unique) fixed point of the involution  $s$  is  $C = (\frac{1}{2}, 0, \dots, 0) \in \mathbb{A}_{\mathbb{C}}^{\rho}$  corresponding to  $\frac{1}{2}K_X$ . We express these facts saying that  $V_X$  is symmetric with respect to  $C$ . We also say that  $C$  is the *central point* of the Serre involution. Notice that

$$(2) \quad C \in V_X \text{ for } \dim(X) \text{ odd.}$$

Since, for any  $j$ -th partial derivative  $\partial^j$ ,  $j \geq 0$ ,

$$(\partial^j p)(1-x, -y_1, \dots, -y_{\rho-1}) = (-1)^{\dim(X)+j} (\partial^j p)(x, y_1, \dots, y_{\rho-1}),$$

we conclude that

$$(3) \quad (\partial^j p) \left( \frac{1}{2}, 0, \dots, 0 \right) = 0 \text{ if } n + j \text{ is odd.}$$

Summarizing, we have the following result.

**PROPOSITION 1.1.** *Let  $V_X$  be the Hilbert variety of an  $n$ -dimensional variety  $X$ , and let  $C$  be the central point of the Serre involution.*

1.  $V_X$  is symmetric with respect to  $C$ , and  $C \in V_X$  for  $n$  odd;
2. For  $n$  even, if  $C \in V_X$ , then  $V_X$  is singular at  $C$ ;
3. For any  $n$ , if  $C \in V_X$  is a point of multiplicity  $n - 1$ , then  $C$  is a point of multiplicity  $n$  of  $V_X$ .

*Proof.* We have only to note that statements 2) and 3) are an immediate consequence of condition (3): take  $j = 1$  to get 2), and  $j - 1$  to get 3).  $\square$

Let us denote by  $\overline{V_X} \subset \overline{\mathbf{N}(X)} (\cong \mathbb{P}_{\mathbb{C}}^{\rho})$  the projective closure of  $V_X \subset \mathbf{N}(X)$ . We also say that  $\overline{V_X}$  is the (projective) Hilbert variety of  $X$ . Denoting by  $u_0, u_1, \dots, u_{\rho}$  the homogeneous coordinates in  $\mathbb{P}_{\mathbb{C}}^{\rho}$ , with  $xu_{\rho} = u_0$ ,  $y_i u_{\rho} = u_i$ ,  $1 = 1, \dots, \rho - 1$ , the Serre involution extends to an involution

$$\bar{s} : \overline{\mathbf{N}(X)} \rightarrow \overline{\mathbf{N}(X)}, \quad [u_0, u_1, \dots, u_{\rho}] \mapsto [u_{\rho} - u_0, -u_1, \dots, -u_{\rho-1}, u_{\rho}],$$

with the hyperplane at infinity  $u_{\rho} = 0$  consisting of fixed points.

We note the following. For any affine linear subspace  $\Lambda$  of  $\mathbf{N}(X)$  containing the point  $C$ , the variety  $\Lambda \cap V_X$  cut out on  $V_X$  by  $\Lambda$  is invariant under the Serre involution  $s$ . The projective closure of  $\Lambda \cap V_X$  in  $\overline{\mathbf{N}(X)}$  is in turn invariant with respect to  $\bar{s}$ . This provides a motivation for the discussion in Section 7.

We refer to [3] for several illustrative basic examples.

## 2. BIPOLARIZED MANIFOLDS

To begin with, let us introduce some numerical invariants we need in the sequel (compare with [4, §13.1]).

**2.1. Bidegrees.** Following the notation as in [3, p. 462], we define the bidegrees of a 3-tuple  $(X, L_1, L_2)$ . Let  $L_1, L_2$  be two line bundles on an irreducible, normal, Gorenstein  $n$ -dimensional projective variety  $X$ . For  $j, k \geq 0$  and  $j + k \leq n$ , define the  $(j, k)$ -th bidegree of the 3-tuple  $(X, L_1, L_2)$  as

$$d_{j,k}(L_1, L_2) := K_X^{n-j-k} \cdot L_1^j \cdot L_2^k.$$

Note that  $d_{j,k}$  is an integer, since it is the intersection of cycles of complementary dimension. If no confusion will arise, we simply write  $d_{j,k} := d_{j,k}(L_1, L_2)$ .

Moreover, in each case when  $j, k$  are both assigned numbers, we avoid the comma in the symbol  $d_{j,k}$ , *e.g.*, simply writing  $d_{00}, d_{10}, d_{12}, \dots$ . From now on, we will consider the above condition  $j + k \leq n$  as a blanket assumption. Just as a reminder,

$$d_{00} = K_X^n, \quad d_{n0} = L_1^n, \quad d_{0n} = L_2^n.$$

Here are some basic relations between the bidegrees, which follow from the Hodge index theorem (see [4, §2.5]).

**PROPOSITION 2.2.** *Let  $L_1, L_2$  be two nef line bundles on an irreducible, normal, Gorenstein  $n$ -dimensional projective variety  $X$ . Then the following inequalities hold:*

1.  $d_{j,n-1-j}^2 \geq d_{j-1,n-1-j} d_{j+1,n-1-j}$ , for  $j = 1, \dots, n-1$ .
  2.  $d_{n-1-j,j}^2 \geq d_{n-1-j,j-1} d_{n-1-j,j+1}$ , for  $j = 1, \dots, n-1$ .
- Furthermore, assuming that  $K_X$  is nef, one has, for  $j + k \leq n-1$ ,
3.  $d_{j,k}^2 \geq d_{j-1,k} d_{j+1,k}$ , with  $j \geq 1$  and  $k \geq 0$ .
  4.  $d_{j,k}^2 \geq d_{j,k-1} d_{j,k+1}$ , with  $j \geq 0$  and  $k \geq 1$ .

*Proof.* It follows from [4, Proposition 2.5.1]. In particular, for  $j = 1, \dots, n-1$ , we get

$$\begin{aligned} d_{j,n-1-j}^2 &= (K_X \cdot L_1^j \cdot L_2^{n-1-j})^2 \geq (K_X^2 \cdot L_1^{j-1} \cdot L_2^{n-1-j})(L_1^{j+1} \cdot L_2^{n-1-j}) \\ &= d_{j+1,n-1-j} d_{j-1,n-1-j}, \end{aligned}$$

as well as the symmetric inequality obtained by exchanging the indices in each bidegree. This leads to 1) and 2) respectively.

If  $K_X$  is nef, the condition  $k + j - 1$  relaxes to  $j + k \leq n-1$ , that is, for  $j \geq 1$  and  $k \geq 0$ ,

$$\begin{aligned} d_{j,k}^2 &= (K_X^{n-j-k} \cdot L_1^j \cdot L_2^k)^2 = (L_1 \cdot K_X^{n-j-k} \cdot L_1^{j-1} \cdot L_2^k)^2 \\ &\geq (L_1^2 \cdot K_X^{n-j-k-1} \cdot L_1^{j-1} \cdot L_2^k)(K_X^{n-j-k+1} \cdot L_1^{j-1} \cdot L_2^k) \\ &= (K_X^{n-j-k-1} \cdot L_1^{j+1} \cdot L_2^k)(K_X^{n-j-k+1} \cdot L_1^{j-1} \cdot L_2^k) = d_{j+1,k} d_{j-1,k}. \end{aligned}$$

Symmetrically, for  $k \geq 1$  and  $j \geq 0$ , we obtain  $d_{j,k}^2 \geq d_{j,k+1} d_{j,k-1}$ .  $\square$

**Example 2.3.** For  $n = 3$ , statements 1) and 2) of Proposition 2.2 yield, for  $j = 1, 2$ ,

$$d_{11}^2 \geq d_{21}d_{01}, \quad d_{20}^2 \geq d_{30}d_{10} \quad \text{and} \quad d_{02}^2 \geq d_{03}d_{01}, \quad d_{11}^2 \geq d_{12}d_{10},$$

respectively. Under the further assumption that the canonical bundle is nef, statements 3) and 4) give, for  $j + k = 1$ , the two more conditions  $d_{10}^2 \geq d_{20}d_{00}$  and  $d_{01}^2 \geq d_{02}d_{00}$ .

**2.4. The Hilbert surface.** Coming back to the case of interest, let  $X$  be a smooth projective variety of dimension  $n$ , let  $L_1$  and  $L_2$  be ample line

bundles on  $X$ . The Hilbert polynomial  $\chi(x, y, z) := \chi(xK_X + yL_1 + zL_2)$ , with  $x, y, z \in \mathbb{Z}$ , arises naturally in the study of the bipolarized variety  $(X, L_1, L_2)$ . As usual, denote by  $p(x, y, z)$ , sometimes by  $p_{(X, L_1, L_2)}(x, y, z)$ , the polynomial  $\chi(x, y, z)$  when we consider  $x, y$  and  $z$  as complex variables. Then looking at the zeroes of  $p(x, y, z)$  corresponds to taking a slice of the Hilbert variety  $V_X$  by the 3-dimensional vector subspace  $\mathbb{C}_{(x, y, z)}^3 \subseteq \mathbf{N}(X)$  ( $\mathbb{C}_{(x, y, z)}^3 = \langle K_X, L_1, L_2 \rangle$  whenever  $K_X, L_1$  and  $L_2$  are  $\mathbb{C}$ -linearly independent). We will also write

$$V_{(X, L_1, L_2)} := \mathbb{C}_{(x, y, z)}^3 \cap V_X,$$

and we will say that the degree  $n := \dim(X)$  affine surface  $V_{(X, L_1, L_2)}$  is the *Hilbert surface of the bipolarized variety*  $(X, L_1, L_2)$ .

Letting  $\mathcal{S} := V_{(X, L_1, L_2)}$  we will denote by  $\bar{\mathcal{S}}$  its projective closure in  $\mathbb{P}^3$ , where  $x, y, z, \zeta$  are the homogeneous coordinates. According to Proposition 1.1, the degree  $n$  surface  $\mathcal{S}$  is symmetric with respect to the central point  $C = (\frac{1}{2}, 0, 0)$  of the Serre involution.

Unless otherwise specified, we make the blanket assumption that the numerical classes of  $L_1, L_2$  and  $K_X$  are linearly independent in the vector space  $\mathbf{N}(X)$ .

We prove the following general fact.

**LEMMA 2.5 (Key lemma).** *Let  $(X, L_1, L_2)$  be a bipolarized  $n$ -fold and let  $\mathcal{S}$  be its Hilbert surface. For positive integers  $a, b$ , let  $L := aL_1 + bL_2$  and let  $\Gamma_{a,b}$  be the Hilbert curve of the polarized variety  $(X, L)$ . Then  $\Gamma_{a,b}$  is the section of  $\mathcal{S}$  with the plane  $az - by = 0$  in  $\mathbb{C}_{(x, y, z)}^3$ .*

*Proof.* Clearly,  $L := aL_1 + bL_2$  is an ample line bundle for positive integers  $a, b$ . According to [3], the curve  $\Gamma_{a,b}$  is defined in the  $\mathbb{C}_{(x, t)}^2$  plane by the equation  $p(x, t) = \chi(xK_X + tL) = 0$ . Since  $xK_X + tL = xK_X + atL_1 + btL_2$  and the Hilbert surface  $\mathcal{S}$  of the bipolarized  $n$ -fold  $(X, L_1, L_2)$  is defined in the  $\mathbb{C}_{(x, y, z)}^3$  space by the equation

$$p_{(X, L_1, L_2)}(x, y, z) = \chi(xK_X + yL_1 + zL_2) = 0,$$

we thus see that  $\Gamma_{a,b}$  is the section of  $\mathcal{S}$  with the plane defined by  $az - by = 0$ . Its equation in the plane  $\mathbb{C}_{(x, t)}^2$  is obtained by specializing that of  $\mathcal{S}$  letting  $t = y/a = z/b$ . Moreover, as  $b/a$  (or  $a/b$ ) varies in  $\mathbb{Q}$  we have that the corresponding Hilbert curve  $\Gamma_{a,b}$  varies in the pencil of planes of  $\mathbb{C}_{(x, y, z)}^3$  through the axis generated by  $K_X$ .  $\square$

**Example 2.6** (The Hilbert surface of products). Let us consider a remarkable class of examples. Assume that the variety  $X$  is a product,  $X = X' \times X''$ ,



and consider the projections  $\pi'$  and  $\pi''$

$$\begin{array}{ccc} & X & \\ \pi' \swarrow & & \searrow \pi'' \\ X' & & X'' \end{array}$$

onto the factors. Set  $L'_i \boxtimes L''_i := (\pi')^* L'_i \otimes (\pi'')^* L''_i$ , where  $L'_i \in \text{Pic}(X')$  and  $L''_i \in \text{Pic}(X'')$  for  $i = 1, 2$ . By Künneth formulas one has  $\chi(L'_i \boxtimes L''_i) = \chi(L'_i) \chi(L''_i)$ ,  $i = 1, 2$ .

Assume  $L'_i$  and  $L''_i$  nef and big, so that  $L_i := L'_i \boxtimes L''_i$  is nef and big,  $i = 1, 2$ , and consider the quasi-bipolarized variety  $(X, L_1, L_2)$ . We have

$$xK_X + yL_1 + zL_2 = (xK_{X'} + yL'_1 + zL'_2) \boxtimes (xK_{X''} + yL''_1 + zL''_2),$$

so we obtain

$$\chi(xK_X + yL_1 + zL_2) = \chi(xK_{X'} + yL'_1 + zL'_2) \chi(xK_{X''} + yL''_1 + zL''_2).$$

Thus, we find for the polynomial  $p(x, y, z) := p_{(X, L_1, L_2)}(x, y, z)$  the expression

$$p(x, y, z) := \chi(xK_X + yL_1 + zL_2) = p_{(X', L'_1, L'_2)}(x, y, z) p_{(X'', L''_1, L''_2)}(x, y, z);$$

hence the Hilbert surface associated to  $X$  is reducible. In particular, if  $X = \mathcal{C}_1 \times \cdots \times \mathcal{C}_n$  is the product of  $n = \dim(X)$  smooth curves, then the Hilbert surface  $\mathcal{S}$  is the union of  $n$  planes, all containing the point  $C$ . Note however that this is not a sufficient condition for  $X$  being the product of  $n$  curves, *e.g.*, see [3, §3.5].

**2.7. The degenerate case.** Let  $(X, L_1, L_2)$  be a bipolarized  $n$ -fold such that  $\dim_{\mathbb{C}} \langle K_X, L_1, L_2 \rangle < 3$ . Even in this case we can consider the polynomial

$$p(x, y, z) = \chi(xK_X + yL_1 + zL_2),$$

defining an affine surface, which we call the *degenerate Hilbert surface* of  $(X, L_1, L_2)$ , and we denote again by  $\mathcal{S}$ . We observe that, for a polarized  $n$ -fold  $(X, L)$ , degenerate case means  $\dim_{\mathbb{C}} \langle K_X, L \rangle = 1$ , while in the bipolarized case, degeneracy is equivalent to  $1 \leq \dim_{\mathbb{C}} \langle K_X, L_1, L_2 \rangle \leq 2$ .

Clearly, if  $\dim_{\mathbb{C}} \langle K_X, L_1, L_2 \rangle < 3$ , then the surface  $\mathcal{S}$  is not a slice of type  $\mathbb{C}^3 \cap V_X$  with  $\mathbb{C}^3$  a vector subspace of  $\mathbf{N}(X)$ . In particular, if  $\dim_{\mathbb{C}} \langle K_X, L_1, L_2 \rangle = 1$ , then  $K_X = \lambda_i L_i$ , for  $\lambda_i \in \mathbb{Q}$ ,  $i = 1, 2$ , and letting  $\lambda_2 t := \lambda_1 \lambda_2 x + \lambda_2 y + \lambda_1 z$ , one has

$$p(x, y, z) = \wp(t) \in \mathbb{C}[t].$$

This is a polynomial of degree  $n = \dim(X)$  in  $t$  and its zeros correspond to the slice  $\mathbb{C}_{(t)} \cap V_X$ . Moreover, in this case,  $\mathcal{S}$  is the union of  $n$  parallel planes,  $\pi_j$ , of equation  $\lambda_1 \lambda_2 x + \lambda_2 y + \lambda_1 z - \lambda_2 t_j = 0$ , where  $t_j$  are the roots of  $\wp(t)$ ,  $j = 1, \dots, n$ .

The configuration of such planes  $\pi_j$  is symmetric with respect to the central point  $C = (\frac{1}{2}, 0, 0)$  of the Serre involution. Moreover, according to (2), if  $n$  is odd, one of these planes passes through  $C$ .

A simple example is given by  $(X, L_1, L_2) = (\mathbb{P}^3, \mathcal{O}_{\mathbb{P}^3}(a), \mathcal{O}_{\mathbb{P}^3}(b))$ , for some positive integers  $a, b$ . In this case, for  $x, y, z$  integers, one has

$$p_{(\mathbb{P}^3, L_1, L_2)}(x, y, z) = \chi(xK_X + yL_1 + zL_2) = \chi(\mathcal{O}_{\mathbb{P}^3}(-4x + ay + bz)).$$

Recalling that  $\chi(\mathcal{O}_{\mathbb{P}^3}(t)) = h^0(\mathcal{O}_{\mathbb{P}^3}(t))$  for  $t \gg 0$  and that  $h^0(\mathcal{O}_{\mathbb{P}^3}(t)) = \binom{t+3}{3}$  for  $t \geq 0$ , we have

$$\chi(xK_X + yL_1 + zL_2) = \chi(\mathcal{O}_{\mathbb{P}^3}(t)) = \binom{t+3}{t} = \frac{1}{3!}(t+3)(t+2)(t+1).$$

Thus, the polynomial  $p_{(\mathbb{P}^3, L_1, L_2)}(x, y, z)$ , with  $x, y, z$  complex variables, can be written in the form

$$p_{(\mathbb{P}^3, L_1, L_2)}(x, y, z) = \wp(t) = \frac{1}{3!} \prod_{i=1}^3 (t+i), \quad i = 1, 2, 3,$$

where  $t = -4x + ay + bz$ . Therefore,  $\mathcal{S}$  is the union of three parallel planes  $\mathcal{S} = \pi_1 \cup \pi_2 \cup \pi_3$ , where  $\pi_i : -4x + ay + bz + i = 0$ , for  $i = 1, 2, 3$ , and  $C = (\frac{1}{2}, 0, 0) \in \pi_2$ .

We want to stress the following numerical interpretation of degenerate cases when  $K_X \in \langle L_1, L_2 \rangle \subset \mathbf{N}(X)$ . The equivalences below are a limit case of statements 1) and 2) of Proposition 2.2 and can be regarded as an analog of [3, Lemma 2.4]. Here,  $D = D'$  stands for numerical equivalence of divisors  $D, D' \in \text{Pic}(X) \otimes \mathbb{Q}$ .

**PROPOSITION 2.8.** *Let  $(X, L_1, L_2)$  be a bipolarized  $n$ -fold. Then:*

1.  $d_{j, n-1-j}^2 = d_{j-1, n-1-j} d_{j+1, n-1-j}$  for some  $j$ ,  $1 \leq j \leq n-1$ , if and only if  $K_X = \lambda_1 L_1$  with  $\lambda_1 \in \mathbb{Q}$ .
2.  $d_{n-1-j, j}^2 = d_{n-1-j, j-1} d_{n-1-j, j+1}$  for some  $j$ ,  $1 \leq j \leq n-1$ , if and only if  $K_X = \lambda_2 L_2$  with  $\lambda_2 \in \mathbb{Q}$ .

*Proof.* Indeed, the equality  $d_{j, n-1-j}^2 = d_{j-1, n-1-j} d_{j+1, n-1-j}$  can be rewritten as

$$\begin{aligned} (K_X \cdot L_1^j \cdot L_2^{n-j-1})^2 &= (K_X \cdot L_1 \cdot L_1^{j-1} \cdot L_2^{n-j-1})^2 \\ &= (K_X^2 \cdot L_1^{j-1} \cdot L_2^{n-j-1})(L_1^{j+1} \cdot L_2^{n-j-1}) \\ &= (K_X^2 \cdot L_1^{j-1} \cdot L_2^{n-j-1})(L_1^2 \cdot L_1^{j-1} \cdot L_2^{n-j-1}). \end{aligned}$$

If the above equality occurs for some  $j$ , then a consequence of the Hodge index theorem (see [4, Corollary 2.5.4]) applies to say that there exists a rational number  $\lambda_1$  such that  $K_X$  is numerically equivalent to  $\lambda_1 L_1$ . Similarly,

$d_{n-1-j,j}^2 = d_{n-1-j,j-1} d_{n-1-j,j+1}$  can be rewritten as

$$\begin{aligned} (K_X \cdot L_1^{n-1-j} \cdot L_2^j)^2 &= (K_X \cdot L_2 \cdot L_1^{n-1-j} \cdot L_2^{j-1})^2 \\ &= (K_X^2 \cdot L_1^{n-1-j} \cdot L_2^{j-1})(L_1^{n-1-j} \cdot L_2^{j+1}) \\ &= (K_X^2 \cdot L_1^{n-1-j} \cdot L_2^{j-1})(L_2^2 \cdot L_1^{n-1-j} \cdot L_2^{j-1}). \end{aligned}$$

So, if this equality holds for some  $j$ , then there exists a rational number  $\lambda_2$  such that  $K_X$  is numerically equivalent to  $\lambda_2 L_2$ .

In both cases, a straightforward check proves the converse.  $\square$

### 3. RIEMANN-ROCH FORMULA REVISITED

Let  $X$  be a smooth complex projective variety of dimension  $n$  and let  $D$  be any divisor on  $X$ . Recalling that  $\frac{1}{2}K_X$  is the fixed point of the Serre involution  $s : \mathbf{N}(X) \rightarrow \mathbf{N}(X)$ , it is convenient to write  $D = E + \frac{1}{2}K_X$ . Then the Riemann-Roch theorem provides a very useful expression for  $\chi(D)$  in terms of  $E$  and the Chern classes,  $c_i(X)$ , of  $X$ . Actually, let  $X$  be a smooth curve, *i.e.*,  $n = 1$ . Then

$$\chi(D) = \deg E.$$

If  $X$  is a smooth surface, we get

$$(4) \quad \chi(D) = \frac{1}{2}E^2 + (\chi(\mathcal{O}_X) - \frac{1}{8}K_X^2).$$

Now suppose that  $n = 3$ . The usual expression of the Riemann-Roch theorem for threefolds is

$$(5) \quad \chi(D) = \frac{1}{12}D \cdot (D - K_X) \cdot (2D - K_X) + \frac{1}{12}D \cdot c_2(X) + \chi(\mathcal{O}_X).$$

Hence, letting  $D = E + \frac{1}{2}K_X$  we get

$$\chi(D) = \frac{1}{12}(E + \frac{1}{2}K_X) \cdot (E - \frac{1}{2}K_X) \cdot (2E) + \frac{1}{12}(E + \frac{1}{2}K_X) \cdot c_2(X) + \chi(\mathcal{O}_X).$$

Recalling that (*e.g.* see [5, Ex. 6.7, p. 437])

$$(6) \quad -\frac{1}{24}K_X \cdot c_2(X) = \chi(\mathcal{O}_X),$$

the sum of the last three terms in the above expression is simply  $\frac{1}{12}E \cdot c_2(X)$ . Then

$$\chi(D) = \frac{1}{12}(E^2 - \frac{1}{4}K_X^2) \cdot (2E) + \frac{1}{12}E \cdot c_2(X) = \frac{1}{12}(2E^3 - \frac{1}{2}E \cdot K_X^2 + E \cdot c_2(X)).$$

In conclusion,

$$(7) \quad \chi(D) = \frac{1}{6}E^3 + \frac{1}{24}E \cdot (2c_2(X) - K_X^2).$$

Now suppose that  $n = 4$ . The usual expression of the Riemann-Roch formula for 4-folds is the following

$$\chi(D) = \frac{1}{24}D^4 - \frac{1}{12}D^3 \cdot K_X + \frac{1}{24}D^2 \cdot K_X^2 + \frac{1}{24}c_2(X) \cdot D^2 - \frac{1}{24}c_2(X) \cdot K_X \cdot D + \chi(\mathcal{O}_X).$$

Grouping the first three summands and doing the same for the next two, we can rewrite it as

$$\begin{aligned} \chi(D) &= \frac{1}{24}D^2 \cdot (D^2 - 2D \cdot K_X + K_X^2) + \frac{1}{24}c_2(X) \cdot D \cdot (D - K_X) + \chi(\mathcal{O}_X) \\ &= \frac{1}{24}D^2 \cdot (D - K_X)^2 + \frac{1}{24}c_2(X) \cdot D \cdot (D - K_X) + \chi(\mathcal{O}_X), \end{aligned}$$

and by replacing  $D$  with  $\frac{1}{2}K_X + E$ , we get

$$\chi(D) = \frac{1}{24}(E^2 - \frac{1}{4}K_X^2)^2 + \frac{1}{24}c_2(X) \cdot (E^2 - \frac{1}{4}K_X^2) + \chi(\mathcal{O}_X).$$

In conclusion,

$$(8) \quad \chi(D) = \frac{1}{24}E^4 + \frac{1}{48}(2c_2(X) - K_X^2) \cdot E^2 + \frac{1}{384}(K_X^2 - 4c_2(X)) \cdot K_X^2 + \chi(\mathcal{O}_X).$$

A nice property of all these expressions is that  $\chi(D)$  contains only powers of  $E$  of the same parity as  $n$ . They are very convenient to revisit the theory of the Hilbert curve of a polarized manifold developed in [3], as well as to extend it to the case of multipolarized manifolds. In particular, for a bipolarized manifold, we can construct the Hilbert surface in a parallel way, as follows.

Let  $X$  be a smooth projective variety of dimension  $n$ , let  $L_1$  and  $L_2$  be two ample line bundles on  $X$ , and suppose that the numerical classes of  $K_X$ ,  $L_1$ , and  $L_2$  are linearly independent. Consider the 3-dimensional vector subspace of  $\mathbf{N}(X)$  generated by  $K_X$ ,  $L_1$ ,  $L_2$ . In line with paragraph 2.4 (just with a little change of perspective), we can consider the Hilbert surface  $\mathcal{S} = \mathcal{S}_{(X, L_1, L_2)}$  of  $(X, L_1, L_2)$ , namely, the affine surface  $\mathcal{S} \subset \mathbb{A}^3$  defined by the complexified  $p(x, y, z)$  of the polynomial expression of  $\chi(D)$  given by the Riemann-Roch theorem letting  $D = xK_X + yL_1 + zL_2$  and looking at  $x, y, z$  as complex variables (see (1)). Clearly,  $\mathcal{S}$  has degree  $n$ . Let  $C = (\frac{1}{2}, 0, 0) \in \mathbb{A}^3$  be the central point, corresponding to  $\frac{1}{2}K_X$ , of the Serre involution restricted to the plane  $\langle K_X, L_1, L_2 \rangle$ . Thus, using new variables ( $u = x - \frac{1}{2}, v = y, w = z$ ) centered at  $C$  and writing  $D = E + \frac{1}{2}K_X$  where  $E = uK_X + vL_1 + wL_2$ , we can express the equation of  $\mathcal{S}$  in terms of the coordinates  $u, v, w$ . In these coordinates  $C$  becomes the origin and, by Proposition 1.1(1), it is a center of symmetry for  $\mathcal{S}$ . We refer to

$$(9) \quad f(u, v, w) = p\left(\frac{1}{2} + u, v, w\right) = 0$$

as the *canonical equation* of the Hilbert surface  $\mathcal{S}$ . Moreover, since  $E^k$  is a homogeneous polynomial of degree  $k$  in  $u, v, w$  for any positive integer  $k$ , the polynomial  $f(u, v, w)$  is the sum of homogenous polynomials whose degrees have the same parity as  $n$ . Thus, we can write  $f(u, v, w) = f_n + \cdots + f_0$ , where  $f_i = f_i(u, v, w)$  is homogeneous of degree  $i$  and it is identically zero if  $i$  and  $n$  have different parity. Clearly,  $\bar{\mathcal{S}} \subset \mathbb{P}^3$ , the projective closure of  $\mathcal{S}$ , is defined by the homogeneous polynomial  $f(u, v, w)^{\text{hom}} = f_n + \zeta f_{n-1} + \cdots + \zeta^n f_0$ , where  $\zeta$  is the homogenizing coordinate.

**3.1. Bipolarized surfaces.** Let  $X$  be a smooth projective surface, by-polarized by two ample line bundles  $L_1$  and  $L_2$  such that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . In this case, the Hilbert surface  $\mathcal{S}$  is a quadric surface, since  $\chi(D)$  has degree 2. Moreover, according to (4), the polynomial defining  $\mathcal{S}$  is the sum of  $f_2$ , a homogeneous polynomial of degree two in  $u, v, w$ , and a constant term  $f_0$ . Actually, up to the constant factor  $\frac{1}{2}$ ,  $\mathcal{S}$  is the quadric surface associated to the matrix

$$(10) \quad A = \begin{pmatrix} A_\infty & 0 \\ 0 & a \end{pmatrix},$$

where  $A_\infty$  is the submatrix

$$(11) \quad A_\infty := \begin{pmatrix} K_X^2 & K_X \cdot L & K_X \cdot L_2 \\ K_X \cdot L_1 & L_1^2 & L_1 \cdot L_2 \\ K_X \cdot L_1 & L_1 \cdot L_1 & L_2^2 \end{pmatrix}$$

and  $a = 2\chi(\mathcal{O}_X) - \frac{1}{4}K_X^2$ . Then, if  $C \in \mathcal{S}$ , it is a double point for  $\mathcal{S}$ , namely,  $\mathcal{S}$  is a quadric cone with vertex  $C$ . Note that  $C \in \mathcal{S}$  if and only if  $a = 0$ , i.e.,

$$(12) \quad K_X^2 = 8\chi(\mathcal{O}_X).$$

In [3, §3.5] we listed surfaces satisfying condition (12). However, here we are requiring that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ , which implies that  $X$  has Picard number  $\geq 3$ . In particular this rules out the possibility that  $X$  is a  $\mathbb{P}^1$ -bundle over a curve. Here are two examples.

*Example 3.2.* Let  $X$  be the surface  $\mathbb{P}^1 \times \mathbb{P}^1$  blown-up at a point, let  $E \subset X$  be the exceptional curve of the blowing-up, let  $e'$  and  $f'$  be the fibers of the two rulings of  $\mathbb{P}^1 \times \mathbb{P}^1$ , and denote by  $e$  and  $f$  their total transforms on  $X$  respectively. Clearly,  $K_X = -2(e+f) + E$ ; set  $L_1 = e + 2f - E$ ,  $L_2 = 2e + f - E$  and note that both are ample line bundles. As  $e, f$  and  $E$  generate  $\text{Pic}(X)$  it is immediate to check that the condition  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$  is satisfied. We have  $K_X^2 = 8 - 1 = 7$  and  $\chi(\mathcal{O}_X) = 1$ . Moreover, recalling that  $e^2 = f^2 = 0$ ,  $e \cdot f = 1$ , we get  $K_X \cdot L_1 = K_X \cdot L_2 = -5$ ,  $L_1^2 = L_2^2 = 3$  and  $L_1 \cdot L_2 = 4$ . Therefore the Hilbert surface  $\mathcal{S}$  of  $(X, L_1, L_2)$  is the quadric affine surface defined by the

matrix

$$A = \begin{pmatrix} 7 & -5 & -5 & 0 \\ -5 & 3 & 4 & 0 \\ -5 & 4 & 3 & 0 \\ 0 & 0 & 0 & \frac{1}{4} \end{pmatrix}.$$

Let  $A_\infty$  be the submatrix consisting of the first three rows and columns of  $A$ : then  $\det A_\infty = 1$ , hence  $\det A = \frac{1}{4}$ , so that  $\mathcal{S}$  is smooth. From the complex point of view,  $\mathcal{S}$  is a general affine quadric. On the other hand,  $\det(A_\infty - tI) = -(t+1)(t^2 - 14t - 1)$ , so that the signature of  $A_\infty$  is  $(1, 2)$ , hence from the real point of view  $\mathcal{S}$  is a hyperbolic hyperboloid.

*Example 3.3.* Let  $X$  be the surface obtained by blowing-up  $\mathbb{P}^2$  at three non-collinear points  $p_1, p_2, p_3$ , let  $e_i$  be the exceptional curve corresponding to  $p_i$  and let  $\ell_i$  be the proper transform of the line in  $\mathbb{P}^2$  joining  $p_j$  and  $p_k$ , with  $j, k \neq i$ . Note that  $(X, -K_X)$  is a del Pezzo surface of degree 6, hence  $\ell_1 + \ell_2 + \ell_3 + e_1 + e_2 + e_3 = -K_X$  is ample and  $K_X^2 = 6$ . Clearly,  $\chi(\mathcal{O}_X) = 1$ . Note that  $\ell_i$  too is a  $(-1)$ -curve for  $i = 1, 2, 3$  and  $\ell_i \cdot e_j = 1 - \delta_{ij}$ , where  $\delta_{ij}$  is the Kronecker symbol. Then the line bundles  $L_1 = 2(\ell_1 + \ell_2 + \ell_3) + 2e_1 + 2e_2 + e_3$  and  $L_2 = 2(\ell_1 + \ell_2 + \ell_3) + e_1 + 2e_2 + 2e_3$  are also ample and  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . Moreover,  $L_1^2 = L_2^2 = 19$ ,  $L_1 \cdot L_2 = 20$ , and  $K_X \cdot L_1 = K_X \cdot L_2 = -11$ . Therefore the Hilbert surface  $\mathcal{S}$  of  $(X, L_1, L_2)$  is the affine quadric surface defined by the matrix

$$A = \begin{pmatrix} 6 & -11 & -11 & 0 \\ -11 & 19 & 20 & 0 \\ -11 & 20 & 19 & 0 \\ 0 & 0 & 0 & \frac{1}{2} \end{pmatrix}.$$

Here  $\det A_\infty = 8$ , hence  $\det A = 4$ , so that  $\mathcal{S}$  is smooth. Moreover,  $\det(A_\infty - tI) = -(t+1)(t^2 - 45t - 8)$ , so that the signature of  $A_\infty$  is  $(1, 2)$  again, and we get the same conclusion as before.

Coming back to the general case one can ask whether being a quadric cone with vertex  $C$  is the only possibility for  $\mathcal{S}$  being singular. Note that the matrix  $A_\infty$  in (11) represents the quadratic form  $\varphi$ , obtained by restricting the intersection form on  $X$  to the real 3-dimensional vector subspace  $U \subseteq N(X)$  generated by the classes of  $K_X, L_1, L_2$ . Note that  $\varphi$  is positive definite on the 1-dimensional vector subspace  $\langle L_1 \rangle$  of  $U$ . Then the Hodge index theorem implies that  $\varphi$  has signature  $(1, 2)$  on  $U$ . Therefore  $\det A_\infty > 0$ ; in particular,  $A_\infty$  is non-singular. Thus, for the matrix  $A$  in (10) we have

$$\text{rk}(A) = \text{rk}(A_\infty) + \varepsilon = 3 + \varepsilon, \quad \text{where} \quad \varepsilon = \begin{cases} 0, & \text{if } a = 0 \\ 1, & \text{if } a \neq 0. \end{cases}$$

In conclusion, we have proved the following

**PROPOSITION 3.4.** *Let  $(X, L_1, L_2)$  be a bipolarized surface such that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . Then the associated Hilbert surface  $\mathcal{S} \subset \mathbb{A}^3$  is an irreducible quadric. Moreover, it is singular if and only if it contains  $C$ , in which case  $\mathcal{S}$  is a quadric cone of vertex  $C$ . This happens if and only if  $X$  satisfies condition (12).*

#### 4. BIPOLARIZED THREEFOLDS

Let  $(X, L_1, L_2)$  be a bipolarized 3-fold and let  $\mathcal{S} = V_{(X, L_1, L_2)}$  be the corresponding Hilbert cubic surface. Assume that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . In this section, we provide two expressions for the equation of  $\mathcal{S}$ , and we study some geometrical properties of the surface. In particular, we describe  $\text{Sing}(\mathcal{S})$  when  $\mathcal{S}$  is irreducible, we interpret the central point of the Serre involution as an Eckardt point if non-singular, and we look at the singularities of the curve at infinity of  $\mathcal{S}$ . At the end, we consider the special interesting case of bipolarized threefolds  $X$  in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ , showing the effectiveness of the 1-cycle  $2c_2(X) - K_X^2$ , and discussing an explicit example.

Let  $D := xK_X + yL_1 + zL_2$ , with  $x, y, z$  complex variables. In view of the Riemann-Roch formula for 3-fold (5), the polynomial  $p(x, y, z) := p_{(X, L_1, L_2)}(x, y, z)$  is given by

$$p(x, y, z) = \frac{D \cdot ((x-1)K_X + yL_1 + zL_2) \cdot ((2x-1)K_X + 2(yL_1 + zL_2))}{12} \\ + \frac{1}{12}(xK_X + yL_1 + zL_2) \cdot c_2(X) + \chi(\mathcal{O}_X).$$

Assume that there exist two smooth surfaces  $S_1$  and  $S_2$ , in the linear systems  $|L_1|$  and  $|L_2|$ , respectively. Then the relations

$$(13) \quad \begin{aligned} c_2(X) \cdot L_1 &= e(S_1) - (K_X + L_1) \cdot L_1^2 = e(S_1) - d_{20} - d_{30}, \\ c_2(X) \cdot L_2 &= e(S_2) - (K_X + L_2) \cdot L_2^2 = e(S_2) - d_{02} - d_{03}, \end{aligned}$$

hold true, where  $e(S_i)$  stands for the topological Euler characteristic of  $S_i$ , for  $i = 1, 2$ . Indeed, to compute  $L_1 \cdot c_2(X)$ , consider the exact tangent normal bundle sequence for  $S_1 \subset X$ ,

$$0 \rightarrow T_{S_1} \rightarrow (T_X)_{S_1} \rightarrow L_{1S_1} \rightarrow 0.$$

From the properties of the Chern classes and adjunction formula we get

$$L_1 \cdot c_2(X) = c_2(S_1) = -K_{S_1} \cdot L_{1S_1} = -(K_X + L_1) \cdot L_1^2 + e(S_1).$$

This gives the first relation in (13) since  $d_{30} = L_1^3$ ,  $d_{20} = K_X \cdot L_1^2$ , and  $d_{10} = K_X^2 \cdot L_1$ . The second equality follows similarly, considering the smooth surface  $S_2 \in |L_2|$  (see also [4, §13.1]).

By using relations (13) and (6) we can express the Hilbert polynomial of the bipolarized 3-fold  $(X, L_1, L_2)$  in terms of the bidegrees  $d_{j,k}$ , as

$$\begin{aligned}
 p(x, y, z) = & \frac{1}{6}d_{00}x^3 + \frac{1}{2}d_{10}x^2y + \frac{1}{2}d_{20}xy^2 + \frac{1}{6}d_{30}y^3 + \frac{1}{2}d_{01}x^2z + d_{11}xyz \\
 & + \frac{1}{2}d_{21}y^2z + \frac{1}{2}d_{02}xz^2 + \frac{1}{2}d_{12}yz^2 + \frac{1}{6}d_{03}z^3 - \frac{1}{4}d_{00}x^2 - \frac{1}{2}d_{10}xy \\
 & - \frac{1}{4}d_{20}y^2 - \frac{1}{2}d_{01}xz - \frac{1}{2}d_{11}yz - \frac{1}{4}d_{02}z^2 \\
 & + \left(\frac{1}{12}d_{00} - 2\chi(\mathcal{O}_X)\right)x + \frac{1}{12}(d_{10} - d_{20} - d_{30} + e(S_1))y \\
 & + \frac{1}{12}(d_{01} - d_{02} - d_{03} + e(S_2))z + \chi(\mathcal{O}_X).
 \end{aligned}
 \tag{14}$$

Now, by using the coordinates  $u, v, w$ , we see from (7) that the polynomial defining  $\mathcal{S}$  is the sum of two homogeneous parts, one of degree 3 and one of degree 1. In particular, this shows the known fact that  $\mathcal{S}$  contains the centre  $C$  of the Serre involution; moreover, if  $C$  is a singular point for  $\mathcal{S}$ , then it is a triple point (see Proposition 1.1). This happens if and only if the term  $E \cdot (2c_2(X) - K_X^2)$  is identically zero. Since  $E = uK_X + vL_1 + wL_2$ , this is in turn equivalent to the three “cone conditions”

$$(15) \quad K_X \cdot (2c_2(X) - K_X^2) = L_1 \cdot (2c_2(X) - K_X^2) = L_2 \cdot (2c_2(X) - K_X^2) = 0.$$

Moreover, under the assumption that both the linear systems  $|L_1|, |L_2|$  on  $X$  contain smooth surfaces  $S_1, S_2$  respectively, then the three above conditions rewrite as

$$\begin{aligned}
 (16) \quad d_{00} + 48\chi(\mathcal{O}_X) &= d_{10} + 2d_{20} + 2d_{30} - 2e(S_1) \\
 &= d_{01} + 2d_{02} + 2d_{03} - 2e(S_2) = 0.
 \end{aligned}$$

Indeed,  $K_X \cdot (2c_2(X) - K_X^2) = 0$  is equivalent to  $48\chi(\mathcal{O}_X) + K_X^3 = 0$  by (6). Then relations (16) follow from conditions (15) by simply using relations (13).

Let us come back to the polynomial (14) that defines the Hilbert surface  $\mathcal{S}$ . Direct numerical computations allow to rewrite it in the useful and more expressive form:

$$\begin{aligned}
 f(u, v, w) = & \frac{1}{6}d_{00}u^3 + \frac{1}{2}d_{10}u^2v + \frac{1}{2}d_{20}uv^2 + \frac{1}{6}d_{30}v^3 + \frac{1}{2}d_{01}u^2w + d_{11}uvw \\
 & + \frac{1}{2}d_{21}v^2w + \frac{1}{2}d_{02}uw^2 + \frac{1}{2}d_{12}vw^2 + \frac{1}{6}d_{03}w^3 \\
 & - \frac{1}{24}(d_{00} + 48\chi(\mathcal{O}_X))u - \frac{1}{24}(d_{10} + 2d_{20} + 2d_{30} - 2e(S_1))v \\
 & - \frac{1}{24}(d_{01} + 2d_{02} + 2d_{03} - 2e(S_2))w.
 \end{aligned}
 \tag{17}$$



Recall that  $f(u, v, w) = p(u + \frac{1}{2}, v, w) = 0$  is the canonical equation of  $\mathcal{S}$  (see Section 4). Moreover, whenever the surface  $\mathcal{S}$  is smooth at  $C$ , the linear summand in (17) defines the tangent plane,  $T_C(\mathcal{S})$ , to  $\mathcal{S}$  at  $C$ , that is,

$$T_C(\mathcal{S}) : (d_{00} + 48\chi(\mathcal{O}_X))u + (d_{10} + 2d_{20} + 2d_{30} - 2e(S_1))v \\ + (d_{01} + 2d_{02} + 2d_{03} - 2e(S_2))w = 0.$$

PROPOSITION 4.1. *Let  $(X, L_1, L_2)$  be a bipolarized 3-fold and suppose that the associated Hilbert cubic surface  $\mathcal{S}$  is irreducible. The following facts hold:*

1. *If  $\dim(\text{Sing}(\mathcal{S})) = 1$ , then  $\text{Sing}(\mathcal{S})$  is a line (of double points for  $\mathcal{S}$ ), which contains the central point  $C$  of the Serre involution.*  
*Next suppose that  $\mathcal{S}$  has isolated singularities at most.*
2. *If  $C$  is a singular point of  $\mathcal{S}$ , then it is a triple point and  $\mathcal{S}$  cannot have further singular points.*
3. *Suppose that  $C$  is a smooth point of  $\mathcal{S}$ : if  $\mathcal{S}$  is singular, then it has exactly two double points which are symmetric with respect to  $C$ .*

*Proof.* 1) is obvious: the general hyperplane section, which is irreducible, is a singular plane cubic, hence with a single singular point. Therefore the 1-dimensional singular locus has degree one, *i.e.*, it is a line. Moreover it has to contain  $C$ , due to the symmetry.

2) The point  $C$  is of multiplicity three by Proposition 1.1. Suppose that  $\mathcal{S}$  contains another singular point, say  $P$ . Then every plane containing the line  $\langle C, P \rangle$  would cut  $\mathcal{S}$  along a plane cubic with a triple point at  $C$  and a further singular point at  $P$ , which is impossible.

3) Suppose that  $P$  is a singular point of  $\mathcal{S}$ . Then  $P'$ , the symmetric of  $P$  with respect to  $C$ , is also a singular point. Let  $\ell = \langle P, P' \rangle$ . Clearly,  $\ell \subset \mathcal{S}$ . The tangent plane  $T_C(\mathcal{S})$  to  $\mathcal{S}$  at  $C$  cuts out on  $\mathcal{S}$  a plane cubic which is singular at  $P$ ,  $P'$  and at the tangency point  $C$ . But  $T_C(\mathcal{S})$  contains  $\ell$ , since  $\ell \subset \mathcal{S}$ . Then, such a cubic must necessarily be of the form  $2\ell + \ell'$ , where  $\ell'$  is another line through  $C$ , due to the symmetry. Now, suppose that  $\mathcal{S}$  contains another singular point, say  $Q$ . Clearly  $Q$  cannot lie on  $\ell$  (by the same argument as in 2)). Moreover, also its symmetric point  $Q'$  with respect to  $C$  is singular for  $\mathcal{S}$ . Letting  $\lambda := \langle Q, Q' \rangle$  and arguing as before, we thus see that  $T_C(\mathcal{S})$  contains the quartic  $2\ell + 2\lambda$ , which is impossible.  $\square$

The following can be viewed as an analogue of the fact that for the Hilbert curve of a general polarized threefold, the central point  $C$  of the Serre involution is a flex (see [3, Remark 4.6]). See *e.g.*, [1, p. 345]) for more on Eckardt points, and Example 4.8 for a further instance.

PROPOSITION 4.2. *Let  $(X, L_1, L_2)$  be a bipolarized threefold as above, and let  $\mathcal{S}$  be its Hilbert surface. Suppose that the central point  $C$  of the Serre involution is a non-singular point of  $\mathcal{S}$ . Then  $C$  is an Eckardt point of  $\mathcal{S}$ .*

*Proof.* With the notation as in Section 3, let  $f = f(u, v, w) = f_1(u, v, w) + f_3(u, v, w) = 0$  be the canonical equation of  $\mathcal{S}$  where  $f_j = f_j(u, v, w)$  is the homogeneous polynomial of degree  $j$ ,  $j = 1, 3$ , appearing in (17). Since  $f_1 = 0$  is the equation of the tangent plane  $T_C(\mathcal{S})$  to  $\mathcal{S}$  at  $C$ . It follows that the plane cubic curve  $\gamma =: \mathcal{S} \cap T_C(\mathcal{S})$  is described by  $f_3 = f_1 = 0$ . This implies that  $\gamma$  consists of three coplanar lines meeting at  $C$ . For instance, if  $f_1 = u - av - bw = 0$ , then projecting  $\gamma$  onto the plane  $u = 0$ , we get the plane cubic curve  $f_3(0, v, w) = 0$ , which has a triple point at  $(v, w) = (0, 0)$ , since  $f_3$  is homogeneous of degree 3. Thus, the same holds for  $\gamma$  as well. We then conclude that  $C$  is an Eckardt point of  $\mathcal{S}$ .  $\square$

4.3. **Singular points at infinity.** Let  $\mathcal{S} \subset \mathbb{A}^3_{(u,v,w)}$  be the Hilbert cubic surface of a bipolarized threefold  $(X, L_1, L_2)$  satisfying the condition  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$  and let  $\bar{\mathcal{S}} \subset \mathbb{P}^3_{[u,v,w,\zeta]}$  be its projective closure, where  $\zeta$  denotes the homogenizing coordinate. Then the curve at infinity of  $\mathcal{S}$  is the cubic  $\gamma_\infty := \bar{\mathcal{S}} \cap \pi_\infty$ , defined by  $f_3 = \frac{1}{6}E^3 = 0$  in the plane at infinity  $\pi_\infty$  of equation  $\zeta = 0$  (see equation (7)). A natural question suggested by [3, Lemma 3.2] is: what about singularities of  $\gamma_\infty$ ? Using Greek letters for the coefficients,  $\gamma_\infty$  is described by the homogeneous equation

$$6f_3 = E^3 = \alpha u^3 + \beta v^3 + \gamma w^3 + \delta u^2v + \varepsilon u^2w + \varphi uv^2 + \psi uw^2 + \lambda v^2w + \mu vw^2 + \nu uvw = 0$$

together with  $\zeta = 0$ . Since  $E = uK_X + vL_1 + wL_2$ , then  $\alpha, \beta, \dots, \nu$  are nothing but the coefficients appearing in the expression

$$\begin{aligned} E^3 &= K_X^3 u^3 + L_1^3 v^3 + L_2^3 w^3 + 3K_X^2 \cdot L_1 u^2v + 3K_X^2 \cdot L_2 u^2w \\ (18) \quad &+ 3K_X \cdot L_1^2 uv^2 + 3K_X \cdot L_2^2 uw^2 + 3L_1^2 \cdot L_2 v^2w \\ &+ 3L_1 \cdot L_2^2 vw^2 + 6K_X \cdot L_1 \cdot L_2 uvw. \end{aligned}$$

In the affine chart outside the line  $u = 0$ , by using  $v$  and  $w$  again as affine coordinates, we have to deal with the plane curve of equation

$$g := g(v, w) = \beta v^3 + \gamma w^3 + \lambda v^2w + \mu vw^2 + \varphi v^2 + \psi w^2 + \nu vw + \delta v + \varepsilon w + \alpha = 0.$$

Suppose that  $\gamma_\infty$  has a triple point  $(v, w)$ . A direct numerical check, computing the derivatives, shows that the system  $g = g_v = g_w = g_{vv} = g_{vw} = g_{ww} = 0$  translates into the following set of relations between coefficients and solutions:

$$\begin{aligned} \alpha &= -\beta v^3 - \gamma w^3 - \mu vw^2 - \lambda v^2w, \\ \delta &= 3\beta v^2 + \mu vw^2 + 2\lambda v^2w, \end{aligned}$$

$$\begin{aligned}
\varepsilon &= 3\gamma w^2 + \lambda v^2 + 2\mu vw, \\
\varphi &= -3\beta v - \lambda w, \\
\psi &= -3\gamma w - \mu v, \\
\nu &= -2\lambda v - 2\mu w.
\end{aligned}$$

In particular, looking at the last three relations we see that our curve admits a triple point (outside the line  $u = 0$ ) if and only if the linear system

$$\begin{cases} 3\beta v + \lambda w &= -\varphi \\ \mu v + 3\gamma w &= -\psi \\ 2\lambda v + 2\mu w &= -\nu \end{cases}$$

admits some solution. Taking into account relation (18), this implies that in the matrix

$$(\mathcal{A} \mid b) = \begin{pmatrix} L_1^3 & L_1^2 \cdot L_2 & -K_X \cdot L_1^2 \\ L_1 \cdot L_2^2 & L_2^3 & -K_X \cdot L_2^2 \\ L_1^2 \cdot L_2 & L_1 \cdot L_2^2 & -K_X \cdot L_1 \cdot L_2 \end{pmatrix},$$

where  $b$  denotes the last column, the submatrix  $\mathcal{A}$  has rank  $\leq 2$ . Note that  $\text{rk}(\mathcal{A}) \geq 1$ , since  $L$  is ample. Moreover, equality occurs if and only if  $(L_1^3)(L_2^3) = (L_1 \cdot L_2^2)(L_1^2 \cdot L_2)$  and  $(L_1^3)(L_1 \cdot L_2^2) = (L_1^2 \cdot L_2)^2$ . Suppose that there exists a smooth surface  $S \in |L_1|$ . Then the latter equality can be rewritten as  $(L_1 S^2)(L_2 S^2) = (L_1 S \cdot L_2 S)^2$ , which implies that  $\text{rk}\langle L_1, L_2 \rangle = 1$  by the Hodge index theorem, combined with the injectivity of the restriction map  $\text{Pic}(X) \rightarrow \text{Pic}(S)$  due to the Lefschetz theorem. But this contradicts our assumption that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . Therefore

$$(19) \quad \text{rk}(\mathcal{A}) = 2.$$

It thus follows that the linear system above admits a solution  $(v, w)$  if and only if

$$\begin{vmatrix} L_1^3 & L_1^2 \cdot L_2 & -K_X \cdot L_1^2 \\ L_1 \cdot L_2^2 & L_2^3 & -K_X \cdot L_2^2 \\ L_1^2 \cdot L_2 & L_1 \cdot L_2^2 & -K_X \cdot L_1 \cdot L_2 \end{vmatrix} = 0.$$

Moreover, the solution is unique by (19), *i.e.*, there is a single triple point. Note that a similar argument works also on the affine charts outside the lines  $v = 0$  and  $w = 0$ , due to the condition  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ , even though the coefficients are exchanged. We have only to require that there is a smooth surface in  $|L_2|$ . In particular, this proves the following fact, that can be regarded as an analogue of [3, Lemma 3.2] for the Hilbert curve of a polarized threefold.

**PROPOSITION 4.4.** *Let  $(X, L_1, L_2)$  be a bipolarized threefold with  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$  and suppose that both the linear systems  $|L_1|$  and  $|L_2|$  contain a smooth surface. Then the curve at infinity of the Hilbert surface cannot be a line with multiplicity three.*

**4.5. Bipolarized 3-folds in  $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ .** Let  $P := \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ , let  $p_i : P \rightarrow \mathbb{P}^1$  be the  $i$ -th projection, and set  $A_i = p_i^* \mathcal{O}_{\mathbb{P}^1}(1)$ . Let  $H := \mathcal{O}_P(1, 1, 1, 1) = \sum_{i=1}^4 A_i$ . Clearly,  $K_P = -2H$ .

For simplicity of notation, we will omit from now on the dot symbol “.” when intersecting the pullback divisors  $A_i$ ’s. Note that  $A_i^2 = 0$  for every  $i$ , while  $A_1 A_2 A_3 A_4 = 1$ . In particular, this gives

$$\begin{aligned} H^2 &= 2 \sum_{i < j} A_i A_j \quad (6 \text{ summands}), \\ H^3 &= 6 \sum_{i < j < k} A_i A_j A_k \quad (4 \text{ summands}), \\ H^4 &= 24(A_1 A_2 A_3 A_4) = 24. \end{aligned}$$

Now, let  $X \subset P$  be a connected smooth threefold. Then  $X \in |\mathcal{O}_P(a_1, a_2, a_3, a_4)|$  for some non-negative integers  $a_i$ , not all zeroes; moreover, the connectedness requirement implies that if  $a_i = 0$  for three indices, then  $a_j = 1$  for the remaining index  $j$ . We point out the following fact.

**PROPOSITION 4.6.** *Let  $X \subset P$  be any smooth connected threefold as above. Then  $2c_2(X) - K_X^2$  is an effective 1-cycle. Moreover, it is nontrivial unless  $(a_1, a_2, a_3, a_4) = (0, 0, 0, 1)$ , up to reordering, i.e., unless  $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ .*

*Proof.* Let us compute the explicit expression of  $2c_2(X) - K_X^2$ . As  $K_P = -2H$ , we get by adjunction  $K_X = (\sum_{i=1}^4 (a_i - 2)A_i)_X$ , so that

$$K_X^2 = 2 \left( \sum_{i < j} (a_i - 2)(a_j - 2)A_i A_j \right)_X.$$

To compute  $c_2(X)$  we proceed as follows. The tangent-normal bundle sequence of  $X \subset P$  is

$$0 \rightarrow T_X \rightarrow (T_P)_X \rightarrow \mathcal{O}_X(X) \rightarrow 0,$$

where  $\mathcal{O}_X(X) =: [X]_X$  denotes the normal bundle, since  $X$  is a divisor inside  $P$ . From the relation between the Chern polynomials

$$c((T_P)_X; t) = (1 + c_1(X)t + c_2(X)t^2 + c_3(X)t^3)(1 + [X]_X t)$$

we get

$$(20) \quad c_2(X) = c_2((T_P)_X) - c_1(X) \cdot [X]_X.$$

Clearly  $c_1(X) = -K_X = -(\sum_{i=1}^4 (a_i - 2)A_i)_X$ . On the other hand, since  $P$  is the product of four copies of  $\mathbb{P}^1$ , we have  $T_P = \oplus_i p_i^* T_{\mathbb{P}^1}$ , hence

$$c(T_P; t) = \prod_{i=1}^4 (1 + 2A_i t) = 1 + 2 \left( \sum_i A_i \right) t + 4 \left( \sum_{i < j} A_i A_j \right) t^2 + \cdots.$$

Thus,  $c_2(T_P) = 4 \sum_{i < j} A_i A_j = 2H^2$ , so that (20) gives

$$\begin{aligned} c_2(X) &= 4 \left( \sum_{i < j} A_i A_j \right)_X + \left( \sum_{i=1}^4 (a_i - 2) A_i \right)_X \left( \sum_{i=1}^4 a_j A_j \right)_X \\ &= \left( 4 \sum_{i < j} A_i A_j + 2 \sum_{i < j} (a_i a_j - a_i - a_j) A_i A_j \right)_X \\ &= 2 \left( \sum_{i < j} (a_i a_j - a_i - a_j + 2) A_i A_j \right)_X. \end{aligned}$$

Therefore,

$$(21) \quad 2c_2(X) - K_X^2 = 2 \left( \sum_{i < j} a_i a_j A_i A_j \right)_X.$$

This is always an effective 1-cycle, since  $a_i \geq 0$  for every  $i$ . Moreover, it is trivial if and only if  $a_i a_j = 0$  for every pair  $(i, j)$  with  $i < j$ . This happens if and only if three of the  $a_i$ 's are zeroes, but in this case, as observed before, the connectedness of  $X$  implies that the remaining degree is 1. This is enough to conclude.  $\square$

Now, let  $L_1$  and  $L_2$  be any two ample line bundles on  $X$  such that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . If  $X$  is as in the exceptional case of Proposition 4.6, then  $(2c_2(X) - K_X^2) \cdot E = 0$  for any  $E = uK_X + vL_1 + wL_2$ , i.e., the linear term  $f_1$  in the equation (17) of the Hilbert surface  $\mathcal{S}$  is identically zero. This means that  $C$  is a singular point of  $\mathcal{S}$  of multiplicity 3. However, we know that  $\mathcal{S}$  is in fact reducible into three planes passing through  $C$ , since  $X$  is a product of three factors. Apart from this case,  $2c_2(X) - K_X^2$  is an effective non trivial 1-cycle, hence it has positive intersection with any ample line bundle on  $X$ . This implies that  $f_1$  is not identically zero, hence  $C$  is a smooth point of  $\mathcal{S}$ . This proves the following result.

**COROLLARY 4.7.** *Let  $(X, L_1, L_2)$  be any bipolarized threefold with  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . If  $X \subset P$ , then the Hilbert surface  $\mathcal{S}$  can never be an irreducible cone.*

Thus, in order to produce an example in which  $\mathcal{S}$  is an irreducible cone we have to look for a threefold  $X$  not in  $P$ .

In fact one can expect that  $\mathcal{S}$  is a smooth surface for a general multidegree  $(a_1, a_2, a_3, a_4)$  and general  $L_1$  and  $L_2$ . Here is an example.

*Example 4.8.* Let  $P, H$  be as in paragraph 4.5 and let  $X \in |H|$ , i.e.,  $a_1 = a_2 = a_3 = a_4 = 1$ . From the exact sequence

$$0 \rightarrow \mathcal{O}_X(-H) \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_X \rightarrow 0,$$

we get  $\chi(\mathcal{O}_X) = \chi(\mathcal{O}_P) - \chi(-H) = \chi(\mathcal{O}_P) = 1$ , since  $h^j(-H) = 0$  for  $j \leq 3$ , and  $h^4(-H) = h^0(K_P + H) = h^0(-H) = 0$  by Serre duality. We have  $K_X = (K_P + H)_X = -H_X$ , by adjunction. Therefore  $K_X^3 = -H_X^3 = -H^4 = -24$ . In particular,

$$48\chi(\mathcal{O}_X) + K_X^3 = 24,$$

showing that condition  $48\chi(\mathcal{O}_X) + K_X^3 = 0$  is not satisfied. This is enough to grant that for any bipolarization we fix on  $X$  the corresponding Hilbert surface is not a cubic cone (see conditions (15) and (16)). Actually, the linear term  $f_1$  in the equation of  $\mathbb{S}$  is not identically zero. Note that  $H$  is ample, hence  $\text{Pic}(P) \cong \text{Pic}(X)$  under the restriction homomorphism, by the Lefschetz theorem. Set, *e.g.*,  $L_1 = \mathcal{O}_X(2, 1, 1, 1)$  and  $L_2 = \mathcal{O}_X(1, 1, 1, 3)$ . Then the basic condition  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$  is clearly satisfied. To compute intersection indices note that  $L_1 = (A_1 + H)_X$  and  $L_2 = (H + 2A_4)_X$ . By using [10], we find:

$$\begin{array}{llll} d_{30} & = & L_1^3 & = & (A_1 + H)^3 \cdot H & = & 42 \\ d_{03} & = & L_2^3 & = & (H + 2A_4)^3 \cdot H & = & 60 \\ d_{10} & = & K_X^2 \cdot L_1 & = & H^2 \cdot (A_1 + H) \cdot H & = & 30 \\ d_{01} & = & K_X^2 \cdot L_2 & = & H^2 \cdot (H + 2A_4) \cdot H & = & 36 \\ d_{20} & = & K_X \cdot L_1^2 & = & -H \cdot (A_1 + H)^2 \cdot H & = & -36 \\ d_{02} & = & K_X \cdot L_2^2 & = & -H \cdot (H + 2A_4)^2 \cdot H & = & -48 \\ d_{21} & = & L_1^2 \cdot L_2 & = & (A_1 + H)^2 \cdot (H + 2A_4) \cdot H & = & 56 \\ d_{12} & = & L_1 \cdot L_2^2 & = & (A_1 + H) \cdot (H + 2A_4)^2 \cdot H & = & 62 \\ d_{11} & = & K_X \cdot L_1 \cdot L_2 & = & -H^2 \cdot (A_1 + H) \cdot (H + 2A_4) & = & -46. \end{array}$$

On the other hand,  $2c_2(X) - K_X^2 = H_X^2$  by (21), and this allows us to compute the terms (to get the equalities on the first line we use the relation (6))

$$\begin{array}{llll} K_X \cdot (2c_2(X) - K_X^2) & = & -H_X^3 = -H^4 & = & -24; \\ L_1 \cdot (2c_2(X) - K_X^2) & = & (A_1 + H) \cdot H^3 & = & 30; \\ L_2 \cdot (2c_2(X) - K_X^2) & = & (H + 2A_4) \cdot H^3 & = & 36. \end{array}$$

Finally, set  $E = uK_X + vL_1 + wL_2$ , consider  $D := E + \frac{1}{2}K_X$ , and recall the expression of  $\chi(D)$  provided by (7),

$$\chi(\mathcal{O}_X(D)) = \frac{1}{6}E^3 + \frac{1}{24}E \cdot (2c_2(X) - K_X^2),$$

where  $E^3$  is as in (18).

Now we have all we need to write the equation of the Hilbert cubic surface  $\mathbb{S}$  of our bipolarized threefold  $(X, L_1, L_2)$  explicitly. Actually,  $\mathbb{S}$  is defined by the equation

$$\begin{aligned} & -4u^3 + 7v^3 + 10w^3 + 15u^2v + 18u^2w - 18uv^2 \\ & -24uw^2 + 28v^2w + 26vw^2 - 46uvw - u + \frac{5}{4}v + \frac{3}{2}w = 0. \end{aligned}$$

A check carried out by using [10] proves that  $\mathcal{S}$  is smooth. In particular, it follows from what we said in the general case that  $C$  is an Eckardt point of  $\mathcal{S}$  (see Proposition 4.2).

We note that the coefficients of  $v$  and  $w$  in the above expression are not integral. This fact, however, should not be surprising since  $D = \frac{1}{2}K_X + E$  and  $K_X$  belong to  $\text{Pic}(X)$ , while  $E$  doesn't. Recalling that  $K_X = -H_X = (-\sum_{i=1}^4 A_i)_X$ ,  $L_1 = (A_1 + H)_X$ ,  $L_2 = (H + 2A_4)_X$ , we have

$$\begin{aligned} D &= \left(u + \frac{1}{2}\right)K_X + vL_1 + wL_2 \\ &= \left(-u + 2v + w + \frac{1}{2}\right)A_{1X} + \left(-u + v + w - \frac{1}{2}\right)(A_2 + A_3)_X + \left(-u + v + 2w - \frac{1}{2}\right)A_{4X}. \end{aligned}$$

So, the only condition is that the cubic polynomial above takes integral values when the four coefficients of the  $A_{iX}$  are integers.

## 5. HILBERT QUARTIC CURVES

In this section, we come back to Hilbert curves, studied in [3]. We discuss several examples and, in particular, we produce quartic Hilbert curves of polarized 4-folds having each possible genus.

Let's start considering a bipolarized 4-fold  $(X, L_1, L_2)$  and let  $\mathcal{S} = V_{(X, L_1, L_2)}$  be the corresponding Hilbert quartic surface. Assume that  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$ . In this section we deal with the general case when  $\mathcal{S}$  is irreducible. Recall from Section 3 that the equation of the corresponding Hilbert quartic surface  $\mathcal{S}$  (in coordinates  $(u, v, w)$  centered in  $C$ ) is given by (9), namely,

$$f(u, v, w) = f_4 + f_2 + f_0 = 0,$$

the homogeneous parts of  $f$  of the various degree being

$$f_4 = \frac{1}{24}E^4, f_2 = \frac{1}{48}(2c_2(X) - K_X^2) \cdot E^2, \text{ and } f_0 = \frac{1}{384}(K_X^4 - 4c_2(X) \cdot K_X^2) + \chi(\mathcal{O}_X),$$

where  $E = uK_X + vL_1 + wL_2$ . Note that  $f_0$  is the constant term depending only on  $X$ .

The following class of examples provides quartic Hilbert surfaces with isolated singularities. Essentially, this is in line with the contents of Section 4, except for the fact that here  $n = 4$ , and it is the key to provide examples of quartic Hilbert curves we are looking for (see Example 5.6).

*Example 5.1.* Let  $P = \mathbb{P}^2 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ ; let  $p_1 = P \rightarrow \mathbb{P}^2$  with  $p_i : P \rightarrow \mathbb{P}^1$  the  $i$ -th projection; let  $A_1 = p_1^*(\mathcal{O}_{\mathbb{P}^2}(1))$ ; let  $A_i = p_i^*(\mathcal{O}_{\mathbb{P}^1}(1))$ ,  $i = 2, 3, 4$ ; and set  $H = \mathcal{O}_P(k, h, 1, 1)$ , where  $h$  and  $k$  are positive integers. Let  $X$  be a

smooth element of  $|H|$ . Note that  $A_i^2 = 0$  for  $i = 2, 3, 4$ , and  $A_1^3 = 0$ , while  $A_1^2 A_2 A_3 A_4 = 1$ . Now consider the ample line bundles  $L_1 := \mathcal{O}_X(1, 1, 1, 2)$  and  $L_2 := \mathcal{O}_X(3, 1, 1, 1)$ .

Recall that  $\text{Pic}(P) \cong \text{Pic}(X)$  under the restriction homomorphism, by the Lefschetz theorem. By the choice of  $L_1, L_2$ , we see that the basic condition  $\text{rk}\langle K_X, L_1, L_2 \rangle = 3$  is satisfied.

To compute the coefficients of  $f_2(u, v, w)$ , the part of degree two of the canonical equation of  $\mathcal{S}$ , it is necessary to compute the explicit expression of  $2c_2(X) - K_X^2$ . As  $K_P = \mathcal{O}_P(-3, -2, -2, -2)$ , we get by adjunction

$$K_X = (K_P + X)_X = \mathcal{O}_X(k - 3, h - 2, -1, -1),$$

hence

$$K_X^2 = (\mathcal{O}_X(k - 3, h - 2, -1, -1))^2 = (((k - 3)A_1 + (h - 2)A_2 - A_3 - A_4)^2)_X.$$

To compute  $c_2(X)$  we proceed as follows. The tangent-normal bundle sequence of  $X \subset P$  is

$$0 \rightarrow T_X \rightarrow (T_P)_X \rightarrow \mathcal{O}_X(X) \rightarrow 0,$$

where  $\mathcal{O}_X(X) =: [X]_X$  is the normal bundle, since  $X$  is a divisor inside  $P$ . From the relation between the Chern polynomials

$$c((T_P)_X; t) = (1 + c_1(X) + c_2(X)t^2 + c_3(X)t^3 + c_4(X)t^4)(1 + [X]_X t)$$

we get

$$(22) \quad c_2(X) = c_2((T_P)_X) - c_1(X) \cdot [X]_X.$$

Clearly,

$$c_1(X) = -K_X = -((k - 3)A_1 + (h - 2)A_2 - A_3 - A_4)_X,$$

and  $[X]_X = (hA_1 + kA_2 + A_3 + A_4)_X$ . On the other hand, since  $P$  is the product of  $\mathbb{P}^2$  and three copies of  $\mathbb{P}^1$ , we have  $T_P = p_1^*T_{\mathbb{P}^2} \oplus_i p_i^*T_{\mathbb{P}^1}$ . Then

$$c(T_P; t) = (1 + 3A_1t + 3A_1^2t^2)(1 + 2A_2t)(1 + 2A_3t)(1 + 2A_4t),$$

so that

$$c_2(T_P) = 3A_1^2 + 6(A_1A_2 + A_1A_3 + A_1A_4) + 4(A_2A_3 + A_2A_4 + A_3A_4).$$

In conclusion, (22) gives the expression

$$(23) \quad \begin{aligned} c_2(X) &= [3A_1^2 + 6(A_1A_2 + A_1A_3 + A_1A_4) + 4(A_2A_3 + A_2A_4 + A_3A_4) \\ &\quad - ((3 - k)A_1 + (2 - h)A_2 + A_3 + A_4)(kA_1 + hA_2 + A_3 + A_4)]_X \\ &= [A_1^2(k^2 - 3k + 3) + A_1A_2(2hk - 3h - 2k + 6) + 3(A_1A_3 + A_1A_4) \\ &\quad + 2(A_2A_3 + A_2A_4 + A_3A_4)]_X. \end{aligned}$$



Therefore,

$$\begin{aligned}
2c_2(X) - K_X^2 &= [2(A_1^2(k^2 - 3k + 3) + A_1A_2(2hk - 3h - 2k + 6) \\
&\quad + 3(A_1A_3 + A_1A_4) + 2(A_2A_3 + A_2A_4 + A_3A_4)) \\
&\quad - ((k - 3)A_1 + (h - 2)A_2 - A_3 - A_4)^2]_X \\
&= [(k^2 - 3)A_1^2 + 2khA_1A_2 + 2kA_1A_3 + 2kA_1A_4 + 2hA_2A_3 \\
&\quad + 2hA_2A_4 + 2hA_3A_4 + 2A_3A_4]_X.
\end{aligned}$$

We may note that the cycle  $2c_2(X) - K_X^2$  is always an effective 2-cycle for  $k > 1$ , since in that case each coefficient in the last expression above is non-negative (compare with Proposition 4.6).

Following the same approach as in Section 4, set  $E = uK_X + vL_1 + wL_2$ , consider  $D = E + \frac{1}{2}K_X$ , and recall the expression of  $\chi(D)$  provided by (8),

$$\chi(D) = \frac{1}{24}E^4 + \frac{1}{48}(2c_2(X) - K_X^2) \cdot E^2 + \frac{1}{384}(K_X^2 - 4c_2(X)) \cdot K_X^2 + \chi(\mathcal{O}_X).$$

We have all we need to write the canonical equation  $f(u, v, w) = 0$  of the Hilbert cubic surface  $\mathcal{S}$  of our bipolarized 4-fold  $(X, L_1, L_2)$  explicitly.

Note that  $\chi(\mathcal{O}_X) = 1$ . Indeed,  $\chi(\mathcal{O}_X) = \chi(\mathcal{O}_P) - \chi(-H)$ , and  $\chi(-H) = h^0(K_P + H) = h^0(\mathcal{O}_P(-3 + k, -2 + h, -1, -1)) = 0$ .

We compute all the other coefficients of the Hilbert polynomial by using [10]. Setting  $\alpha := 2c_2(X) - K_X^2$ , we have (to calculate the third and the second summand of  $\chi(D)$ ):

$$\begin{aligned}
\alpha \cdot K_X^2 &= -24 + 72k + 60h - 12k^2h \\
\alpha \cdot K_X \cdot L_1 &= 24 - 30k - 18h - 18k^2 + 18k^2h - 54kh \\
\alpha \cdot K_X \cdot L_2 &= 18 - 54k - 54h - 12k^2 + 12k^2h - 36kh \\
\alpha \cdot L_1^2 &= -18 + 12k - 6h + 18k^2 + 12k^2h + 36kh \\
\alpha \cdot L_2^2 &= -12 + 36k + 48h + 12k^2 + 6k^2h + 72kh \\
\alpha \cdot L_1 \cdot L_2 &= -15 + 24k + 9h + 15k^2 + 9k^2h + 66kh.
\end{aligned}$$

Moreover, for the bidegrees  $d_{j,k}$  we need to calculate the  $E^4$  term, we find

$$\begin{aligned}
d_{00} &= K_X^4 &= 432 - 144k - 108h + 12k^2h \\
d_{10} &= K_X^3 \cdot L_1 &= -288 + 30k + 18h + 18k^2 - 18k^2h + 54kh \\
d_{01} &= K_X^3 \cdot L_2 &= -378 + 54k + 54h + 12k^2 - 12k^2h + 36kh \\
d_{03} &= K_X \cdot L_2^3 &= -270 - 54k - 54h + 6k^2 + 36kh \\
d_{12} &= K_X \cdot L_1 \cdot L_2^2 &= -210 - 64k - 60h + 8k^2 + 40kh \\
d_{21} &= K_X \cdot L_1^2 \cdot L_2 &= -138 - 66k - 54h + 10k^2 + 36kh \\
d_{30} &= K_X \cdot L_1^3 &= -78 - 60k - 36h + 24kh + 12k^2
\end{aligned}$$

$$\begin{aligned}
d_{04} &= L_2^4 &= 216 + 72k + 108h \\
d_{13} &= L_1 \cdot L_2^3 &= 171 + 78k + 99h \\
d_{22} &= L_1^2 \cdot L_2^2 &= 118 + 76k + 74h \\
d_{31} &= L_1^3 \cdot L_2 &= 69 + 66k + 45h \\
d_{40} &= L_1^4 &= 36 + 48k + 24h \\
d_{02} &= K_X^2 \cdot L_2^2 &= 324 + 12k - 12k^2 + 6k^2h - 48kh \\
d_{11} &= K_X^2 \cdot L_1 \cdot L_2 &= 249 + 28k + 21h - 17k^2 + 9k^2h - 58kh \\
d_{20} &= K_X^2 \cdot L_1^2 &= 158 + 44k + 34h - 22k^2 + 12k^2h - 60kh.
\end{aligned}$$

Thus, the projective closure  $\bar{\mathcal{S}} \subset \mathbb{P}_{[u,v,w,\zeta]}^3$  of the Hilbert surface  $\mathcal{S}$  of  $(X, L_1, L_2)$  has equation

$$\begin{aligned}
f(u, v, w)^{\text{hom}} &= \frac{1}{24}(432 - 144k - 108h + 12k^2h)u^4 + \frac{1}{24}(36 + 48k + 24h)v^4 \\
&+ \frac{1}{24}(216 + 72k + 108h)w^4 \\
&+ \frac{1}{6}(-288 + 30k + 18h - 18k^2h + 18k^2 + 54kh)u^3v \\
&+ \frac{1}{6}(-378 + 54k + 54h - 12k^2h + 12k^2 + 36kh)u^3w \\
&+ \frac{1}{6}(-78 - 60k - 36h + 12k^2 + 24kh)uv^3 \\
&+ \frac{1}{6}(-270 - 54k - 54h + 6k^2 + 36kh)uw^3 \\
&+ \frac{1}{6}(69 + 66k + 45h)v^3w + \frac{1}{6}(171 + 78k + 99h)vw^3 \\
&+ \frac{1}{4}(158 + 44k + 34h + 12k^2h - 22k^2 - 60kh)u^2v^2 \\
&+ \frac{1}{4}(324 + 12k + 6k^2h - 12k^2 - 48kh)u^2w^2 + \frac{1}{4}(118 + 76k + 74h)v^2w^2 \\
&+ \frac{1}{2}(249 + 28k + 21h + 9k^2h - 17k^2 - 58kh)u^2vw \\
&+ \frac{1}{2}(-138 - 66k - 54h + 10k^2 + 36kh)uv^2w \\
&+ \frac{1}{2}(-210 - 64k - 60h + 8k^2 + 40kh)uvw^2 \\
&+ \frac{1}{48}(-24 + 72k + 60h - 12k^2h)u^2\zeta^2 \\
&+ \frac{1}{48}(-18 + 12k - 6h + 12k^2h + 18k^2 + 36kh)v^2\zeta^2 \\
&+ \frac{1}{48}(-12 + 36k + 48h + 6k^2h + 12k^2 + 72kh)w^2\zeta^2
\end{aligned}$$

$$\begin{aligned}
& + \frac{1}{24}(24 - 30k - 18h + 18k^2h - 18k^2 - 54kh)uv\zeta^2 \\
& + \frac{1}{24}(18 - 54k - 54h + 12k^2h - 12k^2 - 36kh)uw\zeta^2 \\
& + \frac{1}{24}(-15 + 24k + 9h + 9k^2h + 15k^2 + 66kh)vw\zeta^2 - \frac{1}{32}h\zeta^4 + \frac{1}{32}k^2h\zeta^4 = 0.
\end{aligned}$$

For example, for  $(h, k) = (2, 2)$ , it turns out that the surface  $\bar{\mathcal{S}}$  has seven double points:

$$\left[\frac{3}{2}, 0, 1, \pm 1\right], \quad \left[\frac{3}{2}, 1, 0, \pm 1\right], \quad [1, 1, 0, 0], \quad [5, 2, 1, 0], \quad [2, -1, 1, 0].$$

The first four of them belong to the affine Hilbert surface  $\mathcal{S}$  and are symmetric with respect to  $C$ , the origin in coordinates  $u, v, w$ .

Now, let's consider a polarized 4-fold  $(X, L)$ . Following the same argument as above, letting  $D = E + \frac{1}{2}K_X$  and  $E = uK_X + vL$ , we have for the quartic Hilbert curve  $\Gamma$  of  $(X, L)$  the canonical equation  $f(u, v) = 0$ , where, as usual,  $f(u, v)$  is the polynomial  $\chi(D)$  expressed by (8), when we consider  $u, v$  as complex variables.

From Proposition 1.1 we know that if the central point of the Serre involution  $C$  belongs to  $\Gamma$ , then  $C$  is a double point; moreover, if  $C$  is a triple point, then it is a point of multiplicity 4, so  $\Gamma$  splits into four lines through  $C$ . Furthermore, if  $\Gamma$  has a singular point  $Q$ , then, for symmetry, it must have another singular point  $Q'$ , symmetric to  $Q$  with respect to  $C$ .

In conclusion, assuming  $\Gamma$  to be irreducible, either  $\Gamma$  has  $C$  as a double point and no more singular points, or  $\Gamma$  does not pass through  $C$  and in this case it can have two double points  $Q, Q'$ , symmetric with respect to  $C$ .

The fact that

$$f(u, v) = p\left(\frac{1}{2} + u, v\right) = f_0 + f_2 + f_4,$$

with  $f_i = f_i(u, v)$  homogeneous polynomial of degree  $i = 0, 2, 4$ , suggests one more comment. Assume that  $\Gamma$  is irreducible, and let's consider the special case when the constant term  $f_0$  is zero, which translates into the condition

$$(24) \quad (K_X^2 - 4c_2(X)) \cdot K_X^2 + 384\chi(\mathcal{O}_X) = 0.$$

One has  $f_2(u, v) = (au + bv)(cu + dv)$  for some complex numbers  $a, b, c, d$ . Then either  $\Gamma$  has a nodal point or a cuspidal point at the origin  $C$ , according to whether the tangent lines  $\ell_1 : au + bv = 0$ ,  $\ell_2 : cu + dv = 0$  are distinct or not. We observe that the intersection multiplicity of  $\Gamma$  with  $\ell_i$ ,  $i = 1, 2$ , at  $C$  is at least four. Therefore, the double point  $C$  is a *biflecnode* (which decreases the genus by 1) in the former case, and a *tacnode* (which decreases the genus

by 2) in the latter case. Accordingly, if  $(f_0 = 0 \text{ and } f_4(u, v) \text{ is general, the Hilbert curve } \Gamma \text{ has either genus 2 or 1.}$

*Remark 5.2* (The real case). Let's look at the quartic Hilbert curve  $\Gamma$  from the real point of view, assuming  $f_0 = 0$ . Write  $f_2(u, v) = Au^2 + 2Buv + Cv^2$  and recall that  $A, B, C$  are rational numbers. As above, let  $f_2(u, v) = (au + bv)(cu + dv)$  be the factorization over  $\mathbb{C}$ . In the tacnode case, one has  $B^2 - AC = 0$ , so that  $a, b, c, d$  are real numbers with  $ad - bc = 0$ . Up to this case, one has that either  $a, b, c, d$  are real (if  $B^2 - AC > 0$ ), or they are complex conjugate, with  $c = \bar{a}$  and  $d = \bar{b}$  (if  $B^2 - AC < 0$ ). In the former case,  $\Gamma$  presents a loop at the origin  $(0, 0)$ , while, in latter case,  $(0, 0)$  is an isolated double point.

The above argument recovers as well the fact that the general Hilbert curve is a plane quartic of genus 3. We refer to [6, Chapter XVIII] for the geometry of quartic plane curves.

Coming to examples, let us first produce some reducible Hilbert quartic curves.

*Example 5.3.* Consider the 4-fold  $X = \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$  and let  $A_i$  denote the pullback to  $X$  of  $\mathcal{O}(1)$  via the  $i$ -th projection. Note that  $A_i^2 = 0$  for every  $i = 1, 2, 3, 4$  and  $A_1 A_2 A_3 A_4 = 1$ . We have  $\chi(\mathcal{O}_X) = 1$ ,  $K_X^2 = 4(\sum_i A_i)^2 = 8(A_1 A_2 + \cdots + A_3 A_4)$ ,  $K_X^4 = 16(\sum_i A_i)^4 = 16 \times 24$ . Moreover,  $c_2(X) = 4(A_1 A_2 + \cdots + A_3 A_4)$ . Then  $c_2(X) \cdot K_X^2 = 192$ . Thus, the constant term of  $f(u, v)$  is

$$\frac{1}{384} K_X^4 - \frac{1}{96} c_2(X) \cdot K_X^2 + \chi(\mathcal{O}_X) = 1 - 2 + 1 = 0.$$

This means that the Hilbert curve  $\Gamma = \Gamma_{(X, L)}$  contains  $C$  regardless of any polarization  $L$  on  $X$ . The term of the second degree of  $f(u, v)$  in (8) is

$$\frac{1}{48} (2c_2(X) - K_X^2) \cdot E^2,$$

and the above computations says that  $2c_2(X) - K_X^2 = 0$  as a 2-cycle. Therefore, the only surviving term in the equation of  $\Gamma$  is  $E^4$  (up to a multiplicative constant), regardless of the polarization. In other words, for any polarization  $L$  on  $X$ , the curve  $\Gamma$  consists of four lines through  $C$ .

Here is an example of a quartic Hilbert curve reducible into four lines having a different configuration.

*Example 5.4.* Let  $P = \mathbb{P}^2 \times \mathbb{P}^3$ , let  $X$  be a smooth element in  $|\mathcal{O}_P(1, 1)|$ , and let  $L = \mathcal{O}_X(1, k)$  be an ample divisor on  $X$ , with  $k$  a positive integer. Let  $A_i$  denote the pullback to  $P$  of  $\mathcal{O}(1)$  via the  $i$ -th projection,  $i = 1, 2$ . Note that  $A_1^3 = 0$ ,  $A_2^4 = 0$  and  $A_1^2 A_2^3 = 1$ . By the adjunction formula, we obtain

$K_X = (K_P + X)_X = \mathcal{O}_X(-2, -3)$ . Moreover,  $c(T_P; t) = (1 + A_1 t)^3 (1 + A_2 t)^4$ . Hence, in view of (22), we have

$$c_2(X) = (A_1^2 + 7A_1 A_2 + 3A_2^2)_X.$$

By using [10], we find for the Hilbert polynomial the expression

$$\begin{aligned} f(u, v) = & 18u^4 + \left(\frac{1}{4}k^2 + \frac{1}{6}k^3\right)v^4 - \left(\frac{5}{2}k^2 + \frac{1}{3}k^3 + \frac{3}{2}k\right)uv^3 \\ & - \left(15k + \frac{27}{2}\right)u^3v + \left(4k^2 + \frac{9}{4} + \frac{21}{2}k\right)u^2v^2 \\ & - \frac{1}{2}u^2 - \left(\frac{1}{16} + \frac{1}{24}k\right)v^2 + \left(\frac{3}{8} + \frac{1}{12}k\right)uv. \end{aligned}$$

A close inspection shows that  $f(u, v)$  factors as

$$f(u, v) = -\frac{1}{48}(2u - v)(-12u + 2kv + 3v)(-6u + 1 + 2kv)(-6u - 1 + 2kv),$$

so that the Hilbert curve splits into four lines symmetric with respect to the origin, two of them being parallel, and one of remaining two not depending on  $k$ .

Further examples of reducible Hilbert quartic curves come from general results in [3, Theorem 6.1] and [8]. The following example shows that condition (24) is not necessary to have a quartic Hilbert curve of genus 1, 2.

*Example 5.5.* Let  $P = \mathbb{P}^2 \times \mathbb{P}^3$ , let  $X$  a smooth element in  $|\mathcal{O}_P(4, 3)|$ , and let  $L = \mathcal{O}_X(3, k)$  be an ample divisor on  $X$ , with  $k$  a positive integer. Let  $A_i$  denote the pullback to  $P$  of  $\mathcal{O}(1)$  via the  $i$ -th projection,  $i = 1, 2$ . In this case one has

$$\begin{aligned} X &\in |4A_1 + 3A_2|, \quad L = (3A_1 + kA_2)_X, \\ K_X &= (K_P + X)_X = \mathcal{O}_X(1, -1) = (A_1 - A_2)_X, \\ E &= (uK_X + vL) = (u(A_1 - A_2) + v(3A_1 + kA_2))_X. \end{aligned}$$

Referring to (8) to obtain the equation of the quartic Hilbert curve, we have to compute  $c_2(X)$ . To this end, consider the tangent-normal bundle sequence of  $X \subset P$ ,

$$0 \rightarrow T_X \rightarrow (T_P)_X \rightarrow \mathcal{O}(X)_X \rightarrow 0,$$

where  $\mathcal{O}_X(X) =: [X]_X$  is the normal bundle, since  $X$  is a divisor inside  $P$ . From the relation between the Chern polynomials

$$c((T_P)_X; t) = (1 + c_1(X)t + c_2(X)t^2 + c_3(X)t^3 + c_4(X)t^4)(1 + [X]_X t),$$

we get

$$(25) \quad c_2(X) = c_2((T_P)_X) - c_1(X) \cdot [X]_X.$$

Clearly,  $c_1(X) = -K_X = (A_2 - A_1)_X$ . On the other hand, we have

$$T_P = p_1^*T_{\mathbb{P}^2} \oplus p_2^*T_{\mathbb{P}^3},$$

so that

$$\begin{aligned} c(T_P; t) &= (1 + 3A_1t + 3A_1^2t^2)(1 + 4A_2t + 6A_2^2t^2 + 4A_2^3t^3) \\ &= 1 + (3A_1 + 4A_2)t + (3A_1^2 + 12A_1A_2 + 6A_2^2)t^2 + \dots \end{aligned}$$

Thus,

$$c_2(T_P) = 3A_1^2 + 12A_1A_2 + 6A_2^2.$$

In conclusion, (25) gives

$$\begin{aligned} c_2(X) &= ((3A_1^2 + 12A_1A_2 + 6A_2^2) - (A_2 - A_1)(4A_1 + 3A_2))_X \\ &= (7A_1^2 + 11A_1A_2 + 3A_2^2)_X. \end{aligned}$$

By combining (8) with this expression, and carrying out all the computations by using [10], we obtain the equation of the Hilbert curve  $\Gamma_k$  of  $(X, L)$ , that is,

$$\begin{aligned} f(u, v) &= -\frac{43}{24}kuv - 3ku^2v^2 - \frac{3}{2}k^2uv^3 - \frac{5}{4}k^2u^2v^2 - \frac{27}{2}kuv^3 + \frac{2}{3}k^3uv^3 \\ &\quad + \frac{1}{2}ku^3v - \frac{17}{24}u^2 + \frac{27}{4}k^2v^4 + 2k^3v^4 + \frac{23}{2}kv^2 + \frac{45}{16}v^2 + \frac{1}{12}u^4 \\ &\quad + \frac{27}{4}u^2v^2 + \frac{5}{2}u^3v - \frac{77}{8}uv + \frac{45}{16}k^2v^2 + \frac{75}{64} = 0. \end{aligned}$$

Furthermore, one checks that  $\Gamma_k$  has only one double point  $[k, 1, 0] \in \mathbb{P}^2$  at infinity, and therefore it is a curve of genus  $g = 2$ .

Now, by using Lemma 2.5 and Example 5.1, we construct Hilbert quartic curves of each possible genus  $g = 0, 1, 2, 3$ .

*Example 5.6.* Let  $X, L_1, L_2, A_i, i = 1, 2, 3, 4$ , be as in Example 5.1, with  $h = k = 2$ . We then have  $X \in |2A_1 + 2A_2 + A_3 + A_4|$ , and

$$\begin{aligned} L_1 &= (A_1 + A_2 + A_3 + 2A_4)_X, \quad L_2 = (3A_1 + A_2 + A_3 + A_4)_X, \\ K_X &= (K_P + X)_X = \mathcal{O}_X(-1, 0, -1, -1) = (-A_1 - A_3 - A_4)_X, \\ E &= (uK_X + vL_1 + wL_2) \\ &= ((-A_1 - A_3 - A_4)u + v(A_1 + A_2 + A_3 + 2A_4) \\ &\quad + w(3A_1 + A_2 + A_3 + A_4))_X. \end{aligned}$$

To compute  $c_2(X)$ , we follow the same argument as in Example 5.5. Expression (23) reads, for  $h = k = 2$ ,

$$c_2(X) = (4A_1A_2 + 3A_1A_3 + 3A_1A_4 + 2A_2A_3 + 2A_2A_4 + 2A_3A_4 + A_1^2)_X.$$

Now, let  $S$  be the Hilbert surface of the bipolarized 4-fold  $(X, L_1, L_2)$ . Keeping the notation as in Lemma 2.5, set  $L_{a,b} := aL_1 + bL_2$  and let  $\Gamma_{a,b}$  be

the Hilbert curve of the polarized 4-fold  $(X, L_{a,b})$  obtained by cutting out  $\mathcal{S}$  with the plane  $\pi_{a,b} : aw - bv = 0$  in  $\mathbb{C}_{(u,v,w)}^3$ . Take on  $X$  the ample line bundles

$$L_{1,0} = L_1, \quad L_{0,1} = L_2, \quad L_{2,1} = 2L_1 + L_2, \quad L_{1,1} = L_1 + L_2,$$

and let  $\Gamma_{1,0}, \Gamma_{0,1}, \Gamma_{2,1}, \Gamma_{1,1}$  be the Hilbert curves of the polarized 4-folds  $(X, L_1), (X, L_2), (X, 2L_1 + L_2), (X, L_1 + L_2)$  obtained as section of  $\mathcal{S}$  with the planes

$$\pi_{1,0} : w = 0, \quad \pi_{0,1} : v = 0, \quad \pi_{2,1} : 2w - v = 0, \quad \pi_{1,1} : w - v = 0,$$

respectively. We denote with  $\bar{\Gamma}_{a,b}$  the projective closure, in the projective plane  $\bar{\pi}_{a,b} \subset \mathbb{P}_{[u,v,w,\zeta]}^3$ , of the Hilbert curve  $\Gamma_{a,b}$  occurring above. As pointed out in Example 5.1, the projective Hilbert surface  $\bar{\mathcal{S}}_{2,2} \subset \mathbb{P}_{[u,v,w,\zeta]}^3$  of equation  $f(u, v, w)^{\text{hom}} = 0$ , with  $h = k = 2$ , has the seven singular points

$$\left[\frac{3}{2}, 0, 1, \pm 1\right], \quad \left[\frac{3}{2}, 1, 0, \pm 1\right], \quad [1, 1, 0, 0], \quad [5, 2, 1, 0], \quad [2, -1, 1, 0].$$

We observe that  $\pi_{1,0} : w = 0$  contains the points  $[1, 1, 0, 0]$  and  $[\frac{3}{2}, 1, 0, \pm 1]$ . Therefore the projective Hilbert curve  $\bar{\Gamma}_{1,0} \subset \mathbb{P}_{[u,v,\zeta]}^2$  has three double points. Similarly,  $\pi_{0,1} : v = 0$  contains the points  $[\frac{3}{2}, 0, 1, \pm 1]$ ,  $\pi_{2,1} : 2w - v = 0$  contains the point  $[5, 2, 1, 0]$ , while the plane  $\pi_{1,1} : w - v = 0$  does not contain singular points of  $\bar{\mathcal{S}}_{2,2}$ . Thus,  $\bar{\Gamma}_{0,1} \subset \mathbb{P}_{[u,w,\zeta]}^2$  has (at least) two double points,  $\bar{\Gamma}_{2,1} \subset \mathbb{P}_{[u,w,\zeta]}^2$  has (at least) one double point, and  $\bar{\Gamma}_{1,1} \subset \mathbb{P}_{[u,w,\zeta]}^2$  is a possibly non-singular plane quartic.

By putting  $w = 0$  in the equation of  $\bar{\mathcal{S}}_{2,2}$ , we find for  $\Gamma_{1,0} \subset \mathbb{C}_{(u,v)}^2$  the equation

$$p_1\left(\frac{1}{2} + u, v\right) = u^4 + 3u^2 + \frac{51}{8}v^2 + \frac{15}{2}v^4 - 9uv - 21uv^3 - 8u^3v + \frac{41}{2}u^2v^2 + \frac{3}{16} = 0.$$

Furthermore, we check that  $\bar{\Gamma}_{1,0}$  has indeed the three double points  $[\frac{3}{2}, 1, \pm 1]$  and  $[1, 1, 0]$ , whence  $\bar{\Gamma}_{1,0}$  has genus 0. Similarly, for  $v = 0$  we find for  $\Gamma_{0,1} \subset \mathbb{C}_{(u,w)}^2$  the equation

$$p_2\left(\frac{1}{2} + u, w\right) = 3u^2 + \frac{45}{4}w^2 + 24w^4 + u^4 - \frac{49}{4}uw - 53uw^3 - 11u^3w + 39u^2w^2 + \frac{3}{16} = 0,$$

and  $\bar{\Gamma}_{1,0}$  has in fact the two double points  $[-\frac{3}{2}, -1, 1]$ ,  $[\frac{3}{2}, 1, 1]$ , so that it is a curve of genus 1. Let  $\Gamma'_{2,1}$  be the projection of the curve  $\Gamma_{2,1}$  onto the plane  $\langle u, w \rangle$ . By putting  $v = 2w$  in the equation of  $\bar{\mathcal{S}}_{2,2}$ , we get for  $\Gamma'_{2,1}$  the equation

$$\begin{aligned} p_3\left(\frac{1}{2} + u, w\right) &= 3u^2 + 74w^2 + 1125w^4 + u^4 - \frac{121}{4}uw - 875uw^3 - 27u^3w \\ &\quad + 240u^2w^2 + \frac{3}{16} = 0, \end{aligned}$$

and  $\Gamma'_{2,1}$  has the only double point  $(5, 0)$ , whence  $\bar{\Gamma}_{2,1}$  has genus 2. This provides a further example of quartic Hilbert curve of genus 2 (compare with

Example 5.5). The same procedure finally yields for the projection of the curve  $\Gamma_{1,1}$  onto the plane  $\langle u, v \rangle$  the equation

$$p_4\left(\frac{1}{2}+u, v\right) = 3u^2 + \frac{145}{4}v^2 + 272v^4 + u^4 - \frac{85}{4}uv - 304uv^3 - 19u^3v + 119u^2v^2 + \frac{3}{16}.$$

and the usual numerical check shows that  $\Gamma_{1,1}$  is a non-singular quartic curve of genus 3.

## 6. IMAGE OF THE HILBERT SURFACE IN $\mathbb{P}^6$

Let  $(X, L_1, L_2)$  be an  $n$ -dimensional bipolarized variety. In this section we work in the projective space. For simplicity of notation, we then use the symbol  $\mathcal{S}$  to denote the Hilbert surface of  $(X, L_1, L_2)$  in  $\mathbb{P}^3_{[x,y,z,\zeta]}$ . Keeping for the rest the notation as in the previous sections, we make the change of homogeneous coordinates  $[x, y, z, \zeta] \mapsto [x - \frac{\zeta}{2}, y, z, \zeta] =: [u, v, w, \zeta]$ , so that the central point becomes  $C = [0, 0, 0, 1]$ , and we consider the map  $\Phi : \mathbb{P}^3_{[u,v,w,\zeta]} \rightarrow \mathbb{P}^6_{[T_0, T_1, \dots, T_6]}$  defined by

$$(26) \quad [u, v, w, \zeta] \mapsto [u^2, uv, v^2, uw, vw, w^2, \zeta^2].$$

**PROPOSITION 6.1.** *Let  $(X, L_1, L_2)$  be an  $n$ -dimensional bipolarized variety,  $n \geq 3$ . Consider the map  $\Phi : \mathbb{P}^3 \rightarrow \mathcal{Q} = \mathbb{P}^3 / \langle \bar{s} \rangle \subset \mathbb{P}^6$  defined as in (26). Then  $\Phi$  is a two-to-one immersion outside the central point  $C$  of the Serre involution  $s$  and the plane  $\pi_\infty : \zeta = 0$ .*

*Proof.* Express the morphism  $\Phi$  locally around  $C$  in affine coordinates as  $(u, v, w) \mapsto (u^2, uv, v^2, uw, vw, w^2)$ . Then the Jacobian matrix

$$\begin{pmatrix} 2u & v & 0 & w & 0 & 0 \\ 0 & u & 2v & 0 & w & 0 \\ 0 & 0 & 0 & u & v & 2w \end{pmatrix}$$

has rank 3 except at  $C$ . Similarly, fix a point on the plane at infinity,  $\pi_\infty : \zeta = 0$ , e.g.  $[0, 0, 1, 0]$ , and take  $(u, v, \zeta)$  as local coordinates around it. Then  $\Phi$  is locally given by  $(u, v, \zeta) \mapsto (u^2, uv, v^2, u, v, \zeta^2)$ . Therefore the Jacobian matrix

$$\begin{pmatrix} 2u & v & 0 & 1 & 0 & 0 \\ 0 & u & 2v & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 & 0 & 2\zeta \end{pmatrix}$$

has rank 3 except where  $\zeta = 0$ . These local computations prove the result.  $\square$

Let  $\Sigma := \Phi(\mathcal{S})$ . Note that  $\langle \bar{s} \rangle$  acts on  $\mathcal{S}$ , and  $\Sigma = \mathcal{S} / \langle \bar{s} \rangle$ . The morphism  $\varphi := \Phi|_{\mathcal{S}} : \mathcal{S} \rightarrow \Sigma$  is two-to-one by Proposition 6.1. Moreover,  $\Sigma$  has a double point at (the image of) the central point  $C$  for  $n$  odd, and it is smooth for  $n$



even. To have a global picture summarizing the situation as in [3, Formula (17)], consider the Veronese embedding  $\mathbb{P}^3_{[u,v,w,\zeta]} \hookrightarrow \mathbb{P}^9_{[x_0,x_1,\dots,x_9]}$  defined by

$$[u,v,w,\zeta] \mapsto [u^2, uv, uw, u\zeta, v^2, vw, v\zeta, w^2, w\zeta, \zeta^2].$$

We have the following commutative diagram

$$(27) \qquad \begin{array}{ccc} \mathcal{S} \subset \mathbb{P}^3 & \hookrightarrow & \mathcal{V} \subset \mathbb{P}^9 \\ \varphi \downarrow & \searrow \Phi & \downarrow \rho \\ \Sigma & \hookrightarrow & \mathcal{Q} \subset \mathbb{P}^6, \end{array}$$

where  $\rho : \mathcal{V} \rightarrow \mathcal{Q}$  is the two-to-one morphism obtained by projection of the Veronese 3-fold  $\mathcal{V}$  from the plane  $x_0 = x_1 = x_2 = x_4 = x_5 = x_7 = x_9 = 0$  onto the quartic cone  $\mathcal{Q} := \mathbb{P}^3 / \langle \bar{s} \rangle \subset \mathbb{P}^6$  defined in  $\mathbb{P}^6$  by the equations  $T_0T_2 - T_1^2 = T_0T_5 - T_3^2 = T_2T_5 - T_4^2 = 0$ . Precisely,  $\mathcal{Q}$  is the cone over the Veronese surface in  $\mathbb{P}^5$  of equation  $T_6 = 0$  defined by the condition

$$\operatorname{rk} \begin{pmatrix} T_0 & T_1 & T_3 \\ T_1 & T_2 & T_4 \\ T_3 & T_4 & T_5 \end{pmatrix} = 1.$$

The branch locus of  $\rho$  consists of the images of the central point  $C$  and the plane at infinity  $\pi_\infty : \zeta = 0$ , via  $\Phi$ .

**PROPOSITION 6.2.** *Let  $(X, L_1, L_2)$  be an  $n$ -dimensional bipolarized variety,  $n \geq 3$ . Assume that the projective Hilbert surface  $\mathcal{S}$  is smooth. Then, for suitable hyperplane sections  $h$  of  $\mathcal{S}$ , the curve  $h/\langle \bar{s} \rangle$  is a Castelnuovo curve in  $\mathbb{P}^3$ .*

*Proof.* Let  $\widetilde{\Sigma}$  be the desingularization of  $\Sigma$ . Then we have a commutative diagram

$$\begin{array}{ccc} \mathcal{S} & \xrightarrow{\psi} & \widetilde{\Sigma} \\ & \searrow & \downarrow \nu \\ & & \Sigma, \end{array}$$

where  $\psi : \mathcal{S} \rightarrow \widetilde{\Sigma}$  is a two-to-one map ramified in  $C$  (if  $C \in \mathcal{S}$ ) and along  $\mathcal{S} \cap \pi_\infty$ , with  $\pi_\infty : \zeta = 0$  the plane at infinity, and  $\nu : \widetilde{\Sigma} \rightarrow \Sigma$  is a generically one-to-one map. Let  $\psi' := \nu \circ \psi : \mathcal{S} \rightarrow \Sigma$ .

Set  $L := aL_1 + bL_2$  for positive integers  $a, b$ . Then  $(X, L)$  is a polarized 3-fold whose Hilbert curve, say  $h$ , lies in a plane of  $|\mathcal{O}_{\mathbb{P}^3}(1) \otimes \mathcal{I}_C|$ , where  $\mathcal{I}_C$  is the ideal sheaf of the point  $C$  in  $\mathbb{P}^3$  (see Lemma 2.5).

Let  $h' := \psi'(h)$ , so that  $\widetilde{h} := \nu^{-1}(h') = \psi(h)$ . Note that  $h' = h/\langle \bar{s} \rangle$ . The restriction  $\psi|_h : h \rightarrow \widetilde{h}$  is then a two-to-one map ramified at either  $n + 1$  points (the  $n$  points  $h \cap \pi_\infty$  and the point  $C$ ) or  $n$  points according to whether  $n$  is odd or even. Hence, the assertion follows by [3, Proposition 5.2].  $\square$

*Remark 6.3.* Look again at diagram (27). We claim that  $\Sigma \hookrightarrow \mathcal{Q} \subset \mathbb{P}^6$  embeds with degree  $2n$ . To see this, note that a hyperplane section of  $\Sigma$  in  $\mathbb{P}^6$  corresponds to a quadric surface in  $\mathbb{P}^3$ , whose equation only involves the terms appearing in formula (26) (i.e., a quadric cone with vertex  $[0, 0, 1, 0]$ ). Since  $\varphi : \mathcal{S} \rightarrow \Sigma$  is of degree two, one sees that  $\deg(\Sigma)$ , i.e., the degree of the 0-cycle cut out on  $\Sigma$  by two general hyperplanes of  $\mathbb{P}^6$ , is then given by

$$\frac{1}{2} \mathcal{S} \cdot Q_1 \cdot Q_2 = \frac{1}{2} 4 \deg(\mathcal{S}) = 2n,$$

for two general elements  $Q_1, Q_2$  belonging to  $|\mathcal{O}_{\mathbb{P}^3}(2)|$ . Since a quadric section of  $\mathcal{S}$  is linearly equivalent to  $2h$ , we conclude that the general hyperplane section of  $\Sigma$  is numerically equivalent to twice the Castelnuovo curve  $h'$  as in the proof of Proposition 6.2.

## 7. SERRE INVARIANT SURFACES

This section is inspired by [3, Section 7]. Let  $\mathbb{A}^3 = \mathbb{A}^3_{(x,y,z)}$ ,  $\mathbb{P}^3 = \mathbb{P}^3_{[x,y,z,\zeta]}$ , and let  $s : \mathbb{A}^3 \rightarrow \mathbb{A}^3$ ,  $\bar{s} : \mathbb{P}^3 \rightarrow \mathbb{P}^3$  be the Serre involutions defined in Section 1.

It is natural to consider a family of surfaces in a 3-dimensional space larger than that of Hilbert surfaces; namely, the family of surfaces that are invariant under the Serre involution. Let  $\mathcal{S}$  be a possibly reducible and non-reduced surface in  $\mathbb{P}^3$  (respectively  $\mathbb{A}^3$ ) of given degree  $d$ . We say that  $\mathcal{S}$  is a *Serre-invariant surface* if  $\bar{s}(\mathcal{S}) = \mathcal{S}$  (respectively  $s(\mathcal{S}) = \mathcal{S}$ ). The Serre involution acts on  $\mathcal{S}$ , so that we can consider the quotient  $\mathcal{S}/\langle \bar{s} \rangle$  and identify it with its image on the cone over the Veronese surface,  $\mathcal{Q} = \mathbb{P}^3/\langle \bar{s} \rangle \subset \mathbb{P}^6$ .

Clearly, a Hilbert surface of a  $d$ -dimensional bipolarized variety is a Serre-invariant surface of degree  $d$ .

A noteworthy property is that Serre-invariant surfaces are in fact zero sets of polynomials with the same Serre-invariance as the Hilbert polynomial.

**CLAIM 7.1.** *Let  $\mathcal{S}$  be a Serre-invariant surface on  $\mathbb{A}^3$ , defined by a polynomial  $F(x, y, z)$  of degree  $d$ . Then*

$$F(x, y, z) = (-1)^d F(1 - x, -y, -z).$$

*Proof.* Since  $s(\mathcal{S}) = \mathcal{S}$ , and  $\mathcal{S}$  is defined by a single polynomial up to multiplication by a constant, we know that  $F(s(x, y, z)) = \lambda F(x, y, z)$  for some constant  $\lambda \neq 0$ . Thus,

$$F(x, y, z) = F(s^2(x, y, z)) = F(s(s(x, y, z))) = \lambda F(s(x, y, z)) = \lambda^2 F(x, y, z).$$

But  $s^2(x, y, z) = (x, y, z)$ , so that  $\lambda^2 = 1$ , i.e.,  $\lambda = \pm 1$ . To determine  $\lambda$  it is enough to compare a non-zero monomial of maximal degree  $d$ , say  $cx^a y^b z^{d-a-b}$ ,

of  $F(x, y, z)$  with its corresponding monomial in  $F(s(x, y, z))$ . That is,

$$c(-x)^a(-y)^b(-z)^{d-a-b} = (-1)^d cx^a y^b z^{d-a-b},$$

so that  $\lambda = (-1)^d$ .  $\square$

*Remark 7.2.* With the notation as above, break up  $\mathcal{S}$  as  $\mathcal{S} = \mathcal{S}_1 + \mathcal{S}_2 + \cdots + \mathcal{S}_m$ , where  $\mathcal{S}_\mu$  is the union of all components of multiplicity  $\mu = 1, 2, \dots, m$ . Then  $s(\mathcal{S}_\mu) = \mathcal{S}_\mu$ , and so  $\mathcal{S}_\mu$  and  $(\mathcal{S}_\mu)_{\text{red}}$  are also Serre-invariant surfaces. We thus conclude that if  $Z$  is an irreducible and reduced component of  $\mathcal{S}$  that contains the central point  $C = (\frac{1}{2}, 0, 0)$ , and if  $\deg(Z)$  is even, then  $Z$  is singular at  $(\frac{1}{2}, 0, 0)$  (compare with Proposition 1.1).

Let us point out some consequences of Claim (7.1) (compare with (3) and Proposition 1.1(2)).

1. If  $d$  is odd, then

$$\left(\left(\frac{\partial}{\partial x}\right)^r \left(\frac{\partial}{\partial y}\right)^s \left(\frac{\partial}{\partial z}\right)^t F\right)\left(\frac{1}{2}, 0, 0\right) = 0$$

for all non-negative integers  $r, s, t$  with  $r + s + t$  even.

2. If  $d$  is even, then the above equality holds for all non-negative integers  $r, s, t$  with  $r + s + t$  odd.
3. The central point  $C$  of the Serre involution belongs to a smooth Serre-invariant surface of degree  $d$  if and only if  $d$  is odd.

Let  $\mathcal{V}_d$  be the closure in  $|\mathcal{O}_{\mathbb{P}^3}(d)|$  of the family of Serre invariant surfaces of degree  $d$ , and identify the group  $\mathcal{A}$  of affine transformations of  $\mathbb{A}^3_{(x,y,z)}$  with the subgroup of  $\text{PGL}(4; \mathbb{C})$  fixing the plane at infinity  $\pi_\infty : \zeta = 0$ . Let  $G$  be the subgroup of  $\mathcal{A}$  defined by

$$G := \{g \in \mathcal{A} \mid g \circ \overline{s} = \overline{s} \circ g\}.$$

We then have the following result.

**THEOREM 7.3.** *Let  $G$  and  $\mathcal{V}_d$  be as above. Then*

1.  $\dim(G) = 9$ ;
2. *For  $d$  even,*

$$\dim(\mathcal{V}_d) = \frac{1}{3} a(a+1)(2a+1) + \frac{3}{2} a(a+1) + a, \quad \text{where } a = \frac{d}{2};$$

*while, for  $d$  odd,*

$$\dim(\mathcal{V}_d) = \frac{1}{3} a(a+1)(2a+1) + \frac{5}{2} a(a+1) + 3a + 2, \quad \text{where } a = \frac{d-1}{2}.$$

*Proof.* We make the usual change of homogeneous coordinates  $[x, y, z, \zeta] \mapsto [x - \frac{\zeta}{2}, y, z, \zeta] =: [u, v, w, \zeta]$ , so that the central point becomes  $C = [0, 0, 0, 1]$ .

With respect to the new coordinates, the Serre involution is represented by the matrix

$$A = \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} -\mathbf{I} & \mathbf{0} \\ \mathbf{0} & 1 \end{pmatrix}.$$

On the other hand, any affine transformation  $g \in G$  is represented by a matrix of  $\mathrm{PGL}(4; \mathbb{C})$ , of the form

$$M = \begin{pmatrix} a & b & c & d \\ a' & b' & c' & d' \\ a'' & b'' & c'' & d'' \\ 0 & 0 & 0 & 1 \end{pmatrix} = \begin{pmatrix} N & \mathbf{d} \\ \mathbf{0} & 1 \end{pmatrix},$$

where, with clear meaning of the symbols,  $N$  is the non-singular matrix

$$N = \begin{pmatrix} a & b & c \\ a' & b' & c' \\ a'' & b'' & c'' \end{pmatrix} \quad \text{and} \quad \mathbf{d} = \begin{pmatrix} d \\ d' \\ d'' \end{pmatrix}.$$

Therefore,

$$\begin{pmatrix} -N & -\mathbf{d} \\ \mathbf{0} & 1 \end{pmatrix} = AM = MA = \begin{pmatrix} -N & \mathbf{d} \\ \mathbf{0} & 1 \end{pmatrix}.$$

This gives the three linearly independent conditions  $d = d' = d'' = 0$ . We then conclude that  $\dim(G) = 9$ .

Let  $\Phi : \mathbb{P}^3 \rightarrow \mathcal{Q}$  be the double cover defined by the Serre involution, where  $\mathcal{Q} \subset \mathbb{P}^6$  is a cone over the Veronese surface  $(\mathbb{P}^2, \mathcal{O}_{\mathbb{P}^2}(2))$ . Let  $v$  be its vertex; so  $v = \Phi(C)$ . Recall that  $\Phi$  is ramified at the central point  $C$  and along the plane at infinity  $\pi_\infty$ .

Let  $\beta : P \rightarrow \mathbb{P}^3$  be the blowing-up at  $C$ , and let  $E_1$  be the exceptional divisor. Note that  $P$  is a  $\mathbb{P}^1$  bundle over  $\mathbb{P}^2$ ; in fact,  $P = \mathbb{P}(\mathcal{O}_{\mathbb{P}^2} \oplus \mathcal{O}_{\mathbb{P}^2}(1))$ . Denoting by  $\pi : P \rightarrow \mathbb{P}^2$  the bundle projection and letting  $M_1 = \pi^* \mathcal{O}_{\mathbb{P}^2}(1)$ , we have that the classes of  $E_1$  and  $M_1$  generate the Picard group of  $P$ , and  $\beta^* \mathcal{O}_{\mathbb{P}^3}(1) = \mathcal{O}_P(E_1 + M_1)$ . Now let  $\nu : \tilde{\mathcal{Q}} \rightarrow \mathcal{Q}$  be the minimal desingularization of the Veronese cone, and let  $\alpha : P \rightarrow \tilde{\mathcal{Q}}$  be the double cover induced by  $\Phi$ , which gives rise to the commutative diagram

$$\begin{array}{ccc} P & \xrightarrow{\alpha} & \tilde{\mathcal{Q}} \\ \beta \downarrow & & \downarrow \nu \\ \mathbb{P}^3 & \xrightarrow{\Phi} & \mathcal{Q}. \end{array}$$

Note that  $\tilde{\mathcal{Q}} = \mathbb{P}(\mathcal{O}_{\mathbb{P}^2} \oplus \mathcal{O}_{\mathbb{P}^2}(2))$ . Call  $\pi' : \tilde{\mathcal{Q}} \rightarrow \mathbb{P}^2$  the bundle projection, let  $E_2 = \nu^{-1}(v)$  and set  $M_2 = \pi'^* \mathcal{O}_{\mathbb{P}^2}(1)$ . Note that  $E_2$  is the section of  $\pi'$

corresponding to the surjection onto the trivial summand. In particular,  $M_2^3 = 0$  and  $E_2 \cdot M_2^2 = 1$ . Clearly, the classes of  $E_2$  and  $M_2$  generate the Picard group of  $\tilde{\mathcal{Q}}$ ; moreover,  $\alpha^*E_2 = 2E_1$ ,  $\alpha^*M_2 = M_1$ , and  $\nu^*\mathcal{O}_{\mathcal{Q}}(1) = \mathcal{O}_{\tilde{\mathcal{Q}}}(E_2 + 2M_2)$ , where  $\mathcal{O}_{\mathcal{Q}}(1) = \mathcal{O}_{\mathbb{P}^3}(1)_{\mathcal{Q}}$ . Now let  $\mathcal{S} \subset \mathbb{P}^3$  be a Serre invariant smooth surface of degree  $d$ .

First suppose that  $d$  is even. Then  $\mathcal{S}$  does not contain  $C$ ; moreover,  $\tilde{\mathcal{S}} := \beta^{-1}(\mathcal{S}) \in |d(E_1 + M_1)|$ . On the other hand,  $\tilde{\mathcal{S}} = \alpha^*\mathcal{S}'$ , where  $\mathcal{S}' \subset \tilde{\mathcal{Q}}$  is a surface not intersecting  $E_2$  (because  $\Phi(\mathcal{S}) = \nu(\mathcal{S}')$  does not contain the vertex  $v$  of  $\mathcal{Q}$ ). In other words,

$$(28) \quad \mathcal{O}_{E_2}(\mathcal{S}') = \mathcal{O}_{E_2}.$$

By what we said before we can write (up to linear equivalence)  $\mathcal{S}' = aE_2 + bM_2$  for some integers  $a$  and  $b$ . Recalling that  $\mathcal{O}_{E_2}(E_2) = \mathcal{O}_{E_2}(-2)$ , while  $M_{2E_2} = \mathcal{O}_{E_2}(1)$ , condition (28) gives  $b = 2a$ . In conclusion,  $\mathcal{S}' \in |a(E_2 + 2M_2)|$ . Next, let us relate  $a$  and  $d$ . Since  $\mathcal{S}'_{E_2}$  is trivial, we have

$$d = \mathcal{S} \cdot (\mathcal{O}_{\mathbb{P}^3}(1))^2 = \tilde{\mathcal{S}} \cdot (E_1 + M_1)^2 = \tilde{\mathcal{S}} \cdot M_1^2 = \alpha^*\mathcal{S}' \cdot (\alpha^*M_2)^2 = (\deg \alpha) \mathcal{S}' \cdot M_2.$$

Hence,

$$d = 2a(E_2 + 2M_2) \cdot M_2^2 = 2a,$$

*i.e.*,  $d = 2a$  also in this case. In other words,

$$\mathcal{S}' \in \left| \frac{d}{2}(E_2 + 2M_2) \right|.$$

Now we are ready to proceed with the computation as in [3, Section 7].

The surfaces on  $\tilde{\mathcal{Q}}$  which pull back to an  $\tilde{\mathcal{S}}$  on  $P$  constitute a family of dimension  $h^0(\tilde{\mathcal{Q}}, a(E_2 + 2M_2)) - 1$ , where  $a = \frac{d}{2}$ . Thus, this is the dimension  $\dim(\mathcal{V}_d)$  we are looking for, when  $d$  is even. Recall that  $E_2 + 2M_2$  is the tautological line bundle of  $\mathcal{E} := \mathcal{O}_{\mathbb{P}^2} \oplus \mathcal{O}_{\mathbb{P}^2}(2)$ . Then  $\pi'_*(a(E_2 + 2M_2)) = S^a(\mathcal{E})$ , the  $a$ -th symmetric power of  $\mathcal{E}$ . We have

$$(29) \quad S^a(\mathcal{E}) = \mathcal{O}_{\mathbb{P}^2} \oplus \mathcal{O}_{\mathbb{P}^2}(2) \oplus \mathcal{O}_{\mathbb{P}^2}(4) \oplus \cdots \oplus \mathcal{O}_{\mathbb{P}^2}(2a).$$

Therefore,

$$h^0(\mathbb{P}^2, S^a(\mathcal{E})) = 1 + 6 + 15 + \cdots + \binom{2a+2}{2} = 1 + \sum_{k=1}^a \binom{2k+2}{2}.$$

In conclusion, recalling that

$$(30) \quad \sum_{k=1}^a k^2 = \frac{1}{6}a(a+1)(2a+1),$$

we get

$$\begin{aligned} \dim(\mathcal{V}_d) &= h^0(\tilde{\mathcal{Q}}, a(E_2 + 2M_2)) - 1 = h^0(\mathbb{P}^2, S^a(\mathcal{E})) - 1 = \sum_{k=1}^a \binom{2k+2}{2} \\ &= \sum_{k=1}^a (2k^2 + 3k + 1) = \frac{1}{3} a(a+1)(2a+1) + \frac{3}{2} a(a+1) + a, \end{aligned}$$

where  $a = \frac{d}{2}$ .

Now suppose that  $d$  is odd. In this case the surface  $\mathcal{S}$  contains  $C$  as a simple point (being smooth). Hence, its proper transform via  $\beta$ ,  $\tilde{\mathcal{S}} = \beta^* \mathcal{S} - E_1$ , belongs to  $|\beta^* \mathcal{O}_{\mathbb{P}^3}(d) - E_1| = |(d-1)(E_1 + M_1) + M_1|$ . Note that

$$\begin{aligned} d &= \mathcal{S} \cdot (\mathcal{O}_{\mathbb{P}^3}(1))^2 = (\tilde{\mathcal{S}} + E_1) \cdot (\beta^*(\mathcal{O}_{\mathbb{P}^3}(1)))^2 \\ &= \tilde{\mathcal{S}} \cdot (E_1 + M_1)^2 = \tilde{\mathcal{S}} \cdot ((E_1 + M_1) \cdot E_1 + M_1 \cdot E_1 + M_1^2) = 1 + \tilde{\mathcal{S}} \cdot M_1^2 \end{aligned}$$

(here we use the relation  $\mathcal{O}_{E_1} = (\beta^* \mathcal{S})_{E_1} = \mathcal{O}_{E_1}(\tilde{\mathcal{S}} + E_1)$ , so that  $\tilde{\mathcal{S}}_{E_1} = \mathcal{O}_{E_1}(1)$ ). As before,  $\tilde{\mathcal{S}} = \alpha^* \mathcal{S}'$ , where  $\mathcal{S}' \subset \tilde{\mathcal{Q}}$  is a surface. Note however that  $\tilde{\mathcal{S}}$  intersects  $E_2$ , since now  $\Phi(\mathcal{S}) = \nu(\mathcal{S}')$  contains  $v$ . Up to linear equivalence we can write again  $\mathcal{S}' = aE_2 + bM_2$ . Thus, the above relation gives

$$\begin{aligned} d-1 &= \mathcal{S} \cdot M_1^2 = \alpha^*(\mathcal{S}') \cdot (\alpha^*(M_2))^2 \\ &= (\deg \alpha) \mathcal{S}' \cdot M_2^2 = 2(aE_2 + bM_2) \cdot M_2^2 = 2a. \end{aligned}$$

On the other hand, we have

$$\tilde{\mathcal{S}} \cdot E_1 \cdot M_1 = \tilde{\mathcal{S}}_{E_1} \cdot M_{1E_1} = (\mathcal{O}_{E_1}(1))^2 = 1.$$

Therefore,

$$\begin{aligned} 1 &= \tilde{\mathcal{S}} \cdot E_1 \cdot M_1 = \alpha^* \mathcal{S}' \cdot \frac{1}{2} \alpha^* E_2 \cdot \alpha^* M_2 \\ &= \frac{1}{2} (\deg \alpha) \mathcal{S}' \cdot E_2 \cdot M_2 = (aE_2 + bM_2) \cdot E_2 \cdot M_2 \\ &= \mathcal{O}_{E_2}(-2a + b) \cdot \mathcal{O}_{E_2}(1) = -2a + b. \end{aligned}$$

In conclusion,  $a = \frac{d-1}{2}$  and  $b = 2a + 1 = d$ . In other words,

$$\mathcal{S}' \in \left| \frac{d-1}{2} (E_2 + 2M_2) + M_2 \right|.$$

Now, arguing in the same way as in the  $d$  even case, we find for  $d$  odd

$$\dim(\mathcal{V}_d) = h^0(\tilde{\mathcal{Q}}, a(E_2 + 2M_2) + M_2) - 1,$$

where  $a = \frac{d-1}{2}$ . Noting that  $\pi'_*(a(E_2 + 2M_2) + M_2) = S^a(\mathcal{E}) \otimes \mathcal{O}_{\mathbb{P}^2}(1)$  and taking into account (29), we get

$$S^a(\mathcal{E}) \otimes \mathcal{O}_{\mathbb{P}^2}(1) = \mathcal{O}_{\mathbb{P}^2}(1) \oplus \mathcal{O}_{\mathbb{P}^2}(3) \oplus \mathcal{O}_{\mathbb{P}^2}(5) \oplus \cdots \oplus \mathcal{O}_{\mathbb{P}^2}(2a+1).$$

Therefore,

$$h^0(\mathbb{P}^2, S^a(\mathcal{E}) \otimes \mathcal{O}_{\mathbb{P}^2}(1)) = 3 + 10 + \cdots + \binom{2a+3}{2} = 3 + \sum_{k=1}^a \binom{2k+3}{2}.$$

Eventually, recalling (30) again, we obtain

$$\dim(\mathcal{V}_d) = \frac{1}{3}a(a+1)(2a+1) + \frac{5}{2}a(a+1) + 3a + 2,$$

where  $a = \frac{d-1}{2}$ .  $\square$

## REFERENCES

- [1] M.C. Beltrametti, E. Carletti, D. Gallarati and G. Monti Bragadin, *Lectures on Curves, Surfaces and Projective Varieties—A Classical View of Algebraic Geometry*. European Mathematical Society, Textbooks in Mathematics **9**. Translated by F. Sullivan. Zurich, 2009.
- [2] M.C. Beltrametti, A. Lanteri and M. Lavaggi, *j*-invariant for polarized threefolds. Manuscript.
- [3] M.C. Beltrametti, A. Lanteri and A.J. Sommese, *Hilbert curves of polarized varieties*. J. Pure Appl. Algebra **214** (2010), 461–479.
- [4] M.C. Beltrametti and A.J. Sommese, *The Adjunction Theory of Complex Projective Varieties*. Expositions in Mathematics **16**, W. de Gruyter, 1995.
- [5] R. Hartshorne, *Algebraic Geometry*. Graduate Texts in Math. **52**, Springer-Verlag, New York, 1978.
- [6] H. Hilton, *Plane Algebraic Curves*. Oxford University Press, 1919.
- [7] S.L. Kleiman, *Towards a numerical theory of ampleness*. Ann. of Math. **84** (1966), 293–344.
- [8] A. Lanteri, *Characterizing scrolls via the Hilbert curve*. Internat. J. Math. **25**(11) (2014).
- [9] M. Lavaggi, *Invariante j per 3-folds polarizzati*, Tesi di Laurea Magistrale, Corso di Laurea in Matematica, Università degli Studi di Genova, a.a. 2011/2012.
- [10] MAPLE package, available on the web at:  
<http://www.maplesoft.com/support/help/Maple/view.aspx?path=algcurves>
- [11] S. Mori, *Threefolds whose canonical bundles are not numerically effective*. Ann. of Math. **116** (1982), 133–176.

Received 19 April 2015

Università di Genova,  
Dipartimento di Matematica,  
Via Dodecaneso 35,  
I-16146 Genova, Italy  
beltrametti@dim.a.unige.it  
lavaggi@dim.a.unige.it

Università degli Studi di Milano,  
Dipartimento di Matematica “F. Enriques”,  
Via C. Saldini 50,  
I-20133 Milano, Italy  
antonio.lanteri@unimi.it