

# SPECIAL GENERIC MAPS OF 5-DIMENSIONAL MANIFOLDS

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A smooth map of a closed  $n$ -dimensional manifold into  $\mathbb{R}^p$ ,  $n > p$ , is called a special generic map if it has only definite fold points as its singularities. Special generic maps were first defined by Burlet and de Rham for  $(n, p) = (3, 2)$ . Then they were extended to general  $(n, p)$  and studied by Porto, Furuya, Sakuma, Saeki, et al. In this paper, we give a new topological restriction on the source manifold of special generic maps for  $(n, p) = (2k + 1, k + 2)$ ,  $k > 1$ , and completely determine the diffeomorphism types of those simply connected closed 5-dimensional manifolds which admit special generic maps into  $\mathbb{R}^p$  for  $1 \leq p \leq 5$ .

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## 1. INTRODUCTION

Let  $M$  be a closed connected smooth  $n$ -dimensional manifold and let  $f : M \rightarrow \mathbb{R}^p$  be a smooth map with  $1 \leq p \leq n$ . A point  $q$  in  $M$  is called a *definite fold point* if the map  $f$  can be represented by the normal form:

$$\begin{aligned}y_i \circ f &= x_i, & 1 \leq i \leq p - 1, \\y_p \circ f &= x_p^2 + x_{p+1}^2 + \cdots + x_n^2\end{aligned}$$

for some local coordinates  $(x_1, x_2, \dots, x_n)$  and  $(y_1, y_2, \dots, y_p)$  centered at  $q$  and  $f(q)$ , respectively. The map  $f$  is called a *special generic map* if all the singular points of  $f$  are definite fold points.

Special generic maps were first defined by Burlet and de Rham [3], who studied such maps of 3-dimensional manifolds into the plane. Porto and Furuya [5] studied special generic maps of  $n$ -dimensional manifolds into the plane,  $n > 3$ . Èliašberg [4] studied special generic maps of  $n$ -dimensional manifolds into  $\mathbb{R}^n$ .

We note that a special generic map of an  $n$ -dimensional manifold into the line is a Morse function with critical points of indices 0 or  $n$ . It is a result of Reeb [6] that closed connected smooth  $n$ -dimensional manifolds which admit special generic maps into the line are homeomorphic to the  $n$ -dimensional sphere.

In this paper, we study the topology of closed 5-dimensional manifolds which admit special generic maps into  $\mathbb{R}^p$  for arbitrary integers  $p$  with  $1 \leq p \leq 5$ , and we give the complete list of the diffeomorphism types of simply connected closed 5-dimensional manifolds which admit such special generic maps (see Theorem 3.3).

Note that for  $p \neq 4$ , this can be directly obtained by using results of Reeb [6], Porto and Furuya [5], Saeki [8], Èliašberg [4] and Barden [1]; however, for  $p = 4$ , this is a new result as far as the author knows.

The paper is organized as follows. In Section 2, we review several results about special generic maps and closed simply connected 5-dimensional manifolds. In Section 3, we prove that if there exists a special generic map  $f : M \rightarrow \mathbb{R}^{k+2}$  of a closed orientable  $(2k + 1)$ -dimensional manifold,  $k \geq 2$ , with  $H_1(M; \mathbb{Z}) = 0$ , then the homology group  $H_k(M; \mathbb{Z})$  is free abelian. As a corollary, we prove that for a closed simply connected 5-dimensional manifold  $M$ , there exists a special generic map  $f : M \rightarrow \mathbb{R}^4$  if and only if  $M$  is diffeomorphic to a connected sum of  $S^3$ -bundles over  $S^2$ .

Throughout this paper, all manifolds and maps are of class  $C^\infty$ , unless otherwise indicated; all homology and cohomology groups have integer coefficients unless otherwise specified; for groups  $G_1$  and  $G_2$ , “ $G_1 \cong G_2$ ” means that they are isomorphic; for smooth manifolds  $M_1$  and  $M_2$ , “ $M_1 \cong M_2$ ” means that they are diffeomorphic;  $\mathbb{R}^n$  denotes the  $n$ -dimensional Euclidean space;  $D^n$  denotes the closed unit disk in  $\mathbb{R}^n$ ; and  $S^n$  denotes the  $n$ -dimensional unit sphere in  $\mathbb{R}^{n+1}$ .

## 2. SPECIAL GENERIC MAPS

In this section, we review several results on special generic maps and simply connected 5-dimensional manifolds, which will be important in the proofs of our results.

Let us first give some examples of special generic maps.

*Example 2.1.* Let  $\pi : \mathbb{R}^{n+1} \rightarrow \mathbb{R}^p$ ,  $n \geq p$ , be the standard projection. Then its restriction to the unit sphere  $f = \pi|_{S^n} : S^n \rightarrow \mathbb{R}^p$  is a special generic map.

*Example 2.2.* Let  $f : M \rightarrow \mathbb{R}^n$  be a special generic map and let  $Q$  be a closed  $k$ -dimensional submanifold of  $\mathbb{R}^{n+k}$  having a trivial normal bundle. Then the composition

$$Q \times M \xrightarrow{\text{id} \times f} Q \times \mathbb{R}^n \rightarrow \mathbb{R}^{n+k}$$

is a special generic map, where  $\text{id}$  is the identity map, and the last map is the composition of a trivialization of the open tubular neighborhood of  $Q$  in  $\mathbb{R}^{n+k}$  with the inclusion map.

Now, we prove the following lemma, which will be used in the proof of our main theorem.

**LEMMA 2.3.** *Let  $M$  be the non-trivial  $S^3$ -bundle over  $S^2$ ,  $p = 3, 4$ . Then there exists a special generic map of  $M$  into  $\mathbb{R}^p$ .*

*Proof.* When  $p = 3$ , the above lemma is already proved in [7]. So we will prove it only for  $p = 4$ . For real numbers  $t$  with  $0 \leq t \leq 2\pi$ , we define the map  $h_t : S^3 \rightarrow S^3$  by

$$h_t(x_1, x_2, x_3, x_4) = (x_1 \cos t - x_2 \sin t, x_1 \sin t + x_2 \cos t, x_3, x_4).$$

By using the above map, we define the diffeomorphism  $\Phi : S^3 \times \partial D^2 \rightarrow S^3 \times \partial D^2$  by

$$\Phi(x, (\cos t, \sin t)) = (h_t(x), (\cos t, \sin t)).$$

Pasting  $S^3 \times D^2$  and its copy along the boundary by  $\Phi$ , we obtain the closed 5-dimensional manifold  $X$ . We see easily that  $X$  is diffeomorphic to  $M$ .

Now, we define the special generic map  $f : S^3 \rightarrow \mathbb{R}^2$  by

$$f(x_1, x_2, x_3, x_4) = (x_3, x_4).$$

Then we have

$$(f \times \text{id}) \circ \Phi = f \times \text{id} : S^3 \times \partial D^2 \rightarrow \mathbb{R}^2 \times \partial D^2.$$

Therefore, the map

$$(f \times \text{id}) \cup (f \times \text{id}) : X = (S^3 \times D^2) \cup_{\Phi} (S^3 \times D^2) \rightarrow (\mathbb{R}^2 \times D^2) \cup (\mathbb{R}^2 \times D^2)$$

is well-defined, where  $(\mathbb{R}^2 \times D^2) \cup (\mathbb{R}^2 \times D^2)$  is the space obtained by pasting  $\mathbb{R}^2 \times D^2$  and its copy along the boundary by the identity map. So we obtain the composition map

$$g : X \longrightarrow \mathbb{R}^2 \times S^2 \rightarrow \mathbb{R}^4,$$

where the last map is the composition of a trivialization of the open tubular neighborhood of  $S^2$  in  $\mathbb{R}^4$  with the inclusion map. We see easily that the map  $g : X \rightarrow \mathbb{R}^4$  is a special generic map.  $\square$

**Definition 2.4.** Let  $M$  be a closed  $n$ -dimensional manifold and  $f : M \rightarrow \mathbb{R}^p$  a smooth map,  $n \geq p$ . The set

$$(2.1) \quad S(f) = \{x \in M \mid \text{rank}(df_x) < p\}$$

is called the *singular point set* of  $f$ , whose points are called *singular points*.

*Definition 2.5.* Let  $f : X \rightarrow Y$  be a continuous map between two topological spaces. For two points  $x_1$  and  $x_2$  in  $X$ , we define  $x_1 \sim x_2$  if  $x_1$  and  $x_2$  are in the same connected component of the pre-image  $f^{-1}(y)$  for a point  $y$  in  $Y$ . This relation “ $\sim$ ” is an equivalence relation, and therefore we can consider the quotient space  $W_f$  and the quotient map  $q_f : X \rightarrow W_f$  with respect to this relation. Then it is not difficult to prove that there exists a unique continuous map  $\bar{f} : W_f \rightarrow Y$  such that the following diagram commutes.

$$(2.2) \quad \begin{array}{ccc} X & \xrightarrow{f} & Y \\ & \searrow q_f & \nearrow \bar{f} \\ & W_f & \end{array}$$

The diagram (2.2) or the space  $W_f$  is called the *Stein factorization* of  $f$ .

The quotient space  $W_f$  is not always a manifold for a smooth map  $f : M \rightarrow \mathbb{R}^p$  of a manifold; however, if  $f$  is a special generic map, then we have the following.

**THEOREM 2.6** ([3]). *Let  $f : M \rightarrow \mathbb{R}^p$  be a special generic map of a closed connected  $n$ -dimensional manifold  $M$  into  $\mathbb{R}^p$ ,  $n > p$ . Then the following holds.*

- (1) *The quotient space  $W_f$  has the structure of a smooth  $p$ -dimensional manifold with non-empty boundary.*
- (2) *The map  $\bar{f} : W_f \rightarrow \mathbb{R}^p$  is an immersion.*
- (3) *The singular point set  $S(f)$  is a closed  $(p-1)$ -dimensional submanifold of  $M$ , and the restriction of  $q_f$  to  $S(f)$  is a diffeomorphism onto  $\partial W_f$ .*
- (4) *The induced map  $(q_f)_* : \pi_1(M) \rightarrow \pi_1(W_f)$  is a group isomorphism.*
- (5) *We have  $q_f(M - S(f)) = \text{Int } W_f$  and  $q_f|_{M-S(f)} : M - S(f) \rightarrow \text{Int } W_f$  is a smooth  $S^{n-p}$ -bundle over  $\text{Int } W_f$ .*

The above theorem is essentially proved for  $(n, p) = (3, 2)$  in [3]. We can prove it for general  $(n, p)$ ,  $n > p$ , by using the same method.

The following result gives us a method of reconstructing all manifolds admitting special generic maps through the Stein factorizations, up to homeomorphism.

**THEOREM 2.7** ([7]). *Let  $f : M \rightarrow \mathbb{R}^p$  be a special generic map of a closed  $n$ -dimensional manifold with  $n > p$ . Then there exists a topological  $D^{n-p+1}$ -bundle  $E$  over  $W_f$  with  $M$  homeomorphic to  $\partial E$ .*

For special generic maps of closed orientable manifolds into the Euclidean space of the same dimensions, Ëliašberg [4] proved the following result.

THEOREM 2.8 ([4]). *Let  $M$  be a closed orientable  $n$ -dimensional manifold. Then  $M$  admits a special generic map into  $\mathbb{R}^n$  if and only if it is stably parallelizable.*

The following result is useful for constructing special generic maps of a connected sum of manifolds into  $\mathbb{R}^p$ .

PROPOSITION 2.9 ([7]). *Let  $M_1$  and  $M_2$  be closed orientable  $n$ -dimensional manifolds. If there exist special generic maps  $f_i : M_i \rightarrow \mathbb{R}^p$ ,  $i = 1, 2$ , then there exists a special generic map  $f : M_1 \sharp M_2 \rightarrow \mathbb{R}^p$ , where  $M_1 \sharp M_2$  denotes the connected sum of  $M_1$  and  $M_2$ .*

Now let us recall several results of Barden [1]. In the following,  $w_2(M) \in H^2(M; \mathbb{Z}_2)$  denotes the second Stiefel-Whitney class of a manifold  $M$ .

In [1], Barden constructed the simply connected closed 5-dimensional manifolds  $X_j$  and  $M_k$ ; here  $j$  is either an integer greater than  $-2$  or the symbol  $\infty$ , and  $k$  is either an integer greater than 1 or the symbol  $\infty$ . The following results hold for these 5-dimensional manifolds  $X_j$  and  $M_k$ .

PROPOSITION 2.10 ([1]). *We have the following:*

- (1)  $X_0 = S^5$ ,  $M_\infty = S^3 \times S^2$ .
- (2)  $X_\infty$  is the non-trivial  $S^3$ -bundle over  $S^2$ .
- (3)  $H_2(M_\infty) \cong H_2(X_\infty) \cong \mathbb{Z}$ ,  $H_2(X_0) = 0$ ,  $H_2(X_{-1}) \cong \mathbb{Z}_2$ .
- (4) For  $2 \leq k < \infty$ ,  $H_2(M_k) \cong \mathbb{Z}_k \oplus \mathbb{Z}_k$ .
- (5) For  $1 \leq j < \infty$ ,  $H_2(X_j) \cong \mathbb{Z}_{2^j} \oplus \mathbb{Z}_{2^j}$ .
- (6)  $w_2(X_0) = 0$ .
- (7) For all  $k$ ,  $w_2(M_k) = 0$ .
- (8) For  $j \neq 0$ ,  $w_2(X_j) \neq 0$ .

THEOREM 2.11 ([1]). *Let  $M$  be a simply connected closed 5-dimensional manifold. Then  $M$  is diffeomorphic to one of the following manifolds:*

$$(2.3) \quad X_j \sharp M_{k_1} \sharp M_{k_2} \sharp \cdots \sharp M_{k_s},$$

where  $-1 \leq j \leq \infty$ ,  $s \geq 0$ ,  $2 \leq k_i \leq \infty$ , and  $k_{i+1} = \infty$  or  $k_i$  divides  $k_{i+1}$  when  $i = 1, 2, \dots, s-1$ . When  $s = 0$ , we think of the part  $M_{k_1} \sharp M_{k_2} \sharp \cdots \sharp M_{k_s}$  as  $S^5$ . Furthermore, this representation is unique, that is, if

$$X_j \sharp M_{k_1} \sharp M_{k_2} \sharp \cdots \sharp M_{k_s} \cong X_\ell \sharp M_{m_1} \sharp M_{m_2} \sharp \cdots \sharp M_{m_t},$$

then we have  $j = \ell$ ,  $s = t$ ,  $k_1 = m_1$ ,  $k_2 = m_2, \dots, k_s = m_s$ .

When  $M$  is a simply connected closed 5-dimensional manifold,  $M$  is stably parallelizable if and only if  $w_2(M) = 0$  (see [9]). Therefore, Theorem 2.11 and Proposition 2.10 lead to the following corollary.

COROLLARY 2.12. *Let  $M$  be a closed simply connected 5-dimensional manifold. Then  $H_2(M)$  is free abelian if and only if  $M$  is diffeomorphic either to  $S^5$  or to a connected sum of  $S^3$ -bundles over  $S^2$ . Furthermore,  $M$  is stably parallelizable if and only if  $M$  is diffeomorphic to  $M_{k_1} \sharp M_{k_2} \sharp \cdots \sharp M_{k_s}$  for some  $s \geq 0$  and  $k_i \geq 2$ .*

### 3. MAIN THEOREM

We need the following to prove our main theorem.

LEMMA 3.1. *Let  $W$  be a compact connected  $(k+2)$ -dimensional manifold with non-empty boundary,  $k \geq 1$ ,  $H_1(W) = 0$ , and let  $F_1, F_2, \dots, F_\ell$  be the connected components of the boundary  $\partial W$ . Then the homology group  $H_{k+1}(W)$  is free abelian of rank  $\ell - 1$  and it has the homology classes  $[F_i]$  represented by  $F_i$  ( $i = 1, 2, \dots, \ell - 1$ ) as a basis. Furthermore, the group  $H_k(W)$  is also free abelian.*

*Proof.* Since  $H_1(W) = 0$ ,  $W$  is orientable. By Poincaré-Lefschetz duality and the universal coefficient theorem, we have

$$\begin{aligned} H_{k+1}(W, \partial W) &\cong H^1(W) \\ &\cong \text{Hom}(H_1(W), \mathbb{Z}) \oplus \text{Ext}(H_0(W); \mathbb{Z}) \\ &= 0. \end{aligned}$$

Consider the homology exact sequence

$$H_{k+2}(W, \partial W) \xrightarrow{\partial} H_{k+1}(\partial W) \rightarrow H_{k+1}(W) \rightarrow 0$$

for the pair  $(W, \partial W)$ . If we give  $\partial W$  the orientation induced by an orientation of  $W$ , then we have

$$\partial[W, \partial W] = [F_1] + [F_2] + \cdots + [F_\ell],$$

where  $[W, \partial W] \in H_{k+2}(W, \partial W)$  is the fundamental class of  $(W, \partial W)$ . So we have

$$\begin{aligned} H_{k+1}(W) &\cong H_{k+1}(\partial W) / \text{Im } \partial \\ &\cong \mathbb{Z}^{\ell-1}, \end{aligned}$$

and we can take  $[F_1], [F_2], \dots, [F_{\ell-1}]$  as a basis for  $H_{k+1}(W)$ . Now consider the homology exact sequence

$$H_1(W) \rightarrow H_1(W, \partial W) \rightarrow \tilde{H}_0(\partial W) \rightarrow \tilde{H}_0(W)$$

for the pair  $(W, \partial W)$ . Since the both ends are zero,  $H_1(W, \partial W) \cong \tilde{H}_0(\partial W)$  is free abelian. Therefore, we have

$$H_k(W) \cong H^2(W, \partial W)$$

$$\begin{aligned} &\cong \text{Hom}(H_2(W, \partial W), \mathbb{Z}) \oplus \text{Ext}(H_1(W, \partial W); \mathbb{Z}) \\ &\cong \text{Hom}(H_2(W, \partial W), \mathbb{Z}), \end{aligned}$$

and  $H_k(W)$  is also free abelian.  $\square$

LEMMA 3.2. *Let  $M$  be a connected closed  $(2k+1)$ -dimensional manifold,  $k \geq 2$ , with  $H_1(M) = 0$ . If  $f : M \rightarrow \mathbb{R}^{k+2}$  is a special generic map, then we have*

$$H_k(M) \cong H^{k+1}(W_f) \oplus H_k(W_f),$$

and  $H_k(M)$  is a free abelian group of rank  $b + c - 1$ , where  $b$  is the number of connected components of  $S(f)$  and  $c$  is the  $k$ -th Betti number of  $W_f$ .

The above lemma implies that there exists no special generic map  $f : M \rightarrow \mathbb{R}^{k+2}$  if  $H_k(M)$  has a non-trivial torsion element.

*Proof.* By Theorem 2.7, there exists a topological  $D^k$ -bundle  $\pi : E \rightarrow W_f$  over  $W_f$  such that  $M$  is homeomorphic to  $\partial E$ . Note that  $W_f$  is homotopy equivalent to  $E$ , and that  $E$  is a connected compact orientable  $(2k+2)$ -dimensional topological manifold with boundary. We take an orientation on  $E$  and give  $\partial E$  the orientation induced from  $E$ .

By assumption, we have  $2k \geq k+2$ ; since  $W_f$  is a  $(k+2)$ -dimensional manifold with non-empty boundary, by Poincaré-Lefschetz duality, we have

$$H_2(E, \partial E) \cong H^{2k}(E) \cong H^{2k}(W_f) = 0,$$

$$H_1(E, \partial E) \cong H^{2k+1}(E) \cong H^{2k+1}(W_f) = 0.$$

Therefore, for the homology exact sequence

$$H_2(E, \partial E) \rightarrow H_1(\partial E) \rightarrow H_1(E) \rightarrow H_1(E, \partial E)$$

associated with the pair  $(E, \partial E)$ , the both ends are 0, and we get

$$H_1(W_f) \cong H_1(E) \cong H_1(\partial E) \cong H_1(M) = 0.$$

Therefore, by Lemma 3.1,  $H_{k+1}(W_f)$  is a free abelian group of rank  $\ell - 1$  with a basis consisting of  $[F_j] \in H_{k+1}(W_f)$ ,  $j = 1, 2, \dots, \ell - 1$ , and  $H_k(W_f)$  is also free abelian, where  $F_1, F_2, \dots, F_\ell$  are the connected components of  $\partial W_f$ .

Let  $i^* : H^{k+1}(E, \partial E) \rightarrow H^{k+1}(E)$  be the homomorphism induced by the inclusion  $i : (E, \emptyset) \rightarrow (E, \partial E)$  and let  $h : H^{k+1}(E) \rightarrow \text{Hom}(H_{k+1}(E), \mathbb{Z})$  be the homomorphism defined by  $h(\alpha)(\beta) = \langle \alpha, \beta \rangle$ , where  $\langle \alpha, \beta \rangle \in \mathbb{Z}$  is the Kronecker product of  $\alpha \in H^{k+1}(E)$  and  $\beta \in H_{k+1}(E)$ . Since  $\text{Ext}(H_k(E), \mathbb{Z}) \cong \text{Ext}(H_k(W_f), \mathbb{Z}) = 0$ , by the universal coefficient theorem, we have that  $h$  is an isomorphism. Let  $D : H^{k+1}(E, \partial E) \rightarrow H_{k+1}(E)$  be the Poincaré-Lefschetz duality isomorphism defined by  $D(\alpha) = \alpha \cap [E, \partial E]$ , where  $[E, \partial E] \in H_{2k+2}(E, \partial E)$  is the fundamental class of  $(E, \partial E)$  and “ $\cap$ ” is the cap product. Furthermore, let  $D^* : \text{Hom}(H_{k+1}(E), \mathbb{Z}) \rightarrow \text{Hom}(H^{k+1}(E, \partial E); \mathbb{Z})$  be the isomorphism given by

$\varphi \mapsto \varphi \circ D$ . Let us show that  $i^*$  vanishes. It suffices to show that the composition  $\Psi = D^* \circ h \circ i^*$  vanishes, since  $D^*$  and  $h$  are isomorphisms. The homomorphism  $\Psi$  sends  $\alpha \in H^{k+1}(E, \partial E)$  to the homomorphism  $H^{k+1}(E, \partial E) \rightarrow \mathbb{Z}$  given by

$$\beta \mapsto \langle i^*(\alpha), \beta \cap [E, \partial E] \rangle = \langle i^*(\alpha) \cup \beta, [E, \partial E] \rangle,$$

where “ $\cup$ ” is the cup product. We can consider the space  $W_f$  as the “zero section” of the  $D^k$ -bundle  $E$ , and then we see that the intersection number of  $F_j$  and  $F_k$  always vanishes for each  $j$  and  $k$ . On the other hand, if  $A$  and  $B$  are oriented  $(k+1)$ -dimensional closed submanifolds of  $E$  which intersect transversely at finitely many points, then the intersection number  $A \cdot B$  of  $A$  and  $B$  in  $E$  coincides with  $\langle [A]^* \cup [B]^*, [E, \partial E] \rangle$ , where  $[A]^*$  and  $[B]^*$  are the Poincaré-Lefschetz duals of the homology classes represented by  $A$  and  $B$ , respectively (see, for example, Theorem 11.9 of Chapter VI in [2]). Therefore, for each  $j$  and  $k$ , we have

$$\Psi([F_j]^*)([F_k]^*) = \langle i^*[F_j]^* \cup [F_k]^*, [E, \partial E] \rangle = F_j \cdot F_k = 0.$$

Since  $H^{k+1}(E, \partial E)$  is generated by  $[F_j]^*$ ,  $j = 1, 2, \dots, \ell - 1$ , we see that  $\Psi$  vanishes, and consequently so does  $i^*$ .

We consider the cohomology exact sequence

$$H^{k+1}(E, \partial E) \xrightarrow{i^*} H^{k+1}(E) \rightarrow H^{k+1}(\partial E) \rightarrow H^{k+2}(E, \partial E) \rightarrow H^{k+2}(E).$$

By Poincaré-Lefschetz duality, we have

$$\begin{aligned} H^{k+1}(E) &\cong H^{k+1}(W_f), \\ H^{k+1}(\partial E) &\cong H^{k+1}(M) \cong H_k(M), \\ H^{k+2}(E, \partial E) &\cong H_k(E) \cong H_k(W_f), \\ H^{k+2}(E) &\cong H^{k+2}(W_f) = 0. \end{aligned}$$

Therefore, since  $i^*$  vanishes, we get the short exact sequence

$$0 \rightarrow H^{k+1}(W_f) \rightarrow H_k(M) \rightarrow H_k(W_f) \rightarrow 0.$$

Since  $H_k(W_f)$  is free abelian, the above sequence splits, so we have

$$H_k(M) \cong H^{k+1}(W_f) \oplus H_k(W_f).$$

By using an argument in the proof of Lemma 3.1, we have

$$H^{k+1}(W_f) \cong H_1(W_f, \partial W_f) \cong \tilde{H}_0(\partial W_f),$$

which implies that  $H^{k+1}(W_f)$  is a free abelian group of rank  $b - 1$ , since  $\partial W_f$  is diffeomorphic to  $S(f)$ . Therefore,  $H_k(M)$  is a free abelian group of rank  $b + c - 1$ , since  $H_k(W_f)$  is free abelian of rank  $c$ , which is the  $k$ -th Betti number of  $W_f$ . This completes the proof of Lemma 3.2.  $\square$

Now, we give the complete list of the diffeomorphism types of simply connected closed 5-dimensional manifolds which admit special generic maps, by using Lemmas 3.1 and 3.2.

**THEOREM 3.3.** *Let  $M$  be a closed simply connected 5-dimensional manifold, and  $1 \leq p \leq 5$ . Then there exists a special generic map  $f : M \rightarrow \mathbb{R}^p$  if and only if one of the following conditions holds:*

- (1)  $M \cong S^5$ , and  $p = 1, 2$ ,
- (2)  $M \cong \sharp^k M_\infty \sharp (\sharp^\ell X_\infty)$  for some  $k \geq 0$  and  $\ell \geq 0$ , and  $p = 3, 4$ ,
- (3)  $M \cong M_{k_1} \sharp M_{k_2} \sharp \cdots \sharp M_{k_s}$  for some  $s \geq 0$  and  $k_i \geq 2$ , and  $p = 5$ .

*Proof.* Suppose that there exists a special generic map  $f : M \rightarrow \mathbb{R}^p$ .

When  $p < 5$ , by Theorem 2.7, there exists a topological  $D^{6-p}$ -bundle  $E$  over  $W_f$  such that  $E$  is a compact orientable 6-dimensional manifold with non-empty boundary, and  $M$  is homeomorphic to  $\partial E$ . Note that  $E$  is homotopy equivalent to  $W_f$  and  $W_f$  is a compact  $p$ -dimensional manifold with non-empty boundary.

When  $p \leq 3$ , for  $i = 1, 2, 3$ , since  $6 - i \geq p$ , we have

$$H_i(E, \partial E) \cong H^{6-i}(E) \cong H^{6-i}(W_f) = 0.$$

In the homology exact sequence

$$H_3(E, \partial E) \rightarrow H_2(\partial E) \rightarrow H_2(E) \rightarrow H_2(E, \partial E)$$

for the pair  $(E, \partial E)$ , since the both ends are zero, we have

$$H_2(M) \cong H_2(\partial E) \cong H_2(E) \cong H_2(W_f).$$

When  $p = 1, 2$ , since  $H_2(W_f) = 0$ , we have  $H_2(M) = 0$ . By Corollary 2.12 and Proposition 2.10, we have  $M \cong S^5$ .

When  $p = 3$ , in the homology exact sequence

$$H_2(E, \partial E) \rightarrow H_1(\partial E) \rightarrow H_1(E) \rightarrow H_1(E, \partial E)$$

for the pair  $(E, \partial E)$ , since the both ends are zero, we have

$$H_1(W_f) \cong H_1(E) \cong H_1(\partial E) = H_1(M) = 0.$$

By Lemma 3.1, the group  $H_2(W_f)$  is free abelian, and hence so is  $H_2(M)$ . Then by Corollary 2.12,  $M$  is diffeomorphic either to  $S^5$  or to a connected sum of  $S^3$ -bundles over  $S^2$ .

When  $p = 4$ , By Lemma 3.2,  $H_2(M)$  is free abelian, therefore we have the same conclusion as that for  $p = 3$ .

When  $p = 5$ , by Theorem 2.8,  $M$  is stably parallelizable. By Corollary 2.12,  $M$  is a connected sum of some  $M_k$ 's.

Conversely, suppose that  $M$  and  $p$  are a 5-dimensional manifold and an integer, respectively, as in (1), (2) or (3). Then we can construct a special generic map of  $M$  into  $\mathbb{R}^p$  by using Examples 2.1 and 2.2, Lemma 2.3, Theorem 2.8 and Proposition 2.9.  $\square$

Let  $M$  be a simply connected closed 5-dimensional manifold. By Theorem 2.11, we have

$$M \cong X_j \# (M_{k_1} \# M_{k_2} \# \cdots \# M_{k_t}) \# (\#^u(S^3 \times S^2)),$$

where  $-1 \leq j \leq \infty$ ,  $t \geq 0$ ,  $u \geq 0$ ,  $2 \leq k_i < \infty$  and  $k_i$  divides  $k_{i+1}$ . Then we put  $j(M) = j$ ,  $t(M) = t$ , and  $u(M) = u$ . Note that  $j(M)$  is either an integer greater than  $-2$  or  $\infty$ , and  $t(M)$  and  $u(M)$  are non-negative integers. Now, we put

$$\mathcal{S}(M) = \{1 \leq p \leq 5 \mid M \text{ admits a special generic map into } \mathbb{R}^p\}.$$

Then Theorem 3.3 means that if  $M$  is a simply connected closed 5-dimensional manifold, then the following holds:

- (1)  $1 \in \mathcal{S}(M) \Leftrightarrow 2 \in \mathcal{S}(M) \Leftrightarrow j(M) = t(M) = u(M) = 0$ ,
- (2)  $3 \in \mathcal{S}(M) \Leftrightarrow 4 \in \mathcal{S}(M) \Leftrightarrow j(M) \in \{0, \infty\}$ ,  $t(M) = 0$ , and
- (3)  $5 \in \mathcal{S}(M) \Leftrightarrow j(M) = 0$ .

In [1], Barden proved the following (see Lemma 2.4, Corollary 2.4.1 and Theorem 2.5 in [1]). In the following, for a smooth manifold  $M$  and a positive integer  $p$ , “ $M \hookrightarrow \mathbb{R}^p$ ” means that  $M$  can be embedded in  $\mathbb{R}^p$ , and “ $M \looparrowright \mathbb{R}^p$ ” means that  $M$  can be immersed in  $\mathbb{R}^p$ .

**PROPOSITION 3.4.** *Let  $M$  be a simply connected closed 5-dimensional manifold. Then we have the following.*

- (1) *We always have  $M \hookrightarrow \mathbb{R}^9$  and  $M \looparrowright \mathbb{R}^8$ .*
- (2)  *$M \hookrightarrow \mathbb{R}^8 \Leftrightarrow M \looparrowright \mathbb{R}^7 \Leftrightarrow j(M) \in \{0, \infty\}$ .*
- (3)  *$M \hookrightarrow \mathbb{R}^7 \Leftrightarrow M \hookrightarrow \mathbb{R}^6 \Leftrightarrow M \looparrowright \mathbb{R}^6 \Leftrightarrow j(M) = 0$ .*

By the above proposition and Theorem 3.3, we have the following.

**COROLLARY 3.5.** *Let  $M$  be a simply connected closed 5-dimensional manifold. Then we have the following.*

- (1)  $1 \in \mathcal{S}(M) \Leftrightarrow 2 \in \mathcal{S}(M) \Rightarrow M \hookrightarrow \mathbb{R}^6$ ,
- (2)  $3 \in \mathcal{S}(M) \Leftrightarrow 4 \in \mathcal{S}(M) \Rightarrow M \hookrightarrow \mathbb{R}^8 \Leftrightarrow M \looparrowright \mathbb{R}^7$ ,
- (3)  $5 \in \mathcal{S}(M) \Leftrightarrow M \hookrightarrow \mathbb{R}^7 \Leftrightarrow M \hookrightarrow \mathbb{R}^6 \Leftrightarrow M \looparrowright \mathbb{R}^6$ .

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