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POTENTIAL IN STANDARD H - CONES

by

Gheorghe BUCUR and Nicolae BOBOC

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November 1979

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POTENTIAL IN STANDARD H-CONES

N.Boboc and Gh.Bucur

The aim of this paper is to extend, in the framework of standard H-cones, the notions of potential " and " harmonic ". More precisely in any standard H- cone $\mathcal G$ we distinguish the elements h, which are specifically dominated by an element $s\in \mathcal G$ if it is naturally dominated by s, called substractibles and the elements p which have no specific substractible nonzero minorant, called pure potentials. We caracterise, in terms of balayages, the substratible and pure potential elements. Some remarkable results are obtained in the case when any universally continuous element of $\mathcal G$ is a pure potential. In this situation, if the dual H-cone of $\mathcal G$ satisfies the axiom of polarity, then the pure potentials in $\mathcal G$ are exactly the elements which can be writen as a sum of a sequence of universally continuous elements.

O. <u>Preliminaries and motations</u>. Throughout this paper will be a standard H-cone (see [1]).

We denote by \leqslant (resp. \preccurlyeq) the natural (resp.specific) order relation in \mathcal{G} and by \mathcal{G} the convex cone of all universally continuous elements of \mathcal{G} . Whenever s is a strictly positive element of \mathcal{G} we right s>0. We denote by \mathcal{G}^* the dual H-cone of \mathcal{G} . It is known that \mathcal{G}^* is also a standard H-cone and we denote by \mathcal{G}^* convex cone of all universally continuous elements of \mathcal{G}^* . We denote also by \leqslant (resp. \preccurlyeq) the natural (resp.specific) order relation in \mathcal{G}^* . We remember that \mathcal{G} is embedded canonically in \mathcal{G}^* as a convex cone which is solid and dense in order from

below in Swith respect to the natural order.

The coarse topology on I for which the functions on I defined by

$$s \rightarrow \mu(s)$$
, $\mu \in \mathcal{G}_{o}^{*}$

are continuous is called natural topology on \mathcal{G} . A similar topology may be defined on \mathcal{G}^* (see also [2]). The fine topology on \mathcal{G} will be the coarse topology on \mathcal{G} for which the functions on \mathcal{G} defined by

$$s \rightarrow \mu(s)$$
, $\mu \in \mathcal{I}^*$

are continuous. Analogously we define the fine topology on \mathcal{G}^{\times} . For any $u \in \mathcal{G}$, u > 0 we denote

The set K_u is convex and compact in the natural topology on \mathcal{G}^* . We denote by X_u the set of all non-zero extrem points of K_u . Any $s\in\mathcal{G}$ may be identified with the function on X_u defined by

element ew bas
$$s(x)=: x(s)$$
, so a call at the small stall

and in this way \mathcal{G} becomes a standard H-cone of functions on the set X (see [1]). The natural (resp.fine) topology on X is that induced by the natural (resp.fine) topology on \mathcal{G}^* .

In general we say that \mathcal{G} is represented as an H-cone

of functions on a set X (see[1]) if there exists $u \in \mathcal{J}$, u > 0 such that $X \subset X_u$ and for any $s,t \in \mathcal{J}$ we have $s \le t$ whenever $s(x) \le t(x)$ for any $x \in X$. Obviously in this case we have u = 1 on X.

We remember that a balayage on \mathcal{G} is a map $B: \mathcal{G} \to \mathcal{G}$, which is additive, increasing and continuous in order from below with respect to the natural order, contractive (i.e. $B \land \leq \land \land \Leftrightarrow \land \land \Leftrightarrow \circlearrowleft$) and idempotent (i.e. $B(Bs)=Bs(\ensuremath{\mbox{ψ}}) \land \in \mathcal{G}$).

We denote by $[\mathcal{Y}]$ the ordered real vector space generated by \mathcal{Y} and by the order relation given by the convex cone of all elements s-t where $s,t\in\mathcal{Y}$, $s \nmid t$. The ordered vector space $[\mathcal{Y}]$ is a vector latice and for any $f,g\in[\mathcal{Y}]$ we denote their infimum by \mathcal{Y}_{AB} . For any $f\in[\mathcal{Y}]$, we put

$$Rf = : \bigwedge \{ s \in \mathcal{S} | s > f \}$$

and for any $f \in [\mathcal{G}]$, f > 0 we denote by B_f the balayage defined by

$$B_{f}s = \bigvee_{n} R(sAnf).$$

For any subset A of X_{tc} we denote by $B_{\mathbf{S}}^{\mathbf{A}}$ the function

$$B_s^A = \bigwedge \{ s \in \mathcal{I} \mid s > s \text{ on } A \}.$$

Generally the map

$$s \longrightarrow B_s^A$$

is additive, increasing and continuous in order from below,

contractive but it is not idempotent. If it is idempotent then this map is a balayage called the balayage on A. This is the case when A is fine open or more general when A is a subbasic subset of X_u (see [3]).

If $u \in \mathcal{L}$, u > 0 then for any $s \in \mathcal{L}$ we denote (see [3]) Carr s the subset of the natural closer X_u of X in K_u defined by

The set Carr s is called the <u>carrier</u> of s and it is proved that for any $s \in \mathcal{G}$ we have Carr $s \neq \emptyset$ and $s^* \geqslant s$ whenever this inequality hols only on the set $X \cap Carr s$.

We remember that $\mathcal G$ satisfies the axiom of polarity $\left(\sec[1]\right)$ if for any $s\in\mathcal G$, and any decreasing sequence of balayages $(B_n)_n$ on $\mathcal G$ we have

$$B_k(\bigwedge_n B_n s) = \bigwedge_n B_n s.$$

One can prove (see[3]) that \mathcal{G}^* satisfies the axiom of polarity iff any element $s \in \mathcal{G}$ which is dominated by an element $s \in \mathcal{G}$ is of the form

$$s = \sum_{n} s_n$$
 where $s_n \in \mathcal{J}_o$.

1. Substractible elements in a standard H-cone

An element $h \in \mathcal{F}$ is called <u>substractible</u> if for any $s \in \mathcal{F}$ such that $h \leqslant s$ we have

$h \gtrsim s$.

We remark that the set of all substractible elements is a convex subcone of $\mathcal G$ which is solid in $\mathcal G$ with respect to the specific order. Moreover any element $s\in\mathcal G$ of the from

 $s = \sum_{n} h_{n}, \quad h_{n} \text{ substractible } is substractible.$

Theorem 1. Let $(B_n)_n$ be a decreasing sequence of balayages on $\mathcal G$ such that there exists $u\in\mathcal G$, u>0 for which

Then any element he 9 such that

$$n \in \mathbb{N} \Longrightarrow B_n h = h$$

is substractible and $u \wedge h = 0$.

Let $h \in \mathcal{G}$ such that

$$n \in \mathbb{N} \Rightarrow B_n h = h$$

and let $s \in \mathcal{G}$ be such that

h &s.

Since B_n^+ = h it follows from [3] , that the element $f_n = (s-h) \wedge (u-B_n u)$

is of the form t-Bt where

and of forger make
$$t = (s+B_n u) \wedge (u+h)$$
 and the maximum $t = (s+B_n u) \wedge (u+h)$

Let now $\psi_1, \psi_2 \in \mathcal{G}_o^*$ such that $\psi_1 \leq \psi_2$. Since

$$t-B_nt \leq u-B_nu$$

it follows that see and (a) that the memoral

i.e

$$f_n + B_n u \in \mathcal{G}$$
.

We have

$$\begin{split} & \psi_{1}((s-h)\wedge u) \leq \psi_{1}(f_{n}+B_{n}u) \leq \psi_{2}(f_{n}+B_{n}u) \leq \\ & \leq \psi_{2}(f_{n})+\psi_{2}(B_{n}u) \leq \psi_{2}((s-h)\wedge u)+\psi_{2}(B_{n}u) \end{split}$$

and therefore

$$\gamma((s-h)\wedge u) \leq \gamma((s-h)\wedge u)$$
.

Hence

Since we can change u by any element nu where $n \in \mathbb{N}$ and since u>0 we deduce that

tex ow a feed togeth add gaist

1) $\bigwedge_{i \in \mathcal{I}_i} B_{i',p} = 0$

$$s - h \in \mathcal{G}$$
.

If we denote

 $p = u \wedge h$

we have

 $B_n p = p$ (since $p \ge h$) $B_n p \le A_n u = 0, p = 0.$

Theorem 2. Let h be substractible element of \mathcal{Y} and p such that p(h = 0. Then the balayage \mathcal{B}_f where $f=(h-p)^+$ satisfies the properties

 $B_f h = h$, $B_f p \leq h$.

Let sey be such that was somewas animas and

 $s > (nf) \land h$ (\forall) $n \in \mathbb{N}$

We have

 $h - s \leq p, p \geq R(h-s)$.

Since

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it follows that R(h-s) is substractible and therefore

R(h-s)\$p.

Using the hypothesis we get

$$R(h-s) = 0, h \leq s.$$

Obviously we have

$$h > B_f h = \sqrt{R(h \land nf)} > h$$
, $B_f h = h$

and bos to spensio siderestedness in tel S mercedi

$$B_{f}p = \bigvee_{n} R(p_{n}nf) \leq h$$
.

Corollary. Let h be a substractible element in \mathcal{G} and let p be a strictly positive element of \mathcal{G} such that ph = 0. Then the decreasing sequence of balayages (Bf) where

such that oxh = 0. Then the balavage B, where fe(n-p

$$f_n = (h-mp)^+ + m (w) + MA(3m) < 0$$

satisfies the following properties

1)
$$\bigwedge_{\infty} B_{f_m} p = 0$$

2)
$$B_{f_n}^h = h \quad (\forall) n \in \mathbb{N}$$

Let u be a strictly positive element of \mathcal{Y} and let $\mathbf{Y}_{\mathbf{u}^*}$ be the set of all non-zero extrem points of the compact convex set

$$K_{\mathbf{u}} = \{ \theta \in \mathcal{G}^{**} \mid \theta(\alpha^*) \leq 1 \}$$

We say that an element $s\in\mathcal{Y}$ is \underline{u} - representable if there exists a Borel measure μ_s on Y_u* such that for any $\psi\in\mathcal{Y}_o^*$ we have

$$\Psi(s) = \int \Psi(\theta) d\mu_s(\theta)$$

The measure μ_s is uniquely determined by the preceding equality. We remark that any element $s \in \mathcal{G}$ such that $u^*(s) < \infty$ is u^* -representable and the associated measure is finite. Generally since $\Psi(s) < \infty$ we deduce that the associated measure μ is σ -finite and therefore an element $s \in \mathcal{G}$ is u-representable iff it is of the from

$$s = \sum_{n \in N} S_n$$

where $u^*(s_n) < \infty$.

Theorem 3. Let $u \in \mathcal{I}$, u > 0 and $h \in \mathcal{I}$ an $u = \frac{*}{representa}$ ble element such that the associated measure is carred by a polar subset A of Y_{u} . Then h is substractible.

Let $s \in \mathcal{G}^*$, s > 0 such that $s = +\infty$ on A. Let $(B_n)_{n \in \mathbb{N}}$ be the sequence of balayages on \mathcal{G} such that

$$n \in \mathbb{N} \Rightarrow B_n^{\mathbb{X}} = B^{\mathbf{G}_n}$$
, $G_n = \{ y \in Y_u^{\mathbb{X}} \mid s^{\mathbb{X}}(y) > n \}$

Since for any $\Psi \in \mathcal{G}_{o}^{\times}$ we have

$$B_n^{\mathbb{X}} \Psi = \Psi$$
 on G_n

it follows that

$$\Psi(B_{n}h) = (B_{n}^{*}\Psi)(h) = \int B_{n}^{*}\Psi d\mu_{k} = \int \Psi d\mu_{k} = \Psi(h)$$

and therefore $B_nh=h$ for any $n\in N$. We remark also that for any $p\in \mathcal{G}_0$ and any $\psi\in \mathcal{G}_0$ we have $\psi \leq \alpha u^*$ for a suitable $\alpha>0$ and

$$\Psi (\triangle B_n p) = \inf_{n} \Psi (B_n p) = \inf_{n} (B_n^* \Psi)(p) \leq \inf_{n} \frac{\alpha}{n} u^*(p) = 0$$

Hence

$$\wedge B_n p = 0$$

and therefore

$$\Lambda B_n q = 0$$

for any qeg of the forme

$$q = \sum p_n, p_n \in \mathcal{J}_o$$

The assertion follows now from theorem 1.

Proposition 4. Let u*G*, u*>0 and let he be such that any specific minorant of h which is u*-representable is equal to zero. Then h is substractible.

Let $v^* \in \mathcal{J}^*$ be such that $v^* > 0$, $v^* \le u^*$ and $v^*(h) < 1$. We denote by μ_h the representing measure on Y_{v^*} associated to h. We shall show that μ_h is carred by the set

$$\left\{ y \in Y_{v^*} \middle| \widetilde{u}^*(y) = + \infty \right\}$$

We denote by μ_n the restriction of μ_h to the Borel set $\left\{ \begin{array}{c|c} y \in Y_{v^*} & u^*(y) \leq n \end{array} \right\},$

and let h_n be the element of \mathcal{G} defined by

$$\psi(h_n) = \int \psi d\mu_n$$
 , (4) $\psi \in \mathcal{G}_o^*$

Obviously $u^{\mathbb{X}}(h_n) \leq n \mu_{\mathbb{A}}(v^{\mathbb{X}}) < n$ and therefore h_n is $u^{\mathbb{X}}$ -representable and $h_n \preccurlyeq h$.

Using the hypotheses we deduce $h_n=0$ for any n and

$$\mu_h(\{y \in Y_{v^*} \mid u^*(y) < \infty\}) = 0.$$

2. Potentials in standard H-cones

Suppose that \mathcal{G} is represented as an H-cone of functions on the set X. A point xeX is called absorbent with respect to \mathcal{G} if

there exists $s \in \mathcal{J}$ such that s(y)>0 for any $y \in X$, $y \neq x$ and s(x)=0.

Proposition 5. For any xoeX the following assertions are equivalent:

- 1) x_0 is absorbent with respect to y2) $B_1^{C(x_0)}$ $(x_0) = 0$

 - 3) there exists a substractible element $p \in \mathcal{G}$, $p \neq 0$ such that $B_{p}^{\{x_{o}\}} = p$.
 - 1) (=> 2) is immediate.
 - 2) \Rightarrow 3) Since $\{x_0\}$ is fine open it follows that B_1 $(x_0)=1$. It is easy to see that the element $p=:B_1^{\{x_0\}}$ belongs to \mathcal{L}_0 and $B_{p}^{\{\chi_{o}\}} = p.$

We shall show that p is substractible. Let $s \in \mathcal{J}$ be such that

p≤s.

We have

Since $\begin{cases} x_0 \\ \end{cases}$ is fine open we have $s(x_0) < \infty$,

$$R(s-B_s) = s(x_o).p,$$

$$s(x_0) \cdot p \preceq s,$$

$$p \preceq \frac{s}{s(x_0)} \preceq s.$$

3) \Rightarrow 2). Let p be a substractible element of \mathcal{G}_{o} , p \neq 0 such that

$$B_{p}^{\{\chi_{o}\}} = p.$$

Obviously $p(x_0) \neq 0$ and we may suppose that $p(x_0)=1$. If B $\{x_0\}$ $\{x_0\} > 0$ then there exists a natural closed neighbourhood V of x_0 such that

$$X \cdot V$$
 $B_1 (x_0) > 0$

Since p is substractible it follows that

$$X \sim V$$
 of foregam $p \preceq q B_1$ and foregam as at $X \sim V$

$$R_{p} = p.$$

We may embeded X in a natural way in the set X_1 of all extrem points of the convex compact set

$$K_1 = \{ \mu \in \mathcal{G}^* \mid \mu(1) \leq 1 \}$$

Let U be a natural open neighbourhood of x_0 in X such that

We have

$$B_{p} = B_{p} = p.$$

From this equality it follows (see [3]) that

which contradicts (see [3]) the relation

$$B_{p}^{\{x_{o}\}} = p.$$

proposition 6. Suppose \mathcal{Y} represented as an H-cone of functions on the set X. Then the set of all absorbent points from X with respect to \mathcal{Y} is discrete in the natural topology.

Obviously $p(x_0) \neq 0$ and we may suppose that $p(x_0)=1$. If

If χ is an absorbent point of X with respect to $\mathcal G$ we denote by p the substractible element of $\mathcal G$ such that

$$B_{p_{\infty}}^{\lbrace \chi \rbrace} = p_{\mathbf{x}}, \quad p_{\mathbf{x}}(\mathbf{x}) = 1.$$

Any point $y \in X$, p(y) > 0 is not absorbent because in the contrary caz we have

$$p_y \leq dp_x$$

for a suitable < > 0 and therefore

$$p_y \preceq \alpha p_x$$

which contradicts the fact that

carr
$$p_y = \{y\}$$
, carr $p_x = \{x\}$.

An element p $\in \mathcal{Y}$ is called <u>pure potential</u> if for any substractible element h $\in \mathcal{Y}$ we have

 $p_{\lambda}h = 0.$

Proposition 7. Any pure potential $p \in \mathcal{G}$ is u^* -representable for any $u^* \in \mathcal{G}^*$, $u^* > 0$.

group of the theorem it is sufficient to home

Let $p \in \mathcal{G}$ be a pure potential and let $u \in \mathcal{G}$ such that $u \not > 0$. Since the set of all specific minorauts of p which are $u \not = -representable$ containes its least upper bound it is sufficient to suppose that any specific minorant of p which is $u \not = -representable$ is equal to zero. In this case we want to prove that p = 0. Indeed this last assertion is a direct consequence of proposition 4 and of the definition of a pure potential.

In the sequel we denote by \mathcal{G}_h the set of all substractible elements of \mathcal{G} .

Theorem 8. Suppose that \mathcal{G} is represented as an H-cone of functions on X such that any element of \mathcal{G}_o^{\star} is represented by a borel measure on X. Then any element of \mathcal{G}_o \mathcal{G}_o is of the form

$$\sum_{x} \alpha_{x} p_{x}$$

where x runs the set of all absorbent points of X with respect to Y and for any such point x

$$P_{X} = B_{1}^{\{X_{i}\}}$$

Let pefof and suppose that

$$p\chi p_{x} = 0$$

for any absorbent point x of X with respect to \mathcal{G} . For the proof of the theorem it is sufficient to show that any such element p is equal to zero. Suppose that $p\neq 0$.

We denote by \mathbf{X}_1 the set of all non zero extrem points of the compact convex set

$$K_1 = \{ \mu \in \mathcal{G}^* \mid \mu(1) \leq 1 \}$$

Since any universally continuous element of $\mathcal G$ is represented by a Borel measure on X it follows that any compact part of X_1 X is semipolar. We want to prove that there exists a point

which is not absorbent with respect to J.

In the contrary case if we denote by A the set of all absorbent points of X with respect to $\mathcal G$, then A is countable and therefore carr pnA is a $G_{\mathcal S}$ - set contained in X, X. Since

$$x \in A \Rightarrow p \times p_x = 0$$

it follows that [see 3]

$$p = \chi_{(\text{carr p'A}) \cap X_1} = \chi_{\text{K}} \cdot p$$

$$k = \chi_{(\text{carr p'A}) \cap X_1}$$

$$k = \chi_{(\text{carr p'A}) \cap X_1}$$

Any compact part k of X1 X being semipolar we deduce p=0.

From the fact that \mathbf{x}_o is not an absorbent point we deduce that there exists a naturally closed neighbourhood V of \mathbf{x}_o in K such that

B
$$\rho$$
 $(x_0) > 0$

We choose now W a naturally closed neighbourhood of x_0 in K_1 such that

and such that

$$p \le \theta B_1$$
 on W

for a suitable 0. If follows that

$$q = :R(p-Bp) \le \theta B_1$$

Since x_0 Carr q we deduce that $p \ B_p$ and q 0. Obviously q p. Using the fact that p is substractible we have

A Down on a hor one any new,

$$q \preccurlyeq \theta B_1$$

which contradicts the fact

q≠0, carr q ⊂ W.

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From the preceding theorem it follows that the following assertions are equivalent:

- 1) any element of \mathcal{G}_{o} is a pure potential
- 2) any extrem element of \int_0^{∞} is a pure potential
- 3) there exists a set X such that. \mathcal{G} is represented as an H-cone of functions on X such that any $\mu \in \mathcal{G}_o^*$ is representable as a Borel measure on X and such that X doesn't possess absorbent points.
- 4) if \mathcal{G} is represented as an H-cone of functions on X such that any element of \mathcal{G}_o^* is representable as a Borel measure on X then X doesn't possess absorbent points.
 - 5) There exists a pure potential p, p>0.

We say that the standard H-cone $\mathcal G$ satisfies the axiom A if one of the preceding assertions 1-5 holds for $\mathcal G$.

Theorem 9. Assume that \mathcal{G} satisfies the axiom A and let h be an element of \mathcal{G} . Then the following assertions are equivalent:

- 1) h is substractible
- 2) There exists $u \in \mathcal{G}$, u > 0 and a decreasing sequence of balayages $(B_n)_n$ on \mathcal{G} such that

$$\bigwedge_{n} B_{n} u = 0, \quad B_{n} h = h$$

for any ne N.

3) There exists $u \in \mathcal{G}$, u > 0, u pure potential and a decreasing sequence of balayages $(B_n)_m$ on \mathcal{G} such that

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$$\bigwedge_{n} B_n u = 0$$
, $B_n h = h$ for any $n \in \mathbb{N}$.

4) For any $u \in \mathcal{G}$, u>0, u pure potential there exists a decreasing sequence of balayages $(B_m)_m$ on \mathcal{G} such that

$$\wedge$$
 But = 0, Bnh = h for any neN.

- 1) > 4) follows from the corollary of theorem 2
- 4) => 3) follows using the axiom A
- $3) \Rightarrow 2)$ is immediately
- 2) \Rightarrow 1) follows from theorem 1.

Theorem 10. Assume that I satisfies the axiom A. Then the following assertions are equivalent:

- 1) The standard H-cone 9 satisfies the axiom of polarity.
- 2) Any pure potential p is of the form

$$p = \sum_{n \in \mathbb{N}} p_n$$
, $p_n \in \mathcal{S}_0$

3) For any u* 9, u* 0 and any pure potential pef the corresponding representation measure point on Yu* doesn't charge any semipolar subset of Yu*.

4) For any u* c J*, u*>0 an u*-representable element h c jis substractible whenever the corresponding representation measure

The is carred by a semipolar set.

1) \Rightarrow 3) Let peff be a pure potential and $u^* \in \mathcal{I}$, $u^* > 0$. From proposition 7 there exists a measure μ_p on Y_{u^*} such that

for any $\psi \in \mathcal{G}_0^*$. From theorem 3 it follows that the measure μ

doesn't charge any polar subset of Ya* and so, using 1), the measure Ap doesn't charge any semipolar subset of Ya*.

- 3) \Rightarrow 2) follows from [3], theorem 3.7;
- 2) \Rightarrow 1) follows from [3], theorem 3.8.
- 1) \Longrightarrow 4) Let $u^* \in \mathcal{J}^*$, $u^* > 0$ and let h be a substractible element of \mathcal{J} which is u^* -representable. The corresponding representation measure μ may be decomposed in the form

where μ is carred by a polar subset of Y_{u^*} and μ'' doesn't charge any polar set. The element of \mathcal{Y} associated with μ'' is a pure potential (see 1) \Leftrightarrow 3)) and being a specific minorant of h is equal to zero. Hence μ is carred by a polar subset of Y_{u^*} . 3) \Rightarrow 4) Indeed any specific minorant p of h is represented on Y_{u^*} by a measure carred by a semipolar set and therefeore p can't be a nonzero pure potential.

4) \Rightarrow 3) If p is a pure potential and μ_p is its corresponding representation measure then μ_p doesn't charge any semipolar set.

 $\frac{\text{Corollary. Suppose that } \mathcal{G}}{\text{Suppose that }} \frac{\text{Suppose that } \mathcal{G}}{\text{satisfies axiom A and that its}}$ $\frac{\text{dual } \mathcal{G}^{*}}{\text{satisfies the axiom of polarity. Then we have:}}$

a) for any $u \in \mathcal{G}^*$, u > 0 and any substractible element $h \in \mathcal{G}$ which is u - reprezentable the corresponding reprezentation measure μ_k is carred by a polar subset al $Y_u * 0$.

b) an element $p \in \mathcal{G}$ is a pure potential iff it is u = 1 representable for any $u \in \mathcal{G}^*$, u = 1.

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