

INSTITUTUL
DE
MATEMATICA

INSTITUTUL NATIONAL
PENTRU CREATIE
STIINTIFICA SI TEHNICA

ISSN 0250-3638

ON AMPLE DIVISORS

by

Lucian BĂDESCU

PREPRINT SERIES IN MATHEMATICS

No.17/1980

Med 16635

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Lucian BĂDESCU*)

April 1980

*) The National Institute for Scientific and Technical Creation,
Bdul Picii 220, 77538 Bucharest - Romania

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Lucian Bădescu

Introduction

In this paper we are dealing with the following problem: determine all normal (or smooth) projective varieties X over an algebraically closed field k supporting a given variety Y as an ample Cartier divisor. In §1 we assume $Y = P^{n-1}$ with $n \geq 3$ and show that such a normal variety X is isomorphic to the projective cone over $v_s(Y)$, where $s > 0$ is the integer determined by the equality $0_X(Y) \otimes 0_Y = 0(s)$ and $v_s: P^{n-1} \hookrightarrow P^{N-1}$ ($N = \binom{n+s-1}{n-1}$) is the s^{th} Veronese embedding of P^{n-1} . A similar result is valid for $Y = P^s \times P^t$ with $s, t \geq 2$. In the second section we prove the following generalization of a result of Sommese ([13]). If $Y = H(d)$ is a hypersurface of prime degree d in P^{n+1} such that either $n \geq 3$, or else $\text{char}(k) = 0$ and $H(d)$ is a generic surface in P^3 with $d \geq 5$, then Y can be contained in a smooth projective variety X as an ample divisor only in one of the following two cases: i) X is P^{n+1} and the inclusion $Y \subset X$ is just the inclusion $H(d) \subset P^{n+1}$, or ii) X is a smooth hypersurface of degree d in P^{n+2} and Y is the intersection of X with a hyperplane. In the last section we determine all smooth projective threefolds X with P^2 (resp. $P^1 \times P^1$) as an ample divisor. Note that if $\text{char}(k) = 0$ the proofs are not so complicated (in the case of $Y = P^2$ the result being (well known and) contained in §1) because one applies the result of [2]. However, by the method of lifting to characteristic zero we show that in our situation we can apply [2] in positive characteristic as well.

The proofs of these results require Lefschetz type theorems in Grothendieck's form ([7], [8], [2]). Throughout this paper k will be an algebraically closed field of arbitrary characteristic and the notations and terminology will be standard, unless otherwise specified.

§1. Normal projective varieties containing P^{n-1} ($n \geq 3$) or $P^s \times P^t$ ($s+t \geq 3$) as an ample Cartier divisor

Let Y be an arbitrary connected smooth projective variety over k and choose a projectively normal embedding $i: Y \hookrightarrow P^m$ of Y (by a theorem of Serre such an embedding always exists). Denote by $C(Y, i)$ the projective cone in P^{m+1} over $i(Y)$. Then $C(Y, i)$ is a normal projective variety containing $i(Y)$ as an ample Cartier divisor.

Examples. 1) Take $Y = P^{n-1}$ with $n \geq 2$ and for every $s > 0$ consider the s^{th} Veronese embedding $v_s: P^{n-1} \hookrightarrow P^{N-1}$ with $N = \binom{n+s-1}{n-1}$. Then v_s is projectively normal and hence P^{n-1} is an ample Cartier divisor in the normal variety $X_s^n = C(P^{n-1}, v_s)$ such that the normal sheaf N_{P^{n-1}, X_s^n} is $O(s) = O_{P^{n-1}}(s)$. Moreover, $X_1^n = P^n$.

2) Take $Y = P^s \times P^t$ with $s > 0, t > 0, s+t \geq 3$ and for every $a > 0, b > 0$ consider the Segre-Veronese embedding $i_{a,b}: P^s \times P^t \hookrightarrow P^{N-1}$ with $N = \binom{s+a}{s} \binom{t+b}{t}$. Then $i_{a,b}$ is projectively normal and hence Y is an ample Cartier divisor on the cone $C(P^s \times P^t, i_{a,b}) = X_{a,b}^{s,t}$ such that the respective normal sheaf is $O(a,b) = p_1^*(O_{P^s}(a)) \otimes p_2^*(O_{P^t}(b))$, p_1 and p_2 being the canonical projections of $P^s \times P^t$.

Theorem 1. Assume that $n \geq 4$ and that $Y = P^{n-1}$ is an ample Cartier divisor on the normal projective variety X . Then if the normal sheaf $N_{Y,X}$ is isomorphic to $O(s)$ (necessarily $s > 0$), X is isomorphic to X_s^n and Y is contained in X as in

example 1 above. If $n = 3$ the same conclusion holds provided that $\text{char}(k) = 0$.

In particular, X is smooth if and only if $s = 1$, i.e. $X = P^n$.

Proof. Let $\text{Sing}(X)$ be the singular locus of X and set $U = X - \text{Sing}(X)$. Since Y is a smooth Cartier divisor on X , $Y \subset U$, and since Y is ample, $\dim(\text{Sing}(X)) \ll \leq 0$, i.e. $\text{Sing}(X)$ consists of at most a finite set of closed points $\{x_1, \dots, x_h\}$.

By [7], exposé X, example 2.2 the pair (X, Y) satisfies the effective Lefschetz condition, $\text{Leff}(X, Y)$. Since this condition is local along Y we have also $\text{Leff}(U, Y)$. If $n \geq 4$ we have $H^1(O_X(-mY)/O_X(-(m+1)Y)) = H^1(O(-ms)) = 0$ for $i = 1, 2$ and for every $m > 0$. Hence by [7], exposé XI, théorème 3.12 the natural map of restriction $\alpha: \text{Pic}(U) \longrightarrow \text{Pic}(Y) \cong \mathbb{Z}$ is an isomorphism. If instead $n = 3$ and $\text{char}(k) = 0$ we have $H^1(O_X(-mY)/O_X(-(m+1)Y)) = H^1(O(-ms)) = 0$ for every $m > 0$, and then apply the theorem of [2] (in a slightly modified form) to deduce that α is injective and $\text{Coker}(\alpha)$ is torsion-free. Since $\text{Pic}(U) \neq 0$ ($O_X(Y)/U \not\cong O_U$) and $\text{Pic}(Y) \cong \mathbb{Z}$ this yields that α is also an isomorphism.

Therefore in both cases there is an invertible O_U -module L such that $L \otimes O_Y = O(1)$. For every $m \in \mathbb{Z}$ put $F^{(m)} = j_* (L^{\otimes m})$, where $j: U \hookrightarrow X$ is the canonical open immersion. The following statements hold:

- a) $F^{(m)}$ is a coherent O_X -module and $\text{depth}_{O_{X_i}} ((F^{(m)})_{X_i}) \geq 2$ for every $m \in \mathbb{Z}$.

Indeed, the coherence of $F^{(m)}$ comes from [7], exposé VIII, corollary VIII-11-3. On the other hand, the canonical map $F^{(m)} \longrightarrow j_* j^*(F^{(m)})$ is (by the very definition of $F^{(m)}$) an isomorphism, and the second affirmation follows from the exact sequence

$$0 \longrightarrow \bigoplus_{i=1}^h H^0_{X_i}((F^{(m)})_{X_i}) \longrightarrow F^{(m)} \longrightarrow j_* j^*(F^{(m)}) \longrightarrow \bigoplus_{i=1}^h H^1_{X_i}((F^{(m)})_{X_i}) \longrightarrow 0.$$

b) $F^{(ms)} \cong O_X(mY)$ for every $m \in \mathbb{Z}$.

Indeed, $L^{\otimes ms} \cong O_X(mY)/U$ because $O_X(mY) \otimes O_Y = O(ms)$ and the map α is injective. Applying j_* to this isomorphism and taking into account that $\text{depth}(O_{X_i}) \geq 2$ (O_X is normal of dimension ≥ 2) we get the conclusion.

c) $H^1(F^{(m)}) = 0$ for every $m \ll 0$.

First choose t big enough so that $O_X(tY)$ is very ample and consider the embedding $i: X \hookrightarrow P = P(\Gamma(X, O_X(tY)))$ such that $i^*O_P(1) \cong O_X(tY)$.

Claim. For every coherent O_X -module G such that $\text{depth}_{O_X}(G_x) \geq 2$ for every closed point $x \in X$, $H^1(G \otimes O_X(qY)) = 0$ for every $q \ll 0$.

Proof of the claim. Set $G' = i_*(G)$. For every closed point $y \in P-i(X)$ we have clearly $H^1_y(G'_y) = 0$. If $y \in i(X)$ is a closed point, by [5], corollary 5.6 we have $H^1_y(G'_y) = H^1_y(G_y)$, and recalling the hypothesis the last group is zero. Thus we may apply [7], exposé XII, corollary 1.3 and deduce that

$H^1(X, G \otimes O_X(q'tY)) = H^1(P, G' \otimes O_P(q')) = 0$ for every $q' \ll 0$. Also, denoting by $G_r = G \otimes O_X(rY)$, $r = 0, 1, \dots, t-1$ ($G_0 = G$), then $H^1(X, G_r \otimes O_X(q'tY)) = 0$ for $q' \ll 0$ (because for every closed point $x \in X$ $\text{depth}((G_r)_x) \geq 2$). Now let q be arbitrary and divide $q = q't + r$, with $0 \leq r \leq t-1$. The equality $G \otimes O_X(qY) = G_r \otimes O_X(q'tY)$ and the above discussion proves the claim.

Now in order to prove c) write $m = qs + r$, with $0 \leq r \leq s-1$. Since $O_X(Y)$ is invertible on X , b) and projection formula yield

$$F^{(m)} = j_*(L^{\otimes r} \otimes L^{\otimes qs}) = j_*(L^{\otimes r} \otimes j^*(O_X(qY))) \cong j_*(L^{\otimes r}) \otimes O_X(qY) = F^{(r)} \otimes O_X(qY).$$

The statement of c) follows applying the claim to $G = F^{(r)}$, $r = 0, 1, \dots, s-1$ and taking into account a).

d) Let $\mathcal{G} \in \Gamma(X, F^{(s)}) \cong \Gamma(X, O_X(Y))$ be such that $\text{div}_X(\mathcal{G}) = Y$. Then for every $m \in \mathbb{Z}$ there is the exact sequence on X

$$(1) \quad 0 \longrightarrow F^{(m-s)} \xrightarrow{\mathcal{G}} F^{(m)} \longrightarrow O(m) \longrightarrow 0,$$

where the first map is multiplication by σ .

Indeed, the exact sequence $0 \rightarrow 0_X(-Y) \xrightarrow{\sigma} 0_X \rightarrow 0_Y \rightarrow 0$ tensorized by $F^{(m)}$ yields the exact sequence

$$F^{(m)} \otimes 0_X(-Y) \cong F^{(m-s)} \xrightarrow{\sigma} F^{(m)} \rightarrow 0^{(m)} \rightarrow 0.$$

Since $F^{(m)}$ is invertible on U the map σ/U is injective, and since $\sigma(x_i) \neq 0$ for every $i = 1, \dots, h$, σ is injective everywhere.

Now (1) yields the exact sequence of cohomology ($m \in \mathbb{Z}$)

$$\begin{aligned} 0 \rightarrow \Gamma(X, F^{(m-s)}) \xrightarrow{\sigma} \Gamma(X, F^{(m)}) \rightarrow \Gamma(Y, 0^{(m)}) \rightarrow \\ \rightarrow H^1(X, F^{(m-s)}) \xrightarrow{\psi_m} H^1(X, F^{(m)}) \rightarrow H^1(Y, 0^{(m)}) = 0. \end{aligned}$$

Thus for every $m \in \mathbb{Z}$ the map ψ_m is surjective. Thus from c) and induction on m it follows that $H^1(X, F^{(m)}) = 0$ for every $m \in \mathbb{Z}$. Thus for every m one gets the exact sequence

$$(2) \quad 0 \rightarrow \Gamma(X, F^{(m-s)}) \xrightarrow{\sigma} \Gamma(X, F^{(m)}) \rightarrow \Gamma(Y, 0^{(m)}) \rightarrow 0.$$

Set $S = \bigoplus_{m=0}^{\infty} \Gamma(X, F^{(m)}) = \bigoplus_{m=0}^{\infty} \Gamma(U, L^{\otimes m})$. Then S is a graded k -algebra, $\sigma \in S_s$

and (2) yields the isomorphism of graded k -algebras

$$S/\sigma S \cong \bigoplus_{m=0}^{\infty} \Gamma(Y, 0^{(m)}) \cong k[T_1, \dots, T_n] \text{ (polynomial ring in } n \text{ variables).}$$

Set $S' = S^{(s)}$, where $S'_t = S_{st}$ for every $t \in \mathbb{Z}$. Then $\sigma \in S'_1$ and

$$S'/\sigma S' = k[T_1, \dots, T_n]^{(s)}.$$

Choose $t_i \in S'_1$ such that $t_i \bmod \sigma S' = T_i$ and set $\sigma_{i_1, \dots, i_n} = t_1^{i_1} \dots t_n^{i_n} \in S'_s$

$\in S'_s = S'_1$, where $i_1 + \dots + i_n = s$ and $i_m \geq 0$. Then σ_{i_1, \dots, i_n} satisfy the

well known Veronese equations

$$(3) \quad \sigma_{i_1, \dots, i_n} \cdot \sigma_{j_1, \dots, j_n} - \sigma_{e_1, \dots, e_n} \cdot \sigma_{f_1, \dots, f_n} = 0,$$

where $i_m + j_m = e_m + f_m$, $m = 1, \dots, n$.

Furthermore the images of $\{\sigma_{i_1, \dots, i_n}\}$ in $S'/\sigma S'$ generate the graded k -algebra $S'/\sigma S'$, and since $\sigma \in S'_1$, it follows that σ and $\{\sigma_{i_1, \dots, i_n}\}$ generate S' as a graded k -algebra.

In particular, $S' = \bigoplus_{m=0}^{\infty} \Gamma(X, \mathcal{O}_X(mY))$ is generated by its part of degree one.

Since Y is ample on X , $\mathcal{O}_X(Y)$ results then very ample. Thus the canonical map $\varphi_Y: X \longrightarrow P(\Gamma(X, \mathcal{O}_X(Y)))$ (such that $\varphi_Y^*(\mathcal{O}(1)) \cong \mathcal{O}_X(Y)$) is a closed immersion.

If in (2) we take $m = s$ we get $\dim \Gamma(X, \mathcal{O}_X(Y)) = \dim \Gamma(X, \mathcal{O}_X) + \dim \Gamma(Y, \mathcal{O}(s)) = N+1$, where $N = \binom{n+s-1}{n-1}$. Thus $\varphi_Y(X) \subset P^N$ and φ_Y restricted to Y is precisely the Veronese embedding v_s . In particular, Y is the intersection of X with the hyperplane P^{N-1} . It remains to be proved that $\varphi_Y(X)$ is isomorphic to the cone X_s^n .

Set $S'' = k[T_1, \dots, T_n]^{(s)}$, grade the polynomial k -algebra $S''[T]$ so that if $a \in S''$ is an arbitrary homogeneous element then $\deg(aT^m) = \deg(a) + m$, and define the homomorphism of graded k -algebras $\psi: S''[T] \longrightarrow S'$ by $\psi(T) = \sigma$ and $\psi(T_1^{i_1} \dots T_n^{i_n}) = \sigma_{i_1, \dots, i_n}$, where $i_m \geq 0$ and $i_1 + \dots + i_n = s$. The equations (3) ensure us that this definition is correct. Since σ_{i_1, \dots, i_n} and σ generate S' as a k -algebra, ψ is surjective. Also, the dimension of $S''[T]$ and S' are the same (namely $n+1$) and these graded algebras are integral domains. Therefore ψ is an isomorphism, which proves that $\varphi_Y(X) \cong X_s^n$. Q.E.D.

Exactly in the same way one can prove the following theorem.

Theorem 2. Assume that $Y = P^s \times P^t$ (with $s \geq 2, t \geq 2$) is an ample divisor on the normal projective variety X . Then if the normal sheaf $N_{Y,X}$ is isomorphic to $\mathcal{O}(a,b)$ (necessarily $a > 0$ and $b > 0$), X is isomorphic to the cone $X_{a,b}^{s,t}$ (from example 2 above). In particular, $P^s \times P^t$ ($s \geq 2$ and $t \geq 2$) cannot be contained in a smooth projective variety as an ample divisor.

Remark. The assumption about the normality of X in theorem 1 or theorem 2 cannot be dropped. Indeed, consider the Veronese embedding $v_2: P^2 \hookrightarrow P^5$ and take the generic projection Y' of $v_2(P^2)$ into P^4 , i.e. the Veronese surface in P^4 . Then Y' is isomorphic to P^2 , Y' is an ample Cartier divisor on the cone $C(Y') \subset P^5$ over Y' , but since Y' is the projection of $v_2(P^2)$ into P^4 , the ver-

tex of $C(Y')$ is not a normal point. Thus $C(Y')$ cannot be isomorphic to any X_s^3 .

Corollary 1. i) Assume that $Y = P^{n-1}$ is an effective Cartier divisor on the normal complete variety X such that $N_{Y,X} = O(s)$ with $s > 0$, and assume moreover that either $n \geq 4$, or else $n = 3$ and $\text{char}(k) = 0$. Then there is a birational morphism $f: X \rightarrow X_s^n$ such that f is an isomorphism in a neighbourhood of Y and $f(Y) = v_s(P^{n-1})$.

ii) Assume that $Y = P^s \times P^t$ ($s \geq 2, t \geq 2$) is an effective Cartier divisor on the normal complete variety X such that $N_{Y,X} = O(a,b)$ with $a > 0$ and $b > 0$. Then there is a birational morphism $f: X \rightarrow X_{a,b}^{s,t}$ such that f is an isomorphism in a neighbourhood of Y and $f(Y) = i_{a,b}(P^s \times P^t)$.

Proof. Let us prove for example i). By [8], chapter III, theorem 4.2 there is a birational morphism $f: X \rightarrow X'$ such that f is an isomorphism in a neighbourhood of Y and $Y' = f(Y)$ is an ample Cartier divisor on X' . Since X is normal, we may assume that X' is also normal. Then by theorem 1 $X' \cong X_s^n$ such that Y' corresponds to $v_s(P^{n-1})$. Q.E.D.

Corollary 2. Assume that Y is as in corollary 1 i) or ii), and let $Y \hookrightarrow X_i$ ($i = 1, 2$) two closed immersions such that X_1 and X_2 are smooth varieties of dimension equal to $\dim(Y) + 1$ and $N_{Y,X_1} \cong N_{Y,X_2}$ is ample. Then there is a birational map $u: X_1 \rightarrow X_2$ which is an isomorphism on an open neighbourhood of Y in X and induces identity on Y .

§2. A generalization of a result of Sommese

First we need the following extension to arbitrary characteristic of a result of Kobayashi-Ochiai (see [11]). For the intersection theory of line bundles needed in this section we send to [10].

Theorem 3. (Kobayashi-Ochiai) Let V be a complete Cohen-Macaulay algebraic scheme of pure dimension $t > 0$ over k and L an ample invertible \mathcal{O}_V -module such that $(L^{\cdot t})_V = 1$ and $\dim \Gamma(V, L) \geq t+1$. Then $\dim \Gamma(V, L) = t+1$ and the canonical map $\varphi_L: V \longrightarrow P(\Gamma(V, L)) \cong P^t$ is a biregular isomorphism.

Proof. First we prove that V is integral. Let V_1, \dots, V_n be the irreducible components of V naturally regarded as closed subschemes of V (see [10], page 298). Then by loc. cit. proposition 5 and corollary 1 one has

$$(L^{\cdot t})_V = (L^{\cdot t})_{V_1} + \dots + (L^{\cdot t})_{V_n}, \text{ where } L_i = L \otimes \mathcal{O}_{V_i}.$$

Since every V_i has dimension t and L_i is ample on V_i , $(L_i^{\cdot t})_{V_i} > 0$ for every $i = 1, \dots, n$. Thus if V were reducible the above equality would imply $(L^{\cdot t})_V \geq 2$, a contradiction.

Thus V is irreducible. By loc. cit. proposition 5 and corollary 2 (page 298) one has

$$(L^{\cdot t})_V = \text{length}(\mathcal{O}_{V, \xi}) \cdot (M^{\cdot t})_{V_{\text{red}}},$$

where $M = L \otimes \mathcal{O}_{V_{\text{red}}}$ and ξ is the generic point of V . Thus $\text{length}(\mathcal{O}_{V, \xi}) = 1$, i.e. V is generically reduced. Now since V is Cohen-Macaulay and generically reduced, [1], chap. VII, proposition 2.2 shows that V is reduced everywhere. Thus V is integral.

Let now s_1, \dots, s_{t+1} be $t+1$ linearly independent sections (over k) from $\Gamma(V, L)$ and $D_i = \text{div}_V(s_i)$. Define the sequence of closed subsets of V

$$V = V_t \supseteq V_{t-1} \supseteq \dots \supseteq V_0 \supseteq V_{-1}$$

by $V_{t-i} = D_1 \cap \dots \cap D_t$ for $i = 1, \dots, t+1$. V_{t-i} can be naturally endowed with a structure of closed subscheme of V , $i = 1, \dots, t+1$. Then one can easily prove as before that each V_{t-i} is an integral Cohen-Macaulay scheme of dimension $t-i$ and that there is a natural exact sequence

$$0 \longrightarrow (s_1, \dots, s_1) \longrightarrow \Gamma(V, L) \longrightarrow \Gamma(V_{t-i}, L \otimes \mathcal{O}_{V_{t-i}}),$$

where (s_1, \dots, s_1) is the subspace of $\Gamma(V, L)$ generated by s_1, \dots, s_1 (see [11] for details). From this point one gets the conclusion exactly as in [11]. Q.E.D.

Theorem 4. Let $Y = H(d)$ be a hypersurface of P^{n+1} (i.e. a complete intersection of codimension one in P^{n+1} , not necessarily smooth) of degree d with d prime. Assume that one of the following conditions holds:

a) $n \geq 3$, or

b) $\text{char}(k) = 0$ and Y is a generic surface in P^3 with $d \geq 5$.

Assume further that Y is embedded as an ample divisor in the projective smooth variety X . Then one has one of the following possibilities:

i) X is isomorphic to P^{n+1} and the inclusion $Y \subset X$ is just $H(d) \subset P^{n+1}$.

ii) X is isomorphic to a smooth hypersurface of degree d in P^{n+2} and Y is the intersection of X with a hyperplane.

Proof. In case a) by Lefschetz's theorem we have $\text{Pic}(Y) = \mathbb{Z}[O_Y(1)]$. Also, since $Y = H(d)$ and $\dim(Y) = n \geq 3$, $H^1(O_Y(s)) = 0$ for $i = 1, 2$ and for every $s \in \mathbb{Z}$; in particular, $H^1(O_X(-mY)/O_X(-(m+1)Y)) = 0$ for $i = 1, 2$ and for every $m \geq 1$. Thus we may apply Lefschetz's theorem to (X, Y) and get that the map $\alpha: \text{Pic}(X) \rightarrow \text{Pic}(Y)$ is an isomorphism.

In case b) we may apply Noether's theorem (see [8], page 182) and also deduce that $\text{Pic}(Y) = \mathbb{Z}[O_Y(1)]$. By [2] α is injective and $\text{Coker}(\alpha)$ is torsion-free. Hence α turns out to be also an isomorphism.

Therefore in both cases there is an invertible O_X -module L such that $L \otimes_{O_Y} = O_Y(1)$. Further there is an integer $r > 0$ such that $O_X(Y) \cong L^{\otimes r}$. Let $\sigma \in \Gamma(X, O_X(Y)) \cong \Gamma(X, L^{\otimes r})$ be a section such that $\text{div}_X(\sigma) = Y$. We have

$$(4) \quad (L^{\otimes (n+1)})_X = 1/r \cdot (L^{\otimes n} \cdot L^{\otimes r})_X = 1/r \cdot (L^{\otimes n} \cdot Y)_X = 1/r \cdot (L^{\otimes n})_Y = \\ = 1/r \cdot (O_Y(1)^{\otimes n})_Y = d/r, \quad \text{where } L_Y = L \otimes_{O_Y}$$

In particular r divides d , and since d is prime one has two possibilities.

1) $r = d$, i.e. $O_X(Y) = L^{\otimes d}$.

Then (4) gives $(L^{\otimes(n+1)})_X = 1$. On the other hand, exactly as in the proof of theorem 1 one shows that the sequence

$$0 \longrightarrow \Gamma(L^{\otimes(1-d)}) \xrightarrow{\sigma} \Gamma(L) \longrightarrow \Gamma(O_Y(1)) \longrightarrow 0$$

is exact. Since $d > 1$ and L is ample $\Gamma(L^{\otimes(1-d)}) = 0$. Thus $\dim \Gamma(L) = n+2$. Now theorem 3 applied to $V = X$ leads to case i).

2) $r = 1$, i.e. $L \cong O_X(Y)$.

Again one deduces the exact sequence (for every $m \in \mathbb{Z}$)

$$(5) \quad 0 \longrightarrow \Gamma(L^{\otimes(m-1)}) \longrightarrow \Gamma(L^{\otimes m}) \longrightarrow \Gamma(O_Y(m)) \longrightarrow 0.$$

Denoting by S the graded k -algebra $\bigoplus_{m=0}^{\infty} \Gamma(X, L^{\otimes m})$, $\sigma \in S_1$ and by (5) $S/\sigma S \cong \bigoplus_{m=0}^{\infty} \Gamma(Y, O_Y(m))$. Recalling that Y is a hypersurface in P^{n+1} , this last algebra is generated by its homogeneous part of degree one. Hence S itself is generated by $S_1 = \Gamma(L)$, and in particular L is very ample on X .

If in (5) we take $m = 1$ we get $\dim \Gamma(L) = \dim \Gamma(O_X) + \dim \Gamma(O_Y(1)) = n+3$.

Therefore the canonical map $\varphi = \varphi_L: X \longrightarrow P(\Gamma(L)) = P^{n+2}$ is a closed immersion. Since $\varphi^*(O(1)) \cong L$ (taking into account that $r = 1$ and (4))

$$\deg \varphi(X) = (O(1)^{\otimes(n+1)} \cdot \varphi(X))_{P^{n+2}} = (L^{\otimes(n+1)})_X = d.$$

The fact that $\varphi(Y)$ is the intersection of $\varphi(X)$ with a hyperplane of P^{n+2} is now clear. Thus case 2) leads to case ii). Q.E.D.

Corollary. Let Y be a hyperquadric in P^{n+1} with $n \geq 3$. Then Y can be an ample divisor on the smooth projective variety X if and only if either X is isomorphic to P^{n+1} , or to a smooth hyperquadric in P^{n+2} .

Remark. If $k = \mathbb{C}$ the above corollary has been previously obtained by Sommese in [13].

§3. Lifting to characteristic zero

Let k be an algebraically closed field of characteristic $p > 0$ and $A = W(k)$ the ring of Witt vectors on k , which is a complete discrete valuation ring of characteristic zero, with residue field k and such that p generates its maximal ideal. Let X be a projective smooth variety over k . One says that X has a lifting to characteristic zero if there is a projective smooth morphism $f: \mathcal{X} \longrightarrow \text{Spec}(A)$ whose closed fibre is isomorphic to X . Then the generic fibre X' of f is a projective smooth variety over the quotient field k' of A .

Grothendieck proved in [6], exposé III, théorème 7.3 that a sufficient condition for the existence of a lifting to characteristic zero of X is the following " $H^2(T_X) = H^2(O_X) = 0$ ", where $T_X = (\Omega_{X/k}^1)^\vee$ is the tangent sheaf of X .

Let now k be a field (not necessarily algebraically closed) and X a smooth projective variety of dimension 3 over k . Let L be an ample invertible O_X -module and $\sigma \in \Gamma(X, L)$ a section such that $Y = \text{div}_X(\sigma)$ is smooth over k . Then we have the following result which follows from [2].

Proposition 1. Assume $\text{char}(k) = 0$. Then the natural map $\text{Pic}(X) \longrightarrow \text{Pic}(Y)$ is injective and its cokernel is torsion-free.

Lemma 1. Let k be an algebraically closed field of characteristic $p > 0$ and X, L, σ , and Y as above. Assume moreover:

- i) X has a lifting to characteristic zero.
- ii) $H^1(O_X) = 0$ for $i = 1, 2$.
- iii) $H^1(O_Y) = 0$ for $i = 1, 2$.
- iv) $H^1(L) = 0$.

Then the map of restriction $\text{Pic}(X) \longrightarrow \text{Pic}(Y)$ is injective with cokernel a torsion-free group.

Proof. Let $f: \mathcal{X} \longrightarrow \text{Spec}(A)$ be a lifting to characteristic zero of X .

First we prove that the natural map of restriction $\text{Pic}(\mathcal{X}) \longrightarrow \text{Pic}(X)$ is an

isomorphism. Indeed, let $\hat{\mathcal{X}}$ be the formal completion of \mathcal{X} along X . Then by

Grothendieck's existence theorem (see [3], chap. III 5.4.1) the natural map

$\text{Pic}(\mathcal{X}) \longrightarrow \text{Pic}(\hat{\mathcal{X}})$ is an isomorphism. It will be therefore sufficient to

show that the map of restriction $\text{Pic}(\hat{\mathcal{X}}) \longrightarrow \text{Pic}(X)$ is also an isomorphism.

Let \mathcal{X}_n be the closed subscheme of \mathcal{X} defined by the sheaf of ideals $p^n \mathcal{O}_{\mathcal{X}}$. In

particular $\mathcal{X}_1 = X$. An invertible $\mathcal{O}_{\hat{\mathcal{X}}}$ -module is nothing but a sequence $(L_n)_{n \geq 1}$,

where L_n is an invertible $\mathcal{O}_{\mathcal{X}_n}$ -module, plus isomorphisms $L_{n+1} \otimes_{\mathcal{O}_{\mathcal{X}_n}} \mathcal{O}_{\mathcal{X}_n} \cong L_n$. Then

the map $\text{Pic}(\hat{\mathcal{X}}) \longrightarrow \text{Pic}(X)$ is precisely $(L_n)_{n \geq 1} \rightsquigarrow L_1$. In order to see

that this map is an isomorphism it will be sufficient to show that for each

$n \geq 1$ the map of restriction $\text{Pic}(\mathcal{X}_{n+1}) \longrightarrow \text{Pic}(\mathcal{X}_n)$ is an isomorphism.

But this follows from the standard exact sequence

$$0 \longrightarrow p^n \mathcal{O}_{\mathcal{X}} / p^{n+1} \mathcal{O}_{\mathcal{X}} \cong \mathcal{O}_{\mathcal{X}} \longrightarrow \mathcal{O}_{\mathcal{X}_{n+1}}^* \longrightarrow \mathcal{O}_{\mathcal{X}_n}^* \longrightarrow 1,$$

which together with hypothesis ii) yields the assertion.

In particular there exists an invertible $\mathcal{O}_{\mathcal{X}}$ -module \mathcal{L} such that $\mathcal{L} \otimes_{\mathcal{O}_{\mathcal{X}}} \mathcal{O}_{\mathcal{X}} \cong \mathcal{O}_{\mathcal{X}}$,

and by [3], chap. III 4.7.1 \mathcal{L} is ample. Moreover, from the exact sequence

$$\Gamma(\mathcal{X}, \mathcal{L}) \longrightarrow \Gamma(X, \mathcal{L}) \longrightarrow H^1(\mathcal{X}, \mathcal{L}) \xrightarrow{p} H^1(X, \mathcal{L}) \longrightarrow H^1(X, \mathcal{L}) = 0$$

and Nakayama's lemma we deduce that the first map is surjective. In particular,

σ lifts to a section $\zeta \in \Gamma(\mathcal{X}, \mathcal{L})$. Set $Y = \text{div}_{\mathcal{X}}(\zeta)$ and $g = f/Y: Y \longrightarrow \text{Spec}(A)$.

Then the closed fibre of g is Y , and hence g is a smooth morphism. If Y' is the

generic fibre of g , then $Y' = \text{div}_{X'}(\zeta/X')$ is a smooth surface in X' . By propo-

sition 1 the map $\text{Pic}(X') \longrightarrow \text{Pic}(Y')$ is injective with cokernel a torsion-

free group. In order to complete the proof of lemma 1 it will be therefore suf-

ficient to show that there are isomorphisms $\text{Pic}(X') \xrightarrow{\sim} \text{Pic}(X)$ and

$\text{Pic}(Y') \xrightarrow{\sim} \text{Pic}(Y)$ making commutative the following diagram

$$\begin{array}{ccc} \text{Pic}(X') & \longrightarrow & \text{Pic}(Y') \\ \downarrow \mathcal{S} & & \downarrow \mathcal{S} \\ \text{Pic}(X) & \longrightarrow & \text{Pic}(Y) \end{array}$$

This fact is well known. For example we have firstly the isomorphism $\text{Pic}(Y') \xrightarrow{\sim} \text{Pic}(Y)$ defined by $[M] \mapsto [M']$, where M' is an invertible $\mathcal{O}_{Y'}$ -module such that $M'/Y' \cong M$. Such a M' always exists because Y is a regular scheme and Y' is an open subset in Y . This definition is correct since the complement of Y' is Y and Y is defined as a closed subscheme of Y by the ideal \mathcal{P}_Y , which is isomorphic as an \mathcal{O}_Y -module to \mathcal{O}_Y . Secondly, by the first part of the proof the natural map $\text{Pic}(Y) \longrightarrow \text{Pic}(Y)$ is an isomorphism. Q.E.D.

Lemma 2. Assume that $Y = P^2$ (resp. $Y = P^1 \times P^1$) is contained in the smooth projective variety X as an ample divisor, where k is an algebraically closed field of arbitrary characteristic. Then the map of restriction $\text{Pic}(X) \longrightarrow \text{Pic}(Y)$ is an isomorphism (resp. is injective and its cokernel is a torsion-free group).

Proof. If $\text{char}(k) = 0$ this follows directly from proposition 1 taking into account (if $Y = P^2$) that $\text{Pic}(P^2) \cong \mathbb{Z}$. Assume therefore $\text{char}(k) > 0$. Then the conclusion will follow from lemma 1 if we show that conditions i)-iv) are satisfied by $(X, L = \mathcal{O}_X(Y), \mathcal{G}, \text{div}_X(\mathcal{G}) = Y)$. The verification of conditions ii), iii) and iv) are not difficult (using the explicit computation of the cohomology of P^2 and $P^1 \times P^1$ and the cohomological characterization of ampleness) and are left to the reader.

In order to verify condition i) it will be sufficient (using [6], exposé III, théorème 7.3) to show that $H^2(T_X) = 0$ (the condition $H^2(\mathcal{O}_X) = 0$ being contained in ii)). Consider the exact sequence ($m \in \mathbb{Z}$)

$$H^1(T_X \otimes_{O_X} (mY) \otimes_{O_Y}) \longrightarrow H^2(T_X \otimes_{O_X} ((m-1)Y)) \longrightarrow H^2(T_X \otimes_{O_X} (mY)).$$

Since Y is ample on X , $H^2(T_X \otimes_{O_X} (mY)) = 0$ for $m \gg 0$. Therefore in order to prove that $H^2(T_X) = 0$ it will be sufficient (via descending induction on m) to see that

$$(6) \quad H^1(T_X \otimes_{O_X} (mY) \otimes_{O_Y}) = 0 \quad \text{for every } m \geq 1.$$

Consider the exact sequence

$$(7) \quad H^1(T_Y \otimes_{O_X} (mY)) \longrightarrow H^1(T_X \otimes_{O_X} (mY) \otimes_{O_Y}) \longrightarrow H^1(O_X((m+1)Y) \otimes_{O_Y})$$

$$(\text{induced by } 0 \longrightarrow T_Y \longrightarrow T_X \otimes_{O_Y} \longrightarrow O_X(Y) \otimes_{O_Y} \longrightarrow 0).$$

If $Y = P^2$ then $O_X(Y) \otimes_{O_Y} = O(s)$ with $s > 0$ (since Y is ample on X). Then $H^1(O_X((m+1)Y) \otimes_{O_Y}) = H^1(P^2, O((m+1)s)) = 0$ for every $m \in \mathbb{Z}$. On the other hand, the standard exact sequence on $Y = P^2$

$$0 \longrightarrow O_Y \longrightarrow O(1)^{\oplus 3} \longrightarrow T_Y \longrightarrow 0$$

yields the exact sequence of cohomology

$$0 = H^1(O((ms+1))^{\oplus 3}) \longrightarrow H^1(T_Y \otimes O(ms)) \longrightarrow H^2(O(ms)) = 0.$$

Therefore $H^1(T_Y \otimes_{O_X} (mY)) = H^1(T_Y \otimes O(ms)) = 0$. Now the exact sequence (7) proves (6) if $Y = P^2$.

If $Y = P^1 \times P^1$ then $O_X(Y) \otimes_{O_Y} = O(a, b)$ with $a > 0$ and $b > 0$. Then $H^1(O_X((m+1)Y) \otimes_{O_Y}) = H^1(P^1 \times P^1, O((m+1)a, (m+1)b)) = 0$ for every $m \geq 0$.

On the other hand, $T_Y \cong O(2, 0) \oplus O(0, 2)$, and therefore $H^1(T_Y \otimes_{O_X} (mY)) = H^1(O(ma+2, mb)) \oplus H^1(O(ma, mb+2)) = 0$. Again the exact sequence (7) proves (6) if $Y = P^1 \times P^1$. Q.E.D.

Proposition 2. Assume that $Y = P^2$ is embedded as an ample divisor in the smooth projective variety X . Then X is isomorphic to P^3 and Y is contained in X as a hyperplane.

Proof. If $\text{char}(k) = 0$ this result is contained in theorem 1. Thus we may

assume $\text{char}(k) > 0$. Since $\text{Pic}(P^2) = \mathbb{Z}$ we may apply lemma 2 and deduce that the map $\text{Pic}(X) \longrightarrow \text{Pic}(Y)$ is an isomorphism. Now the argument is contained in the proof of theorem 1. Q.E.D.

Theorem 5. Assume that $Y = P^1 \times P^1$ is embedded in the smooth projective variety X as an ample divisor. Then we have one of the following possibilities:

i) $X \cong P^3$ and Y is a quadric in X .

ii) X is isomorphic to a hyperquadric in P^4 and Y is a hyperplane section.

iii) There are $a > 0, b > 0, c > 0$ and $s > 0$ positive integers such that $a+b+c = 2s$ and the exact sequence of O_{P^1} -modules

$$0 \longrightarrow O_{P^1} \longrightarrow O(a) \oplus O(b) \oplus O(c) = E \xrightarrow{\varphi} O(s) \oplus O(s) = F \longrightarrow 0$$

such that X is isomorphic to $P(E)$ and $Y \cong P(F)$ is embedded in X via the surjection φ .

Proof. From lemma 2 we deduce that the map $\text{Pic}(X) \xrightarrow{\alpha} \text{Pic}(Y) \cong \mathbb{Z} \times \mathbb{Z}$ is injective and its cokernel is torsion-free. Thus we have two possibilities:

a) $\text{Pic}(X) \cong \mathbb{Z}$. Let L be an invertible O_X -module which is ample and generates $\text{Pic}(X)$. Then $L \otimes O_Y \cong O(s, t)$ with $s > 0$ and $t > 0$. Since $\text{Coker}(\alpha)$ is torsion-free s and t are relatively prime integers. Writing $O_X(Y) \cong L^{\otimes r}$ and $\omega_X \cong L^{\otimes d}$, we get easily from the adjunction formula that $s(d+r) - t(d+r) = -2$, and thus $s = t = 1$.

Let $\sigma \in \Gamma(X, O_X(Y)) \cong \Gamma(X, L^{\otimes r})$ be such that $\text{div}_X(\sigma) = Y$. The exact sequence

$$0 \longrightarrow L^{\otimes(m-r)} \xrightarrow{\sigma} L^{\otimes m} \longrightarrow O(m, m) \longrightarrow 0$$

yields the exact sequence ($m \in \mathbb{Z}$)

$$(8) \quad 0 \longrightarrow \Gamma(L^{\otimes(m-r)}) \xrightarrow{\sigma} \Gamma(L^{\otimes m}) \longrightarrow \Gamma(O(m, m)) \longrightarrow H^1(L^{\otimes(m-r)}) = 0.$$

Put $S = \bigoplus_{m=0}^{\infty} \Gamma(L^{\otimes m})$; then $S/\sigma S \cong \bigoplus_{m=0}^{\infty} \Gamma(O(m, m))$ is a graded k -algebra generated by its part of degree one. On the other hand

$$(L^3)_X = 1/r \cdot (L^2 \cdot Y)_X = 1/r \cdot (0(1,1) \cdot 0(1,1))_Y = 2/r.$$

Therefore $r = 2$ or $r = 1$.

a₁) Case $r = 2$. If in (8) we take $m = 1$ we get $\dim \Gamma(L) = 4$. Since $(L^3)_X = 1$ theorem 3 implies $X = P^3$ and we get case i).

a₂) Case $r = 1$. Then $\deg(\sigma) = 1$ and since $S/\sigma S$ is generated by its homogeneous part of degree one, the same is true for S . In particular L is very ample. Again take $m = 1$ in (8) and get $\dim \Gamma(L) = 5$. Thus $\varphi_L: X \rightarrow P(\Gamma(L)) \cong P^4$ and since $\deg \varphi_L(X) = 2$ we get case ii).

b) $\text{Pic}(X) \cong \mathbb{Z} \times \mathbb{Z}$. Then the map $\text{Pic}(X) \xrightarrow{\alpha} \text{Pic}(Y)$ is an isomorphism. Therefore there are two invertible \mathcal{O}_X -modules L_1 and L_2 such that $L_1 \otimes_{\mathcal{O}_Y} \cong \mathcal{O}(1,0)$ and $L_2 \otimes_{\mathcal{O}_Y} \cong \mathcal{O}(0,1)$. If $\mathcal{O}_X(Y) \otimes_{\mathcal{O}_Y} \cong \mathcal{O}(s_1, s_2)$ with $s_1 > 0$ and $s_2 > 0$ (Y is ample on X), then since the map α is injective, $\mathcal{O}_X(Y) \cong L_1^{\otimes s_1} \otimes L_2^{\otimes s_2}$. Let $\sigma \in \Gamma(\mathcal{O}_X(Y)) \cong \Gamma(L_1^{\otimes s_1} \otimes L_2^{\otimes s_2})$ be a section such that $\text{div}_X(\sigma) = Y$. Then the exact sequence

$$0 \longrightarrow \mathcal{O}_X((m-1)Y) \xrightarrow{\sigma} \mathcal{O}_X(mY) \longrightarrow \mathcal{O}(ms_1, ms_2) \longrightarrow 0$$

yields the exact sequence (exactly as in the proof of theorem 1)

$$(9) \quad 0 \longrightarrow \Gamma(\mathcal{O}_X((m-1)Y)) \xrightarrow{\sigma} \Gamma(\mathcal{O}_X(mY)) \longrightarrow \Gamma(\mathcal{O}(ms_1, ms_2)) \longrightarrow 0.$$

Put $S = \bigoplus_{m=0}^{\infty} \Gamma(\mathcal{O}_X(mY))$; then $\sigma \in S_1$ and $S/\sigma S \cong \bigoplus_{m=0}^{\infty} \Gamma(Y, \mathcal{O}(ms_1, ms_2))$ is generated by its homogeneous part of degree one. Therefore S itself is generated by S_1 and hence Y is very ample on X . If in (9) we take $m = 1$ we get

$$(10) \quad \dim |Y| = (s_1 + 1)(s_2 + 1).$$

If $s_1 = s_2 = 1$ then $|Y| = P^4$ and X would be a smooth hypersurface in P^4 .

But then Lefschetz's theorem yields $\text{Pic}(X) \cong \mathbb{Z}$, a contradiction. Thus at least one s_1 or s_2 is > 1 .

Suppose $s_1 > 1$. Then the exact sequence

$$0 \longrightarrow L_1^{\otimes(1-s_1)} \otimes L_2^{(-s_2)} \xrightarrow{\sigma} L_1 \longrightarrow \mathcal{O}(1,0) \longrightarrow 0$$

yields the exact sequence

$$(11) \quad 0 \longrightarrow \Gamma(L_1^{\otimes(1-s_1)} \otimes L_2^{\otimes(-s_2)}) \longrightarrow \Gamma(L_1) \longrightarrow \Gamma(O(1,0)) \longrightarrow H^1(L_1^{\otimes(1-s_1)} \otimes L_2^{\otimes(-s_2)}).$$

Since $1-s_1 < 0$ and $-s_2 < 0$ we have $H^1(L_1^{\otimes(1-s_1)} \otimes L_2^{\otimes(-s_2)}) = 0$ for $i \leq 1$. Indeed, $H^1(F \otimes_{O_X}(mY)) = 0$ for $i \leq 1$ and $m \ll 0$ (with $F = L_1$), and from the exact sequence

$$0 \longrightarrow F \otimes_{O_X}((m-1)Y) \longrightarrow F \otimes_{O_X}(mY) \longrightarrow O(ms_1+1, ms_2) \longrightarrow 0$$

we deduce for every $m < 0$ and $i \leq 1$:

$$H^1(F \otimes_{O_X}((m-1)Y)) \longrightarrow H^1(F \otimes_{O_X}(mY)) \longrightarrow H^1(O(ms_1+1, ms_2)).$$

By Künneth's formulae we get $H^1(O(ms_1+1, ms_2)) = 0$ for $i \leq 1$ and $m < 0$, and the affirmation results by induction on m .

Now recalling (11) we get that the map of restriction $\Gamma(L_1) \longrightarrow \Gamma(O(1,0))$ is an isomorphism if $s_1 > 1$. In particular, for every $\Delta, \Delta' \in |L_1|$ ($\Delta \neq \Delta'$) we have $\Delta \cap \Delta' \cap Y = \emptyset$. Since Y is ample on X , $\Delta \cap \Delta'$ is at most a finite set of closed points. Since X is smooth we cannot have $\Delta \cap \Delta' \neq \emptyset$ because otherwise

$$3 = \text{codim}_X(\Delta \cap \Delta') \leq \text{codim}_X(\Delta) + \text{codim}_X(\Delta') = 1 + 1 = 2.$$

Therefore $\Delta \cap \Delta' = \emptyset$. Thus the linear system $|L_1|$ has no base points and hence the corresponding map $p = \varphi_{L_1}: X \longrightarrow |L_1| = P^1$ (such that $p^* O_{P^1}(1) \cong L_1$) is a morphism. Moreover, for every invertible O_X -module L , $(L_1^{\cdot 2} \cdot L) = 0$.

Now look at the equalities

$$1 = (O(1,0) \cdot O(0,1))_Y = (L_1 \cdot L_2 \cdot Y)_X = s_1(L_1^{\cdot 2} \cdot L_2) + s_2(L_1 \cdot L_2^{\cdot 2}).$$

One deduces $s_2(L_1 \cdot L_2^{\cdot 2}) = 1$, i.e. $s_2 = 1$ and $(L_1 \cdot L_2^{\cdot 2}) = 1$. Set $s_1 = s$.

Let $\Delta \in |L_1|$ be arbitrary. Then $(O_X(Y)^{\cdot 2} \cdot \Delta) = s^2(L_1^{\cdot 3}) + 2s(L_1^{\cdot 2} \cdot L_2) + (L_1 \cdot L_2^{\cdot 2}) = (L_1 \cdot L_2^{\cdot 2}) = 1$. Therefore, denoting by $M = O_X(Y) \otimes_{O_X} O_\Delta$, we get $(M^{\cdot 2})_\Delta = 1$, M is ample on Δ and Δ is a Cohen-Macaulay scheme of pure dimension 2. Moreover,

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for every $i = 0, 1, \dots, s-1$ one has the exact sequence (since $L_1 \otimes_{\Delta} 0_{\Delta} \cong 0_{\Delta}$)

$$0 \rightarrow L_1^{\otimes(s-i-1)} \otimes L_2 \rightarrow L_1^{\otimes(s-1)} \otimes L_2 \rightarrow M \rightarrow 0$$

and hence

$$0 \rightarrow \Gamma(L_1^{\otimes(s-i-1)} \otimes L_2) \rightarrow \Gamma(L_1^{\otimes(s-1)} \otimes L_2) \rightarrow \Gamma(M).$$

Claim. $\dim \Gamma(M) \geq 3$.

Indeed, assuming the contrary we get

$$2 \geq \dim \Gamma(L_1^{\otimes(s-i)} \otimes L_2) - \dim \Gamma(L_1^{\otimes(s-i-1)} \otimes L_2), \quad i = 0, 1, \dots, s-1,$$

and therefore taking the sum:

$$(12) \quad 2s \geq \dim \Gamma(O_X(Y)) - \dim \Gamma(L_2).$$

But the exact sequence

$$0 \rightarrow L_1^{\otimes(-s)} \xrightarrow{\sigma} L_2 \rightarrow O(0,1) \rightarrow 0$$

yields

$$0 = \Gamma(L_1^{\otimes(-s)}) \rightarrow \Gamma(L_2) \rightarrow \Gamma(O(0,1))$$

and thus $\dim \Gamma(L_2) \leq 2$. Therefore (12) becomes $\dim \Gamma(O_X(Y)) \leq 2(s+1)$, or else

$\dim |Y| \leq 2s+1$, which contradicts (10). The claim is proved.

By theorem 3 we deduce then that $\Delta \cong P^2$ and $O_{\Delta}(1) \cong L_2 \otimes_{\Delta} O_{\Delta}$. Now Hironaka

has shown that in these circumstances p is the projection of the projective

bundle $P(E)$ associated to a locally free O_{P^1} -module E of rank 3 (see [9] theorem

(1.8)). Moreover $O_X(Y) \otimes_{\Delta} O_{\Delta} \cong L_1^{\otimes s} \otimes L_2 \otimes_{\Delta} O_{\Delta} \cong L_2 \otimes_{\Delta} O_{\Delta} \cong O_{\Delta}(1)$, and therefore we

can take $E = p_* O_X(Y)$. Then $O_{P(E)}(1) = O_X(Y)$ and the exact sequence

$$0 \rightarrow O_X \rightarrow O_X(Y) \rightarrow O(s,1) \rightarrow 0$$

yields

$$0 \rightarrow p_* O_X \cong O_{P^1} \rightarrow p_* O_X(Y) = E \rightarrow p_{1*} O(s,1) \cong O(s) \oplus O(s) \rightarrow R^1 p_* O_X = 0,$$

where $p_1 : P^1 \times P^1 \rightarrow P^1$ is the first projection. In other words we get the

exact sequence of locally free O_{P^1} -modules

$$0 \rightarrow O_{P^1} \rightarrow E \rightarrow O(s) \oplus O(s) \rightarrow 0.$$

In particular $\deg(E) = 2s$. By a theorem of Grothendieck (see [4] for $k = \mathbb{C}$, but the same result holds in arbitrary characteristic) there are three integers a, b, c (uniquely determined up to a permutation) such that $E \cong \mathcal{O}(a) \oplus \mathcal{O}(b) \oplus \mathcal{O}(c)$. Finally, since $\mathcal{O}_X(Y)$ is ample on X , E is ample on P^1 , and therefore $a > 0$, $b > 0$ and $c > 0$. In other words we get situation iii). Q.E.D.

Remarks. 1) The case iii) of theorem 5 really occurs. Indeed, we shall construct an exact sequence as in case iii) with $c = s$, i.e. with $a+b = s$ ($a > 0$, $b > 0$ and $c > 0$). It will be sufficient to construct a surjection of the form $\varphi': \mathcal{O}(a) \oplus \mathcal{O}(b) \longrightarrow \mathcal{O}(a+b) = \mathcal{O}(s)$, because one can take $\varphi = \varphi' \oplus \text{id}_{\mathcal{O}(s)}$ (and then taking the degrees one sees that $\text{Ker}(\varphi) \cong \mathcal{O}_{P^1}$). Let x_0 and x_1 homogeneous coordinates on P^1 and define $\varphi'(p, q) = x_0^b p + x_1^a q$. We claim that $\Gamma(\varphi'): \Gamma(\mathcal{O}(a)) \oplus \Gamma(\mathcal{O}(b)) \longrightarrow \Gamma(\mathcal{O}(a+b))$ is surjective. For, if $u \in \Gamma(\mathcal{O}(a+b)) = k[x_0, x_1]_{a+b}$ is of the form $u = \sum_{i=0}^{a+b} a_i x_0^i x_1^{a+b-i}$, then $u = x_0^b p + x_1^a q$, where $p = \sum_{i=0}^{a-1} a_i x_0^i x_1^{a-i} \in \Gamma(\mathcal{O}(a))$ and $q = \sum_{i=a}^{a+b} a_i x_0^{i-a} x_1^{a+b-i} \in \Gamma(\mathcal{O}(b))$. Now since $\Gamma(\varphi')$ is surjective and $\mathcal{O}(a+b)$ is generated by its global sections, φ' is also surjective (and thus φ is surjective).

2). Note that the theorem asserting that P^n is the unique smooth projective variety containing P^{n-1} ($n \geq 3$) as an ample divisor was known for $n \geq 4$ and $\text{char}(k)$ arbitrary, and for $n = 3$ and $\text{char}(k) \neq 3$ (see [12]).

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