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FOR CERTAIN SINGULAR EXTENSIONS

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One of the classes of extensions which are more general than those of the ideal of compact operators $K(H)$, for which we have the Brown-Douglas-Fillmore theory ([2], [3]), are the extensions of $C_0(X) \otimes K(H)$ where X is locally compact. A class of such extensions, the homogeneous ones, for X compact have been studied in ([8], [10]) (see [7] for a more general theory). The opposite case appears to be that of the singular extensions, i.e. those for which the extension is "localised" in a certain sense at infinity, in the Alexandrov compactification of X . Such extensions have been considered by Delaroche ([4]) and in connection with the C^* -algebra of the Heisenberg group, by several authors ([9], [7], [11]). The structure of such extensions appears to be rather mysterious. This is due in part to the complicated structure of the "Calkin algebra" corresponding to a singular extension problem. This "Calkin algebra" is far from being simple and the aim of the present note is to classify its closed two-sided ideals.

We begin with the notations.

Throughout, H will denote a complex separable infinite-dimensional Hilbert space and $L(H), K(H)$ will denote the set of all bounded operators on H and respectively the ideal of compact operators on H .

Instead of a locally compact space X , it will be more convenient to consider a pointed compact space (Ω, ω) , where X corresponds to $\Omega \setminus \{\omega\}$. We shall assume that Ω is metrizable

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and finite-dimensional. By $\mathcal{B}(\Omega, \omega, H)$ (or simply \mathcal{B}) we shall denote the C^* -algebra of bounded norm-continuous functions $f: \Omega \setminus \{\omega\} \longrightarrow K(H)$ and by $\mathcal{I}(\Omega, \omega, H)$ (or simply \mathcal{I}) the C^* -algebra of norm-continuous functions $f: \Omega \longrightarrow K(H)$ such that $f(\omega) = 0$. Clearly, the restriction to $\Omega \setminus \{\omega\}$ gives an isometric injection of \mathcal{I} into \mathcal{B} , which we shall use to identify \mathcal{I} with a sub-algebra of \mathcal{B} , which is in fact a closed two-sided ideal of \mathcal{B} . The singular extensions will correspond to $*$ -monomorphisms into \mathcal{B}/\mathcal{I} , which is what might be called the "Calkin algebra" for the singular extensions of $C_0(\Omega \setminus \{\omega\}) \otimes K(H) \simeq \mathcal{I}$. The problem we consider is the classification of the closed two-sided ideals of \mathcal{B}/\mathcal{I} or equivalently the classification of the closed two-sided ideals of \mathcal{B} containing \mathcal{I} .

For the sake of completeness we shall record as Lemma 1 a most likely well-known consequence of the finite-dimensionality of Ω .

Lemma 1. Let Ω be a compact metrizable finite-dimensional space. Then there is a number N , depending only on the dimension of Ω such that for every open covering $\mathcal{U} = (U_j)_{j \in J}$ of $\Omega \setminus \{\omega\}$ there is a refinement $\mathcal{V} = (V_i)_{i \in I}$, which is a covering by open sets, with the following property:

there is a partition $I = I_1 \cup \dots \cup I_N$ such that $V_p \cap V_q = \emptyset$ whenever $p \neq q$ belong to the same I_k .

For the next proposition we shall introduce some notations. By $E(\sigma; a)$ we shall denote for a positive operator $a \in L(H)$, the spectral projection corresponding to the Borel set $\sigma \in \mathbb{R}$. Another notation we shall use, is A_+ for the positive part of a C^* -algebra A .

Proposition 2. Let $M \subset \mathcal{B}_+ = \mathcal{B}_+(\Omega, \omega, H)$ and $x \in \mathcal{B}_+$. Then, the following conditions are equivalent:

- (i) x is in the closed two-sided ideal of \mathcal{B} generated

by $M \cup J$.

(ii) for every $\varepsilon > 0$ there are $\delta > 0, n \in \mathbb{N}, y_1, \dots, y_n \in M$
and $V \subset \Omega$ a neighborhood of ω such that
 $\text{rank } E([\varepsilon, \infty); x(t)) \leq \sum_{j=1}^n \text{rank } E([\delta, \infty); y_j(t))$
for all $t \in V \setminus \{\omega\}$.

Proof. We shall use in the proof the following fact. Let $\tilde{\mathcal{B}} = \mathcal{B} + \mathbb{C}e$ denote the C^* -algebra obtained by adjoining a unit to \mathcal{B} , then (i) is equivalent with:

for every $\alpha > 0$ there are $n \in \mathbb{N}$, $y_1, \dots, y_n \in M$,
 $b_1, \dots, b_n \in \mathcal{B}$, $d \in J_+$ such that

$$\alpha e + \sum_{j=1}^n b_j y_j b_j^* + d \geq x.$$

In view of the definition of J this gives that (i) is also equivalent to:

(i') for every $\alpha > 0$ there are
 $n \in \mathbb{N}, y_1, \dots, y_n \in M, b_1, \dots, b_n \in \mathcal{B}$, and $V \subset \Omega$
a neighborhood of ω such that

$$\alpha I_{H^+} + \sum_{j=1}^n b_j(t) y_j(t) b_j^*(t) \geq x(t)$$

for all $t \in V \setminus \{\omega\}$

With these preparations we can now pass to the proof of the proposition.

(i) \Rightarrow (ii)

This will follow from (i) \Leftrightarrow (i') and some remarks based on consequences of the mini-max principle.

Thus, using results in ch. II, §2 of [6] we have for $\delta > 0$ the inequality:

$$\text{rank } E([\delta, \infty), b_j(t)y_j(t)b_j^*(t)) \leq \text{rank } E([\frac{\delta}{\|b_j\|^2}, \infty), y_j(t))$$

Further, using Corollary 2.2 in § 2 of ch. II of [6] we have:

$$\begin{aligned} & \text{rank } E([\delta, \infty), \sum_{j=1}^n b_j(t)y_j(t)b_j^*(t)) \leq \\ & \leq \sum_{j=1}^n \text{rank } E([\frac{\delta}{n}, \infty), b_j(t)y_j(t)b_j^*(t)) \leq \\ & \leq \sum_{j=1}^n \text{rank } E([\frac{\delta}{n\|b_j\|^2}, \infty), y_j(t)). \end{aligned}$$

Assume now that

$$\propto I_{H^+} \sum_{j=1}^n b_j(t)y_j(t)b_j^*(t) \geq x(t),$$

then from the mini-max principle, it follows that for $\delta > \alpha > 0$ we have

$$\begin{aligned} & \text{rank } E([\delta - \alpha, \infty); \sum_{j=1}^n b_j(t)y_j(t)b_j^*(t)) \geq \\ & \geq \text{rank } E([\delta, \infty); x(t)). \end{aligned}$$

This, together with our previous remarks, gives:

$$\begin{aligned} \text{rank } E([\delta, \infty); x(t)) & \leq \sum_{j=1}^n \text{rank } E([\frac{\delta - \alpha}{\beta}, \infty); y_j(t)) \quad \text{where} \\ \beta & = n(\max_{1 \leq j \leq n} \|b_j\|^2 + 1). \end{aligned}$$

Thus, taking $\alpha = \varepsilon/2$, $\delta = \varepsilon$ we see that (i') implies (ii) with $\delta = \varepsilon/2\beta$

$$(ii) \Rightarrow (i)$$

Let (i'') denote condition (i') with M replaced by the closed two-sided ideal generated by M . It will be clearly sufficient

to prove that (ii) \Rightarrow (i"). Thus, assume (ii) holds. Then for every $t \in V \setminus \{\omega\}$ we can find $b_t^{(h)} \in \mathcal{B}$ ($1 \leq h \leq n$) such that

$$\sum_{h=1}^n b_t^{(h)}(t) y_h(t) b_t^{(h)*}(t) + \varepsilon I_H \geq x(t),$$

$$\|b_t^{(h)}\| \leq \left(\frac{\|x\|}{\delta}\right)^{1/2}$$

But then, for every $t \in V \setminus \{\omega\}$ there is an open set $U_t \subset \Omega \setminus \{\omega\}$, $t \in U_t$ such that

$$\sum_{h=1}^n b_t^{(h)}(s) y_h(s) b_t^{(h)*}(s) + 2\varepsilon I_H \geq x(s)$$

for all $s \in U_t$

Assuming V is compact (which is no loss of generality) we can apply Lemma 1 and find a covering $(V_j)_{j \in J_1} \cup \dots \cup (V_j)_{j \in J_N}$ by open subsets of $V \setminus \{\omega\}$. (in the relative topology of $V \setminus \{\omega\}$) such that $V_j \subset U_{t(j)}$ and $V_p \cap V_q = \emptyset$ whenever $p \neq q$ belong to the same set J_k . Let further $(g_j)_{j \in J_1} \cup \dots \cup J_k$ be a partition of unity subordinated to this covering of $V \setminus \{\omega\}$. Then we may define bounded continuous $K(H)$ -valued functions $c_k^{(h)}$ on $V \setminus \{\omega\}$ ($1 \leq k \leq N, 1 \leq h \leq n$) by

$$c_k^{(h)}(s) = \sum_{j \in J_k} \sqrt{g_j(s)} b_{t(j)}^{(h)}(s),$$

We have:

$$\sum_{h=1}^n \left(\sum_{k=1}^N c_k^{(h)}(s) y_h(s) c_k^{(h)*}(s) \right) + 2\varepsilon I_H =$$

$$\begin{aligned}
 &= \sum_{h=1}^n \sum_{k=1}^N \left(\sum_{j \in J_k} g_j(s) b_{t(j)}^{(h)}(s) y_h(s) b_{t(j)}^{(h)*}(s) \right) + 2 \varepsilon I_H = \\
 &= \sum_{k=1}^n \sum_{j \in J_k} g_j(s) \left(\sum_{h=1}^n b_{t(j)}^{(h)}(s) y_h(s) b_{t(j)}^{(h)*}(s) \right) + 2 \varepsilon I_H \geq \\
 &\geq \sum_{k=1}^n \sum_{j \in J_k} g_j(s) x(s) = x(s)
 \end{aligned}$$

Remarking that the $K(H)$ -valued functions $c_k^{(h)}$ can be prolonged from $V \setminus \{\omega\}$ to all of $\Omega \setminus \{\omega\}$ we see that we have proved that (ii) \Rightarrow (i").

Q.E.D.

We turn now to the classification of the closed two-sided ideals of \mathcal{B} which contain \mathcal{I} . This will be achieved by exhibiting a bijection between these ideals and the class of cones \mathcal{C} of positive continuous functions on $\Omega \setminus \{\omega\}$, satisfying the following "completeness" property:

(*) If $f: \Omega \setminus \{\omega\} \longrightarrow [0, \infty)$ is a continuous function such that for every $\varepsilon > 0$ there exists a neighborhood V_ε of ω and a function $g_\varepsilon \in \mathcal{C}$ such that

$$f(t) \leq g_\varepsilon(t) + \varepsilon \text{ for all } t \in V_\varepsilon$$

then f belongs to \mathcal{C} .

We pass now to the construction of the correspondence between ideals and cones.

By \mathcal{F} we shall denote the set of continuous functions $\varphi: [0, \infty) \longrightarrow [0, \infty)$ such that $\text{supp } \varphi \subset (0, \infty)$. Let further, for $\varepsilon > 0$, ψ_ε stand for the following particular function in \mathcal{F}

$$\psi_\varepsilon(t) = \max(t - \varepsilon, 0).$$

For $x \in \mathcal{B}_+$ and $\varphi \in \mathcal{F}$ we get a continuous function $T_\varphi x$ on $\Omega \setminus \{\omega\}$ defined by $T_\varphi x(t) = \text{Trace } \varphi(x(t))$.

Let us note the following properties of the functions

$T_{\varphi} x$:

$$1) T_{\varphi} x(t) \leq \|\varphi(x(t))\| \cdot \text{rank } E(L\delta, \infty); x(t))$$

where $\delta > 0$ is the greatest lower bound of $\text{supp } \varphi$.

$$2) \varepsilon \cdot \text{rank } E(L 2\varepsilon, \infty); x(t)) \leq T_{\psi_{\varepsilon}} x(t)$$

3) Assume $\varphi \in \mathcal{F}$ is an increasing function, then for $x, y \in \mathcal{B}_+$, $x \leq y$ we have $T_{\varphi} x(t) \leq T_{\varphi} y(t)$ for all $t \in \Omega \setminus \{\omega\}$.

The last property is a consequence of the mini-max principle, which shows that the n -th eigenvalue of $y(t)$ is greater than the n -th eigenvalue of $x(t)$ (eigenvalues being listed in decreasing order, multiple eigenvalues repeated), so that the same is true for the n -th eigenvalues of $\varphi(y(t))$ and $\varphi(x(t))$.

For a closed two-sided ideal \mathcal{J} containing \mathcal{I} we shall denote by $\mathcal{C}(\mathcal{J})$ the smallest cone of continuous positive functions satisfying property (*) containing all the functions $T_{\varphi} x$, where x runs over \mathcal{J}_+ and φ runs over \mathcal{F} .

Conversely, for a cone \mathcal{C} satisfying (*), let $\mathcal{J}_+(\mathcal{C})$ be the set of all positive elements $x \in \mathcal{B}_+$ such that $T_{\varphi}(x) \in \mathcal{C}$ for all $\varphi \in \mathcal{F}$. $\mathcal{J}(\mathcal{C})$ will be the set of all elements $x \in \mathcal{B}$ such that $|x| = (x^* x)^{1/2} \in \mathcal{J}_+(\mathcal{C})$.

Lemma 3. $\mathcal{J}(\mathcal{C})$ is a closed two-sided ideal of \mathcal{B} , which contains \mathcal{I} . Moreover $(\mathcal{J}(\mathcal{C}))_+ = \mathcal{J}_+(\mathcal{C})$

Proof. Remark first that $x \in \mathcal{B}_+$ is in $\mathcal{J}_+(\mathcal{C})$ if $T_{\psi_{\varepsilon}} x \in \mathcal{C}$ for all $\varepsilon > 0$. This follows from the fact that every $\varphi \in \mathcal{F}$ is dominated by a function of the form $\alpha \psi_{\varepsilon}$ on the spectrum of x and from property (*).

Also, if $f: [0, \infty) \rightarrow [0, \infty)$ is a continuous function such that $f(0)=0$, then $\varphi \circ f \in \mathcal{F}$ for every $\varphi \in \mathcal{F}$. Hence, if

$x \in J_+(\mathcal{C})$ then also $f(x) \in J_+(\mathcal{C})$

In particular, for $x \in \mathcal{B}_+$ we have that $x \in J_+(\mathcal{C})$ if and only if $x^2 \in J_+(\mathcal{C})$.

We will first show that $J_+(\mathcal{C})$ is a closed convex hereditary cone in \mathcal{B} . To this end we apply the remarks preceding the Lemma and Corollary 2.2 in § 2 of ch. II of [6], to get:

$$\begin{aligned} T_{\gamma_\varepsilon}(x+y)(t) &\leq \|x+y\| \cdot \text{rank } E([\varepsilon, \infty); x(t)+y(t)) \leq \\ &\leq \|x+y\| (\text{rank } E([\frac{\varepsilon}{2}, \infty); x(t)) + \text{rank } E([\frac{\varepsilon}{2}, \infty); y(t))) \leq \\ &\leq \frac{4}{\varepsilon} \|x+y\| (T_{\gamma_{\varepsilon/4}} x(t) + T_{\gamma_{\varepsilon/4}} y(t)), \quad x, y \in \mathcal{B}_+. \end{aligned}$$

$$T_{\gamma_\varepsilon} \lambda x = \lambda T_{\gamma_{\varepsilon/\lambda}} x, \quad x \in \mathcal{B}_+, \quad \lambda > 0.$$

Also, if $0 \leq x \leq y$ and $y \in J_+(\mathcal{C})$ then $T_{\gamma_\varepsilon} x \leq T_{\gamma_\varepsilon} y$ since γ_ε is increasing. This together with the preceding remarks yields that $J_+(\mathcal{C})$ is a convex hereditary cone.

To see that $J_+(\mathcal{C})$ is also closed, let x be in the closure of $J_+(\mathcal{C})$. Then for any $\varepsilon > 0$ we can find $y \in J_+(\mathcal{C})$ such that

$$x \leq y + \frac{\varepsilon}{2} e$$

where e is the unit of $\tilde{\mathcal{B}}$. It follows that

$$T_{\gamma_\varepsilon} x \leq T_{\gamma_\varepsilon} (y + \frac{\varepsilon}{2} e) = T_{\gamma_{\varepsilon/2}} y$$

and the remark at the beginning of the proof yields the desired conclusion.

Also by one of the remarks at the beginning of the proof we have that

$$J(\mathcal{C}) = \{ x \in \mathcal{B} \mid x^* x \in J_+(\mathcal{B}) \}$$

A standard argument shows now that $J(\mathcal{C})$ is a closed

left ideal of \mathcal{B} , such that $(J(\mathcal{C}))_+ = J_+(\mathcal{C})$. Moreover since $T_\varphi x^*x = T_\varphi xx^*$ it follows that $J(\mathcal{C})$ is self-adjoint and hence a two-sided ideal.

Since for $x \in J_+$ and $\varphi \in \tilde{\mathcal{F}}$, $\varphi(x)$ is zero on some neighborhood of ω , property $(*)$ implies that $J_+ \subset J_+(\mathcal{C})$ and hence $J \subset J(\mathcal{C})$.

Q.E.D.

Theorem 4. The correspondence

$$\mathcal{C} \longmapsto J(\mathcal{C})$$

is a bijection between cones satisfying property $(*)$ and closed two-sided ideals of \mathcal{B} containing J . The inverse of this bijection is

$$J \longmapsto \mathcal{C}(J).$$

Proof. It will be sufficient to prove that

$$J \supset J(\mathcal{C}(J))$$

$$\mathcal{C} \subset \mathcal{C}(J(\mathcal{C}))$$

the opposite inclusions being obvious.

To prove the first inclusion, let $x \in J_+(\mathcal{C}(J))$ and $\varepsilon > 0$ be fixed. Since $T_{\varphi_\varepsilon} x$ is in $\mathcal{C}(J)$ we can find a neighborhood V_ε of ω , functions $\varphi_1, \dots, \varphi_n \in \tilde{\mathcal{F}}$ and y_1, \dots, y_n elements of J_+ such that

$$T_{\varphi_\varepsilon} x(t) \leq \sum_{i=1}^n T_{\varphi_i} y_i(t) + \varepsilon/4 \text{ for all } t \in V_\varepsilon$$

The remarks preceding Lemma 3 imply that

$$\varepsilon/2 \text{ rank } E([L, \infty); x(t)) \leq c \sum_{i=1}^n \text{rank } E([L, \infty); y_i(t)) + \varepsilon/4$$

for all $t \in V_\varepsilon$ and where $c = \max_{1 \leq i \leq n} \left(\sup_{t \in V_\varepsilon} \|\varphi(y_i(t))\| \right)$ and

$\delta = \inf \left(\bigcup_{1 \leq i \leq n} \text{supp } \varphi_i \right)$. Repeating the y_i 's several times if necessary, we may assume that $2c < \varepsilon$ so that

$$\text{rank } E([\varepsilon, \infty); x(t)) \leq \sum_{i=1}^n \text{rank } E([\delta, \infty); y_i(t)) + 1/2$$

and since the rank of a projection is an integer, this gives:

$$\text{rank } E([\varepsilon, \infty); x(t)) \leq \sum_{i=1}^n \text{rank } E([\delta, \infty); y_i(t)) \text{ for } t \in V_\varepsilon.$$

Using Proposition 2, we conclude that $x \in \mathcal{J}$. Using Lemma 3, we have

$$(\mathcal{J}(\mathcal{C}(\mathcal{J})))_+ = \mathcal{J}_+(\mathcal{C}(\mathcal{J})) \subset \mathcal{J}$$

and hence the desired conclusion.

To prove that $\mathcal{C} \subset \mathcal{C}(\mathcal{J}(\mathcal{C}))$ fix $f \in \mathcal{C}$

Consider further e_1, e_2, \dots , an orthonormal basis of H and let E_i denote the orthogonal projection onto $\mathbb{C}e_i$. For $\varepsilon > 0$ let $f_{n,\varepsilon} : \Omega \setminus \{\omega\} \rightarrow [0, \infty)$ be the functions defined recurrently by $f_{0,\varepsilon} = 0$, $f_{n+1,\varepsilon} = \min \left(f - \sum_{k=0}^n f_{k,\varepsilon}, \varepsilon \right)$. Define now $x_\varepsilon \in \mathcal{B}$

to be the element

$$x_\varepsilon(t) = \sum_{n \geq 1} f_{n,\varepsilon}(t) E_n \text{ for } t \in \Omega \setminus \{\omega\}$$

and note that $\text{Trace}(x_\varepsilon(t)) = f(t)$.

Our assertion will follow from property (*) once we have shown that $x_\varepsilon \in \mathcal{J}(\mathcal{C})$ and that there is $\varphi \in \mathcal{F}$ such that $f \leq 2T_\varphi x_\varepsilon + \varepsilon$. Clearly $x_\varepsilon \in \mathcal{B}_+$ and $\|x_\varepsilon\| \leq \varepsilon$

The inequality

$$T_{\varphi_\delta} x_\varepsilon(t) \leq \text{Trace } x_\varepsilon(t) = f(t) \text{ for every } \delta > 0,$$

together with one of the remarks at the beginning of the proof of Lemma 3 shows that $x_\varepsilon \in \mathcal{J}_+(\mathcal{C})$

For the remaining assertion, note that

$$\varepsilon + 2T_{\gamma_{\varepsilon/2}} x_{\varepsilon}(t) \geq f(t)$$

for all $t \in \Omega$

Q.E.D.

Remark 5. Let $M \subset \mathcal{B}_+$, and let \mathcal{J} be the closed two-sided ideal of \mathcal{B} generated by $M \cup J$. Then $\mathcal{C}(\mathcal{J})$ is the smallest cone with property (*) containing $\{T_{\gamma_{\varepsilon}} y \mid \varepsilon > 0, y \in M\}$.

Indeed, the smallest cone with property (*) containing the above set is clearly contained in $\mathcal{C}(\mathcal{J})$ and on the other hand the ideal corresponding to this cone contains M and hence \mathcal{J} , so that this cone must coincide with $\mathcal{C}(\mathcal{J})$.

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