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THE KÜNNETH FORMULA FOR HILBERT COMPLEXES

by
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February 1980

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## THE KÜNNETH FORMULA FOR HILBERT COMPLEXES

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## Corina GROSU and F.-H. VASILESCU

1. INTRODUCTION. Let X and Y be complex Hilbert spaces. We denote by  $\mathcal{C}(X,Y)$  the set of all closed linear operators, defined on linear submanifolds on X, with values in Y. Let  $\mathcal{B}(X,Y)$  be the subset of those operators from  $\mathcal{C}(X,Y)$  which are everywhere defined, hence continuous. We write simply  $\mathcal{C}(X)$  for  $\mathcal{C}(X,X)$  and  $\mathcal{B}(X)$  for  $\mathcal{B}(X,X)$ . If  $\mathcal{S}(\mathcal{C}(X,Y))$ , let  $\mathcal{D}(S)$ ,  $\mathcal{N}(S)$  and  $\mathcal{R}(S)$  be respectively the domain of definition, the null-space and the range of S. We need also the notion of reduced minimum modulus  $\gamma(S)$  of S [5], which is given by the formula

$$\gamma(S) = \sup \{\gamma \ge 0, ||Sx|| \ge \gamma ||(1-P_N(S)) \times ||, x \in D(S)\},$$

where  $P_{N(S)}$  is the orthogonal projection of X onto N(S), provided that  $S\neq 0$ . When S=0 then one defines  $\gamma(S)=\infty$ . It is easily seen that  $\gamma(S)>0$  if and only if R(S) is closed and in this case  $\gamma(S)^{-1}$  is the norm of the operator  $Sx \rightarrow (1-P_{N(S)})x$  from R(S) into X.

Consider now a (<u>cochain</u>) <u>complex of Hilbert spaces</u> [12]  $(X,\alpha) = (X^p,\alpha^p)_{p \in \mathbf{Z}}, \text{ where } \mathbf{Z} \text{ is the ring of integers, } X^p \text{ is a Hilbert space, } \alpha^p \in \mathcal{C}(X^p,X^{p+1}) \text{ and } R(\alpha^p) \subset N(\alpha^{p+1}) \text{ for all } p \in \mathbf{Z}. \text{ Let us denote by } \{H^p(X,\alpha)\}_{p \in \mathbf{Z}} \text{ the cohomology of the complex } (X,\alpha), \text{ i.e. }$ 

$$H^{p}(X,\alpha)=N(\alpha^{p})/R(\alpha^{p-1}), p\in \mathbb{Z}$$

and by dim  $H^p(X,\alpha)$  the algebraic dimension of the linear space

 $H^p(X,\alpha)$ .

We recall that a complex of Hilbert spaces  $(X,\alpha)=(X^p,\alpha^p)_{p\in \mathbf{Z}}$  is said to be <u>Fredholm</u> [12] if inf  $\{\gamma(\alpha^p): p\in \mathbf{Z}\}>0$ ,  $\dim H^p(X,\alpha)<\infty$  for each  $p\in \mathbf{Z}$  and  $H^p(X,\alpha)\neq 0$  only for a finite number of indices. In this case we may define the <u>index</u> of  $(X,\alpha)$  by the formula

ind 
$$(X,\alpha) = \sum_{p \in \mathbb{Z}} (-1)^p \text{dim } H^p(X,\alpha)$$
,

and the number ind  $(X,\alpha)$ , which is in fact the Euler characteristic of the complex  $(X,\alpha)$ , is invariant under small or compact perturbations (see [12] for details).

There is a more general concept of complex of Hilbert spaces, called <a href="mailto:semi">semi</a> - <a href="mailto:Fredholm">Fredholm</a>, for which the index, possibly infinite, still makes sense (see [12] for a precise definition). We shall work in the last section with a particular semi-Fredholm complex of Hilbert spaces which is not Fredholm.

We note that for a Fredholm complex  $(X,\alpha)$  the quotient space  $H^p(X,\alpha)$  is isomorphic to the subspace  $N(\alpha^p) \ominus R(\alpha^{p-1})$  for all  $p \in \mathbb{Z}$ , so that  $H^p(X,\alpha)$  will be given this meaning in the sequel. It is easily seen that there is no essential loss of generality in assuming that  $D(\alpha^p)$  is dense in  $X^p$  for every  $p \in \mathbb{Z}$  so that we shall work only with complexes  $(X,\alpha)=(X^p,\alpha^p)_{p \in \mathbb{Z}}$  having this property.

The aim of this work is to consider tensor products of Fredholm complexes of Hilbert spaces and to prove a variant of the Künneth formula [6] for them. In order to state the main result we need some more notations and definitions. For any pair

of Hilbert spaces X and Y we denote by X  $\overline{\otimes}$  Y the completion, with respect to the canonical Hilbert norm, of the algebraic tensor product X  $\otimes$  Y. Take now two complexes of Hilbert spaces  $(X,\alpha)=(X^p,\alpha^p)_{p\in Z}$  and  $(Y,\beta)=(Y^q,\beta^q)_{q\in Z}$ . By analogy with the algebraic case [6] we shall define the tensor product  $(X \overline{\otimes} Y, \alpha \overline{\otimes} \beta)$  of the complexes  $(X,\alpha)$  and  $(Y,\beta)$  as the complex of Hilbert spaces  $(Z,\lambda)=(Z^r,\lambda^r)_{r\in Z}$ , where

$$z^r = \bigoplus_{p+q=r} (x^p \otimes y^q)$$

and  $\lambda^{r}$  is given, roughly speaking, by the formula

$$\lambda^r | D(\alpha^p) \otimes D(\beta^q) = (\alpha^p \otimes 1_q) + (-1)^p (1_p \otimes \beta^q)$$

(see the next section for a precise definition) for all p, q and r in Z, p+q=r, where  $\mathbf{1}_p$  and  $\mathbf{1}_q$  are the identities on  $\mathbf{X}^p$  and  $\mathbf{Y}^p$ , respectively.

The main result of the paper is the following:

THEOREM. Consider two Fredholm complexes of Hilbert spaces  $(X,\alpha)$  and  $(Y,\beta)$ . Then their tensor product  $(X \ \overline{\otimes} \ Y, \ \alpha \ \overline{\otimes} \ \beta)$  is Fredholm and has the properties:

(1) 
$$H^{r}(X \otimes Y, \alpha \otimes \beta) = \bigoplus_{p+q=r_{1}} (H^{p}(X,\alpha) \otimes H^{q}(Y,\beta)),$$

for all r(Z (the tensor Künneth formula);

(2) ind 
$$(X \otimes Y, \alpha \otimes \beta) = ind (X, \alpha)$$
. ind  $(Y, \beta)$ .

The next section contains the auxiliary results needed for the proof of Theorem as well as the proof itself.

The last section contains two applications. The first one is a consequence of our results applied to the tensor products of commuting systems of linear closed operators. The second application is the solution of the  $\overline{\partial}$ -problem for vector - valued square integrable exterior forms on strongly pseudoconvex domains, using some well-known results in the scalar case [4].

- 2. PROOF OF THE MAIN RESULT. We obtain our Theorem, stated in the Introduction, as a consequence of some auxiliary results. The first result transforms some information connected with a complex of Hilbert spaces into an equivalent property valid for a certain operator (see [11] for a similar but not identical procedure).
- 2.1. PROPOSITION. Let  $(X,\alpha)=(X^p,\alpha^p)_{p\in Z}$  be a complex of Hilbert spaces. Then there exist a Hilbert space  $H_\alpha$  and a densely defined operator  $T_\alpha(C(H_\alpha))$  such that  $R(T_\alpha)\subset N(T_\alpha)$ , with the following properties:
- (1)  $\gamma_{\alpha} := \inf \{ \gamma(\alpha^{p}); p \in \mathbb{Z} \} = \gamma(T_{\alpha}); \underline{in particular}, \gamma_{\alpha} > 0 \underline{iff}$   $R(T_{\alpha}) \underline{is closed};$
- (2)  $\gamma_{\alpha} > 0$  and  $H^{\mathcal{D}}(X,\alpha) = 0$  for all p iff  $R(T_{\alpha}) = N(T_{\alpha})$ ;
- (3)  $(X,\alpha)$  is Fredholm iff  $T_{\alpha} + T_{\alpha}^*$  is Fredholm.

Proof. We define the Hilbert space

$$H_{\alpha} = \bigoplus_{p \in \mathbb{Z}} X^{p}$$

and the operator  $\mathbf{T}_{\alpha}$  on  $\mathbf{H}_{\alpha}$  by the relation

$$T_{\alpha} \left( \bigoplus_{p \in \mathbb{Z}} x_p \right) = \bigoplus_{p \in \mathbb{Z}} \alpha^p x_p$$

where

(2.1) 
$$\bigoplus_{p \in \mathbb{Z}} x_p^{(H_\alpha)}, x_p^{(D(\alpha^p))}, p \in \mathbb{Z}, \sum_{p \in \mathbb{Z}} ||\alpha^p x_p^{(Q)}||^2 < \infty.$$

It is easily seen that  $\textbf{T}_{\alpha}$  is a densely defined closed operator whose domain of definition is given by (2.1). It is also clear that

(2.2) 
$$N(T_{\alpha}) = \bigoplus_{p \in \mathbb{Z}} N(\alpha^p)$$

and that  $R(T_{\alpha}) \subseteq N(T_{\alpha})$ .

Assume now  $\gamma_{\alpha} > 0$ . In this case we have the equality

(2.3) 
$$R(T_{\alpha}) = \bigoplus_{\mathbf{p} \in \mathbf{Z}} R(\alpha^{\mathbf{p}})$$

Plainly,  $R(T_{\alpha})$  is contained in  $\bigoplus_{p \in \mathbf{Z}} R(\alpha^p)$ . Conversely, take  $\bigoplus_{p \in \mathbf{Z}} y_{p+1} \in \bigoplus_{p \in \mathbf{Z}} R(\alpha^p)$ , therefore  $y_{p+1} = \alpha^p x_p$ , for all p. As we may take  $x_p \in \mathbb{R}(\alpha^p)$ , we have  $||x_p|| \le \gamma (\alpha^p)^{-1} ||y_{p+1}||$ , hence

$$\sum_{\mathbf{p} \in \mathbf{Z}} ||\mathbf{x}_{\mathbf{p}}||^{2} \leqslant \sup_{\mathbf{p} \in \mathbf{Z}} \gamma(\alpha^{\mathbf{p}})^{-1} \sum_{\mathbf{p} \in \mathbf{Z}} ||\alpha^{\mathbf{p}} \mathbf{x}_{\mathbf{p}}||^{2}$$

so  $\bigoplus_{p \in \mathbb{Z}} x_p(D(T_\alpha))$  and  $\gamma(T_\alpha) \ge \gamma_\alpha$ .

Supposing  $R(T_{\alpha})$  closed and taking  $y_{p+1} \in R(\alpha^p)$  we can find  $x_p \in D(\alpha^p)$  with  $y_{p+1} = \alpha^p x_p$  and  $||x_p|| \le \gamma (T_{\alpha})^{-1} ||y_{p+1}||$ ; as  $p \in \mathbf{Z}$  is arbitrary, we infer  $\gamma_{\alpha} \ge \gamma (T_{\alpha})$ . Note that if either  $\gamma_{\alpha}$  or  $\gamma (T_{\alpha})$  is null, the above argument shows that the other has to be null too, consequently  $\gamma_{\alpha} = \gamma (T_{\alpha})$ .

If  $\gamma_{\alpha}>0$  and  $H^p(X,\alpha)=0$  for all p, then, by (2.2) and (2.3) we obtain that  $R(T_{\alpha})=N(T_{\alpha})$ . Conversely, if  $R(T_{\alpha})=N(T_{\alpha})$  then

 $\gamma(T_{\alpha})>0$ , which implies (2.3) by the previous argument, whence  $H^{p}(X,\alpha)=0$  for all p(Z, concluding the proof of the second assertion.

The operator  $A_{\alpha} = T_{\alpha} + T_{\alpha}^*$  is self-adjoint [10] and satisfies  $R(A_{\alpha}) = R(T_{\alpha}) \oplus R(T_{\alpha}^*).$ 

Note that  $R(T_{\alpha})$  is closed iff  $R(A_{\alpha})$  is closed; on the other hand one can see that

$$N\left(T_{\alpha}^{+}T_{\alpha}^{*}\right)=N\left(T_{\alpha}^{-}\right) \cap N\left(T_{\alpha}^{*}\right)=\bigoplus_{\mathbf{p} \in \mathbf{Z}} N\left(\alpha^{\mathbf{p}}\right) \cap N\left(\left(\alpha^{\mathbf{p}-1}\right)^{*}\right)=\bigoplus_{\mathbf{p} \in \mathbf{Z}} \left(N\left(\alpha^{\mathbf{p}}\right) \ominus R\left(\alpha^{\mathbf{p}-1}\right)\right).$$

From these facts it is plain now that  $(X,\alpha)$  is Fredholm iff  $A_{\alpha}$  is Fredholm, which completes the proof.

2.2. COROLLARY. A complex of Hilbert spaces  $(X,\alpha)$  is Fredholm and exact iff  $(T_{\alpha}+T_{\alpha}^*)^{-1}\in\mathcal{B}(H_{\alpha})$ , where  $H_{\alpha}$  and  $T_{\alpha}$  are given by Proposition 2.1.

<u>Proof.</u> We have  $R(T_{\alpha})\subset N(T_{\alpha})$ . The equality holds iff  $(T_{\alpha}+T_{\alpha}^*)^{-1}\in \mathcal{B}(H_{\alpha})$ , as shown in [10, Lemma 3.1].

2.3. Remark. The construction from Proposition 2.1 does not yield a bounded operator  $T_{\alpha}$  even in case  $\alpha^p(\mathcal{B}(X^p,X^{p+1}))$ , for every p(Z), unless sup  $||\alpha^p|| < \infty$ . It is therefore natural, from our standpoint, to work only with closed operators.

Let  $X_1$ ,  $X_2$ ,  $Y_1$ ,  $Y_2$  be Hilbert spaces,  $S_1 \in \mathcal{C}(X_1, Y_1)$  and  $S_2 \in \mathcal{C}(X_2, Y_2)$  be densely defined operators. Then the operator  $S_1 \otimes S_2$ , defined on  $D(S_1) \otimes D(S_1)$ , is closable (as a consequence of the fact that  $S_1^* \otimes S_2^*$  is defined on the dense subspace  $D(S_1^*) \otimes D(S_2^*)$ ,  $S_j^*$  being the adjoint of  $S_j$ , j=1,2); we denote

by  $S_1 \otimes S_2$  its canonical closure (see also [8]).

2.4. LEMMA. Consider two Hilbert spaces X, Y and take  $S(C(X) \text{ densely defined, with } R(S) \text{ closed. Then } (S \ \overline{\otimes} \ 1_Y) *=S * \ \overline{\otimes} \ 1_Y$   $R(S \ \overline{\otimes} \ 1_Y) = R(S) \ \overline{\otimes} \ Y, N(S \ \overline{\otimes} \ 1_Y) = N(S) \ \overline{\otimes} \ Y \text{ and } \gamma (S \ \overline{\otimes} \ 1_Y) = \gamma (S), \text{ where } 1_Y \text{ is the identity on } Y.$ 

<u>Proof.</u> The equality  $(S \otimes 1_Y)^*=S^* \otimes 1_Y$  follows from [8, Chapt.9] (and it is not connected with the assumption that R(S) be closed).

The inclusion N(S)  $\otimes$  YCN(S  $\otimes$   $1_Y$ ) is obvious. Conversely, if  $\xi(N(S \otimes 1_Y))$  we can find a sequence  $\xi_k(D(S) \otimes Y$  such that  $\xi_k + \xi$  and  $(S \otimes 1_Y) \xi_k + 0$  as  $k + \infty$ . Moreover, we may represent

$$\xi_k = \sum_{j \in I_k} x_j \otimes y_j$$
,  $k \in \mathbb{Z}$ ,

with  $\{y_j\}_{j\in I_k}$  an orthonormal system,  $I_k$  being a finite family of indices. Write then  $x_j = x_j' + x_j''$  with  $x_j' \in \mathbb{N}(S)$  and  $x_j'' \in \mathbb{N}(S)$ , hence  $\|x_j''\|_{S^{-1}} \|Sx_j\|_{S^{-1}}$ , for all  $j \in I_k$ . Set

$$\xi_{k}' = \sum_{j \in I_{k}} x_{j}' \otimes y_{j}', \quad \xi_{k}'' = \sum_{j \in I_{k}} x_{j}'' \otimes y_{j}$$

and note that

$$||\xi_{\mathbf{k}}^{"}||^{2} = \sum_{\mathbf{j} \in \mathbf{I}_{\mathbf{k}}} ||\mathbf{x}_{\mathbf{j}}^{"}||^{2} \leq \gamma(\mathbf{S})^{-1} \sum_{\mathbf{j} \in \mathbf{I}_{\mathbf{k}}} ||\mathbf{S}\mathbf{x}_{\mathbf{j}}||^{2} = \gamma(\mathbf{S})^{-1} ||(\mathbf{S} \otimes \mathbf{1}_{\mathbf{Y}}) \xi_{\mathbf{k}}||^{2}$$

therefore  $\xi_{k}^{"} \to 0$  as  $k \to \infty$ , showing that  $\xi(N(S) \ \overline{\otimes} \ Y$ .

Let us prove now that R(S  $\boxtimes$  1<sub>Y</sub>) is closed. For, consider  $\eta = \sum_{j \in I} Sx_j \otimes y_j$ , with I finite and  $\{y_j\}_{j \in I}$  an orthonormal system.

Then, as above, if  $\xi = \sum_{j \in I} x_j \otimes y_j$  with  $x_j \in N(S)^{\perp}$  we have

$$\begin{split} &||\xi||\leq_{\Upsilon}(S)^{-1}||\eta||. \text{ In particular, } R(S\ \overline{\otimes}\ 1_{\Upsilon}) \text{ is closed and} \\ &\gamma(S\ \overline{\otimes}\ 1_{\Upsilon})\geq_{\Upsilon}(S). \text{ In fact, this is actually an equality. Indeed,} \\ &\text{if } \eta=Sx\ \otimes \text{ y with } ||\text{y}||=1 \text{ then } \xi=x\ \otimes \text{ y(N(S\ \overline{\otimes}\ 1_{\Upsilon})}^{\perp} \text{ when } \text{x(N(S)}^{\perp} \text{ and } \text{y(S)}^{\perp} \text{ (S)}^{\perp} \text{ and } \text{y(S)}^{\perp} \text{ (S)}^{\perp} \text{$$

$$||x|| = ||\xi|| \le \gamma \left(S \ \overline{\otimes} \ 1_{Y}\right)^{-1} ||\eta|| = \gamma \left(S \ \overline{\otimes} \ 1_{Y}\right)^{-1} ||Sx||,$$

whence  $\gamma(S) \ge \gamma(S \ \overline{\otimes} \ 1_{Y})$ .

Finally, from these arguments we infer that

$$R(S \overline{\otimes} 1_Y) = N(S^* \overline{\otimes} 1_Y)^{\perp} = R(S) \overline{\otimes} Y$$

which concludes the proof of the lemma.

- 2.5. Remark. Lemma 2.4 provides a different proof for Theorem 2.7 of [10], having a less specific character.
- 2.6. LEMMA. Let  $(X,\alpha)=(X^p,\alpha^p)_{p\in \mathbf{Z}}$  and  $(Y,\beta)=(Y^q,\beta^q)_{q\in \mathbf{Z}}$  be complexes of Hilbert spaces and denote by  $\{H_{\alpha},T_{\alpha}\}$ ,  $\{H_{\beta},T_{\beta}\}$  the Hilbert spaces and the operators given by Proposition 2.1 for  $(X,\alpha)$  and  $(Y,\beta)$  respectively. Define then  $\tau_{\alpha}(B(H_{\alpha}))$  by the relation

(2.4) 
$$\tau_{\alpha} \left( \bigoplus_{p \in Z} x_p \right) = \bigoplus_{p \in Z} (-1)^p x_p$$

(2.5) 
$$||A_{\alpha,\beta}\xi||^2 = ||((T_{\alpha} + T_{\alpha}^*) \otimes I_{\beta})\xi||^2 + ||(T_{\alpha} \otimes (T_{\beta} + T_{\beta}^*))\xi||^2$$

for every  $\xi(D(A_{\alpha,\beta})$ , where  $l_{\beta}$  is the identity on  $H_{\beta}$ .

<u>Proof.</u> It is known that  $A_{\alpha} = T_{\alpha} + T_{\alpha}^*$  is self-adjoint [10, Lemma 2.4] hence  $A_{\alpha} \otimes 1_{\beta}$  is self-adjoint, by Lemma 2.4. Similarly

 $\tau_{\alpha} \otimes A_{\beta}$  is self-adjoint, where  $A_{\beta} = T_{\beta} + T_{\beta}^*$ . Let  $E_{\alpha}$  and  $E_{\beta}$  be the spectral measures [3] of  $A_{\alpha}$  and  $A_{\beta}$ , respectively. The proof of the lemma will be obtained in several steps.

 $1^{\circ}. \text{ If } \sigma \text{ is any bounded Borel set in } \mathbb{R} \text{ and } \xi \in \mathbb{H}_{\alpha} \ \overline{\otimes} \ \mathbb{H}_{\beta} \text{ then}$   $\xi_{\sigma} = (\mathbb{E}_{\alpha}(\sigma)) \ \overline{\otimes} \ \mathbb{E}_{\beta}(\sigma)) \xi \in \mathbb{D}(A_{\alpha,\beta}). \text{ Indeed, the operators } A_{\alpha} \mathbb{E}_{\alpha}(\sigma) \text{ and}$   $A_{\beta} \mathbb{E}_{\beta}(\sigma) \text{ are bounded } [3] \text{ and we infer that } (A_{\alpha} \ \overline{\otimes} \ \mathbb{I}_{\beta} + \tau_{\alpha} \ \overline{\otimes} \ A_{\beta}) \xi_{\sigma} = (A_{\alpha} \mathbb{E}_{\alpha}(\sigma)) \ \overline{\otimes} \ \mathbb{E}_{\beta}(\sigma) + \tau_{\alpha} \mathbb{E}_{\alpha}(\sigma) \ \overline{\otimes} \ A_{\beta} \mathbb{E}_{\beta}(\sigma)) \xi.$ 

 $2^{\circ}$ . If we fix  $\xi(H_{\alpha} \otimes H_{\beta})$  then for any  $\epsilon>0$  there exists a bounded Borel set  $\sigma \subset \mathbb{R}$  large enough such that  $||\xi-\xi_{\sigma}|| < \epsilon$ . Indeed,  $\sigma \to E_{\alpha}(\sigma) \otimes I_{\beta}$  and  $\sigma \to I_{\alpha} \otimes E_{\beta}(\sigma)$  are two commuting spectral measures in  $H_{\alpha} \otimes H_{\beta}$ , therefore their product is a spectral measure on  $\mathbb{R} \times \mathbb{R}$  [3]. In particular, there exists a Borel set  $\sigma$  with the required property.

 $3^{\circ}. \text{ If } \xi \in D(A_{\alpha,\beta}) \text{ and } \xi_k = \xi_{\sigma_k} \text{, where } \sigma_k \text{ is the interval} \\ [-k,k], \text{ k natural, then } \xi_k \to \xi \text{ and } A_{\alpha,\beta} \xi_k \to A_{\alpha,\beta} \xi \text{ as } k \to \infty. \text{ Indeed, } \xi_k \to \xi \text{ by } 2^{\circ}. \text{ Then we have } (A_{\alpha} \ \overline{\otimes} \ 1_{\beta}) \ (E_{\alpha}(\sigma_k) \ \overline{\otimes} \ 1_{\beta}) \xi \to (A_{\alpha} \ \overline{\otimes} \ 1_{\beta}) \xi, \text{ since } \sigma \to E_{\alpha}(\sigma) \ \overline{\otimes} \ 1_{\beta} \text{ is the spectral measure of } A_{\alpha} \ \overline{\otimes} \ 1_{\beta}. \text{ Analogously, } (\tau_{\alpha} \ \overline{\otimes} \ A_{\beta}) (1_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi \to (\tau_{\alpha} \ \overline{\otimes} \ A_{\beta}) \xi \text{, } \sigma \to 1_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma) \text{ being the spectral measure of } 1_{\alpha} \ \overline{\otimes} \ A_{\beta}. \text{ Noticing } that (A_{\alpha} \ \overline{\otimes} \ 1_{\beta}) (E_{\alpha}(\sigma_k) \ \overline{\otimes} \ (E_{\beta}(\sigma_k) - 1_{\beta})) \xi = (E_{\alpha}(\sigma_k) \ \overline{\otimes} \ 1_{\beta}) (1_{\alpha} \ \overline{\otimes} \ (E_{\beta}(\sigma_k) - 1_{\beta})) (A_{\alpha} \ \overline{\otimes} \ 1_{\beta}) \xi \text{ and } (\tau_{\alpha} \ \overline{\otimes} \ A_{\beta}) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (\tau_{\alpha} \ \overline{\otimes} \ E_{\beta}(\sigma_k)) ((E_{\alpha}(\sigma_k) - 1_{\alpha}) \ \overline{\otimes} \ E_{\beta}(\sigma_k)) \xi = (E_{\alpha}(\sigma_k) - 1_{\alpha}) (E_{\alpha$ 

 $4^{\circ}$ . Let us show now that (2.5) holds. Indeed, if  $\xi(D(A_{\alpha,\beta})$ 

and ock is bounded, then we have

$$\begin{split} ||A_{\alpha}, \ _{\beta}\xi_{\sigma}||^{2} &= ||(A_{\sigma} \ \overline{\otimes} \ 1_{\beta})\xi_{\sigma}||^{2} + <(A_{\alpha} \ \overline{\otimes} \ 1_{\beta})\xi_{\sigma} \ , \\ (\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma} > + <(\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma} \ , (A_{\alpha} \ \overline{\otimes} \ 1_{\beta})\xi_{\sigma} > + \\ +||(\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma}||^{2} &= ||(A_{\alpha} \ \overline{\otimes} \ 1_{\beta})\xi_{\sigma}||^{2} + ||(\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma}||^{2} \\ \text{since} \ (\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma} \in D(A_{\alpha} \ \overline{\otimes} \ 1_{\beta}), \ (A_{\alpha} \ \overline{\otimes} \ 1_{\beta})\xi_{\sigma} \in D(\tau_{\alpha} \ \overline{\otimes} \ A_{\beta}) \text{ from } 1^{\circ} \\ \text{and} \ (A_{\alpha}\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma} + (\tau_{\alpha}A_{\alpha} \ \overline{\otimes} \ A_{\beta})\xi_{\sigma} = 0 \text{ by the property } A_{\alpha}\tau_{\alpha} + \tau_{\alpha}A_{\alpha} = 0. \end{split}$$
 The relation (2.5) is then obtained by applying 3°.

- $5^{\text{O}}.$  The operator  $\textbf{A}_{\alpha\,,\,\beta}$  is closed. Indeed, this is a simple consequence of (2.5).
- $6^{\circ}$ . We have only to show that  $A_{\alpha,\beta}$  is self-adjoint. Indeed,  $(A_{\alpha} \ \overline{\otimes} \ 1_{\beta})^2 = A_{\alpha}^2 \ \overline{\otimes} \ 1_{\beta}$  is self-adjoint and  $(\tau_{\alpha} \ \overline{\otimes} \ A_{\beta})^2 = 1_{\alpha} \ \overline{\otimes} \ A_{\beta}^2$  is self-adjoint too. Moreover, the spectral measure of  $A_{\alpha}^2 \ \overline{\otimes} \ 1_{\beta}$  commutes with the spectral measure of  $1_{\alpha} \ \overline{\otimes} \ A_{\beta}^2$ , therefore  $A_{\alpha}^2 \ \overline{\otimes} \ 1_{\beta} + 1_{\alpha} \ \overline{\otimes} \ A_{\beta}^2$  is also self-adjoint [7]. Plainly we have  $A_{\alpha,\beta}^* \supset A_{\alpha,\beta}$ . Taking  $n \in D(A_{\alpha,\beta}^*)$  and  $\zeta = A_{\alpha,\beta}^*$  such that the pair  $\{n,\zeta\}$  be orthogonal on the graph of  $A_{\alpha,\beta}$ , we obtain that  $\zeta \in D(A_{\alpha,\beta}^*)$  and  $(1+A_{\alpha,\beta}^{*2})^{\eta} = 0$ . Notice that
- $A_{\alpha,\beta}^{2}\xi_{\sigma}=(A_{\alpha}^{2} \overline{\otimes} 1_{\beta})\xi_{\sigma}+(1_{\alpha} \overline{\otimes} A_{\beta}^{2})\xi_{\sigma}$  for every bounded  $\sigma \subset \mathbb{R}$ , therefore  $A_{\alpha,\beta}^{2}\supset A_{\alpha}^{2} \overline{\otimes} 1_{\beta}+1_{\alpha} \overline{\otimes} A_{\beta}^{2}$ . Notice that  $(A_{\alpha,\beta}^{*})^{2}\subset (A_{\alpha,\beta}^{2})^{*}\subset A_{\alpha}^{2} \overline{\otimes} 1_{\beta}+1_{\alpha} \overline{\otimes} A_{\beta}^{2}$  and  $A_{\alpha}^{2} \overline{\otimes} 1_{\beta}+1_{\alpha} \overline{\otimes} A_{\beta}^{2}$  is positive, hence  $\eta=0$ , implying  $A_{\alpha,\beta}=A_{\alpha,\beta}^{*}$ .
- 2.7. LEMMA. With the conditions of Lemma 2.6, if  $T_{\alpha} \otimes \beta = T_{\alpha} \otimes 1_{\beta} + \tau_{\alpha} \otimes T_{\beta}$  is defined on  $D(T_{\alpha}) \otimes D(T_{\beta})$  then  $T_{\alpha} \otimes \beta$  is

closable in  $H_{\alpha} \otimes H_{\beta}$ , its canonical closure  $T_{\alpha} \otimes \beta$  satisfies  $R(T_{\alpha} \otimes \beta) \subset N(T_{\alpha} \otimes \beta) \quad \text{and one has the equality}$ 

$$T_{\alpha} \otimes \beta^{+} T_{\alpha}^{*} \otimes \beta^{-} A_{\alpha,\beta}$$

<u>Proof.</u> Since  $T_{\alpha}^* \otimes l_{\beta} + \tau_{\alpha} \otimes T_{\beta}^*$  is defined on the dense subspace  $D(T_{\alpha}^*) \otimes D(T_{\beta}^*)$ , we derive that  $T_{\alpha} \otimes \beta$  is closable. As  $T_{\alpha} \tau_{\alpha} + \tau_{\alpha} T_{\alpha} = 0$ , we infer that  $R(T_{\alpha} \otimes \beta) \subset N(T_{\alpha} \otimes \beta)$ , from a similar property of  $T_{\alpha} \otimes \beta$ .

Note that  $A_{\alpha,\beta}^{}=T_{\alpha} \otimes \beta^{}+T_{\alpha}^{*} \otimes \beta^{}$  on  $D(A_{\alpha}) \otimes D(A_{\beta})$ ,  $A_{\alpha,\beta}^{}$  is self-adjoint by Lemma 2.6 and  $T_{\alpha} \otimes \beta^{}+T_{\alpha}^{*} \otimes \beta^{}$  is self-adjoint by [10, Lema 2.4]. The operator  $A_{\alpha,\beta}^{}$  is, in fact, essentially self-adjoint on  $D(A_{\alpha}) \otimes D(A_{\beta})$ , whence  $A_{\alpha,\beta}^{}$  and  $T_{\alpha} \otimes \beta^{}+T_{\alpha}^{*} \otimes \beta^{}$  must be equal.

In order to define the tensor product of two complexes of Hilbert spaces we shall use a procedure suggested by Proposition 2.1 (the direct way is rather troublesome). An elementary but important step in this respect is the identification of the space

$$\bigoplus_{\mathbf{r} \in \mathbf{Z}} \bigoplus_{\mathbf{p} + \mathbf{q} = \mathbf{r}} (\mathbf{x}^{\mathbf{p}} \ \overline{\otimes} \ \mathbf{y}^{\mathbf{q}})$$

with the space

$$( \bigoplus_{p \in \mathbb{Z}} x^p) \stackrel{\sim}{\otimes} ( \bigoplus_{q \in \mathbb{Z}} y^q)$$

for any two families of Hilbert spaces  $\{x^p\}_{p\in \mathbf{Z}}$  and  $\{y^q\}_{q\in \mathbf{Z}}$ , which can be made in a natural way.

2.8. Definition. With the notations of Lemmas 2.6 and 2.7,

the complex  $(Z,\lambda)=(Z^r,\lambda^r)_{r\in \mathbf{Z}}$  , where

$$z^r = \bigoplus_{p+q=r} (x^p \ \overline{\otimes} \ y^q)$$

and  $\lambda^{r} = T_{\alpha \otimes \beta} | Z^{r} \cap D(T_{\alpha \otimes \beta})$  (here  $Z^{r}$  is regarded as a subspace of  $H_{\alpha \otimes H_{\beta}}$ ), will be called the <u>tensor product</u> of the complexes  $(X,\alpha)$  and  $(Y,\beta)$ . The complex  $(Z,\lambda)$  will be also denoted by  $(X \otimes Y, \alpha \otimes \beta)$ .

It is clear that  $\lambda^r$  maps  $Z^r$  in  $Z^{r+1}$ . Furthermore, if  $H_{\lambda}$  and  $T_{\lambda}$  correspond to  $(Z,\lambda)$  in Proposition 2.1, then, with our identifications,  $H_{\lambda}=H_{\alpha} \ \overline{\otimes} \ H_{\beta}$  and  $T_{\lambda}=T_{\alpha} \ \overline{\otimes} \ \beta$ . Indeed, the inclusion  $T_{\lambda}\subset T_{\alpha} \ \overline{\otimes} \ \beta$  is obvious. Conversely, if  $\xi=\bigoplus x_p(D(T_{\alpha}))$  and  $p\in Z$ 

 $\eta = \bigoplus_{q \in \mathbb{Z}} y_q \in D(T_\beta)$  then we can write, by the relations (2.1),

$$| | \bigoplus_{\mathbf{r} \in \mathbf{Z}} \bigoplus_{\mathbf{p} + \mathbf{q} = \mathbf{r}} (\alpha^{\mathbf{p}} \mathbf{x}_{\mathbf{p}} \otimes \mathbf{y}_{\mathbf{q}} + (-1)^{\mathbf{p} + 1} \mathbf{x}_{\mathbf{p} + 1} \otimes \beta^{\mathbf{q} - 1} \mathbf{y}_{\mathbf{q} - 1} | |^{2} =$$

$$= \sum_{\mathbf{r} \in \mathbf{Z}} \sum_{p+q=r} ||\alpha^{p} x_{p} \otimes y_{q} + (-1)^{p+1} x_{p+1} \otimes \beta^{q-1} y_{q-1}||^{2} \le$$

$$\leq (||T_{\alpha}\xi||||\eta||+||\xi||||T_{\beta}\eta||)^{2} < \infty$$

which shows that  $\xi \otimes \eta(D(T_{\lambda})$ . We have therefore  $D(T_{\alpha}) \otimes D(T_{\beta}) \subset CD(T_{\lambda})$ ; as  $T_{\lambda}$  is closed, we obtain actually  $T_{\lambda} = T_{\alpha} \otimes \beta$ .

2.9. LEMMA. Let  $(X,\alpha)$  and  $(Y,\beta)$  be two complexes of Hilbert spaces, with  $(X,\alpha)$  Fredholm and exact. Then the tensor product  $(X \ \overline{\otimes} \ Y, \ \alpha \ \overline{\otimes} \ \beta)$  is Fredholm and exact.

<u>Proof.</u> We apply Corollary 2.2. Since  $T_{\alpha}^{+}T_{\alpha}^{*}$  has a continuous inverse, then  $(T_{\alpha}^{+}T_{\alpha}^{*})$   $\overline{\otimes}$   $1_{\beta}$  has a continuous inverse, therefore by (2.5) we deduce

## $|A_{\alpha,\beta}\xi| \ge ||((T_{\alpha}+T_{\alpha}^*) \overline{\otimes} 1_{\beta})\xi|| \ge C||\xi||$

for every  $\xi \in D(A_{\alpha,\beta})$ , where C>0 is a constant. By Lemma 2.6 the operator  $A_{\alpha,\beta}$  is self-adjoint, hence  $A_{\alpha,\beta}$  has a continuous inverse. We conclude, by Lemma 2.7 and Definition 2.8 that  $(X \ \overline{\otimes} \ Y, \alpha \ \overline{\otimes} \ \beta)$  is Fredholm and exact.

Let us consider two complexes of Hilbert spaces  $(X_0, \alpha_0) = (X_0^p, \alpha_0^p)_{p \in \mathbf{Z}}$  and  $(X_1, \alpha_1) = (X_1^p, \alpha_1^p)_{p \in \mathbf{Z}}$ . Then we may define their direct sum  $(X_0 \oplus X_1, \alpha_0 \oplus \alpha_1)$  which is a complex  $(X, \alpha) = (X^p, \alpha^p)_{p \in \mathbf{Z}}$  given by  $X^p = X_0^p \oplus X_1^p$  and  $\alpha^p = \alpha_0^p \oplus \alpha_1^p$  for all  $p \in \mathbf{Z}$ . It is easily seen that if both  $(X_0, \alpha_0)$  and  $(X_1, \alpha_1)$  are Fredholm then  $(X, \alpha)$  is Fredholm and ind  $(X, \alpha) = (X_0, \alpha_0) + (X_1, \alpha_1)$ . If  $(Y, \beta) = (Y^q, \beta^q)_{q \in \mathbf{Z}}$  is another Fredholm complex and both  $(X_0, \alpha_0) = (X_0, \alpha_0) + (X_0, \alpha_0)$  are Fredholm then we have

(2.6) ind  $((X_0 \oplus X_1) \overline{\otimes} Y, (\alpha_0 \oplus \alpha_1) \overline{\otimes} \beta) =$ 

=ind  $(X_{\circ} \otimes Y, \alpha_{\circ} \otimes \beta)$ +ind  $(X_{1} \otimes Y, \alpha_{1} \otimes \beta)$ ,

by the identification of the complex  $((X_0 \oplus X_1) \overline{\otimes} Y, (\alpha_0 \oplus \alpha_1) \overline{\otimes} \beta)$  with the complex  $((X_0 \overline{\otimes} Y) \oplus (X_1 \overline{\otimes} Y), (\alpha_0 \overline{\otimes} \beta) \oplus (\alpha_1 \overline{\otimes} \beta))$ .

2.10. Proof of Theorem. If  $(X,\alpha)=(X^p,\alpha^p)_{p\in \mathbf{Z}}$  is a Fredholm complex of Hilbert spaces, then we define  $X_0^p=N(\alpha^p)$   $\Theta$   $R(\alpha^{p-1})$ ,  $\alpha^p=0$ ,  $X_1^p=X^p$   $\Theta$   $X_0^p$  and  $\alpha^p=\alpha^p|X_1^p\cap D(\alpha^p)$ , for all  $p\in \mathbf{Z}$ . Then  $(X_0,\alpha_0)=(X_0^p,\alpha_0^p)_{p\in \mathbf{Z}}$  is a complex of finite dimensional Hilbert spaces of finite length with the property ind  $(X_0,\alpha_0)=\sum\limits_{p\in \mathbf{Z}}(-1)^p\mathrm{dim}\ X_0^p=\mathrm{ind}\ (X,\alpha)$  while  $(X_1,\alpha_1)=(X_1^p,\alpha_1^p)_{p\in \mathbf{Z}}$  is a Fredholm complex which is exact.

A similar decomposition can be obtained for another Fred-

holm complex  $(Y,\beta)=(Y^q,\beta^q)_{q\in \mathbf{Z}}$ , namely  $Y_0^q=N(\beta^q)\ominus R(\beta^{q-1})$ ,  $\beta_0^q=0$ ,  $Y_1^q=Y^q\ominus Y_0^q$  and  $\beta_1^q=\beta^q|Y_1^q\cap D(\beta^q)$ , for all  $q\in \mathbf{Z}$ . Then we have the identification

$$(X \overline{\otimes} Y, \alpha \overline{\otimes} \beta) =$$

$$= ((x_{o} \overline{\otimes} Y_{o}) \oplus (x_{o} \overline{\otimes} Y_{1}) \oplus (x_{1} \overline{\otimes} Y_{o}) \oplus (x_{1} \overline{\otimes} Y_{1}) ,$$

$$(\alpha_{0} \ \overline{\otimes} \ \beta_{0}) \ \oplus \ (\alpha_{0} \ \overline{\otimes} \ \beta_{1}) \ \oplus \ (\alpha_{1} \ \overline{\otimes} \ \beta_{0}) \ \oplus \ (\alpha_{1} \ \overline{\otimes} \ \beta_{1})) \ , \ \ \\$$

from which we derive the equality

ind 
$$(X \overline{\otimes} Y, \alpha \overline{\otimes} \beta) = ind (X_{\circ} \overline{\otimes} Y_{\circ}, \alpha_{\circ} \overline{\otimes} \beta_{\circ})$$
,

obtained from (2.6) and Lemma 2.9. As  $\alpha_0^p=0$ ,  $\beta_0^q=0$  for all indices, we have

ind 
$$(X_0 \otimes Y_0, \alpha_0 \otimes \beta_0) = \sum_{r \in \mathbb{Z}} (-1)^r \dim_{p+q=r} \oplus (X_0^p \otimes Y_0^q) =$$

$$= \sum_{r \in \mathbf{Z}} (-1)^r \sum_{p+q=r} (\dim X_o^p) (\dim Y_o^q) =$$

$$= (\sum_{\mathbf{p} \in \mathbf{Z}} (-1)^{\mathbf{p}} \dim X_{\mathbf{Q}}^{\mathbf{p}}) (\sum_{\mathbf{q} \in \mathbf{Z}} (-1)^{\mathbf{q}} \dim Y_{\mathbf{Q}}^{\mathbf{q}}) = (\operatorname{ind} (X, \alpha)) \cdot (\operatorname{ind} (Y, \beta)).$$

The equality

$$H^{\mathbf{r}}(X \otimes Y, \alpha \otimes \beta) = \bigoplus_{p+q=r} (H^{p}(X,\alpha) \otimes H^{q}(Y,\beta)), \quad r \in \mathbf{Z},$$

follows from the same argument.

We end this section with a result which is useful in some applications.

2.11. PROPOSITION. Let  $(X,\alpha)$  and  $(Y,\beta)$  be two complexes of Hilbert spaces. Then  $(X \overline{\otimes} Y)$ ,  $\alpha \overline{\otimes} \beta$  is Fredholm and exact iff either  $(X,\alpha)$  or  $(Y,\beta)$  is Fredholm and exact.

Proof. If  $(X,\alpha)$  or  $(Y,\beta)$  is exact then, by Lemma 2.9,

 $(X \overline{\otimes} Y, \alpha \overline{\otimes} \beta)$  is also exact.

Conversely, we shall use a procedure inspired from [1]. Assume that both  $A_{\alpha}=T_{\alpha}+T_{\alpha}^{*}$  and  $A_{\beta}=T_{\beta}+T_{\beta}^{*}$  are not invertible (we preserve the notations from Lemmas 2.6 and 2.7). Suppose that there exist sequences  $\{\xi_{k}\}_{k}^{\subset H_{\alpha}}$  and  $\{\eta_{k}\}_{k}^{\subset H_{\beta}}$  such that  $\|\xi_{k}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{\parallel}\|_{\infty}^{$ 

Note that  $(X \otimes Y, \alpha \otimes \beta)$  is zero iff either  $(X,\alpha)$  or  $(Y,\beta)$  is zero, which completes the proof.

- 3. SOME APPLICATIONS. In this section we give two applications of the previous results.
- 1) The first application is related to the spectral theory of commuting systems of linear transformation. We recall some definitions and notations from [11] (see also [9] for bounded operators).

Let  $\sigma=(\sigma_1,\ldots,\sigma_n)$  denote a system of n indeterminates and  $\Lambda$   $[\sigma]$  the exterior algebra over  $\mathbb C$  generated by  $\sigma_1,\ldots,\sigma_n$ . For any integer  $p,0\leq p\leq n$ ,  $\Lambda^p[\sigma]$  will be the space of all homogeneous exterior forms of degree p in  $\sigma_1,\ldots,\sigma_n$ . For an arbitrary Hilbert space X,  $\Lambda[\sigma,X]$  ( $\Lambda^p[\sigma,X]$ ) will denote the tensor product  $X\otimes \Lambda[\sigma](X\otimes \Lambda^p[\sigma])$ . If X and Y are two Hilbert spaces, there is a natural identification between  $\Lambda[\sigma,X]\otimes \Lambda[\varsigma,Y]$  and  $\Lambda[(\sigma,\varsigma),X\otimes Y]$ , where  $\varsigma=(\varsigma_1,\ldots,\varsigma_m)$  is another system of

indeterminates (see also [1]). We consider as well the operators  $\mathbf{s}_{\mathbf{j}}: \Lambda[\sigma] \to \Lambda[\sigma], S_{\mathbf{j}}^{\xi=\sigma}, \xi(\Lambda[\sigma], \omega)$  which satisfy the anticommutation relations

$$s_j s_k + s_k s_j = 0, \dots j, k=1, \dots, n$$

In the following defintions X will be a fixed Hilbert space.

- 3.1. Definition [11]. We say that  $a=(a_1,\ldots,a_n)\subset C(X)$  is a D-commuting system if there exists a dense subspace D of X in  $\bigcap_{j=1}^{n} D(a_j)$  with the properties:
- i) the restriction  $\hat{\delta}_a = (a_1 \otimes S_1 + ... + a_n \otimes S_n) | \Lambda[\sigma,D]$  is closable;
  - ii) if  $\delta_a$  is the canonical closure of  $\hat{\delta}_a$  then  $R(\delta_a) \subset N(\delta_a)$ .
- 3.2. <u>Definition</u> [11]. Suppose that  $a=(a_1,\ldots,a_n)\subset C(X)$  is a D-commuting system. Then a is called <u>singular</u> (<u>nonsingular</u>) if  $R(\delta_a)\neq N(\delta_a)$  ( $R(\delta_a)=N(\delta_a)$ ).

Notice that to each D-commuting system we can associate a complex of Hilbert spaces  $(\Lambda^p[\sigma,X], \delta_a^p)_{p=0}^n$ , where  $\delta_a^p = \delta_a |\Lambda^p[\sigma,X] \cap D(\delta_a)$ , hence  $a = (a_1, \ldots, a_n)$  is said to be <u>Fredholm</u> [11] if the corresponding complex is Fredholm (see also [2] for bounded operators).

The joint spectrum  $\sigma_D(a,X)$  of a D-commuting system  $a=(a_1,\ldots,a_n)\subset C(X)$  is the set of those points  $z\in \mathbb{C}^n$  such that z-a is singular [11].

If  $a=(a_1,\ldots,a_n)\subset C(X)$  is Fredholm then one can define its index [11] by the equality

ind<sub>D</sub> a=ind 
$$(\Lambda^p[\sigma,X], \delta_a^p)_{p=0}^n$$

Let Y be another fixed Hilbert space.

3.3. LEMMA. Let  $a=(a_1,\ldots,a_n)\subset C(X)$  be a  $D_a$ -commuting system and let  $b=(b_1,\ldots,b_m)\subset C(Y)$  be a  $D_b$ -commuting system. Then

 $\mathbf{a} \ \overline{\otimes} \ \mathbf{b} := (\mathbf{a}_1 \ \overline{\otimes} \ \mathbf{1}_{Y}, \dots, \mathbf{a}_n \ \overline{\otimes} \ \mathbf{1}_{Y}, \mathbf{1}_{X} \ \overline{\otimes} \ \mathbf{b}_{1}, \dots, \mathbf{1}_{X} \ \overline{\otimes} \ \mathbf{b}_{m}) \subset C(\mathbf{X} \ \overline{\otimes} \ \mathbf{Y})$   $\underline{\mathbf{is}} \ \mathbf{a} \ \mathbf{D}_{\mathbf{a}} \ \mathbf{\otimes} \ \mathbf{D}_{\mathbf{b}} \ - \ \underline{\mathbf{commuting}} \ \mathbf{system} \ \mathbf{and}$ 

$$\sigma_{D_a \otimes D_b}$$
 (a  $\overline{\otimes}$  b;  $X \overline{\otimes} Y$ )  $= \sigma_{D_a}$  (a, X)  $\times \sigma_{D_b}$  (b, Y).

<u>Proof.</u> If  $\sigma=(\sigma_1,\ldots,\sigma_n)$  is a system of indeterminates associated with  $a=(a_1,\ldots,a_n)$  then the pair  $\{\Lambda[\sigma,X],\delta_a\}$  is associated with the complex  $(\Lambda^p[\sigma,X],\delta_a^p)_{p=0}^n$  in the sense of Proposition 2.1. Similarly, if  $\zeta=(\zeta_1,\ldots,\zeta_m)$  is another system of indeterminates associated with  $b=(b_1,\ldots,b_m)$  then the pair  $\{\Lambda[\zeta,Y],\delta_b\}$  is connected with the complex  $(\Lambda^q[\zeta,Y],\delta_b^q)_{q=0}^m$  in the same way. Notice that there is a natural identification between  $\Lambda[\sigma,X]\ \overline{\otimes}\ \Lambda[\zeta,Y]$  and  $\Lambda[(\sigma,\zeta),X\ \overline{\otimes}\ Y]$  and we have, with this identification,

$$\hat{\delta}_{a} \otimes b^{\theta} = (\hat{\delta}_{a} \otimes 1_{\Lambda[\zeta,Y]})^{\theta+(\tau} \otimes \hat{\delta}_{b})^{\theta}$$

for all  $\theta(\Lambda[\sigma,D_a]\otimes\Lambda[\zeta,D_b]=\Lambda[(\sigma,\zeta),D_a\otimes D_b]$ , where  $\tau$  is given by (2.4) for  $\Lambda[\sigma,X]$  (see also [1]). The operator  $\delta_a\otimes 1_{\Lambda[\zeta,Y]}+1_{\Lambda}\otimes\delta_b$ , defined on  $D(\delta_a)\otimes D(\delta_b)$ , is closable by Lemma 2.7, therefore  $\delta_a\otimes b$  is closable. In fact, since  $\delta_a$  is the closure of  $\delta_a$  on  $\Lambda[\sigma,D_a]$  and  $\delta_b$  is the closure of  $\delta_b$  on  $\Lambda[\zeta,D_b]$ , one can easily check that the canonical closure  $\delta_a\otimes b$  of  $\delta_a\otimes b$  is equal to the canonical closure of  $\delta_a\otimes 1_{\Lambda[\zeta,Y]}+1_{\Lambda}\otimes\delta_b$ , hence,

by Lemma 2.7,  $R(\delta_a \otimes b)^{\subset N}(\delta_a \otimes b)$ , showing that  $a \otimes b$  is a  $D_a \otimes D_b$ -commuting system, the set  $D_a \otimes D_b \subset \bigcap_{j=1}^n D(a_j \otimes 1_y) \cap \bigcap_{k=1}^m D(1_x \otimes b_k)$  being obviously dense in  $X \otimes Y$ .

In order to prove the second statement, take

$$(z,w) \not\in \sigma_{D_a}(a,X) \times \sigma_{D_b}(b,Y)$$
.

We may assume without any loss of generality that z=0, w=0. If  $0 \notin \sigma_{D_a}$  (a,X), then by Proposition 2.1 and Lemma 2.9 we infer that a  $\overline{\otimes}$  b is nonsingular. The same thing happens when  $0 \notin \sigma_{D_b}$  (b,Y), therefore  $(0,0) \notin \sigma_{D_a} \otimes D_b$  (a  $\overline{\otimes}$  b, X  $\overline{\otimes}$  Y).

The next result will not be used in our further arguments. It is, however, of the same type as the other assertions stated in this context (see also [1] for bounded operators).

3.4. LEMMA. Let  $a=(a_1,\ldots,a_n)$   $\subset C(X)$  be a  $D_a$ -commuting system and define  $\widetilde{a}=(a_1 \ \overline{\otimes}\ 1_Y,\ldots,a_n \ \overline{\otimes}\ 1_Y)$   $\subset C(X \ \overline{\otimes}\ Y)$ . Then  $\widetilde{a}$  is a  $D_a \otimes Y$ -commuting system and, for  $Y \neq 0$ ,  $\widetilde{a}$  is nonsingular iff a is non-singular.

The hypothesis Y≠0 is used in order to obtain the nonsingularity of a from that of  $\tilde{a}$  , noting that  $(Y^q,0)_{q\geq 0}$  is not

exact when  $Y^{O}=Y\neq 0$ .

The next statement extends the main result from [1].

- 3.5. PROPOSITION. Let  $a=(a_1,\ldots,a_n)\subset C(X)$  be a  $D_a$  commuting system and let  $b=(b_1,\ldots,b_m)\subset C(Y)$  be a  $D_b$  commuting system. Then the following assertions hold true:
  - 1)  $\sigma_{D_a} \otimes D_b^{(a \otimes b, X \otimes Y) = \sigma_{D_a}^{(a,X)} \times \sigma_{D_b}^{(b,Y)}$
  - 2) If a and b are Fredholm then a  $\overline{\otimes}$  b is Fredholm and ind<sub>Da</sub>  $\otimes$  D<sub>b</sub> (a  $\overline{\otimes}$  b)=ind<sub>Da</sub> a . ind<sub>Db</sub> b

<u>Proof.</u> For the first statement we have only to prove that  ${}^{\sigma}D_a^{(a,X)} \times {}^{\sigma}D_b^{(b,Y)} \subset {}^{\sigma}D_a \otimes D_b^{(a \ \overline{\otimes}\ b,\ X \ \overline{\otimes}\ Y)}$  the opposite inclusion following from Lemma 3.3.

Indeed, if  $0 \not\in \sigma_{D_a} \otimes D_b$  (a  $\otimes$  b, X  $\otimes$  Y), then by Proposition 2.11 we have either  $0 \not\in \sigma_{D_a}$  (a,X) or  $0 \not\in \sigma_{D_b}$  (b,Y) hence  $0 \not\in \sigma_{D_a}$  (a,X)  $\times \sigma_{D_b}$  (b,Y).

The second assertion follows from our Theorem, via the identification described in the proof of Lemma 3.3.

3.6. PROPOSITION. Let  $X_1, \ldots, X_n$  be Hilbert spaces and let  $a_j(C(X_j), j=1,\ldots,n)$ , be densely defined operators. If  $X=X_1 \ \overline{\otimes} \ \ldots \ \overline{\otimes} \ X_n$  and  $\widetilde{a}_j(C(X))$  is the canonical closure of the operator

$$\mathbf{1}_{1}\otimes\mathbf{1}_{2}\otimes\ldots\otimes\mathbf{1}_{j-1}\otimes\mathbf{a}_{j}\otimes\mathbf{1}_{j+1}\otimes\ldots\otimes\mathbf{1}_{n}$$

then  $\tilde{a}=(\tilde{a}_1,\ldots,\tilde{a}_n)$  is a D-commuting system, where D=D( $a_1$ )  $\otimes \ldots \otimes$  D( $a_n$ ), and

$$\sigma_{D}(\tilde{a}, X) = \sigma_{D(a_1)}(a_1, X_1) \times \dots \times \sigma_{D(a_n)}(a_n, X_n)$$

Proof. Both statements are obtained by means of an inductive argument. Indeed, for n=2 this is a special case of Proposition 3.5.

Assume now that the statements hold true for any n-1 operators, n≥3. Then if we denote by b; the canonical closure of the operator  $l_1 \otimes \ldots \otimes a_j \otimes \ldots \otimes l_{n-1} (j=1,\ldots,n-1)$ , we obtain that  $\widetilde{a}=(b_1 \ \overline{\otimes} \ l_n,\ldots,b_{n-1} \ \overline{\otimes} \ l_n,\ \widetilde{l}_{n-1} \ \overline{\otimes} \ a_n)$ , where  $\widetilde{l}_{n-1}$  stands for  $l_1 \ \overline{\otimes} \ldots \ \overline{\otimes} \ l_{n-1}$ . If  $b=(b_1,\ldots,b_{n-1})$ ,  $D(b)=D(a_1) \otimes \ldots \otimes D(a_{n-1})$  and  $\widetilde{X}_{n-1}=X_1 \ \overline{\otimes} \ldots \ \overline{\otimes} \ X_{n-1}$  then we obtain by Proposition 3.5 and by the induction hypothesis that

$$\sigma_{D(b)} \otimes_{D(a_n)} (b \otimes a_n, X) = \sigma_{D(b)} (b, \widetilde{X}_{n-1}) \times \sigma_{D(a_n)} (a_n, X_n) =$$

$$= \sigma_{D(a_1)} (a_1, X_1) \times \dots \times \sigma_{D(a_{n-1})} (a_{n-1}, X_{n-1}) \times \sigma_{D(a_n)} (a_n, X_n) .$$

2) The second application is related to the behaviour of the  $\bar{\partial}$ -operator in strongly pseudoconvex domains. Namely, we prove a result concerning the cohomology of the Cauchy-Riemann complex of H-valued square integrable exterior forms on such a domain, H being an arbitrary Hilbert space, possessing information about the scalar-valued exterior forms.

Let  $\Omega \subset \mathbb{C}^n$  be a strongly pseudoconvex domain. We denote by  $\Lambda^p[\Omega]$  the Hilbert space of all (0,p) exterior forms on  $\Omega$ , which are square integrable. Let  $\overline{\delta}^p$  be the restriction of the  $\overline{\delta}$ -operator on  $\Lambda^p[\Omega]$ . When  $\Omega$  is an arbitrary strongly pseudoconvex manifold, it is known that

(3.1) 
$$\dim N(\bar{\mathfrak{d}}^p)/R(\bar{\mathfrak{d}}^{p-1})<\infty, p\geq 1.$$

have actually  $R(\bar{\mathfrak{d}}^{p-1})=N(\bar{\mathfrak{d}}^p)$ ,  $p\geq 1$ , via the Grauert theorem about holomorphic convexity of strongly pseudoconvex manifolds and Theorem B of Cartan (see [4] for some details). With our terminology, the Cauchy - Riemann complex  $(\Lambda^p[\mathfrak{Q}], \bar{\mathfrak{d}}^p)_{p=0}^n$  is semi-Fredholm [11].

Take now an arbitrary Hilbert space H. One can consider again the space  $\Lambda^p[\Omega,H]$  of all H-valued (0,p) exterior forms on  $\Omega$ , which are square integrable. In this context, the  $\overline{\eth}$ -operator, denoted by  $\overline{\eth}_H$ , can be constructed in an independent way. Let  $\overline{\eth}_H^p$  be the restriction of  $\overline{\eth}_H$  on  $\Lambda^p[\Omega,H]$ . One can see that  $\Lambda^p[\Omega,H]=\Lambda^p[\Omega] \ \overline{\boxtimes} \ H$  and  $\overline{\eth}_H^p=\overline{\eth}^p \ \overline{\boxtimes} \ 1_H$ , where  $1_H$  is the identity on H (see [10] for details concerning the  $\overline{\eth}$ -operator in Hilbert spaces). We shall prove the following:

3.7. PROPOSITION. If  $\Omega \subset \mathbb{C}^n$  is a strongly pseudoconvex domain then  $(\Lambda^p[\Omega,H],\bar{\mathfrak{d}}_H^p)_{p=0}^n$  is a semi - Fredholm complex of Hilbert spaces with the property

$$R(\overline{\partial}_{H}^{p-1}) = N(\overline{\partial}_{H}^{p}), p \ge 1$$
.

<u>Proof.</u> Let us define  $X^0=\Lambda^0[\Omega] \ominus N(\overline{\mathfrak{d}}^0)$ ,  $X^{\overset{\circ}{p}}=\Lambda^{\overset{\circ}{p}}[\Omega]$   $p\geq 1$ ,  $\alpha^0=\overline{\mathfrak{d}}^0|X^0$  and  $\alpha^p=\overline{\mathfrak{d}}^p$ ,  $p\geq 1$ . Then  $(X,\alpha)=(X^p,\alpha^p)^n_{p=0}$  is a complex of Hilbert spaces which is Fredholm and exact at each stage. Consider then the complex  $(H,0)=(H^p,0)_{p\geq 0}$ , where  $H^0=H$  and  $H^p=0$  for  $p\geq 0$ . By Lemma 2.9, the tensor product  $(X \ \overline{\otimes} \ H, \ \alpha \ \overline{\otimes} \ 0)$  must be Fredholm and exact. It is easy to check the equality

$$(X \overline{\bigotimes} H, \alpha \overline{\bigotimes} 0) = (X^p \overline{\bigotimes} H, \overline{\delta}^p \overline{\bigotimes} 1_H)_{p=0}^n$$

As  $N(\overline{\partial}_{H}^{O}) = N(\overline{\partial}^{O}) \otimes H$  by Lemma 2.4 (which applies since  $R(\overline{\partial}^{O})$  is

closed by (3.1)), we conclude that  $(\Lambda^p[\Omega,H],\overline{\mathfrak{d}}_H^p)_{p=0}^n$  is semi--Fredholm and  $R(\overline{\mathfrak{d}}_H^{p-1})=N(\overline{\mathfrak{d}}_H^p)$  for  $p\geq 1$ .

Let us remark that Proposition 3.7 improves the statement of [10, Theorem 2.7].

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