

INSTITUTUL
DE
MATEMATICA

INSTITUTUL NATIONAL
PENTRU CREATIE
STIINTIFICA SI TEHNICA

ISSN 0250 3638

ON A REPRESENTATION THEOREM OF ARENS AND
KAPLANSKY

by

Florian POP

PREPRINT SERIES IN MATHEMATICS

Nr.49/1981

led 17664

BUCURESTI

ON A REPRESENTATION THEOREM OF ARENS AND
KAPLANSKY

by
Florian POP^{*)}

June 1981

^{*)} Department of Mathematics, National Institute for Scientific
and Technical Creation, Bd. Păcii 220, 79622 Bucharest, Romania

ON A REPRESENTATION THEOREM OF ARENS AND
KAPLANSKY

by

Florian POP

The aim of this work is to give a positive answer to an old question of Arens and Kaplansky concerning the structure of commutative algebraic regular algebras [1, pag.467].

It is expected that similar improved techniques would work in the noncommutative case too, or at least in some particular cases.

I have to express my gratitude to my colleagues for the useful discussions we had together and for the observations concerning the problem.

The problem is:

THEOREM 1.

Let A be a regular von Neumann commutative k -algebra with algebraic over k fibres, k -isomorphic to K .

Then $A \cong C(\text{Spec } A, K)$.

We prove only that A is a K -algebra, and applying Theorem 2.3[1] we are through.

NOTATIONS:

i) $\text{Spec } A$ is the structure space of A and its points will be denoted by small letters p, q , eventually indexed.

ii) For X, Y topological spaces $C(X, Y)$ is the space of continuous mappings from X to Y . If no topology is specified on

an amorph set it will be considered with discrete topology.

iii) $\mathcal{V}_{oc}(p)$ is the set of all closed and open neighbourhoods of p .

iv) The canonical mappings $A \rightarrow A/\mathfrak{p} \rightarrow K$ will be denoted by φ_p .

v) For $p \in \text{Spec } A$, $\forall e_v \in \mathcal{V}_{oc}(p)$ e_v is the unique idempotent of A with the property:

$$e_v = 1(q) \text{ if and only if } q \in V$$

vi) The big letters $L \dots$ and $B \dots$ (eventually $B^{(p)} \dots$) denote subfields of K and respectively subalgebras of A , closely related (see the text).

vii) Other symbols and notations introduced are explained in the text.

a) Some preliminary observations

Proposition 1) For any B , a k -subalgebra of A , finite over k , and any $p \in \text{Spec } A$, there exists $e_v \in \mathcal{V}_{oc}(p)$ so as to be $e_v B$ a field with unit element e_v and $\sigma_{p,q}^{e_v B} = \varphi_p / \varphi_q|_{e_v B}^{-1}: L \rightarrow K$ are k -embeddings of L in K for any $q \in V$.

Proposition 2). Conversely:

Let $L \subseteq K$ be finite over k and $p \in \text{Spec } A$. There exists $B^{(p)}$ a k -subalgebra of A , finite over k thus $L = \varphi_p(B^{(p)})$, and this preimage of L in A is, in some sense, unique: if B' is another finite over k preimage of L in A at p , there exists $e_v \in \mathcal{V}_{oc}(p)$ so as to have $e_v B' = e_v B$.

Proposition 3) Let $L_1 \subseteq L_2 \subseteq K$ be finite extensions of k contained in K , B_i preimages, as above, of L_i at p ; $i=1,2$.

Then there exists $v \in \mathcal{V}_{oc}(p)$ for which $B_1 e_v \subseteq B_2 e_v$.

The proofs of these observations consist in simple verifications and we omit them.

Proposition 4). Let $L \subseteq K$ be finite over k , $p \in \text{Spec } A$, B be the preimage of L in discussion above, $v \in \mathcal{V}_{oc}(p)$ constructed at 1).

For any $w \in \mathcal{V}$, $w \in \mathcal{V}_{oc}(p)$ denote by $G_{w,B,p}$ the set of all imbeddings $\sigma_{p,q}^B$, q running over V .

Then there exists $w_0 = w(B,p) \in \mathcal{V}_{oc}(p)$ for which $G_{w_0,B,p} = \bigcap_w G_{w,B,p}$ and so $G_{L,p} = \bigcap_w G_{w,B,p}$ depends not on B but on L .

Proof. Taking into account the unicity a preimage B of L in the sense of Proposition 2) and of the finiteness of the sets $G_{w,B,p}$, the fact above is a consequence of that that $(G_{w,B,p})_w$ is a decreasing inductive system of finite sets.

Proposition 5). Let $L_1 \subseteq L_2 \subseteq K$ be finite subfields of K over k , and $p \in \text{Spec } A$. Then $G_{L_1,p} = G_{L_2,p}|_{L_1}$.

Proof. Indeed for B_1 preimage of L_1 at p , let $w \in \mathcal{V}_{oc}(p)$ be chosen in such a way that: $G_{L_1,p} = G_{w,B_1,p}$ and $B_1 e_w \subseteq B_2 e_w$. It follows that any $\sigma_{p,q}^{B_1}$ is a restriction of a $\sigma_{p,q}^{B_2}$ for any $q \in w$.

The following lemma is fundamental:

Lemma: Let $L \subseteq K$, L finite over k , $p \in \text{Spec } A$.

Then $G_{L,p} \subseteq \text{Aut}(K/k)|_L$

Proof: Let $(G_{L_i,p}, \psi_{ij})$ be the projective system, build up by taking ψ_{ij} to be the restrictions from $G_{L_i,p}$ to $G_{L_j,p}$ for $L_i \supseteq L_j$, $(L_i)_i$ being the family of subfields of K which are finite over k .

Applying 5) it follows that ψ_{ij} are surjective, thus by a wellknown result of Ore,

$G = \varprojlim G_{L_i, p} \neq \emptyset$, and the canonic projections $\psi_i: G \rightarrow G_{L_i, p}$ are surjectiv.

b) Galois-Krull nonnormal theory

Let $k \hookrightarrow K$ be an algebraic extension of k , $G = \text{Aut}(K/k)$.

For any $L \in K$, finite over k , consider the sets:

$$G^L = \{\sigma \in G \mid \sigma|_L = \text{id}_L\} \text{ and } G_L = G|_L$$

For $L_i \supseteq L_j$ canonic restriction $\psi_{ij}: G_{L_i} \rightarrow G_{L_j}$ is surjectiv and one obtains a surjectiv projectiv system of finite sets (G_{L_i}, ψ_{ij}) .

THEOREM 2.

$G = \varprojlim G_{L_i}$, and the topology induced by $\prod_i G_{L_i}$ on G makes it into a topological, compact total disconnected group. A fundamental system of neighbourhoods of $e = \text{id}_K$ is $\{G_{L_i}^{L_i}\}_{L_i}$ finite over k .

Proof is standard: the $\varprojlim G_{L_i}$ is open in $\prod_i G_{L_i}$ so $\varprojlim G_{L_i}$ is closed in the compact topological space $\prod_i G_{L_i}$, hence compact. Much more, for $(\sigma_i) \in \varprojlim G_{L_i}$ a fundamental system of neighbourhoods of (σ_i) is $\{V_F\}_F$ with $V_F = \prod_{L_i \in F} G_{L_i} \times \{\sigma_i\} \cap \varprojlim G_{L_i}$, F being an arbitrar finite subset of the set of all finite over k subfields of K .

If is easy to verify that $V_F = (\sigma_i) G_{L_F}^{L_F}$ with L_F the compositum of L_i ; $L_i \in F$; over k which is finite over k because F is finite and every L_i is finite over k .

This implies that a fundamental system of neighbourhoods for e is $\{G_{L_i}^{L_i}\}_i$.

For the proof of the group topology one observes that for

any $L_i \subseteq K$ the set of all elements of K which are conjugated to elements in L_i generates a field NL_i , finite over k and invariant for any $\sigma \in \text{Aut}(K/k)$ i.e. $\sigma(NL_i) = NL_i$.

Thus G^{L_i} is normal in G and the set $\{G^{NL_i}\}_{L_i}$ is a fundamental system of neighbourhoods of e . The proof is reduced now to simple verifications.

The total disconnection of G is a consequence of that of $\prod_i G_{L_i}$ and so the proof of Theorem 2 is done.

Remark: The fundamental system of neighbourhoods involved above has only open-closed sets as members.

Let us introduce now some new objects:

For $G = \text{Aut}(K/k)$ and $\text{Spec}(A)$, and $L \subseteq K$ (not necessary finite over k) construct the following groups:

$$G = \prod_{p \in \text{Spec } A} G_p$$

$$G^L = \prod_p G_p^L$$

Denote by (σ) the elements in G and observe that $(\sigma)G^L$ is compact for any $(\sigma) \in G$, and $L \subseteq K$ (not necessary finite over k). Indeed: for L finite over k the assertion is obvious, and for L infinite let $L = \bigcup_i L_i$, L_i finite over k . Then $G^L = \bigcap_{L_i \subseteq L} G^{L_i}$, so G^L is compact in G , because G^{L_i} are compact, etc.

c) The proof of the theorem 1:

Let $B \subseteq A$ be a k -subalgebra of A , which is a field with unit element 1_A .

Then, for any $p, q \in \text{Spec } A$, $\sigma_{p,q}^B = \varphi_q \varphi_p^{-1}|_B$ is a k -imbedding of $L = \varphi_p(B)$ in K .

Let us fix a point $p \in \text{Spec } A$, and construct the family:

$$\mathcal{A}_p = \left\{ (B, (\sigma) \mathbb{G}^L) \mid \begin{array}{l} B \text{ and } L \text{ as above} \\ (\sigma) \mid_L = (\sigma)_{p,q}^B \end{array} \right\}$$

We proceed now to an ordering of F_p :

$$(B', (\sigma') \mathbb{G}^{L'}) < (B'', (\sigma'') \mathbb{G}^{L''}) \text{ if and only if } B' \subseteq B''.$$

Observe that from the definition it follows that:

- i) $(k, \mathbb{G}) \in \mathcal{A}_p$ thus $\mathcal{A}_p \neq \emptyset$
- ii) $(B, (\sigma) \mathbb{G}^L) \in \mathcal{A}_p$ implies $(\sigma) \mathbb{G}^L \neq \emptyset$
- iii) $(B', (\sigma') \mathbb{G}^{L'}) < (B'', (\sigma'') \mathbb{G}^{L''})$ implies $(\sigma') \mathbb{G}^{L'} \supseteq (\sigma'') \mathbb{G}^{L''}$.

We prove now that any completely ordered subfamily $(B_i, (\sigma)_i \mathbb{G}^{L_i})_i$ of \mathcal{A}_p has a majorant:

jj) $B = \bigcup_i B_i \subseteq A$ is a k -subalgebra of A , which is a field with a unit element 1_A .

jjj) $L = \bigcup_i L_i$ is in fact $\bigcup_i \varphi_p(B_i) = \varphi_p(\bigcup_i B_i) = \varphi_p(B)$.

jjj) Let $\Sigma = \bigcap_i (\sigma)_i \mathbb{G}^{L_i}$ then $\Sigma \neq \emptyset$ because

$(\sigma)_i \mathbb{G}^{L_i}$ is a decreasing system of compact non-empty sets (see ii) above and final observations from b)).

Any $(\sigma) \in \Sigma$ has the property $(\sigma) \mid_i = (\sigma)_i \mid_i$ for any i . We may conclude that $(B, (\sigma) \mathbb{G}^L) \in \mathcal{A}_p$ and it majorates any $(B_i, (\sigma)_i \mathbb{G}^{L_i})$.

Therefore, there exist maximal elements in \mathcal{A}_p . Let $(B, (\sigma) \mathbb{G}^L)$ be such an element. We prove that A is in a natural way an L -algebra, and $L=K$.

The proof of the first assertion:

Consider the modified canonic morphism $(\chi_q)_q = (\sigma)^{-1} (\varphi_q)_q$. Then $\chi_p = \varphi_p$ and $\chi_q \chi_p^{-1} \mid_B = \text{id}_L$:

$$\chi_q \chi_p^{-1} (x) = \sigma_q^{-1} \varphi_q \varphi_p^{-1} (x) = \sigma_q^{-1} \sigma_q^B (x) = x \text{ by definition of } (\sigma) \mathbb{G}^L.$$

Let us prove now that $L=K$.

From now on we are working viewing A as L -algebra by modified canonic morphisms $(\chi_q)_q$.

We prove that the presumption $K \setminus L \neq \emptyset$ leads to a contradiction about the maximality of

$$(B, (\sigma)G^L)$$

Indeed:

let $x \in K \setminus L$, thus $L_1 = L(x)$ is a finite extension of L , contained in K . For any $q \in \text{Spec } A$ let $a_q \in A$ be a local representative for x at q , so $B_1^{(q)} = L(a_q)$ is a preimage for L_1 at q , finite over L .

In accordance with 1), 2), 3), 4), 5) from a) there exists $W_q \in \mathcal{U}_{oc}(q)$ for any $q \in \text{Spec } A$, so that

$B^{(q)}_{W_q}$ is a field with unit element e_{W_q} and

$$G_{L_1, q} = G_{W, B^{(q)}_{W_q, q}}$$

Because of the compactness of $\text{Spec } A$ there exists a finite set of points $\{q_0=p, q_1, \dots, q_n\}$ so as to have $\bigcup_i W_{q_i} = \text{Spec } A$.

It may be presumed that $W_{q_i} \neq \emptyset$ and disjoint, hence

$$e_{W_{q_i}} \cdot e_{W_{q_j}} = \delta_{ij} e_{W_{q_i}}$$

The preimage of L_1 we are looking for, is

$$B_1 = B[a] \text{ where } a = \sum_{i=0}^n e_{W_{q_i}} \cdot a_{q_i}$$

$$\text{Indeed: } L_1 \simeq L[X]/(\text{Irr}(x, L)) \simeq B[X]/(\text{Irr}(a, B)) \simeq$$

$$\simeq B[a] = B_1, \text{ so } B_1 \text{ is a field and}$$

obviously a L -subalgebra of A (thus k -subalgebra) and $\chi_p(B_1) = L_1$.

Let us prove now that $\sigma_{p,q}^{B_1} = \chi_q \chi_{p|B_1}^{-1}$ can be prolonged to L -automorphisms of K :

$$\sigma_{p,q}^{B_1} = \chi_q \chi_{p|B_1}^{-1} = \chi_q \chi_{q_i|B_1}^{-1} \chi_{q_i|B_1}^{(q_i)} \circ \chi_{q_i|B_1}^{(q_i)} \chi_{p|B_1}^{-1} = \chi_q \chi_{q_i|B_1}^{-1} \chi_{p|B_1}^{(q_i)}$$

where q_i is the point for which $q \in W_{q_i}$ and the assertion follows from the choice of W_{p_i} and Lemma from a).

Let $(\tau) = (\tau_q)_q$ be the family of L -automorphisms which prolongue $\chi_q \chi_{p|B_1}^{-1}$ to L -automorphisms of K . It is a matter of simple verifications that

$$(B_1(\sigma)(\tau) \in L_1) \in \mathcal{A}_p \text{ and the contradiction is achieved.}$$

Indeed:

$$\begin{aligned} & (\sigma)(\tau)|_{B_1} = \\ & = (\sigma)(\chi_q \chi_{p|B_1}^{-1})_q = (\sigma)(\sigma)^{-1} (\varphi_q \varphi_{p|B_1}^{-1})_q = (\varphi_q \varphi_{p|B_1}^{-1})_q = (\sigma_{p,q}^{B_1})_q. \end{aligned}$$

References:

- 1 R.Arens and J.Kaplansky - Topological Representations of Algebras , T.A.M. Soc.63(1948), 457, 481.
- 2 N.Popescu and C.Vraciu - Sur la Structure des Anneaux Absolument Plats Commutatifs. Journal of Algebra, vol. 40, No.2, June 1976.