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SOME PROPERTIES OF CR STRUCTURES

by

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by
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SOME PROPERTIES OF CR STRUCTURES

I TUBULAR NEIGHBORHOODS FOR CR MANIFOLDS

1. INTRODUCTION

In [3], F. Reese Harvey and R.O. Wells Jr. prove that a totally real submanifold of a complex manifold has a fundamental neighborhood system consisting of open Stein manifolds. This represents a generalization of Grauert's theorem about the complexification of a real-analytic manifold [2].

In [1] A. Andreotti and G. Fredericks prove that real analytic CR manifolds are globally isomorphic to a generic submanifold of a complex manifold (the complexification of a real analytic CR manifold). They also prove that a compact real analytic CR manifold of type $(m,1)$ has in its complexification a fundamental neighborhood system consisting of 1-complete manifolds and this result is conjectured for a non-compact manifold too.

The purpose of this paper is to give an easy generalization of the result of Harvey and Wells to CR manifolds and to obtain as a corollary the conjecture of Andreotti and Fredericks. P. Flondor and Al. Tancredi have also announced a proof of the above conjecture [5].

2. Preliminaries

Let M be a smooth locally closed m -dimensional real submanifold of a complex manifold X (all manifolds are assumed to be paracompact). For a point $p \in M$ we denote by $T_p(X)$ the tangent space of M at p . Let $TC_p(M)$ be the maximal complex subspace of the real space $T_p(M)$ with the complex structure induced from $T_p(X)$. If $\dim_{\mathbb{C}} TC_p(M) = l = \text{constant}$ for each $p \in M$ we say that M is a CR manifold of type (m, l) .

A smooth function φ of an n -dimensional complex manifold X is q -pseudoconvex if its complex Hessian has at least $n-q$ positive eigenvalues and it is strictly q -pseudoconvex if its complex Hessian has at least $n-q$ strictly positive eigenvalues.

An n -dimensional complex manifold X is 1-complete if there exists a smooth function $\varphi: X \rightarrow \mathbb{R}$ strictly 1-pseudoconvex such that for every $c \in \mathbb{R}$, $\{z \in X \mid \varphi(z) < c\}$

is relatively compact in X .

3. Existence of strictly 1- pseudoconvex function defining M .

Lemma 1 Let M be a CR submanifold of type $(m, 1)$ of an open set $D \subset \mathbb{C}^n$, $M = \{z \in D \mid \rho_1(z) = \dots = \rho_{2n-m}(z) = 0\}$ where $\rho_1, \dots, \rho_{2n-m}$ are real valued smooth functions on D such that $d\rho_1 \wedge \dots \wedge d\rho_{2n-m} \neq 0$.

There exists a positive function $\varphi \in C^\infty(D)$ such that

- a) $M = \{z \in D \mid \varphi(z) = 0\}$.
- b) φ is plurisubharmonic on M (0 - pseudoconvex).
- c) φ is strictly 1 - pseudoconvex in a neighborhood of M .
- d) all first derivatives of φ are vanishing on M .

PROOF.

Let define $\varphi = \sum_{k=1}^{2n-m} \rho_k^2$ and then a) and d) are verified,

$$\text{We have } \frac{\partial^2 \varphi}{\partial z_i \partial \bar{z}_j} = 2 \left(\sum_{k=1}^{2n-m} \frac{\partial \rho_k}{\partial \bar{z}_j} \cdot \frac{\partial \rho_k}{\partial z_i} + \sum_{k=1}^{2n-m} \rho_k \frac{\partial^2 \rho_k}{\partial z_i \partial \bar{z}_j} \right)$$

$$\text{For } p \in M \text{ we obtain } \sum_{i,j=1}^n \frac{\partial^2 \varphi}{\partial z_i \partial \bar{z}_j}(p) z_i \bar{z}_j = 2 \sum_{k=1}^{2n-m} \left| \sum_{i=1}^n \frac{\partial \rho_k}{\partial z_i}(p) z_i \right|^2 \geq 0$$

That means that φ is plurisubharmonic on M

Because M is a CR manifold of type $(m, 1)$

$$\text{rk } \frac{\partial(\rho_1, \dots, \rho_{2n-m})}{\partial(z_1, \dots, z_m)}(p) = n-1 \text{ for each } p \in M \quad [1]$$

It follows that the complex Hessian of φ has $n-1$ strictly positive eigenvalues on M .

Hence in a neighborhood of M , φ is strictly 1-pseudoconvex.

REMARK . If M is a CR submanifold of type $(m, 1)$ of an open set $D \subset \mathbb{C}^n$ and of differentiability class C^1 we know that there exists a positive function $\varphi \in C^2(D)$ which has for $p \in M$ the same first and second derivatives at p as $d(z, T_p(M))^2$

We denote $s = m - 2l$, $r = 1 - (m-n)$

By making a complex linear change of coordinates in $\mathbb{C}^n$ we may suppose that $p=0$ and

$$T_0(M) = \{z | y_1 = 0, \dots, y_s = 0, z_{s+1} = 0, \dots, z_{s+r} = 0\} \text{ where } z_j = x_j + iy_j \quad [4]$$

Hence $d(z, T_0(M))^2 = \sum_{i=1}^s y_i^2 + \sum_{i=s+1}^{s+r} |z_i|^2$ and the complex Hessian of $d(z, T_0(M))^2$ has $s+r = m - 2 \cdot 1 + 1 = (m-n) = n-1$ strictly positive eigenvalues and 1 eigenvalue vanishing on M .

The point p being arbitrary on M and because φ has the same first and second derivatives on M as $d(z, T_p(M))^2$ we obtain for φ the conclusions of lemma 1.

THEOREM 1. Let M be a CR submanifold of type $(m, 1)$ of an n -dimensional complex manifold X . Then there exists a smooth positive function φ on X , plurisubharmonic on M , strictly 1-pseudoconvex in a neighborhood of M such that $M = \{z \in X | \varphi(z) = 0\}$.

Proof

Let $\{U_i\}_{i \in I}$ denote an open locally finite covering of X by coordinate charts and let $\{\psi_i\}_{i \in I}$ denote a partition of unity subordinate to $\{U_i\}_{i \in I}$.

By lemma 1 for U_i , there exists $\varphi_i \in C^\infty(U_i)$, plurisubharmonic on $M \cap U_i$, strictly 1-pseudoconvex on a neighborhood of $M \cap U_i$, with all first derivatives vanishing on $M \cap U_i$, such that $M \cap U_i = \{z \in U_i | \varphi_i(z) = 0\}$.

Define $\varphi = \sum_{i \in I} \psi_i \varphi_i$.

Because $\psi_i \geq 0$, $\varphi_i \geq 0$, we have $\varphi(z) = 0$ iff $\varphi_i(z) = 0$ for $z \in \text{supp } \psi_i \subset U_i$. Hence $\varphi(z) = 0$ iff $z \in M$.

If $p \in M$ we have $\frac{\partial^2 \varphi}{\partial z_j \partial \bar{z}_k}(p) = \sum_{i \in I} \psi_i(p) \frac{\partial^2 \varphi_i}{\partial z_j \partial \bar{z}_k}(p)$.

It is enough to show that on M , φ has the required properties since, by continuity, there exists a neighborhood of M where all strictly positive eigenvalues on M remain strictly positive.

Now a successive application of the following lemma gives the result.

Lemma 2. If A and B are Hermitian matrixes with all the eigenvalues positive A having at least q strictly positive eigenvalues, then $A+B$ has at least q strictly positive eigenvalues.

Proof of the lemma

Let denote $E = \{z \in \mathbb{C}^n | \langle Az, z \rangle = 0\}$ and $F = \{z \in \mathbb{C}^n | \langle (A+B)z, z \rangle = 0\}$ where $\langle \cdot, \cdot \rangle$ is the inner product on $\mathbb{C}^n$.

Because A has at least q strictly positive eigenvalues $\dim_C E \leq n-q$. Let r be the number of strictly positive eigenvalues of $A+B$ that is $\dim_C F = n-r$

Since $F \subset E$ we obtain $n-r \leq n-q$ i.e. $r \geq q$.

4. Existence of tubular neighborhoods

Theorem 2. Let φ be a smooth nonnegative function strictly 1-pseudoconvex on a complex manifold X and let $M = \{z \in X \mid \varphi(z) = 0\}$

There exists a fundamental neighborhood system of M consisting of 1 - complete open subsets of X .

Proof.

If ε is a smooth function on X with sufficiently small second order derivatives then $\varphi - \varepsilon$ is strictly 1-pseudoconvex on X .

Let $\mathcal{E}$ denote the set of smooth nonnegative functions ε on X such that

a) $\varphi - \varepsilon$ is strictly 1-pseudoconvex

b) ε vanishes at infinity i.e. for any $\eta > 0$ there

exists a compact set $K \subset M$ such that $\varepsilon(z) < \eta$ for $z \in X - K$.

We consider $\{U_\varepsilon\}_{\varepsilon \in \mathcal{E}}$ where $U_\varepsilon = \{z \in X \mid \varphi(z) < \varepsilon(z)\}$ and we shall prove that $\{U_\varepsilon\}_{\varepsilon \in \mathcal{E}}$ is a fundamental neighborhood system of M .

Let V be an arbitrary neighborhood of M .

By taking an exhaustion of X with compact sets K_i , $K_i \subset \Omega_i \subset K_{i+1}$, where Ω_i are open subsets of X , we may consider a partition of unity $\{\psi_i\}_{i \in I}$ subordinate to the open locally finite covering of X , $\{U_i\}_{i \in I}$

where $U_i = \Omega_i - \overline{\Omega_{i-1}}$. Then we can choose successively positive constants a_i small enough such that $\varphi - \varepsilon$ is strictly 1 - pseudoconvex. If $\{a_i\}$ is summable for any $\eta > 0$ there exists a finite set $F \subset I$ such that $\sum_{i \in F} a_i < \eta$ and if K is the compact set $\bigcup_{i \in F} \text{supp } \psi_i$, we have $\varepsilon(z) < \eta$ for $z \in X - K$. Thus supposing that a_i are positive constants small enough, $\varepsilon = \sum_{i \in I} a_i \psi_i$ belongs to $\mathcal{E}$.

Moreover because for each compact set $K \subset X - V$ there exist $c > 0$ such that $\varphi(z) \geq c$ for $z \in K$, it follows that for $a_i > 0$ sufficiently small $\varepsilon(z) \leq \varphi(z)$ on $X \cup V$. Therefore $U_\varepsilon = \{z \in X \mid \varphi(z) < \varepsilon(z)\} \subset V$.

We shall prove now that each U_ε is 1 - complete

The function $(\varphi - \varepsilon)^{-1}$ is a positive strictly 1 - pseudoconvex function on U_ε .

$$\text{Indeed } \frac{\partial^2(\varepsilon-\varphi)^{-1}}{\partial z_i \partial \bar{z}_j} = 2(\varepsilon-\varphi)^{-3} \frac{\partial}{\partial \bar{z}_j} (\varepsilon-\varphi) \frac{\partial}{\partial z_i} (\varepsilon-\varphi) - (\varepsilon-\varphi)^{-2} \frac{\partial^2}{\partial z_i \partial \bar{z}_j} (\varepsilon-\varphi)$$

$$\text{and } \sum_{i,j} \frac{\partial^2(\varepsilon-\varphi)^{-1}}{\partial z_i \partial \bar{z}_j} z_i \bar{z}_j = (\varepsilon-\varphi)^{-2} \sum_{i,j} \frac{\partial^2 \varphi}{\partial z_i \partial \bar{z}_j} (\varphi-\varepsilon) z_i \bar{z}_j + 2(\varepsilon-\varphi)^{-3} \left| \sum \frac{\partial}{\partial z_i} (\varepsilon-\varphi) z_i \right|^2$$

Because $\varphi-\varepsilon$ is strictly 1 - pseudoconvex and

$2(\varepsilon-\varphi)^{-3} \left| \sum \frac{\partial}{\partial z_i} (\varepsilon-\varphi) z_i \right|^2 \geq 0$ from lemma 2 it follows that $(\varepsilon-\varphi)^{-1}$ is strictly pseudoconvex.

If $\varepsilon > 0$ we have $\{z \in U_\varepsilon \mid (\varepsilon-\varphi)^{-1}(z) < c\} \subset \{z \in U_\varepsilon \mid \varphi(z) + \frac{1}{c} \leq \varepsilon(z)\} = \{z \in X \mid \varphi(z) + \frac{1}{c} \leq \varepsilon(z)\} \subset \{z \in X \mid \frac{1}{c} \leq \varepsilon(z)\}$

But $\{z \in X \mid \frac{1}{c} \leq \varepsilon(z)\}$ is a compact set because ε vanishes at infinity, and because $\{z \in U_\varepsilon \mid \varphi(z) + \frac{1}{c} \leq \varepsilon(z)\}$ is a compact set in U_ε the theorem is proved.

From theorem 1 and theorem 2 we obtain:

Theorem 3 Let M be a real analytic CR manifold of type $(m,1)$ and X a complexification of M . There exists a fundamental neighborhood system of M in X which are open 1-complete subsets of X .

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II. A WEIERSTRASS PREPARATION THEOREM FOR CR FUNCTIONS

Let X be a complex analytic manifold and let M be a locally closed C^∞ -differentiable submanifold in X of codimension k in X .

If $p \in M$ let U be a neighborhood of p in C^n such that $M \cap U = \{z \in U \mid \rho_1(z) = \dots = \rho_k(z) = 0\}$ where $\rho_1, \dots, \rho_k$ are real valued smooth functions on U and $d\rho_1 \wedge \dots \wedge d\rho_k \neq 0$ on U .

We denote by $\mathcal{J}_{(p,q)}(U) = \{f \in C_{(p,q)}^\infty(U) \mid f = \sum_{j=1}^k \rho_j \alpha_j + \sum_{j=1}^k \rho_j \bar{\alpha}_j, \alpha_j \in C_{(p,q)}^\infty(U), \beta_j \in C_{(p,q-1)}^\infty(U)\}$

If $u \in C^\infty(M)$ we define $\bar{\partial}_M u = 0$ on $M \cap U$ iff there exists a smooth extension $\tilde{u}$ of $u|_{M \cap U}$ to U such that $\bar{\partial} \tilde{u} \in \mathcal{J}_{(p,q)}(U)$.

It is easy to verify that the definition does not depend on the choice of $\tilde{u}$.

We define $\bar{\partial}_M u = 0$ on M iff $\bar{\partial}_M u = 0$ in the neighborhood of each point of M .

The operator $\bar{\partial}_M$ is the Cauchy-Riemann operator induced from the operator $\bar{\partial}$ on C^n .

A CR function on M is a function $u \in C^\infty(M)$ which satisfies $\bar{\partial}_M u = 0$ on M . We denote by $CR(M)$ the C -algebra of CR functions on M .

A function $u \in C^\infty(M)$ is a CR function on M iff there exists an extension $\tilde{u}$ of u in a neighborhood of M such that $\bar{\partial} \tilde{u}|_M = 0$.

Theorem 1. Let M be a locally closed C^∞ -differentiable submanifold of C^n containing the origin and let $P(t, \lambda) = t^p + \sum_{j=1}^p \lambda_j t^{p-j}$, $\lambda = (\lambda_1, \dots, \lambda_p) \in C^p$ be a generic monic polynomial in t .

Let f be a complex valued CR function on $R \times M$ where we consider $R \times M$ as a submanifold of $C \times C^n$.

Then we have $f(t, x) = q(t, x, \lambda) P(t, \lambda) + r(t, x, \lambda)$,

where q and r are CR functions in a neighborhood of the origin in $R \times M$ of C^∞ -differentiability class in the variable λ and r is a polynomial in t of degree less than p .

Proof

We shall denote the variables $(t, x) \in R \times M$ and $(w, u) \in C \times C^n$

Because every smooth function depending only on the variable t is a CR function on $R \times M$ we may suppose that for every fixed $x \in M$, f has compact support in the variable t .

By the Malgrange division theorem we obtain

$f(t, x) = q(t, x, \lambda) P(t, \lambda) + r(t, x, \lambda)$ where

$$q(t, x, \lambda) = \frac{1}{2\pi i} \int_{\partial D} \frac{F(z, x, \lambda)}{(z-t)P(z, \lambda)} dz + \frac{1}{2\pi i} \iint_D \frac{F_{\bar{z}}(z, x, \lambda)}{(z-t)P(z, \lambda)} dz \wedge d\bar{z}$$

$$r(t, x, \lambda) = \frac{1}{2\pi i} \int_{\partial D} \frac{F(z, x, \lambda) R(t, z, \lambda)}{P(z, \lambda)} dz + \frac{1}{2\pi i} \iint_D \frac{F_{\bar{z}}(z, x, \lambda) R(t, z, \lambda)}{P(z, \lambda)} dz \wedge d\bar{z}$$

$R(t, z, \lambda) = \frac{P(z, \lambda) - P(t, \lambda)}{z-t}$, D is a disc centered in the

origin containing in its interior the point t and all roots z of $P(z, \lambda)$ for $|\lambda|$ small, $F(z, x, \lambda)$ is given by Nirenberg extension lemma and has the following properties
i) F is a C^∞ complex function defined in a neighborhood of the origin for $z \in \mathbb{C}$, $x \in M$, $\lambda \in \mathbb{C}^p$

ii) $F(t, x, \lambda) = f(t, x)$ for real t

iii) $F_{\bar{z}}$ vanishes of infinite order on $\text{Im} z = 0$ and on $P(z, \lambda) = 0$ [2].

We shall use the definition of F given in [3]

$$F(z, x, \lambda) = \int_{-\infty}^{\infty} \rho(\xi, \lambda, y) e^{i\xi z} \hat{\rho}(\xi, x) d\xi \quad \text{where } y = \text{Im} z$$

$$\hat{\rho}(\xi, x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \rho(t, x) e^{-i\xi t} dt \quad \text{and } \rho \text{ has the properties}$$

i) $\rho : \mathbb{R} \times \mathbb{C}^p \times \mathbb{R} \rightarrow [0, 1]$ is continuous

$$\text{Let } \delta(y, \lambda) = \inf \{ |y - \text{Im} z| \mid P(z, \lambda) = 0 \}$$

ii) $\rho(\xi, \lambda, y) = 1$ in a neighborhood of $y = 0$

iii) $\rho(\xi, \lambda, y) = 0$ if $|\xi y| \geq 1$

iv) $\rho_y(\xi, \lambda, y) = 0$ in a neighbourhood of $\delta(y, \lambda) = 0$

v) ρ is infinitely differentiable with respect to λ and y and its derivatives are continuous with respect to all variables and satisfy

$$\left| \frac{\partial}{\partial x^\alpha} \frac{\partial}{\partial \bar{x}^\beta} \frac{\partial}{\partial y^\gamma} \rho(\xi, \lambda, y) \right| \leq C (1 + |\xi|)^{(2p+1)(1+|\alpha|+|\beta|+\gamma)}$$

where $C > 0$ depends only on the multi-indices α and β and the non-negative integer γ .

Because $f \in C^r(\mathbb{R} \times M)$ there exists an extension $\tilde{f}(w, u)$ in a neighbourhood of the origin in $\mathbb{C} \times \mathbb{C}^n$ such that $\partial \tilde{f}|_{\mathbb{R} \times M} = 0$. We may suppose that for fixed u , $\tilde{f}(t, u)$ has compact support in the variable t .

$$\text{We consider } \hat{\tilde{f}}(\xi, u) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \tilde{f}(t, u) e^{-i\xi t} dt$$

$$\text{and } \tilde{F}(z, u, \lambda) = \int_{-\infty}^{\infty} \rho(\xi, \lambda, y) e^{i\xi z} \hat{f}(\xi, u) d\xi \quad \text{where } y = \text{Im} z$$

$$\text{For } x \in M \text{ we have } \hat{f}(\xi, x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \tilde{f}(t, x) e^{-i\xi t} dt = \hat{f}(\xi, x)$$

$$\text{and } \tilde{F}(z, x, \lambda) = \int_{-\infty}^{\infty} \rho(\xi, \lambda, y) e^{i\xi z} \hat{f}(\xi, x) d\xi = F(z, x, \lambda)$$

$$\text{Hence we can define } \tilde{q}(w, u, \lambda) = \frac{1}{2\pi i} \int_{\partial D} \frac{\tilde{F}(z, u, \lambda)}{(z-w)P(z, \lambda)} dz +$$

$$+ \frac{1}{2\pi i} \iint_D \frac{\tilde{F}_{\bar{z}}(z, u, \lambda)}{(z-w)P(z, \lambda)} dz \wedge d\bar{z}$$

$$\text{and } \tilde{r}(w, u, \lambda) = \frac{1}{2\pi i} \int_{\partial D} \frac{\tilde{F}(z, u, \lambda) \tilde{R}(w, z, \lambda)}{P(z, \lambda)} dz + \frac{1}{2\pi i} \iint_D \frac{\tilde{F}_{\bar{z}}(z, u, \lambda) \tilde{R}(w, z, \lambda)}{P(z, \lambda)} dz \wedge d\bar{z}$$

$$\text{where } \tilde{R}(w, z, \lambda) = \frac{P(z, \lambda) - P(w, \lambda)}{z - w}$$

Because $\rho(\xi, \lambda, y) = 1$ in a neighborhood of $y = \text{Im} z = 0$
 $\tilde{F}_{\bar{z}}$ is vanishing with all its derivatives in a neighborhood of
 $y = \text{Im} z = 0$

From this fact and because $\tilde{F}_{\bar{z}}$ vanishes with all its derivatives
 if $P(z, \lambda) = 0$ it follows that the integrals from the defi-
 nitions of $\tilde{q}$ and $\tilde{r}$ converge absolutely.

Hence $\tilde{q}$ and $\tilde{r}$ are infinitely differentiable extensions
 of q and r respectively.

Differentiating under the integral sign we have

$$\frac{\partial \tilde{q}}{\partial w} = 0 \quad \text{and} \quad \frac{\partial \tilde{q}}{\partial \bar{u}_j}(w, u, \lambda) = \frac{1}{2\pi i} \int_{\partial D} \frac{\frac{\partial \tilde{F}}{\partial \bar{u}_j}(z, u, \lambda)}{(z-w)P(z, \lambda)} dz + \frac{1}{2\pi i} \iint_D \frac{\frac{\partial \tilde{F}_{\bar{z}}}{\partial \bar{u}_j}(z, u, \lambda)}{(z-w)P(z, \lambda)} dz \wedge d\bar{z}$$

$$\frac{\partial \tilde{F}}{\partial \bar{u}_j}(z, u, \lambda) = \int_{-\infty}^{\infty} \rho(\xi, \lambda, y) e^{i\xi z} \frac{\partial \hat{f}}{\partial \bar{u}_j}(\xi, u) d\xi$$

$$\text{and } \frac{\partial \hat{f}}{\partial \bar{u}_j}(\xi, u) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \frac{\partial \tilde{f}}{\partial \bar{u}_j}(t, u) e^{-i\xi t} dt$$

$$\text{If } x \in M, \frac{\partial \tilde{f}}{\partial \bar{u}_j}(t, x) = 0, \text{ hence if } x \in M, \frac{\partial \tilde{F}}{\partial \bar{u}_j}(z, x, \lambda) = 0$$

$$\text{We have } \tilde{F}_{\bar{z}}(z, u, \lambda) = \int_{-\infty}^{\infty} i \rho(\xi, \lambda, y) e^{i\xi z} \hat{f}(\xi, u) d\xi \text{ and}$$

$$\text{we obtain } \frac{\partial \tilde{F}_{\bar{z}}}{\partial \bar{u}_j}(z, x, \lambda) = 0$$

It follows that $\bar{\partial} \tilde{q}_i|_{R \times M} = 0$ and similarly $\bar{\partial} \tilde{r}_j|_{R \times M} = 0$.

Theorem 2. Let M be a locally closed C^∞ differentiable submanifold of C^n containing the origin and $f \in CR(R \times M)$ in the neighborhood of the origin

We suppose that $\frac{\partial^p}{\partial t^p} f(0,0) \neq 0$ and $\frac{\partial^k}{\partial t^k} f(0,0) = 0$ for $k < p$

Then there exists $Q \in CR(R \times M)$ in the neighborhood of the origin, $Q(0,0) \neq 0$ such that $f(t,x) = Q(t,x) P(t,x)$ where $P(t,x) = t^p + \sum_{j=1}^p \lambda_j(x) t^{p-j}$ with $\lambda_j \in CR(R \times M)$

PROOF

From theorem 1 we have the division

$$f(t,x) = q(t,x,\lambda) P(t,\lambda) + \sum_{j=1}^p r_j(x,\lambda) t^{p-j} \quad (*)$$

with $q \in CR(R \times M)$, $r_j \in CR(M)$, $\lambda \in C^p$

Let $\tilde{r}_j(u,\lambda)$ be extensions of r_j such that $\bar{\partial} \tilde{r}_j|_M = 0$. From [2] we know that $\tilde{r}_j(0,0) = 0$ for $j = 1, \dots, p$ and $q(0,0,0) = 0$. Hence $r_j(0,0) = 0$ for $j = 1, \dots, p$. We know also that $\frac{\partial r_j}{\partial \lambda_k}(0,0) = 0$ and $\det \left(\frac{\partial r_j}{\partial \lambda_k}(0,0) \right)_{\substack{1 \leq j \leq p \\ 1 \leq k \leq p}} \neq 0$.

$$\text{But } \frac{\partial r_j}{\partial \lambda_k}(0,0) = \frac{\partial \tilde{r}_j}{\partial \lambda_k}(0,0) \text{ and } \frac{\partial r_j}{\partial \lambda_k}(0,0) = \frac{\partial \tilde{r}_j}{\partial \lambda_k}(0,0)$$

Therefore we can solve the system $\tilde{r}_j(u,\lambda) = 0$, $j = 1, \dots, p$ and obtain the C^∞ function $\tilde{\lambda}(u) = (\tilde{\lambda}_1(u), \dots, \tilde{\lambda}_p(u))$ such that $r_j(u, \tilde{\lambda}(u)) = 0$ in the neighborhood of the origin, for $j = 1, \dots, p$.

$$\text{We have } \frac{\partial \tilde{r}_j}{\partial \tilde{u}_k}(u, \tilde{\lambda}(u)) = \frac{\partial \tilde{r}_j}{\partial \tilde{u}_k}(u, \tilde{\lambda}(u)) + \sum_{i=1}^p \frac{\partial \tilde{r}_j}{\partial \tilde{\lambda}_i} \cdot \frac{\partial \tilde{\lambda}_i}{\partial \tilde{u}_k} + \sum_{i=1}^p \frac{\partial \tilde{r}_j}{\partial \tilde{\lambda}_i} \cdot \frac{\partial \tilde{\lambda}_i}{\partial \tilde{u}_k} = 0, \quad k = 1, \dots, n, j = 1, \dots, p.$$

Let $\lambda(x) = (\lambda_1(x), \dots, \lambda_p(x))$ be the restriction of $\tilde{\lambda}$ to M

Applying $\frac{\partial}{\partial \lambda_k}$ to (*) we obtain

$$\sum_{j=1}^p \frac{\partial r_j}{\partial \lambda_k}(x,\lambda) t^{p-j} = -t^p \frac{\partial}{\partial \lambda_k} q(t,x,\lambda)$$

for every t . It follows that

$$\frac{\partial r_j}{\partial \lambda_k}(x,\lambda) = 0 \text{ for } j, k = 1, \dots, p$$

Hence $\frac{\partial \tilde{f}_j}{\partial \bar{u}_k}(x, \lambda(x)) = \sum_{i=1}^p \frac{\partial \tilde{f}_j}{\partial \lambda_i} \frac{\partial \tilde{\lambda}_i}{\partial \bar{u}_k}(x) = 0 \quad j=1, \dots, p, k=1, \dots, n$

Because $\det \left(\frac{\partial \tilde{f}_j}{\partial \lambda_i}(0,0) \right)_{\substack{1 \leq i \leq p \\ 1 \leq j \leq p}} \neq 0$ it follows that

for x in a neighborhood of the origin we have

$\det \left(\frac{\partial \tilde{f}_j}{\partial \lambda_i}(x, \lambda(x)) \right)_{\substack{1 \leq i \leq p \\ 1 \leq j \leq p}} \neq 0$ and we obtain $\frac{\partial \tilde{\lambda}_i}{\partial \bar{u}_k}(x) = 0, i=1, \dots, p, k=1, \dots, n$

Hence $\tilde{\lambda}_i|_M = 0, \lambda_i \in CR(M)$ and $f(t, x) = q(t, x, \lambda(x))p(t, x)$.

Remark 1. If M is a totally real submanifold of C^n

then every C^∞ function on $R \times M$ is a CR function on $R \times M$

In this case the factorization is not unique.

Remark 2. Let $M = R \times C \subset C^2$

Then $R \times M = \{z \in C^3 \mid y_1 = 0, y_2 = 0\}, z = (z_1, z_2, z_3)$

$z_j = x_j + iy_j, j = 1, 2, 3.$

If $p \in M$ and $W = \sum_{j=1}^3 w_j \left(\frac{\partial}{\partial z_j} \right)_p \in HT_p(R \times M)$ we have $w_1 = 0, w_2 = 0$.

It means that $HT(R \times M)$ is generated by $\frac{\partial}{\partial z_3}$ and

every smooth function that does not depend on z_3 is a CR function on $R \times M$.

If $f(t, x_2, z_3) = t + i(x_2 + e^{-\frac{1}{2}x_2^2})$ we have $f = 1 \cdot f$,
 $f = (t + ix_2) \left(1 + \frac{ie^{-\frac{1}{2}x_2^2}}{t + ix_2} \right)$ and the factorisation is

not unique.

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III THE MAXIMUM MODULUS PRINCIPLE FOR CR FUNCTIONS

Preliminaries

Let M be a smooth manifold embedded as a locally closed real submanifold of $\mathbb{C}^n$. We denote by $\bar{\partial}_M$ the tangential Cauchy-Riemann operator on M induced by the Cauchy-Riemann operator $\bar{\partial}$ on $\mathbb{C}^n$ and with $\text{HTp}(M)$ the holomorphic tangent space to M at a point $p \in M$.

Let's remember some of the definitions and results of [3]

Definition 1. $\bar{\partial}_M$ obeys the local maximum modulus principle on M iff given any open connected set U in M and any u differentiable in U such that $\bar{\partial}_M u = 0$ on U then $|u|$ cannot have a (weak) local maximum at any point of U unless u is constant on U .

Definition 2. We call a point $p \in M$ an extreme point of M iff there exists local holomorphic coordinate system $Z = (Z_1, \dots, Z_n)$ in a neighborhood U of p such that $Z(p) \neq 0$ and $M \cap U \subset \{z | \text{Re } z_k \geq 0\}$. Here we assume that locally near p , M is not contained in any $\mathbb{C}^k$ for $k < n$.

Definition 3. i) For any $p \in M$ and $X \in \text{HTp}(M)$ set $Z = X - iY$ where $Y = JX \in \text{HTp}(M)$ and J is the multiplication with $(-1)^{1/2}$ which defines the complex structure on $\mathbb{R}^{2n}$.

The Levi form at p assigns to Z the normal vector $L_p(Z)$ defined by $L_p(Z) = B_p(X, X) + B_p(Y, Y)$ where B_p is the second fundamental form of M at p .

ii) We denote with $N_p(M)$ the normal space of M at p .

For any $\xi \in N_p(M)$ the map L_p^ξ defined by $L_p^\xi(Z) = \langle L_p(Z), \xi \rangle$ is called the Levi form of M at p in the ξ direction. Here $\langle, \rangle$ represents the real inner product in $\mathbb{R}^{2n}$.

We assume that $p=0$ and $\text{codim}_{\mathbb{R}} M = k$. Then in a neighborhood U of the origin there are smooth real functions $\rho_1, \dots, \rho_k$

such that $dg_1 \wedge \dots \wedge dg_l|_0 \neq 0$ and

$$M \cap U = \{z \in U \mid g_1(z) = \dots = g_l(z) = 0\}$$

We may assume that $dg_1(0), \dots, dg_l(0)$ are orthonormal.

If $\xi \in N_0(M)$ then $\xi = \sum_{i=1}^l \xi_i dg_i(0)$ and if $Z = \sum_{j=1}^m w_j \left(\frac{\partial}{\partial z_j} \right) \in HT_0(M)$ then $\sum_{j=1}^m \frac{\partial g_i}{\partial z_j}(0) w_j = 0$ for $1 \leq i \leq l$.

In these conditions

$$L_\xi^g(Z) = -4 \sum_{i,j,k} \xi_i \frac{\partial^2 g_i}{\partial z_j \partial \bar{z}_k}(0) w_j \bar{w}_k \quad [3]$$

In [3] there are proved the results:

Proposition 1 : If p is an extreme point of M then there exists a normal direction $\xi \in N_p(M)$ such that L_ξ^g positive definite.

Proposition 2 If for a point $p \in M$ there exists $\xi \in N_p(M)$ such that L_ξ^g is strictly positive definite then p is an extreme point of M .

Theorem 1 If $\bar{\partial}_M$ obeys the local maximum modulus principle on M then M can contain no extreme point.

Statement of Results

A submanifold M of C^n is called a CR manifold iff $\dim_C HT_p(M)$ is constant on M .

We say that a CR submanifold of C^n has CR dimension m iff $\dim_C HT_p(M) = m$

A totally real submanifold of C^n is a CR submanifold of C^n with $\dim_C(M) = 0$

A complex valued smooth function on M for which

$$\bar{\partial}_M f = 0 \text{ on } M \text{ is called a CR function on } M.$$

Theorem 2 Each point of a totally real submanifold of C^n is an extreme point of M .

Theorem 3 If M is a CR submanifold of C^n with $CR \dim(M) \geq 1$ and without extreme points, then for any CR function f on M f cannot have a strong local maximum at any point

of M.

Proof of theorem 2

We know from [4] that there exists a nonnegative function $\psi \in C^2(C^n)$ strictly plurisubharmonic in a neighborhood D of M such that

$$M = \{z \in D \mid \psi(z) = 0\} = \{z \in D \mid \text{grad } \psi = 0\}$$

Let $p \in M$. We assume that $p=0$ and in a neighborhood V of p we have $V \cap M = \{z \in V \mid \psi_1(z) = \dots = \psi_r(z) = 0\}$ with $d\psi_1 \wedge \dots \wedge d\psi_r|_0 \neq 0$

Let $\gamma = \psi + \varepsilon \psi_1 \in C^2(V)$ where $\varepsilon > 0$ is chosen small enough such that the complex Hessian of γ is strictly positive definite at the origin.

We have also $d\gamma(0) = \varepsilon d\psi_1(0) \neq 0$ and we may assume that $\frac{\partial \gamma}{\partial z_1}(0) \neq 0$, so $\frac{\partial \gamma}{\partial z_1}(0) \neq 0$.

Because $\gamma(0)=0$ in a neighborhood of the origin we have

$$\gamma(z) = 2\text{Re} \sum_{i=1}^n \frac{\partial \gamma}{\partial z_i}(0) z_i + \text{Re} \left(\sum_{i,j=1}^n \frac{\partial^2 \gamma}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j \right) + \sum_{i,j=1}^n \frac{\partial^2 \gamma}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j + O(|z|^3)$$

We make the holomorphic change of coordinates in C^n

$$\begin{cases} z'_1 = 2i \sum_{i=1}^n \frac{\partial \gamma}{\partial z_i}(0) z_i + i \sum_{i,j=1}^n \frac{\partial^2 \gamma}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j \\ z'_i = z_i \text{ for } 2 \leq i \leq n \end{cases}$$

In the new coordinates we have $\gamma(z') = -y'_1 + \sum_{i,j=1}^n a_{ij} z'_i \bar{z}'_j + O(|z'|^3)$ with $\sum_{i,j=1}^n a_{ij} z'_i \bar{z}'_j$ positive definite.

Define $S = \{z \in V \mid \gamma(z) = 0\}$

It follows that $S \subset \{z' \mid y'_1 \geq 0\}$

in the neighborhood of the origin and because

$M \cap V \subset S$ the theorem is proved.

Proof of theorem 3

We shall use the following lemma [1]

Lemma 1. Let Ω be an open subset of R^N with coordinates $x_1, \dots, x_N$. Let $F \in C^\infty(\Omega)$ and L be a compact subset of Ω . Suppose that $F(x) < \max_L F$ for each $x \in \Omega - L$.

Then for any open set Ω_1 with $L \subset \Omega_1 \subset \Omega$ there exists a point $y \in \Omega_1$ such that the Hessian of F at y is strictly negative definite.

We suppose that there exists a CR function f on M such that $|f|$ has a point of strong local maximum. Then, there exists a compact set $K \subset M$ such that $\max_K |f| > \max_{\partial K} |f|$. We may assume that K is contained in an open set in R^{2n-1} which is part of the atlas what defines M . We may assume also that $\max_K \operatorname{Re} f > \max_{\partial K} \operatorname{Re} f$. We denote $\operatorname{Re} f = \varphi$

By the lemma 1 there is $p \in K$ such that $\left(\frac{\partial^2 \varphi}{\partial t_i \partial t_j} (p) \right)_{\substack{1 \leq i \leq 2n-1 \\ 1 \leq j \leq 2n-1}}$ is strictly negative definite for any real coordinates $(t_1, \dots, t_{2n-1})$ in a neighborhood of p .

Let $\operatorname{CR} \dim (M) = m \geq 1$. We denote $s = 2n - 1 - 2m$, $r = m - (n - 1)$

After a complex linear change of coordinates in C^n M may be represented in the neighborhood of the point p by the equations:

$$\begin{aligned} z_j &= x_j + i g^j(x, w) & j &= 1, \dots, s \\ z_{j+s} &= h^j(x, w) & j &= 1, \dots, r \\ z_{j+s+r} &= w_j & j &= 1, \dots, m \end{aligned}$$

Where p corresponds to the origin and $\{g^j\}_{j=1, \dots, s}$

$\{h^j\}_{j=1, \dots, r}$ are real and complex valued functions respectively vanishing to second order at the origin [5].

$$\begin{aligned} \text{Therefore, if } \beta_1 &= y_1 - g^1(x, z), \dots, \beta_s = y_s - g^s(x, z), \\ \beta_{s+1} &= x_{s+1} - \operatorname{Re} h^1(x, z), \beta_{s+2} = y_{s+1} - \operatorname{Im} h^1(x, z), \dots, \\ \beta_e &= y_{s+r} - \operatorname{Im} h^r(x, z) \end{aligned} \quad (1)$$

where $X = (x_1, \dots, x_s)$ and $z = (z_{s+r+1}, \dots, z_n)$ in a neighborhood of

the origin we have

$$M = \{z \mid \beta_1(z) = \dots = \beta_e(z) = 0\}, \quad d\beta_1 \wedge \dots \wedge d\beta_e|_0 \neq 0$$

and $d\beta_1(0), \dots, d\beta_e(0)$ are orthonormal.

φ is the real part of a CR function so $(\partial \bar{\partial})_0 \varphi = 0$ [2]

If $\tilde{\varphi}$ is a real extension of φ in a neighborhood U of p in $\mathbb{C}^n$ we obtain $\partial\bar{\partial}\tilde{\varphi} = \sum_{k=1}^l a_k \delta_k + \sum_{k=1}^l b_k \partial\delta_k + \sum_{k=1}^l c_k \bar{\partial}\delta_k + \sum_{k=1}^l d_k \partial\bar{\partial}\delta_k$ with $a_k \in C_{(1,1)}^\infty(U)$, $b_k \in C_{(0,1)}^\infty(U)$, $c_k \in C_{(1,0)}^\infty(U)$, $d_k \in C^\infty(U)$ or $\frac{\partial^2 \tilde{\varphi}}{\partial z_i \partial \bar{z}_j} = \sum_{k=1}^l a_{ijk} \delta_k + \sum_{k=1}^l b_{kj} \frac{\partial \delta_k}{\partial z_i} + \sum_{k=1}^l c_{ki} \frac{\partial \delta_k}{\partial \bar{z}_j} + \sum_{k=1}^l d_k \frac{\partial^2 \delta_k}{\partial z_i \partial \bar{z}_j}$ (2)

We shall use the next lemma:

Lemma 2. There is an extension $\tilde{\varphi}$ of φ so that

$$\frac{\partial \tilde{\varphi}}{\partial y_i}(0) = 0, i=1, \dots, s, \text{ and } \frac{\partial \tilde{\varphi}}{\partial x_j}(0) = 0, \frac{\partial \tilde{\varphi}}{\partial y_j}(0) = 0, j=s+1, \dots, s+r$$

Proof of the lemma

Let $\tilde{\varphi}_0$ be an extension of φ and $\tilde{\varphi} = \tilde{\varphi}_0 + \sum_{k=1}^l \delta_k \delta_k$

another extension with $\delta_k \in \mathbb{R}$. With the functions δ_k we had chosen we obtain

$$\tilde{\varphi} = \tilde{\varphi}_0 + \sum_{k=1}^s \alpha_k (y_k - g^k(x, z)) + \sum_{k=s+1}^{s+r} \beta_k (x_k - \text{Re } h^k(x, w)) + \sum_{k=s+1}^{s+r} \gamma_k (y_k - \text{Im } h^k(x, w))$$

So $\frac{\partial \tilde{\varphi}}{\partial y_j}(0) = \frac{\partial \tilde{\varphi}_0}{\partial y_j}(0) + \alpha_j, j=1, \dots, s, \frac{\partial \tilde{\varphi}}{\partial x_j}(0) = \frac{\partial \tilde{\varphi}_0}{\partial x_j}(0) + \beta_j, \frac{\partial \tilde{\varphi}}{\partial y_j}(0) = \frac{\partial \tilde{\varphi}_0}{\partial y_j}(0) + \gamma_j, j=s+1, \dots, s+r$

$$\text{If we take } \alpha_j = -\frac{\partial \tilde{\varphi}_0}{\partial x_j}(0), j=1, \dots, s, \beta_j = -\frac{\partial \tilde{\varphi}_0}{\partial x_j}(0), \gamma_j = -\frac{\partial \tilde{\varphi}_0}{\partial y_j}(0),$$

$j=s+1, \dots, s+r$ we obtain the needed extension.

In that follows we shall suppose that $\tilde{\varphi}$ is the extension given by lemma 2.

Let $Z \in \text{HT}_0(M)$ i.e. $Z = \sum_{i=1}^n z_i \left(\frac{\partial}{\partial z_i} \right)_0$ and $\sum_{i=1}^n z_i \frac{\partial \delta_k}{\partial z_i}(0) = 0,$

$k=1, \dots, l$

$$\text{From (2) we obtain } \sum_{i,j} \frac{\partial^2 \tilde{\varphi}}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j = \sum_{i,j=1}^n \sum_{k=1}^l d_k(0) \frac{\partial^2 \delta_k}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j \quad (3)$$

But, with the functions δ_k given by (1) $Z \in \text{HT}_0(M)$

iff $\bar{z}_1 = \dots = \bar{z}_{s+r} = 0$

Denote $z_{s+r+1} = w_1, \dots, z_n = w_m$

$$\text{We shall prove that } \frac{\partial^2 \tilde{\varphi}}{\partial z_{s+r+1} \partial \bar{z}_{s+r+1}}(0) = \frac{\partial^2 \varphi}{\partial w_1 \partial \bar{w}_1}(0) \quad (4)$$

We know that $\tilde{\varphi}(x_1 + iq^1(x, w), \dots, x_s + iq^s(x, w), h^1(x, w), \dots, h^n(x, w), w_1, \dots, w_m) = \varphi(x_1, \dots, x_s, w_1, \dots, w_m)$

It follows that

$$\frac{\partial}{\partial w_i} \varphi(x_1, \dots, x_s, w_1, \dots, w_m) = \frac{\partial}{\partial w_i} \tilde{\varphi}(x_1 + iq^1(x, w), \dots, x_s + iq^s(x, w),$$

$$\begin{aligned} & h^1(x, w), \dots, h^n(x, w), w_1, \dots, w_m) = \\ &= \sum_{k=1}^s \left(\frac{\partial \tilde{\varphi}}{\partial z_k} \cdot \frac{\partial (x_k + iq^k(x, w))}{\partial w_i} + \frac{\partial \tilde{\varphi}}{\partial \bar{z}_k} \cdot \frac{\partial (x_k - iq^k(x, w))}{\partial w_i} \right) + \\ &+ \sum_{k=s+1}^{s+n} \left(\frac{\partial \tilde{\varphi}}{\partial z_k} \cdot \frac{\partial h^k}{\partial w_i} + \frac{\partial \tilde{\varphi}}{\partial \bar{z}_k} \cdot \frac{\partial \bar{h}^k}{\partial w_i} \right) + \frac{\partial \tilde{\varphi}}{\partial w_i} = \\ &= \sum_{k=1}^s \frac{\partial \tilde{\varphi}}{\partial y_k} \cdot \frac{\partial q^k}{\partial w_i} + \sum_{k=s+1}^{s+n} \left(\frac{\partial \tilde{\varphi}}{\partial x_k} \cdot \frac{\partial \operatorname{Re} h^k}{\partial w_i} + \frac{\partial \tilde{\varphi}}{\partial y_k} \cdot \frac{\partial \operatorname{Im} h^k}{\partial w_i} \right) + \frac{\partial \tilde{\varphi}}{\partial w_i} \end{aligned}$$

$$\begin{aligned} \text{Hence } \frac{\partial^2 \varphi}{\partial w_i \partial \bar{w}_j} &= \sum_{k=1}^s \left[\frac{\partial}{\partial \bar{w}_j} \left(\frac{\partial \tilde{\varphi}}{\partial y_k} \right) \cdot \frac{\partial q^k}{\partial w_i} + \frac{\partial \tilde{\varphi}}{\partial y_k} \cdot \frac{\partial^2 q^k}{\partial w_i \partial \bar{w}_j} \right] + \\ &+ \sum_{k=s+1}^{s+n} \left[\frac{\partial}{\partial \bar{w}_j} \left(\frac{\partial \tilde{\varphi}}{\partial x_k} \right) \cdot \frac{\partial \operatorname{Re} h^k}{\partial w_i} + \frac{\partial \tilde{\varphi}}{\partial x_k} \cdot \frac{\partial^2 \operatorname{Re} h^k}{\partial w_i \partial \bar{w}_j} + \frac{\partial}{\partial \bar{w}_j} \left(\frac{\partial \tilde{\varphi}}{\partial y_k} \right) \cdot \frac{\partial \operatorname{Im} h^k}{\partial w_i} \right. \\ &\left. + \frac{\partial \tilde{\varphi}}{\partial y_k} \cdot \frac{\partial^2 \operatorname{Im} h^k}{\partial w_i \partial \bar{w}_j} \right] + \frac{\partial}{\partial \bar{w}_j} \left(\frac{\partial \tilde{\varphi}}{\partial w_i} \right) \end{aligned}$$

$$\begin{aligned} \text{and } \frac{\partial}{\partial \bar{w}_j} \left(\frac{\partial \tilde{\varphi}}{\partial w_i} \right) &= \sum_{k=1}^s \left(\frac{\partial^2 \tilde{\varphi}}{\partial w_i \partial z_k} i \frac{\partial q^k}{\partial \bar{w}_j} - \frac{\partial^2 \tilde{\varphi}}{\partial w_i \partial \bar{z}_k} i \frac{\partial q^k}{\partial \bar{w}_j} \right) + \\ &+ \sum_{k=s+1}^{s+n} \left(\frac{\partial^2 \tilde{\varphi}}{\partial w_i \partial z_k} \cdot \frac{\partial h^k}{\partial \bar{w}_j} + \frac{\partial^2 \tilde{\varphi}}{\partial w_i \partial \bar{z}_k} \cdot \frac{\partial \bar{h}^k}{\partial \bar{w}_j} \right) + \frac{\partial^2 \tilde{\varphi}}{\partial w_i \partial \bar{w}_j} \end{aligned}$$

Because $\{g^k\}$ and $\{h^k\}$ are vanishing to second order at the origin and $\frac{\partial \tilde{\varphi}}{\partial y_k}(0)=0, k=1, \dots, s, \frac{\partial \tilde{\varphi}}{\partial x_k}(0)=0, \frac{\partial \tilde{\varphi}}{\partial y_k}(0)=0$
 $k=s+1, \dots, s+r$ we obtain (4)

$$\text{It follows that } \sum_{i,j=1}^n \frac{\partial^2 \tilde{\varphi}}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j = \sum_{i,j=1}^m \frac{\partial^2 \varphi}{\partial w_i \partial \bar{w}_j}(0) w_i \bar{w}_j$$

which is strictly negative definite.

Indeed supposing that $\sum_{i,j=1}^m \frac{\partial^2 \varphi}{\partial w_i \partial \bar{w}_j}(0) w_i \bar{w}_j$ is not strictly negative definite by making a complex linear change of coordinates $w_1, \dots, w_m$ in the new coordinates $w'_1, \dots, w'_m$ we may assume $\frac{\partial^2 \varphi}{\partial w_1 \partial \bar{w}_1}(0) \geq 0$

$$\text{But } \frac{\partial^2 \varphi}{\partial w_1 \partial \bar{w}_1}(0) = \frac{1}{4} \left[\frac{\partial^2 \varphi}{\partial u_1^2}(0) + \frac{\partial^2 \varphi}{\partial v_1^2}(0) \right] \quad \text{where}$$

$w'_1 = u'_1 + i v'_1$ and this contradicts the fact that the real Hessian of φ at 0 is strictly negative definite.

Taking the real parts in (3) we obtain $\sum_{i,j=1}^n \frac{\partial^2 \tilde{\varphi}}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j = \sum_{k=1}^s \text{Re } d_k(0) \left(\sum_{i,j=1}^n \frac{\partial^2 g_k}{\partial z_i \partial \bar{z}_j}(0) z_i \bar{z}_j \right)$

Hence if $\xi = \sum_{k=1}^s (\text{Re } d_k(0)) d p_k(0) \in N_0(M)$

it follows that L_p^{ξ} is strictly positive definite and by proposition 2, p is an extreme point of M which contradicts the fact that M has no extreme points.

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