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OPEN EMBEDDINGS OF ALGEBRAIC VARIETIES IN SCHEMES, II.

by

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OPEN EMBEDDINGS OF ALGEBRAIC VARIETIES IN SCHEMES, II

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## INTRODUCTION

Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over the field  $\mathbb{C}$  of complex numbers, where  $X$  is a scheme of finite type over  $\mathbb{C}$ .

In §1 we show that for every closed point  $x \in X^*$ , the residue field  $k(x)$  of  $x$  in  $X^*$  is  $\mathbb{C}$ . This fact permits to associate  $\mathbb{C}$ -valued functions on (Zariski) open subsets of  $X_{\mathcal{U}}^*$  (the subset of all closed points of  $X^*$ ) to the sections of structure sheaf  $\mathcal{O}_{X^*}$ . We call the fine topology of  $X^*$ , the weakest topology on  $X_{\mathcal{U}}^*$  containing all Zariski subsets of  $X_{\mathcal{U}}^*$  and making continuous all above  $\mathbb{C}$ -valued functions, where  $\mathbb{C}$  is considered with the natural Hausdorff topology. The restriction of the fine topology of  $X^*$  to  $X$  is the usual fine topology of the complex algebraic prevariety  $X_{\mathcal{U}}$ .

The main result of this paper is the following: if  $x \in X^*$  is closed and  $\mathcal{O}_{X^*, x}$  is noetherian, then  $x$  has a Zariski neighbourhood in  $X^*$  of finite type over  $\mathbb{C}$ , if  $(f)_x$  has a compact neighbourhood in the fine topology of  $X_{\mathcal{U}}^*$  (§3, Theorem).

In particular if  $X^*$  is noetherian,  $X^*$  is of finite type over  $\mathbb{C}$  if the fine topology of  $X^*$  is locally compact. An equivalent form of this assertion is the following: a noetherian subalgebra  $A$  of a  $\mathbb{C}$ -algebra of finite type is finitely generated iff the "Gel'fand topology" on the set of all maximal ideals of  $A$  is locally compact (The Gel'fand topology on the set  $\text{Spec max } A$  of all maximal ideals of  $A$  is the weakest topology making continuous all functions  $\tilde{f}: \text{Spec max } A \rightarrow \mathbb{C}$ ,  $\tilde{f}(\underline{m}) = (\text{residue of } f \text{ in } \underline{m}) \in A/\underline{m} = \mathbb{C}$ , where  $f \in A$ ) (§3, Corollary 5).

The leading idea of the proof of the main result of the paper is the following: in [5], Prop.1, we have shown that the obstructions



to the algebrization of a noetherian scheme  $X^*$  dominated by an algebraic variety consist in the existence of some schemes of finite type over  $X^*$ , of dimension  $\geq 2$  and with closed 1-codimensional points. Giving a "local form" to this fact, as in §3, Lemma 5, we can reduce the question of the existence of a Zariski open neighbourhood of finite type over  $\mathbb{C}$  of a "noetherian" point  $x \in X^*$  to the problem of proving that for an open embedding of a complex algebraic variety  $X$  in a complex scheme  $X^*$ , which has a closed 1-codimensional point  $x \in X^*$ , the fine topology of  $X^*$  around  $x$  is not locally compact, excepting the case when  $\dim X^* = 1$ . This last question is treated in §2: in virtue of Lemma 4 from §2, it suffices to consider the question for the case where  $X^*$  is normal in the closed 1-codimensional point  $x \in X^*$  for which we can describe completely the local algebraic structure of  $X^*$  around  $x$  (see §2, Lemma 1); this description permits, via an étale morphism defined in a Zariski open neighbourhood of  $x$  in  $X^*$  and constructed in §2, Lemma 2, to reduce the question (§2, Lemma 3) to an elementary analysis of the fine topology of  $X^*$  around  $x$  in the case when  $X$  is a Zariski open subset of a complex affine space (§2, the last part of the proof of Proposition 2).



# §1. THE FINE TOPOLOGY OF SOME COMPLEX SCHEMES

Firstly we shall establish an extension of a well-known form of Hilbert Nullstellensatz over  $\mathbb{C}$ :

Proposition 1. Let  $A$  be a subalgebra of an algebra of finite type  $A'$  over the field  $\mathbb{C}$  and  $\mathfrak{m} \subset A$  a maximal ideal. Then  $A/\mathfrak{m} = \mathbb{C}$ .

Proof. The  $\mathbb{C}$  - vector space  $A'$  has a basis which is at most countable. Then  $A$  and  $A/\mathfrak{m}$  have the same property.

Let us suppose, that there exists  $x \in A/\mathfrak{m}$  which is transcendental over  $\mathbb{C}$ . Then the subfield  $\mathbb{C}(x) \subseteq A/\mathfrak{m}$  is a  $\mathbb{C}$ -vector space having a basis which is countable. This implies that the set of all poles of all complex rational functions in one indeterminate is a subset of  $\mathbb{C}$  which is at most countable (it is the set of all poles of all rational functions of a basis), which is not true.

Therefore  $A/\mathfrak{m}$  is an algebraic extension of  $\mathbb{C}$ .

Q.E.D.

COROLLARY 1. (see [15]) - A subalgebra of an algebra of finite type over  $\mathbb{C}$  is a Jacobson ring

PROOF. Let  $A$  be a subalgebra of  $A'$ , where  $A'$  is of finite type over  $\mathbb{C}$  and  $\mathfrak{p} \in \text{Spec } A$ . If  $\mathfrak{n}$  is the ideal of all nilpotent elements of  $A'$ , we have  $\mathfrak{p} \cap \mathfrak{n} \neq \emptyset$  and so  $\mathfrak{p}$  includes  $\mathfrak{q} \cap A$ , where  $\mathfrak{q}$  is a minimal prime ideal of  $A'$ . Then  $A/\mathfrak{q} \cap A \subseteq A'/\mathfrak{q}$  and it suffices to prove Corollary 1, when  $A$  is a domain.

If  $A$  is not a Jacobson ring, we can find  $\mathfrak{p} \in \text{Spec } A$  and  $x \in A$ ,  $x \notin \mathfrak{p}$ , such that  $x$  is contained in all maximal ideals of  $A$  including  $\mathfrak{p}$ .

Since the ring of fractions  $A[\frac{1}{x}]$  is still a subalgebra of an algebra of finite type, we find a maximal ideal  $\underline{m}' \subset A[\frac{1}{x}]$  including  $p \in A[\frac{1}{x}]$ . If  $\underline{m} = \underline{m}' \cap A$ , we have  $A/\underline{m} \subset A[\frac{1}{x}]/\underline{m}' = \mathbb{C}$  and so,  $\underline{m}$  is a maximal ideal of  $A$  containing  $p$  and  $x \notin \underline{m}$ , which is a contradiction.

Q.E.D.

Remark 1. With the same proofs as for Proposition 1 and Corollary 1, one establishes that for any subalgebra  $A$  of an algebra of finite type over an uncountable field  $k$ ,  $A$  is a Jacobson ring and for any maximal ideal  $\underline{m} \subset A$ ,  $A/\underline{m}$  is an algebraic extension of  $k$ . This improves a result from [15].  $\square$

COROLLARY 2. Let  $i: X \hookrightarrow X^*$  be an open dense immersion of schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$  and  $x \in X^*$  a closed point. Then the residue field  $k(x)$  of  $x$  is  $\mathbb{C}$  and  $X^*$  is a Jacobson scheme.

Indeed, if  $U$  is an affine neighbourhood of  $x$  in  $X^*$  and  $V \subset U \cap X$  an affine subset, then  $A = \Gamma(U, \mathcal{O}_{X^*_{\text{red}}}) \subseteq A' = \Gamma(V, \mathcal{O}_{X_{\text{red}}})$  and  $A'$  is finitely generated over  $\mathbb{C}$ . If  $\underline{m} \subset A$  is the maximal ideal corresponding to  $x \in U$ , then  $k(x) = A/\underline{m} = \mathbb{C}$ .  $A = \Gamma(U, \mathcal{O}_{X^*})_{\text{red}}$  and  $\Gamma(U, \mathcal{O}_{X^*})$  are Jacobson rings.

Q.E.D.

In the situation given in Corollary 2, since  $X^*$  is a Jacobson scheme, the map  $U \rightsquigarrow U \cap X^*_{\text{cl}}$ , where  $X^*_{\text{cl}}$  is the set of all closed points of  $X^*$ , establishes an one to one correspondence between the open (resp. closed), subsets of  $X^*$  and the open (resp. closed) subsets of  $X^*_{\text{cl}}$  (cf. [9], ch. IV, §10).

For any open subset  $U \subset X^*$  and every  $f \in \Gamma(U, \mathcal{O}_{X^*})$  we can associate the map  $\tilde{f}: U \cap X^*_{\text{cl}} \rightarrow \mathbb{C}$  given by  $\tilde{f}(x) = (\text{residue of } f \text{ in } x) \in \hat{k}(x) = \mathbb{C}$ . Since  $X^*$  is Jacobson,  $f \rightsquigarrow \tilde{f}$  is a ring homomorphism, whose kernel is the ideal of all nilpotents of  $\Gamma(U, \mathcal{O}_{X^*})$ .



Hence if  $X^*$  is reduced,  $f \rightsquigarrow \tilde{f}$  is an injective map.

We can consider the weakest topology on the set  $X_{\mathbb{C}}^*$  which contains all Zariski open subsets of  $X_{\mathbb{C}}^*$  and making continuous all above maps  $\tilde{f}$ , where  $\mathbb{C}$  is considered with the natural Hausdorff topology. We call this topology the fine topology of  $X^*$ .

We have the following elementary properties of the fine topology, which will be used in the following:

1) If  $f: X \longrightarrow Y$  is a morphism of  $\mathbb{C}$ -schemes which are generically of finite type over  $\mathbb{C}$ , then  $f$  establishes a continuous map between  $X_{\mathbb{C}}$  and  $Y_{\mathbb{C}}$  with respect to the fine topologies.

2) If  $X^*$  is affine, then the fine topology on  $X^*$  is the weakest topology on  $X_{\mathbb{C}}^*$  making continuous all functions  $\tilde{f}$  associated as above to a set of generators  $\{f\}$  of the  $\mathbb{C}$ -algebra  $\Gamma(X^*, \mathcal{O}_{X^*})$ .

3) If  $X^*$  is affine,  $x \in X_{\mathbb{C}}^*$  and  $U$  is a neighbourhood of  $x$ , then there exist  $\varepsilon > 0$  and  $f_1, \dots, f_n \in \Gamma(X^*, \mathcal{O}_{X^*})$  such that  $U \supseteq \{z \mid z \in X_{\mathbb{C}}^*, |f_i(z) - f_i(x)| < \varepsilon, \text{ for all } i, 1 \leq i \leq n\}$ .

4) Every closed point of  $X^*$  has a fundamental system of closed neighbourhoods in  $X_{\mathbb{C}}^*$  with respect to the fine topology.

5) If  $Y^*$  is a subscheme of  $X^*$  such that  $Y = Y^* \cap X \neq \emptyset$ , then the fine topology of  $Y^*$  is the restriction to  $Y^*$  of the fine topology of  $X^*$ .

In particular, the restriction of the fine topology of  $X^*$  to  $X$  is the usual fine topology of the complex algebraic prevariety  $X_{\mathbb{C}}$ .

6) If  $i_{\alpha}: X_{\alpha} \hookrightarrow X_{\mathbb{C}}^*$ ,  $\alpha = 1, \dots, n$  are open dense immersions of  $\mathbb{C}$ -schemes and  $X_{\alpha}$  are of finite type over  $\mathbb{C}$ , then

$i_1 \times \dots \times i_n: X_1 \times \dots \times X_n \hookrightarrow X_1^* \times \dots \times X_n^*$  is an open dense immersion,  $(X_1^* \times \dots \times X_n^*)_{\mathbb{C}} = (X_1^*)_{\mathbb{C}} \times \dots \times (X_n^*)_{\mathbb{C}}$  and the fine topology on  $X_1^* \times \dots \times X_n^*$  is the product of the fine topologies on  $X_{\alpha}$ ,  $1 \leq \alpha \leq n$ .

7) If  $X^*$  is a separated scheme, then the fine topology on  $X^*$  is Hausdorff.



## §2. ON THE FINE TOPOLOGY OF COMPLEX SCHEMES WITH CLOSED 1-CODIMENSIONAL POINTS

The aim of this chapter is to prove an important particular case of the main result of this paper:

Proposition 2 - Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$ ,  $X^* - X$  is a closed 1-codimensional point  $x$  of  $X^*$  and  $\mathcal{O}_{X^*, x}$  is noetherian. Then the following assertions are equivalent:

- i)  $X^*_x$  is locally compact in the fine topology
- ii)  $x$  has a compact neighbourhood in  $X^*_x$  in the fine topology
- iii)  $\dim X^* = 1$
- iv)  $X^*$  is of finite type over  $\mathbb{C}$ .

Remark 2. In Proposition 1, as well as in Lemma 1 and 3 which follow, the fact that  $X^* - X$  is a closed 1-codimensional point  $x$  of  $X^*$  and  $\mathcal{O}_{X^*, x}$  is noetherian, implies that  $X^*$  is noetherian (see [6], Lemma 3), but we shall not use this assertion in the paper.  $\square$

To prove Proposition 2, we need some preparatory facts.

Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$ ,  $X^* - X$  is a closed 1-codimensional point  $x$  of  $X^*$  and  $\mathcal{O}_{X^*, x}$  is a noetherian normal ring.

Then  $\mathcal{O}_{X^*, x}$  is a discrete valuation ring. Let  $t \in \mathcal{O}_{X^*, x}$  be a local parameter. By replacing  $X^*$  with a Zariski open neighbourhood of  $x$ , we may assume that  $X^*$  is affine,  $t \in \Gamma(X^*, \mathcal{O}_{X^*})$  and  $t$  is invertible in  $\Gamma(X, \mathcal{O}_X)$ .

Then  $X = \{ \bar{z} \in X^*, \text{ such that } t(\bar{z}) \neq 0 \}$  and so  $X$  is affine. Hence the ring of fractions  $\Gamma(X^*, \mathcal{O}_{X^*}) \left[ \frac{1}{t} \right] = \Gamma(X, \mathcal{O}_X)$ . We may find  $f_1, \dots, f_n \in \Gamma(X^*, \mathcal{O}_{X^*})$  such that  $\Gamma(X, \mathcal{O}_X) = \mathbb{C} \left[ \frac{1}{t}, f_1, \dots, f_n \right]$ . Indeed,  $\Gamma(X^*, \mathcal{O}_{X^*}) \left[ \frac{1}{t} \right]$  is of the form  $\mathbb{C} \left[ \frac{f_1}{t^{\alpha_1}}, \dots, \frac{f_n}{t^{\alpha_n}} \right]$  where

$f_1, \dots, f_n \in \Gamma(X^*, \mathcal{O}_{X^*})$  and then  $\Gamma(X^*, \mathcal{O}_{X^*})[\frac{1}{t}] \supseteq \mathbb{C}[\frac{1}{t}, f_1, \dots, f_n] \supseteq \mathbb{C}[\frac{f_1}{t^{\alpha_1}}, \dots, \frac{f_n}{t^{\alpha_n}}] = \Gamma(X^*, \mathcal{O}_{X^*})[\frac{1}{t}]$ .

We may assume that  $f_1(x) = f_2(x) = \dots = f_n(x) = 0$ .

In fact, by Corollary 2,  $k(x) = \mathbb{C}$ , and we can replace  $f_1$  by  $f_i - f_1(x)$  for every  $1 \leq i \leq n$ .

Let us denote  $A = \Gamma(X^*, \mathcal{O}_{X^*})$  and  $\underline{m} \subset A$  the maximal ideal corresponding to the closed point  $x \in X^*$ . The completion

in  $\underline{m}$ -adic topology  $\hat{A}_{\underline{m}}$  of  $A_{\underline{m}} = \mathcal{O}_{X^*, x}$  is  $\mathbb{C}$ -isomorphic with the ring of formal power series  $\mathbb{C}[[T]]$ , since  $\hat{A}_{\underline{m}}$  is a discrete valuation

ring and  $k(x) = A/\underline{m} = \mathbb{C}$ . We have a natural inclusion of  $\mathbb{C}$ -algebras

$A \subset \mathbb{C}[[T]] = \hat{A}_{\underline{m}}$ , and so all elements of  $A$  have expansions in power series in  $\mathbb{C}[[T]]$ . Replacing  $T$  by the power series corresponding

to  $t$ , we may suppose that in  $\mathbb{C}[[T]]$  we have  $t = T$ . If  $f \in \underline{m}$  with

$$f = \sum_{k=1}^{\infty} c_k T^k \quad \text{in } \mathbb{C}[[T]], \text{ then } (\text{Rest } f)_\ell = \sum_{k=\ell+1}^{\infty} c_k T^{k-\ell}$$

is equal with  $\frac{f - c_\ell t - \dots - c_\ell t^\ell}{t^\ell}$  in  $\mathbb{C}((T))$  and so

$$(\text{Rest } f)_\ell \in \mathbb{C}[[T]] \cap Q(A) = \hat{A}_{\underline{m}} \cap Q(A_{\underline{m}}) \quad \text{where by}$$

$Q(A)$  (resp.  $Q(A_{\underline{m}})$ ) we denoted the field of fractions of  $A$  (resp.  $A_{\underline{m}}$ ).

By [3], Ch. III, §3.5, Cor. 1, we have  $\hat{A}_{\underline{m}} \cap Q(A_{\underline{m}}) = A_{\underline{m}}$  and so  $(\text{Rest } f)_\ell \in A_{\underline{m}} \cap A[\frac{1}{t}] = A$  for all  $\ell \geq 0$ . Since  $(\text{Rest } f)_\ell = ((\text{Rest } f)_{\ell+1} + c_{\ell+1})t$ , it follows  $(\text{Rest } f)_\ell \in \underline{m}$  for all  $\ell \geq 0$ .

Let us denote  $B = \mathbb{C}[t, f_1, \dots, f_n, \dots, (\text{Rest } f_k)_\ell, \dots]_{\substack{1 \leq i, k \leq n \\ \ell \geq 1}}$  the subalgebra of  $A$  generated by  $\{t, f_i, (\text{Rest } f_k)_\ell\}_{1 \leq i, k \leq n, \ell \geq 1}$

and  $\underline{n} = (t)$  the ideal generated in  $B$  by  $t$ . We have

$$B[\frac{1}{t}] = \mathbb{C}[\frac{1}{t}, t, f_1, \dots, f_n] = A[\frac{1}{t}] \quad (\text{since } B[\frac{1}{t}] \supseteq \mathbb{C}[\frac{1}{t}, f_1, \dots, f_n] = A[\frac{1}{t}])$$

and  $t, f_i, (\text{Rest } f_k)_\ell \in \underline{n}$  for all  $1 \leq i, k \leq n$ , and  $\ell \geq 1$ . Hence

$B/\underline{n} = \mathbb{C}$ , and  $\underline{n} = \underline{m} \cap B$ . It follows that  $B_{\underline{n}} \subset A_{\underline{m}}$  is an inclusion of local rings,  $\bigcap_{k=1}^{\infty} \underline{n}^k B_{\underline{n}} \subset \bigcap_{k=1}^{\infty} \underline{m}^k A_{\underline{m}} = 0$  and so  $B_{\underline{n}}$  is a discrete valuation ring.

It is easy to see that  $\hat{A}_{\underline{m}} = \hat{B}_{\underline{n}}$  and so in the field  $Q(\hat{A}_{\underline{m}}) = Q(\hat{B}_{\underline{n}})$

we have  $A_{\underline{m}} = Q(A) \cap \hat{A}_{\underline{m}} = Q(B) \cap \hat{B}_{\underline{n}} = B_{\underline{n}}$  (cf. [3], Ch. III, §3, Cor. 4). Then in

the field  $Q(A) = Q(B)$  we have  $A = A[\frac{1}{t}] \cap A_{\underline{m}} = B[\frac{1}{t}] \cap B_{\underline{n}} = B$ .



Therefore we established the following

LEMMA 1. Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$  and  $X^* - X$  is a closed 1-codimensional point  $x$  of  $X^*$  with  $\mathcal{O}_{X^*, x}$  normal and noetherian. Then there exists a Zariski open affine neighbourhood  $U$  of  $x$  in  $X^*$ , such that  $\Gamma(U, \mathcal{O}_{X^*}) = \mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_l, \dots]$  where  $f_k = \sum_{i=1}^{\infty} c_i^{(k)} T^i$  is a formal power series in  $\mathbb{C}[[T]]$ ,  $(\text{Res } f_k)_l = \sum_{i=l+1}^{\infty} c_i^{(k)} T^{i-l}$  for all  $1 \leq k \leq n$  and  $l \geq 1$ , and  $\mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_l, \dots]$  is the  $\mathbb{C}$ -subalgebra of  $\mathbb{C}[[T]]$  generated by  $\{T, \dots, f_k, \dots, (\text{Res } f_k)_l, \dots\}_{1 \leq k \leq n, l \geq 1}$ .

Remark 3. Conversely, in a ring of the type  $A = \mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_l, \dots]$  where  $f_i, (\text{Res } f_k)_l$  are as in Lemma 1, the ideal  $\underline{m} = (T)$  is maximal,  $A_{\underline{m}}$  is dominated by  $\mathbb{C}[[T]]$  and so  $A_{\underline{m}}$  is a discrete valuation ring and  $A[\frac{1}{T}] = \mathbb{C}[\frac{1}{T}, f_1, \dots, f_n]$  is finitely generated over  $\mathbb{C}$ . Then the open immersion  $i: X = \text{Spec } A[\frac{1}{T}] \hookrightarrow X^* = \text{Spec } A$  have all properties given in the hypothesis of Lemma 1.

We may point out that the proof of Lemma 1 shows that we can replace  $\mathbb{C}$  in Lemma 1 by an algebraically closed uncountable field.  $\square$

In the situation and with the notations given in Lemma 1, if  $A = \mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_l, \dots]_{1 \leq k \leq n, l \geq 1}$ , the field of fractions  $Q(A)$  is generated by  $T, f_1, \dots, f_n$ . Let us suppose that

$T, f_1, \dots, f_m$  are algebraically independent over  $\mathbb{C}$  and  $f_{m+1}, \dots, f_n$  are algebraic over the field  $\mathbb{C}(T, f_1, \dots, f_m)$ .

Let us denote  $B = \mathbb{C}[T, f_1, \dots, f_m, \dots, (\text{Res } f_k)_l, \dots]_{1 \leq k \leq m, l \geq 1}$  the subalgebra of  $A$  generated by  $f_1, \dots, f_m, \dots, (\text{Res } f_k)_l, \dots$ , for  $1 \leq k \leq m, l \geq 1$ .

For  $1 \leq k \leq m$ ,  $f_k$  satisfies an equation of the type:

$$T^{2k} P_{k, p_k} f_k^{p_k} + \dots + P_{k, i} f_k^i + P_{k, 0} = 0$$

where  $2k \geq 0, p_k > 0$ ,  $P_{k, i}$  are polynomials in  $T, f_1, \dots, f_m$

and  $P_{k, p_k} \notin \underline{m} = TB$ . If  $P = P_{1, p_1} P_{2, p_2} \dots P_{m, p_m}$  then:  $A[\frac{1}{T}, \frac{1}{P}] = \mathbb{C}[\frac{1}{P}, \frac{1}{T}, T, f_1, \dots, f_n]$  is finite over  $B[\frac{1}{T}, \frac{1}{P}] = \mathbb{C}[\frac{1}{P}, \frac{1}{T}, T, f_1, \dots, f_m]$ .



We can change  $P \in B$ , such that  $P \notin \underline{n}$  and  $A[\frac{1}{T}, \frac{1}{P}]$  is normal and finite over  $B[\frac{1}{T}, \frac{1}{P}]$ . Indeed, with  $P$  as before, there exists  $Q \in A[\frac{1}{T}, \frac{1}{P}]$  such that  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{Q}]$  is normal. If  $Q$  satisfies the equation  $Q^2 + P_{q-1}Q^{q-1} + \dots + P_0 = 0$  with  $P_0, \dots, P_{q-1} \in B[\frac{1}{T}, \frac{1}{P}]$  and  $P_0 \neq 0$ , then  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}]$  is finite over  $B[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}]$  and  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}] \supseteq A[\frac{1}{T}, \frac{1}{P}, \frac{1}{Q}]$  since  $Q$  is invertible in  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}]$ . Hence  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}]$ , being a ring of fractions of  $A[\frac{1}{T}, \frac{1}{P}, \frac{1}{Q}]$ , is normal and it is finite over  $B[\frac{1}{T}, \frac{1}{P}, \frac{1}{P_0}]$ . But  $P_0$  can be written as  $T^\alpha P^\beta P'_0$ , where  $\alpha, \beta \in \mathbb{Z}$  and  $P'_0 \in B \setminus \underline{n}$ . Then  $A[\frac{1}{T}, \frac{1}{P P'_0}]$  is normal and finite over  $B[\frac{1}{T}, \frac{1}{P P'_0}]$  and we can replace  $P$  by  $P P'_0$ .

Let us denote by  $B'$  the integral closure of  $B[\frac{1}{P}]$  in  $Q(A)$ . The integral closure of  $B_{\underline{n}} = B[\frac{1}{P}]_{\underline{n}} B[\frac{1}{P}]$  in  $Q(A)$  is the ring of fractions  $B'_S$  of  $B'$  with respect to  $S = B \setminus \underline{n}$ .  $B_{\underline{n}}$  being a discrete valuation ring, it follows that  $B'_S$  is a free  $B_{\underline{n}}$ -module of finite type (see [3], Ch. VI, §8, Cor. 1). Let  $\{\frac{\alpha_1}{\lambda_1}, \dots, \frac{\alpha_r}{\lambda_r}\}$  be a basis of the  $B_{\underline{n}}$ -module  $B'_S$ , with  $\alpha_1, \dots, \alpha_r \in B'$  and  $\lambda_i \in S$ . The integral closure of  $B[\frac{1}{T}, \frac{1}{P}]$  in  $Q(A)$  is  $B'[\frac{1}{T}]$  and it is a  $B[\frac{1}{T}, \frac{1}{P}]$ -module of finite type. Let  $\{\frac{\alpha_{p+1}}{T^{n_{p+1}}}, \dots, \frac{\alpha_q}{T^{n_q}}\}$  be a set of generators of this module with  $\alpha_{p+1}, \dots, \alpha_q \in B'$ . Then  $\alpha_1, \dots, \alpha_p, \alpha_{p+1}, \dots, \alpha_q$  generate the  $B[\frac{1}{P}]$ -module  $B'$ . Indeed, if  $b' \in B'$  then  $b' = \sum_{i=1}^p \frac{a_i}{\lambda_i} \frac{\alpha_i}{\lambda_i} = \sum_{i=1}^{q-p} \frac{a'_i}{T^{m_i}} \frac{\alpha_{p+i}}{T^{n_i}}$  with  $a_i, a'_i \in B[\frac{1}{P}]$  and  $\lambda_i \in S$ ; therefore we have  $\lambda' b' = \sum_i a'_i \alpha_i$  and  $T^r b' = \sum \beta'_i \alpha_i$  with  $\beta'_i \in S$  and  $\alpha_i, \beta'_i \in B[\frac{1}{P}]$ ; since the ideal generated in  $B[\frac{1}{P}]$  by  $\lambda'$  and  $T^r$  is  $B[\frac{1}{P}]$ , it follows that  $b'$  can be written as a linear combination of  $\alpha_i$  with coefficients in  $B[\frac{1}{P}]$ .

Therefore  $B'$  is a finite  $B[\frac{1}{P}]$ -module.

Since  $A[\frac{1}{T}, \frac{1}{P}]$  is normal and finite over  $B[\frac{1}{P}, \frac{1}{T}]$  it follows that  $B'[\frac{1}{T}] = A[\frac{1}{T}, \frac{1}{P}]$ .

Let us denote  $\underline{m} = TA$ .  $A_{\underline{m}}$  is a discrete valuation ring dominating  $B_{\underline{n}}$ . Hence  $A_{\underline{m}} \supseteq B'_S$  and if  $\underline{n}' = \underline{m} A_{\underline{m}} \cap B'$ , then  $A_{\underline{m}} = (B')_{\underline{n}'}$ , since  $(B')_{\underline{n}'}$  is a valuation ring. Clearly  $\underline{m} A[\frac{1}{P}] \cap B' = \underline{n}'$

Thus in the field  $Q(A)$  we have the following inclusion of rings:  $B' \subset B'[\frac{1}{T}] \cap B'_{\underline{n}} = A[\frac{1}{T}, \frac{1}{P}] \cap A_{\underline{m}} = A[\frac{1}{P}]$ .

Let  $V = \text{Spec } A[\frac{1}{P}]$ ,  $W = \text{Spec } B[\frac{1}{P}]$ ,  $W' = \text{Spec } B'$  and  $j: V \longrightarrow W'$  the morphism of schemes associated to the inclusion  $B' \subset A[\frac{1}{P}]$ . Then  $j$  is an open immersion. Indeed,  $T \in \Gamma(W', \mathcal{O}_{W'}) = B'$  vanishes only in the points of  $W'$  lying over  $\underline{n} B[\frac{1}{P}] \in W$ ; hence the zeros of  $T$  on  $W'$  are (finitely many) closed 1-codimensional points of  $W'$ . We have that  $j|_{V_T}: V_T \longrightarrow W'_T$  ( $V_T$  and  $W'_T$  are the sets of all non-zeros of  $T$ ) is an isomorphism, because  $B'[\frac{1}{T}] = A[\frac{1}{T}, \frac{1}{P}]$ . If  $x \in V$  is the point corresponding to  $\underline{m} A[\frac{1}{P}]$  then  $j(x)$  is the point corresponding to  $\underline{n}'$  and so  $\mathcal{O}_{W', j(x)} = \mathcal{O}_{V, x}$ . Hence  $j(V) = j(V_T) \cup \{j(x)\} = W'_T \cup \{j(x)\}$  is an open subset of  $W'$  and in  $Q(A)$  we have  $\Gamma(j(V), \mathcal{O}_{W'}) = \Gamma(j(V_T), \mathcal{O}_{W'}) \cap \mathcal{O}_{W', j(x)} = \Gamma(V_T, \mathcal{O}_V) \cap \mathcal{O}_{V, x} = \Gamma(V, \mathcal{O}_V)$ . Since  $j(V)$  is affine (cf. [7], Lemma 2), it follows that  $j$  is an open immersion. In the following we shall identify  $V$  with  $j(V)$  and  $x$  with  $j(x)$ . If  $p: W' \longrightarrow W$  is the morphism of schemes induced by the inclusion  $B[\frac{1}{P}] \subset B'$ ,  $p$  is unramified in  $x$ . We shall denote  $y = p(x)$ .

It follows that  $V$  is a scheme of finite type over  $W$ . Therefore  $A[\frac{1}{P}]$  is a  $B[\frac{1}{P}]$ -algebra of finite type. Since  $A[\frac{1}{T}]$  is also a  $B[\frac{1}{T}]$ -algebra of finite type and  $P$  and  $T$  generate in  $B$  the ideal  $B$ , it is easy to see that  $A$  is a  $B$ -algebra of finite type.

Since  $Q(A) = \mathbb{C}(T, f_1, \dots, f_n)$  and  $\{T, f_1, \dots, f_m\}$  is a transcendental basis of  $Q(A)$  over  $\mathbb{C}$ , we can find  $g \in \mathbb{C}(T, f_1, \dots, f_m)$  such that  $Q(A) = \mathbb{C}(T, f_1, \dots, f_m, g)$ . In  $Q(A)$  we can write  $g = \frac{g'}{R}$ , where  $g', R \in \underline{m} A$ . Let  $R^p + \gamma_1 R^{p-1} + \dots + \gamma_p = 0$  be an equation satisfied by  $R \in A[\frac{1}{P}]$  with  $\gamma_i \in B[\frac{1}{P}]$  and  $\gamma_p \neq 0$ . If we write  $\gamma_p = \frac{\gamma'_p}{P^{p_1}} T^{p_2}$  in  $B[\frac{1}{P}]$ , where  $\gamma'_p \in B \setminus \underline{n}$  and  $p_1, p_2 \geq 0$ , then in  $A[\frac{1}{P^{p_1}}, \frac{1}{T}] = A[\frac{1}{P}, \frac{1}{\gamma'_p}, \frac{1}{T}]$ ,  $\gamma'_p$  and  $R$  are invertible. By changing  $P$  with  $P^{p_1}$ , we may suppose that  $R$  is invertible in  $A[\frac{1}{T}, \frac{1}{P}]$ .



Then  $g = \frac{f}{(Tp)^l}$  in  $A[\frac{1}{T}, \frac{1}{p}] = A[\frac{1}{Tp}]$ , where  $f \in \underline{m} \subset A$ .

Let us denote  $A' = \mathbb{C}[T, f_1, \dots, f_m, f, \dots, (Rest f)_k, \dots, (Rest f)_{l_1}, \dots]_{1 \leq k \leq m, l \geq 1}$

$\subset \mathbb{C}[[T]]$ . Since in  $\mathbb{C}((T))$ ,  $(Rest f)_l \in Q(A) \cap \mathbb{C}[[T]] = Q(\underline{A}_m) \cap \hat{A}_m = \underline{A}_m$  and

$(Rest f)_k \in A[\frac{1}{T}]$ , it follows that for all  $l \geq 1$ ,  $(Rest f)_l \in \underline{A}_m \cap A[\frac{1}{T}] =$

$= A$ . Therefore  $A \supseteq A' \supseteq B$ . Since  $Q(A) = \mathbb{C}(T, f_1, \dots, f_m, g)$  and

$A[\frac{1}{T}, \frac{1}{p}] \supseteq A'[\frac{1}{T}, \frac{1}{p}] = \mathbb{C}[\frac{1}{T}, \frac{1}{p}, T, f_1, \dots, f_m, f] \supseteq \mathbb{C}[\frac{1}{T}, T, p, f_1, \dots, f_m, g]$ ,

the rings  $A[\frac{1}{T}, \frac{1}{p}]$  and  $A'[\frac{1}{T}, \frac{1}{p}]$  have the same field of fractions.

Thus we can find  $Q \in A'$ ,  $Q \neq 0$ , such that  $A[\frac{1}{T}, \frac{1}{p}, \frac{1}{Q}] = A'[\frac{1}{T}, \frac{1}{p}, \frac{1}{Q}]$

If  $Q^r + \delta_1 Q^{r-1} + \dots + \delta_r = 0$  is an equation

of  $Q \in A'[\frac{1}{p}]$  over  $B[\frac{1}{p}]$  with  $\delta_r \neq 0$  and if in  $B[\frac{1}{p}]$  we have

$\delta_r = \frac{\delta'_1}{p^{r_1}} T^{r_2}$  with  $\delta'_1 \in B \setminus \underline{m}$  and  $r_1, r_2 \geq 0$ , then in  $A'[\frac{1}{p\delta'_1}, \frac{1}{T}] =$

$= A'[\frac{1}{p}, \frac{1}{\delta'_1}, \frac{1}{T}]$ ,  $\delta_r$  and  $Q$  are invertible.

By changing  $p$  with  $p\delta'_1$  we may suppose that  $Q$  is invertible in  $A'[\frac{1}{T}, \frac{1}{p}]$ . Then  $A[\frac{1}{T}, \frac{1}{p}] = A'[\frac{1}{T}, \frac{1}{p}]$ .

Let us denote  $\underline{m}' = TA'$ , the ideal generated by  $T$  in  $A'$ . It results  $\hat{A}_m = \hat{A}'_{m'} - \mathbb{C}[[T]]$ , and, next,  $\underline{A}_m = A'_{m'}$ . Then in  $Q(A) = Q(A')$  we have  $A[\frac{1}{p}] = A[\frac{1}{T}, \frac{1}{p}] \cap \underline{A}_m = A'[\frac{1}{T}, \frac{1}{p}] \cap \underline{A}'_{m'} = A'[\frac{1}{p}]$ .

The ring  $A[\frac{1}{p}] = A'[\frac{1}{p}]$  is a finitely generated  $B[\frac{1}{p}]$  - algebra.

There are finitely many elements from  $\{f_1, \dots, (Rest f)_{l_1}, \dots\}_{l \geq 1}$  generating  $A[\frac{1}{p}]$  over  $B[\frac{1}{p}]$ . Since in  $A$ , for all  $l \geq 0$  we have the relations  $(Rest f)_l = [(Rest f)_{l+1} + \alpha_{l+1}]T$  with  $\alpha_{l+1} \in \mathbb{C}$  and  $(Rest f)_0 = f$ , it follows that  $A[\frac{1}{p}]$  has a generator of the form  $(Rest f)_l \in A$  over  $B[\frac{1}{p}]$ , for  $l$  sufficiently big.

Therefore we proved the following

**LEMMA 2.** Let  $f_1, \dots, f_m, f_{m+1}, \dots, f_n \in \mathbb{C}[[T]]$ . Suppose that  $T, f_1, \dots, f_m$  are algebraically independent over  $\mathbb{C}$  and  $f_{m+1}, \dots, f_n$  are algebraic over the field  $\mathbb{C}(T, f_1, \dots, f_m)$ . Denote  $A = \mathbb{C}[T, f_1, \dots, f_n, \dots, (Rest f_k)_{l_1}, \dots]_{1 \leq k \leq n, l \geq 1}$  and



$B = \mathbb{C}[T, f_1, \dots, f_m, \dots, (Rest f_k)_{k \geq 1}]$  the  $\mathbb{C}$ -subalgebras of  $\mathbb{C}[[T]]$  generated by the indicated elements. Then  $A$  is finitely generated over  $B$  and there exists  $P \in B$ ,  $P \notin TB$ , such that  $A[\frac{1}{P}]$  is generated as  $B[\frac{1}{P}]$ -algebra by an element of  $A$ . The morphism  $V = \text{Spec } A[\frac{1}{P}] \xrightarrow{\pi} W = \text{Spec } B[\frac{1}{P}]$  is unramified at the point  $x$  corresponding to the maximal ideal  $TA[\frac{1}{P}]$ .

Remark 4. As we have shown in the proof,  $P$  can be chosen such that  $\pi$  is quasifinite (more precisely, such that  $V - \{x\} \rightarrow W - \{\pi(x)\}$  is finite). Moreover, in Lemma 2 we may choose  $P$  such that  $\pi$  is étale, but we shall not use this fact in the following.  $\square$

Concerning the above morphism  $V \xrightarrow{\pi} W$  we may prove

LEMMA 3. In the situation and with notations given in Lemma 2, the morphism  $V \xrightarrow{\pi} W$  induces a homeomorphism with respect to the fine topologies between a fine open neighbourhood of  $x$  in  $V$  and a fine open neighbourhood of  $\pi(x)$  in  $W$ .

Proof. - Firstly, we shall show that the ring  $B$  in Lemma 2 is factorial. Indeed,  $B[\frac{1}{T}] = \mathbb{C}[\frac{1}{T}, T, f_1, \dots, f_m]$  is a ring of fractions of the polynomial ring  $\mathbb{C}[T, f_1, \dots, f_m]$  and so it is factorial. Let  $\mathfrak{p} \subset B$  be a prime ideal of height one. If  $\mathfrak{p} \neq T B$ , then  $\mathfrak{p} B[\frac{1}{T}]$  is a prime ideal of height one in  $B[\frac{1}{T}]$  and so there exists  $P_1 \in B$  such that  $\mathfrak{p} B[\frac{1}{T}]$  is generated by  $P_1$ . We may suppose that  $P_1$  is not divisible by  $T$  in  $\mathbb{C}[[T]]$ . In fact, if  $P_1 = T^\alpha R$ , where  $\alpha > 0$  and  $R \in \mathbb{C}[[T]]$  is not divisible by  $T$ , then  $R = \frac{P_1}{T^\alpha} \in Q(B) \cap \mathbb{C}[[T]] = Q(B_n) \cap \hat{B}_n = B_n$  and so  $R \in B[\frac{1}{T}] \cap B_n = B$ . Then  $R$  is a generator of  $\mathfrak{p} B[\frac{1}{T}]$ . Hence suppose that  $P_1$  not divisible by  $T$  in  $\mathbb{C}[[T]]$ . If  $P_2 \in \mathfrak{p}$  then there exist  $P_3 \in B$  and  $\beta > 0$  such that  $T^\beta P_2 = P_3 P_1$ . In  $\mathbb{C}[[T]]$ ,  $T^\beta$  divides  $P_3$  and so  $\frac{P_3}{T^\beta} \in \mathbb{C}[[T]] \cap Q(B) = \hat{B}_n \cap Q(B_n) = B_n$ ;

then  $\frac{P_3}{T^3} \in B_n \cap B[\frac{1}{T}] = B$ . Therefore  $p$  is generated by  $P_1$ .

By Lemma 2,  $\Gamma(V, \mathcal{O}_V)$  has a generator  $f$  as  $\Gamma(W, \mathcal{O}_W)$ -algebra and  $\Gamma(W, \mathcal{O}_W)$  is factorial, as a ring of fractions of  $B$ . The kernel  $p$  of the canonical homomorphism  $h: \Gamma(W, \mathcal{O}_W)[x] \rightarrow \Gamma(V, \mathcal{O}_V)$ , defined by  $h(x)=f$ , is a prime ideal of height 1, because  $\dim \Gamma(W, \mathcal{O}_W) = \dim \Gamma(V, \mathcal{O}_V)$ . Since  $\Gamma(W, \mathcal{O}_W)[x]$  is factorial,  $p$  is generated by a polynomial  $R \in \Gamma(W, \mathcal{O}_W)[x]$  and so  $\Gamma(V, \mathcal{O}_V) = \Gamma(W, \mathcal{O}_W)/(R)$ . Therefore  $\pi: V \rightarrow W$  is the morphism associated to a homomorphism of the type  $\Gamma(W, \mathcal{O}_W) \rightarrow \Gamma(W, \mathcal{O}_W)[x]/(R)$ . Since  $\pi$  is unramified at  $x$  then the  $\mathbb{C}$ -vector space  $\Gamma(W, \mathcal{O}_W)[x]/(R) \otimes_{\Gamma(W, \mathcal{O}_W)} \Gamma(W, \mathcal{O}_W)/\underline{n} \Gamma(W, \mathcal{O}_W)$  is of dimension one. If  $y=\pi(x)$  and  $R(x) = a_k x^k + \dots + a_1 x + a_0$  where  $a_0, \dots, a_k \in \Gamma(W, \mathcal{O}_W)$ , this means that the equation in  $x$   $a_k(y) x^k + \dots + a_1(y) x + a_0(y) = 0$  has  $f(x) \in \mathbb{C}$  as unique solution and with multiplicity one (where  $a_1, \dots, a_k$  are considered as functions on  $W_{\mathbb{C}}$ ).

Using the implicit function Theorem or the formula of residues for analytic functions, we can find  $\epsilon, \delta > 0$  and a complex continuous function  $\zeta$  of  $k+1$  variables, defined in an open neighbourhood in  $\mathbb{C}^{k+1}$  of the point  $(a_0(y), \dots, a_k(y)) \in \mathbb{C}^{k+1}$ , such that for  $w \in W$ , with  $|a_i(w) - a_i(y)| < \delta$  for all  $0 \leq i \leq k$ , we have  $|\zeta(a_0(w), \dots, a_k(w)) - f(x)| < \epsilon$  and  $a_k(w) \zeta^k(a_0(w), \dots, a_k(w)) + \dots + a_1(w) \zeta(a_0(w), \dots, a_k(w)) + a_0(w) = 0$ .

In particular,  $\zeta(a_0(y), \dots, a_k(y)) = f(x)$ . Via the above homomorphism  $h$ ,  $V$  is the closed subscheme of the scheme  $W \times \text{Spec } \mathbb{C}[x]$  defined by the equation  $R(x)=0$ . Then  $V_{\mathbb{C}} - \{x\}$  is the closed algebraic subvariety of the variety  $(W_{\mathbb{C}} - \{y\}) \times \mathbb{C}$ , defined also by  $R(x)=0$ , because  $x$  is the unique point of  $V$  lying over  $y$ , and so

$\pi|_{V_{\mathbb{C}} - \{x\}}: V_{\mathbb{C}} - \{x\} \rightarrow W_{\mathbb{C}} - \{y\}$  is the projection on  $W_{\mathbb{C}} - \{y\}$ . Of course, the natural inclusion of sets  $V_{\mathbb{C}} \subseteq W_{\mathbb{C}} \times \mathbb{C}$  is defined by  $v \mapsto (\pi(v), f(v))$ .



Let us denote  $W_\delta = \{w \in W_\alpha \mid |a_i(w) - a_i(y)| < \delta, \text{ for all } 0 \leq i \leq k\}$  and  $V_{\delta, \varepsilon} = \{v \in V_\alpha \mid |a_i(\pi(v)) - a_i(y)| < \delta, |f(v) - f(x)| < \varepsilon, \text{ for all } 0 \leq i \leq k\}$ . Then  $W_\delta$  and  $V_{\delta, \varepsilon}$  are fine open neighbourhoods of  $y$  and  $x$  and  $\pi(V_{\delta, \varepsilon}) \subseteq W_\delta$ . we shall define the following map  $\varphi: W_\delta \longrightarrow V_{\delta, \varepsilon}$  in the following manner: if  $w \in W_\delta - \{y\}$ , then  $\varphi(w)$  is the point of  $V_\alpha - \{x\} \subseteq W_\alpha \times \mathbb{C}$  corresponding to  $(w, \xi(a_0(w), \dots, a_k(w))) \in W_\alpha \times \mathbb{C}$  (this point satisfies the equation  $R(X)=0$ ) and  $\varphi(y)=x$ . It is clear that  $\pi \varphi = 1_{W_\delta}$  and we claim that  $\varphi$  is continuous. In fact, it suffices to prove that all functions corresponding to a set of generators of the  $\mathbb{C}$ -algebra  $\Gamma(V, \mathcal{O}_V)$  composed with  $\varphi$  are continuous  $\mathbb{C}$ -valued functions on  $W_\delta$ . Since  $f$  is a generator of the  $\Gamma(W, \mathcal{O}_W)$ -algebra  $\Gamma(V, \mathcal{O}_V)$  and all functions corresponding to  $\Gamma(W, \mathcal{O}_W) \subseteq \Gamma(V, \mathcal{O}_V)$  are continuous, we must prove that  $f \varphi$  is continuous. It is clear because  $f \varphi(w) = \xi(a_0(w), \dots, a_k(w))$  for  $w \in W_\delta - \{y\}$ ,  $(f \varphi)(y) = f(x) = \xi(a_0(y), \dots, a_k(y))$  and  $\xi, a_0, \dots, a_k$  are continuous.

If we take above  $\varepsilon, \delta > 0$  with the supplementary property that for any  $w \in W$ , such that  $|a_i(w) - a_i(y)| < \delta$ , there exists a unique solution  $\xi$  of the equation  $a_k(w)\xi^k + \dots + a_1(w)\xi + a_0(w) = 0$ , with  $|\xi - f(x)| < \varepsilon$ , then we have  $\varphi \pi = 1_{V_{\delta, \varepsilon}}$

Q.E.D.

Remark 5 - Using the structure Theorem for étale morphisms, one can prove that an étale morphism of finite type of noetherian  $\mathbb{C}$ -schemes, generically of finite type over  $\mathbb{C}$ , is locally homeomorphism with respect to the fine topologies.  $\square$

The last preparatory fact for the proof of proposition 2 is the following:

LEMMA 4. Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$  and  $X^* - X$  is a closed 1-co-dimensional point  $x$  of  $X^*$ , such that  $\mathcal{O}_{X^*, x}$  is noetherian. Then the



normalization morphism  $p: X^{*N} \longrightarrow X^*$  is a proper continuous map  
between  $X_{\mathcal{C}}^{*N}$  and  $X_{\mathcal{C}}^*$  with respect to the fine topologies, if  $X_{\mathcal{C}}^*$  is  
locally compact.

Proof. It suffices to prove that for a Zariski open affine neighbourhood  $U$  of  $x \in X^*$ ,  $p|_{p^{-1}(U)}: p^{-1}(U) = U^N \longrightarrow U$  is a proper map between  $U_{\mathcal{C}}^N$  and  $U_{\mathcal{C}}$  with respect to the fine topologies (cf. [4], Ch. I, §10, Prop. 3), since  $X_{\mathcal{C}}^{*N} - p^{-1}(x) \longrightarrow X_{\mathcal{C}}^* - \{x\}$  is proper.

Hence we may assume that  $X^*$  is affine and let us denote  $A = \Gamma(X^*, \mathcal{O}_{X^*})$ ,  $A^N = \Gamma(X^{*N}, \mathcal{O}_{X^{*N}})$  and  $X^N = p^{-1}(X)$ .

The fibers of  $p$  are finite since  $\mathcal{O}_{X^*, x}$  is noetherian (of dimension one, cf. [3], Ch. VII, §2, Cor. 1). It suffices to prove that if  $Z \subseteq X_{\mathcal{C}}^{*N}$  is a closed subset in the fine topology, then  $p(Z) \subseteq X_{\mathcal{C}}^*$  is closed in the fine topology (cf. [4], Ch. I, §10, 2, Th. 1).

If  $Z \cap p^{-1}(x) \neq \emptyset$ , then  $x \in p(Z)$  and  $p(Z) - \{x\} = p(Z - p^{-1}(x))$  is closed in  $X_{\mathcal{C}}$ , since  $Z - p^{-1}(x)$  is closed in  $X_{\mathcal{C}}^N$  and  $p|_{X_{\mathcal{C}}^N}: X_{\mathcal{C}}^N \longrightarrow X_{\mathcal{C}}$  is closed with respect to the fine topologies, as finite morphism of complex algebraic varieties. Then  $p(Z)$  is closed in  $X_{\mathcal{C}}^* = X_{\mathcal{C}} \cup \{x\}$  in the fine topology.

Suppose that  $Z \cap p^{-1}(x) = \emptyset$ . Let  $x_1, \dots, x_n$  be the points of  $X^{*N}$  lying over  $x$ . For every  $i$ ,  $1 \leq i \leq n$ , there exists a finite subset  $\{f_{i1}, \dots, f_{in_i}\}$  of  $\Gamma(X^{*N}, \mathcal{O}_{X^{*N}}) = A^N$  and  $\varepsilon_i > 0$  such that  $|f_{i1}(x_i)| < \varepsilon_i, \dots, |f_{in_i}(x_i)| < \varepsilon_i$  and for every  $z \in Z$ , there exists  $j$ ,  $1 \leq j \leq n_i$  with  $|f_{ij}(z)| \geq \varepsilon_i$  (where  $f_{ij}$  are considered as functions on  $X_{\mathcal{C}}^{*N}$ ), because  $x_i \notin Z$ . Replacing  $f_{ij}$  by  $\frac{1}{\varepsilon_i} f_{ij}$  we may assume that  $\varepsilon_i = 1$  for all  $i$ ,  $1 \leq i \leq n$ .

Let  $A' = A[\dots, f_{ij}, \dots]_{1 \leq i \leq n, 1 \leq j \leq n_i}$  be the  $A$ -subalgebra of  $A^N$  generated by  $\{f_{ij}\}_{1 \leq i \leq n, 1 \leq j \leq n_i}$ . The inclusion

$A^N \supseteq A' \supseteq A$  give a factorization of  $p$ ,  $X^{*N} \xrightarrow{q} X'^* = \text{Spec } A' \xrightarrow{p'} X^*$ , with  $q$  surjective and  $p'$  finite.

Then  $q(Z)$  is closed in  $X'^*$ . Indeed, for all  $i, j, 1 \leq i \leq n$ ,  $1 \leq j \leq n_i$ ,  $f_{ij}$  are functions on  $X'^*$  and  $|f_{ij}(z')| < 1$ , for all  $i, j$ , and all  $z' \in q(p^{-1}(x))$ ; for any  $1 \leq i \leq n$  and  $z' \in q(Z)$ , there exists  $j$ ,  $1 \leq j \leq n_i$ , such that  $|f_{ij}(z')| \geq 1$ . Therefore  $q(p^{-1}(x)) = p'^{-1}(x)$  does not meet the closure of  $q(Z)$  in  $X'^*$  in the fine topology. We have  $q(Z) \subset q(X^N) = p'^{-1}(x) = X'$  and  $q(Z)$  is closed in  $X'_\alpha$  in the fine topology, because  $q|_{X^N_\alpha: X^N_\alpha \rightarrow X'_\alpha}$  is a finite morphism of complex algebraic varieties. From these two properties of  $q(Z)$ , it results that  $q(Z)$  is closed in  $X'^*$  in the fine topology.

To prove that  $p(Z)$  is closed in  $X^*$ , we shall show that  $p'$  is a proper continuous map between  $X'^*$  and  $X^*$  with respect to the fine topologies. Let  $K \subseteq X'^*$  be a compact subset. If  $m = \sum_{i=1}^n n_i$ , the generators  $\{f_{ij}\}_{1 \leq i \leq n, 1 \leq j \leq n_i}$  of  $A'$  give a closed immersion of  $X'^*$  in  $X^* \times \text{Spec } \mathbb{C}[\tau_1, \dots, \tau_m]$  and so the fine topology on  $X'^*$  is induced by the product of the fine topologies on  $(X^* \times \text{Spec } \mathbb{C}[\tau_1, \dots, \tau_m])_\alpha = X^*_\alpha \times \mathbb{C}^m$ . Of course, the natural inclusion of sets  $X'^*_\alpha \subseteq X^*_\alpha \times \mathbb{C}^m$  is given by the map

$z \mapsto (p'(z), \dots, f_{ij}(z), \dots)$ . For any  $i, j, 1 \leq i \leq n$ ,  $1 \leq j \leq n_i$ , let  $f_{ij}^{m_{ij}} + a_{m_{ij}-1}^{(ij)} f_{ij}^{m_{ij}-1} + \dots + a_1^{(ij)} f_{ij} + a_0^{(ij)} = 0$  be an equation with  $a_k^{(ij)} \in A$ , satisfied by  $f_{ij}$ . For  $z \in p'^{-1}(K)$  such that  $f_{ij}(z) \neq 0$  we have the equality:

$$1 = - \frac{a_{m_{ij}-1}^{(ij)}(p'(z))}{f_{ij}(z)} - \dots - \frac{a_0^{(ij)}(p'(z))}{f_{ij}^{m_{ij}}(z)}$$

Since all functions  $a_k^{(ij)}$  are bounded on  $K$ , it follows from here that  $f_{ij}$  must be bounded on  $p'^{-1}(K)$ . Hence there exists  $M > 0$  such that for all  $i, j$ ,  $1 \leq i \leq n$ ,  $1 \leq j \leq n_i$  and all  $z \in p'^{-1}(K)$ ,  $|f_{ij}(z)| \leq M$ . Denote  $\bar{D}_M = \{z \in \mathbb{C} \mid |z| \leq M\}$ . Then the subset  $p'^{-1}(K) \subseteq X'^*_\alpha =$



$= X_{\mathcal{C}}^* \times \mathbb{C}^m$  is contained in  $\underbrace{K \times (\overline{D}_n)^m}_{\text{and so it is compact in the fine topology. Since } X_{\mathcal{C}}^* \text{ is locally compact and } X_{\mathcal{C}}'^*, \text{ being affine, is Hausdorff with respect to the fine topology, it follows that } p^* \text{ is a proper map between } X_{\mathcal{C}}'^* \text{ and } X_{\mathcal{C}}^*, \text{ by [4], Ch.I, §10,3, Prop.7.}}$

Q.E.D.

Proof of Proposition 2. It is clear that i) is equivalent with ii). The assertion iii) is equivalent with iv), by [5], Theorem 3,1).

Since iv)  $\Rightarrow$  i), we shall prove ii)  $\Rightarrow$  iii).

If  $p: X^{*N} \rightarrow X^*$  is the normalization morphism, for all points  $x^N \in X^{*N}$  lying over  $x$ ,  $\mathcal{O}_{X^{*N}, x^N}$  is noetherian ring, by Krull-Akizuki Theorem. By Lemma 4,  $p$  is proper with respect to the fine topologies on  $X_{\mathcal{C}}^{*N}$ ,  $X_{\mathcal{C}}^*$ . Then by [4], Ch.I, §10,2, Prop.6, all  $x^N \in X_{\mathcal{C}}^{*N}$  lying over  $x$  have compact neighbourhoods in the fine topology. By restricting  $X^{*N}$  to a Zariski open neighbourhood  $V^*$  of a point  $x^N$  lying over  $x$ , if we prove the assertion ii)  $\Rightarrow$  iii) for  $j: V^* \cap p^{-1}(x) \hookrightarrow V^*$  and  $x^N \in V^*$ , it follows  $\dim V^* = 1$  and so  $\dim X^* = 1$  (cf. [5], Lemma 1 a)).

Therefore we can suppose that  $X^*$  is normal.

By Lemma 1, we may replace  $X^*$  by a Zariski open neighbourhood of  $x$  in  $X^*$  and suppose that  $X^* = \text{Spec } \mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_{\ell, \dots}]_{1 \leq k \leq n, \ell \geq 1}$  where  $f_k = \sum_{i=1}^{\infty} c_i^{(k)} T^i \in \mathbb{C}[[T]]$   
 $X = X_T^* = \text{Spec } \mathbb{C}[\frac{1}{T}, T, f_1, \dots, f_n]$  and  $x$  is the closed point of  $X^*$  corresponding to the maximal ideal generated by  $T$  in  $\mathbb{C}[T, f_1, \dots, f_n, \dots, (\text{Res } f_k)_{\ell, \dots}]_{1 \leq k \leq n, \ell \geq 1}$ .

By hypothesis  $x$  has a compact neighbourhood  $U \subset X_{\mathcal{C}}^*$  in the fine topology. The field of fractions of  $\mathbb{C}[T, \dots, f_i, \dots, (\text{Res } f_k)_{\ell, \dots}]$  is generated over  $\mathbb{C}$  by  $T, f_1, \dots, f_n$  and we may assume that  $T, f_1, \dots, f_m$  are algebraically independent over  $\mathbb{C}$ , and  $f_{m+1}, \dots, f_n$  are algebraic over the field  $\mathbb{C}(T, f_1, \dots, f_m)$ .  
 Let us denote  $Y^* = \text{Spec } \mathbb{C}[T, f_1, \dots, f_m, \dots, (\text{Res } f_k)_{\ell, \dots}]_{1 \leq k \leq m, \ell \geq 1}$

$Y = Y_T^* = \text{Spec } \mathbb{C}[\frac{1}{T}, T, f_1, \dots, f_m]$ ,  $y = Y^* - Y$  the point corresponding to the maximal ideal generated by  $T$  in  $\mathbb{C}[T, f_1, \dots, f_m, \dots, (\text{Rest } f_k)_\ell, \dots]$   $1 \leq k \leq m, \ell \geq 1$  and  $\gamma: X^* \longrightarrow Y^*$  the natural morphism of schemes given by the inclusion of rings. It is clear that  $y = \gamma(x)$ . Then, by Lemma 3, there exists a fine open neighbourhood of  $x$  in  $X^*$  which is homeomorphic with a fine open neighbourhood of  $y$  in  $Y^*$ . Since  $x \in X_\alpha^*$  has a compact neighbourhood, then  $y \in Y_{\alpha\ell}^*$  has also a compact neighbourhood.

We shall prove that this fact is not possible if  $m = \dim Y^* - 1 \geq 1$ .

Indeed, the compact neighbourhood of  $y$  contains a neighbourhood of the type:  $y$  and all  $\eta \in Y_{\alpha\ell}^*, \eta \neq y$ , satisfying some inequalities:

$$\begin{cases} |T(\eta)| \leq \varepsilon \\ \dots\dots\dots \\ |f_j(\eta)| \leq \varepsilon_j \\ \dots\dots\dots \\ |(\text{Rest } f_k)_\ell(\eta)| = \left| \left( \sum_{i=\ell+1}^{\infty} c_i^{(k)} T^{i-\ell} \right)(\eta) \right| = \left| \frac{f_k(\eta) - \sum_{i=1}^{\ell} c_i^{(k)} T(\eta)^i}{T(\eta)^\ell} \right| \leq \varepsilon_{k\ell} \\ \dots\dots\dots \end{cases}$$

where  $\varepsilon, \varepsilon_j, \varepsilon_{k\ell} > 0$  and  $j, k, \ell$  are finitely many indexes such that  $1 \leq j, k \leq m, \ell \geq 1$ . (Of course, we considered  $T, f_j, (\text{Rest } f_k)_\ell \in \Gamma(X_\alpha^*, \mathcal{O}_{X_\alpha^*})$  as functions on  $X_\alpha^*$ ). If we put  $(\text{Rest } f_k)_0 = f_k$ , then for all  $\ell \geq 0$ , we have  $(\text{Rest } f_k)_\ell(\eta) = [(\text{Rest } f_k)_{\ell+1}(\eta) + c_{\ell+1}^{(k)}] T(\eta)$ . Hence, by changing  $\varepsilon$ , we may suppose that the compact neighbourhood of  $y$  contains a neighbourhood  $K$  of the type:  $y$  and all  $\eta \in Y_{\alpha\ell}^*, \eta \neq y$ , satisfying some inequalities:

$$\begin{cases} |T(\eta)| \leq \varepsilon \\ \dots\dots\dots \\ |(\text{Rest } f_k)_\ell(\eta)| \leq \varepsilon_k \quad 1 \leq k \leq m, \ell_k \geq 1 \\ \dots\dots\dots \end{cases}$$

where  $\varepsilon, \varepsilon_k > 0$  and where for any  $k, 1 \leq k \leq m$ , we have a unique



inequality  $|(Res f_k)_{\ell_k}(\eta)| \leq \varepsilon_k$  (with  $\ell_k \geq 1$ ). Since  $K$  is closed in the fine topology, it follows that  $K$  is compact.

Let  $C \subset Y^*$  be the Zariski closed subset given by the equations  $f_k - \sum_{i=1}^{\ell_k} c_i^{(k)} T^i = 0$ ,  $1 \leq k \leq m$ . Since  $y \in C$  and  $y$  is a closed 1-codimensional point in  $Y^*$ ,  $\{y\}$  is an irreducible component of  $C$ . Then  $C' = C - \{y\}$  is a Zariski closed subset of  $Y^*$  and so  $C'_\alpha = \{\eta \in Y^*_\alpha \mid \eta \neq y, f_k(\eta) - \sum_{i=1}^{\ell_k} c_i^{(k)} T(\eta)^i = 0, \text{ for all } k, 1 \leq k \leq m\}$  is closed in  $Y^*_\alpha$  in the fine topology. Since  $C'_\alpha \subset Y^*_\alpha - \{0\} = (\text{Spec } \mathbb{C}[\frac{1}{T}, T, f_1, \dots, f_m])_\alpha \subset \mathbb{C}^{m+1}$  is a complex algebraic variety, the map  $\pi: \overline{D}_\varepsilon - \{0\} = \{z \in \mathbb{C} \mid z \neq 0, |z| \leq \varepsilon\} \longrightarrow C'_\alpha \cap K$ , defined by  $\pi(t) = (t, \sum_{i=1}^{\ell_1} c_i^{(1)} t^i, \dots, \sum_{i=1}^{\ell_m} c_i^{(m)} t^i) \in \mathbb{C}^{m+1}$ , establishes a homeomorphism between  $\overline{D}_\varepsilon - \{0\}$  and  $C'_\alpha \cap K$ . Since  $C'_\alpha \cap K$  is compact, it follows  $\overline{D}_\varepsilon - \{0\}$  is compact, which is not true.

Therefore  $m=0$  and so  $\dim X^* = \dim X = 1$ .

Q.E.D.

### §3. THE MAIN RESULT

In this section we shall establish the following

Theorem. Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$  and  $x \in X^*$  a closed point such that  $\mathcal{O}_{X^*, x}$  is noetherian. Then  $x$  has a Zariski open neighbourhood of finite type over  $\mathbb{C}$  iff  $x$  has a compact neighbourhood in the fine topology.

To prove Theorem we need the following

Lemma 5. Let  $i: X \hookrightarrow X^*$  be an open immersion of integral schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$  and  $x \in X^*$  such that  $\mathcal{O}_{X^*, x}$  is noetherian. Then the following assertions are equivalent:

- i)  $x$  has a Zariski open neighbourhood of finite type over  $\mathbb{C}$
- ii) if  $p: Y \rightarrow X^*$  is a finite morphism of schemes such that  $Y$  is integral, generically of finite type over  $\mathbb{C}$  and contains closed 1-codimensional points lying over  $x$ , then  $\dim Y = 1$ .

Proof. It is clear that  $i) \Rightarrow ii)$ , by [5], Lemma 1. We will prove  $ii) \Rightarrow i)$  by induction on  $\dim X^*$ . If  $\dim X^* = 1$ , then  $X^*$  is of finite type over  $\mathbb{C}$  (cf. [5], Th.3.1)).

Suppose  $\dim X^* > 1$  and let  $\{x\} = Z_0 \subset Z_1 \subset \dots \subset Z_n = X^*$  be a saturated chain of closed irreducible subsets. We have  $n \geq 2$ , since, otherwise,  $n=1$  and  $x$  is a closed 1-codimensional point of  $X$ ; hence, by ii),  $\dim X^* = 1$ , which is a contradiction. By changing  $Z_{n-1}$ , we may suppose that  $Z_{n-1} \cap X \neq \emptyset$ . In fact, in the local noetherian ring  $\mathcal{O}_{X^*, Z_{n-2}}$  of the <sup>integral</sup> subscheme  $Z_{n-2}$  in  $X^*$ , we have a maximal chain of prime ideals  $\underline{m} \supset \underline{p} \supset 0$  corresponding to  $Z_{n-2} \subset Z_{n-1} \subset X^*$ . By a theorem of McAdam (see [12], Prop.1),



we may find a maximal chain of prime ideals  $\mathfrak{m} \supset \mathfrak{p}' \supset 0$  such that  $\mathfrak{p}$  does not include the prime ideals of  $\mathcal{O}_{X^*, Z_{n-2}}$  corresponding to (finitely many) irreducible components of  $X^* - X$  containing  $Z_{n-2}$ . Then we may change  $Z_{n-1}$  and replace it by the closed irreducible subscheme of  $X^*$  corresponding to  $\mathfrak{p}'$ .

Therefore we can apply the induction hypothesis to  $Z_{n-1}$  (which contains the open  $\mathbb{C}$ -subscheme of finite type  $Z_{n-1} \cap X \neq \emptyset$ ), since  $\dim Z_{n-1} < \dim X^*$  and  $Z_{n-1}$  has still the property ii) of  $X^*$ . Then  $x$  has a Zariski open neighbourhood  $V$  in  $Z_{n-1}$ , of finite type over  $\mathbb{C}$ . Then  $\{x\} = Z_0 \cap V \subset Z_1 \cap V \subset \dots \subset Z_{n-1} \cap V = V$  is a saturated chain of closed irreducible subsets of  $V$  and so  $n-1 = \dim V = \dim Z_{n-1}$  (cf. [5], Lemma 1). Since  $\dim Z_{n-1} = \dim (Z_{n-1} \cap X) = \dim X - 1 = \dim X^* - 1$ , it follows that  $n = \dim X^*$ . Therefore all maximal chains of closed irreducible subsets of  $X^*$  <sup>passing through  $x$  have</sup> the same length.

If  $Z \subset X^*$  is any closed integral subscheme, passing through  $x$ , we can find a maximal chain  $\{x\} = Z_0 \subset Z_1 \subset \dots \subset Z_n = X^*$  of closed irreducible subsets of  $X^*$  such that  $Z = Z_k$  for certain  $k$ . Since  $0 = \dim. \text{al.}_{\mathbb{C}} K(Z_0) < \dim. \text{al.}_{\mathbb{C}} K(Z_1) < \dots < \dim. \text{al.}_{\mathbb{C}} K(Z_n) = \dim X^*$ , where  $K(Z_i)$  is the field of rational functions on the integral subscheme  $Z_i$ , (see [5], proof of Lemma 1), we have  $\dim Z_i = \dim. \text{al.}_{\mathbb{C}} K(Z_i) = i$ , since  $\dim. \mathcal{O}_{Z_{i+1}, Z_i} = \text{codim}_{Z_{i+1}} Z_i = 1$ . Therefore the generic point  $\zeta_i$  of  $Z_i$  has the following properties:  $\dim \mathcal{O}_{Z_{i+1}, \zeta_i} = 1$  and  $\dim. \text{al.}_k k(\zeta_i) = i$ , where  $k(\zeta_i) = K(Z_i)$  is the residue field of  $\zeta_i$ . By [5], Remark 1, applied for  $i = n-1, n-2, \dots, k$  to  $Z_i$ , it results that  $Z = Z_k$  is generically algebraic over  $\mathbb{C}$ . Hence by the induction hypothesis,  $x$  has a Zariski open neighbourhood in  $Z$ , which is of finite type over  $\mathbb{C}$ . Thus  $\mathcal{O}_{Z, x}$  is a ring essentially of finite type over  $\mathbb{C}$ .

It follows that for every prime ideal  $\mathfrak{p}$ ,  $0 \neq \mathfrak{p} \subset \mathcal{O}_{X^*, x}$

the ring  $\mathbb{C}_{X^*, x/p}$  is essentially of finite type over  $\mathbb{C}$ , and so universally jacobian. By a Lemma of Marot (see [11], Lemma 2), the integral closure of  $\mathbb{C}_{X^*, x}$  in its field of quotients is noetherian.

Therefore if  $p: X^{*N} \rightarrow X^*$  is the normalization morphism of  $X^*$ , then for all points  $x^N \in X^{*N}$  lying over  $x$ ,  $\mathbb{C}_{X^{*N}, x^N}$  is a noetherian ring. All points  $x^N \in X^{*N}$  lying over  $x$  are closed in  $X^{*N}$  but not of codimension one, since, otherwise, using the fact that there exist finitely many  $x^N \in X^{*N}$  lying over  $x$ , by a <sup>standard</sup> procedure one constructs an integral scheme  $Y$ , which is finite over  $X^*$  and dominating it, having closed 1-codimensional points lying over  $x$ ; by ii), it follows that  $1 = \dim Y = \dim X^*$ , which contradicts the assumption  $\dim X^* > 1$ .

Let  $x^N \in X^{*N}$  be a point lying over  $x$  and  $\mathfrak{m} = \mathfrak{p}_0 \supset \mathfrak{p}_1 \supset \dots \supset \mathfrak{p}_k = 0$  a maximal chain of prime ideals of  $\mathbb{C}_{X^{*N}, x^N}$ . Then  $k \geq 2$ . Let us denote by  $\{x\} = Z_0 \subset Z_1 \subset \dots \subset Z_k = X^{*N}$  the corresponding maximal chain of closed irreducible subsets. Since  $p|_{Z_{k-1}}: Z_{k-1} \rightarrow p(Z_{k-1})$  is integral,  $p(Z_{k-1}) \neq X^*$  and  $K(Z_{k-1}) \geq K(p(Z_{k-1}))$  is a finite extension (by [14], Theorem 33.10), it follows that  $x^N$  has a Zariski open neighbourhood  $W$  in  $Z_{k-1}$ , which is of finite type over  $\mathbb{C}$ , because  $x \in p(Z_{k-1})$  has this property. Then  $\{x\} = Z_0 \cap W \subset Z_1 \cap W \subset \dots \subset Z_{k-1} \cap W = W$  is a maximal chain of closed irreducible subsets of  $W$ , and so  $k-1 = \dim W = \dim Z_{k-1}$ . Since  $\mathbb{C}_{X^{*N}, Z_{k-1}}$  is noetherian, by changing  $Z_{k-1}$  we may assume that  $Z_{k-1} \cap p^{-1}(x) \neq \emptyset$  (in the same manner as above). Then  $\dim Z_{k-1} = \dim (Z_{k-1} \cap p^{-1}(x)) = \dim p^{-1}(x) - 1 = \dim Z_k - 1$ , since  $p^{-1}(x)$  is a  $\mathbb{C}$ -scheme of finite type and  $\text{codim}_{X^{*N}} Z_{k-1} = 1$ . Therefore  $k = \dim X^{*N}$ , and so  $\dim \mathbb{C}_{X^{*N}, x^N} = \dim X^{*N}$ . Then, by [5], Lemma 4, it follows that  $x^N$  has a Zariski open neighbourhood  $W_{x^N}$  of finite type over  $\mathbb{C}$ . Then

$\tilde{W} = X^* - p(X^{*N} - \bigcup_{x^N \rightarrow x} W_{x^N})$  is a Zariski open neighbourhood of  $x$ , of finite type over  $\mathbb{C}$ , since  $p^{-1}(\tilde{W}) \subseteq \bigcup_{x^N \rightarrow x} W_{x^N}$  and  $p|_{p^{-1}(\tilde{W})}: p^{-1}(\tilde{W}) \rightarrow \tilde{W}$  is finite.

Q.E.D.



Remark 6. Lemma 5 is true for an arbitrary base field.  $\square$

Proof of Theorem Suppose that  $x$  has a compact neighbourhood  $K$  in the fine topology. By restriction  $X^*$  to a Zariski open neighbourhood of  $x$ , we may assume that  $X^*$  is affine. Let  $p: Y^* \rightarrow X^*$  be a finite morphism of schemes such that  $Y^*$  is integral, contains an open subscheme  $Y$  of finite type over  $\mathbb{C}$  and a closed 1-codimensional point  $y$  lying over  $x$ . Then  $Y^*$  is a closed subscheme of a scheme of the type  $X^* \times_{\mathbb{C}} \text{Spec } \mathbb{C}[\tau_1, \dots, \tau_n]$  and so  $Y^*_{\mathcal{A}}$  is a closed topological subspace of  $X^*_{\mathcal{A}} \times \mathbb{C}^n$ , with respect to the fine topologies. Then  $(K \times \mathbb{C}^n) \cap Y^*_{\mathcal{A}}$  is a neighbourhood of  $y$  in  $Y^*_{\mathcal{A}}$ , and so there exists  $\varepsilon > 0$ , such that  $(K \times \bar{D}_{\varepsilon}) \cap Y^*_{\mathcal{A}}$  is still a neighbourhood of  $y$  in  $Y^*_{\mathcal{A}}$  in the fine topology, where  $\bar{D}_{\varepsilon} = \{z \in \mathbb{C} \mid |z| \leq \varepsilon\}$ ; moreover, it is compact. It is clear that  $\mathcal{O}_{Y^*, y}$  is noetherian, since  $\mathcal{O}_{X^*, x}$  is noetherian and  $Y^*$  is of finite type over  $X^*$ . Since  $y$  is a closed 1-codimensional point of  $Y^*$ , then  $\{y\}$  is an irreducible component of  $Y^* - Y$ . If  $Z$  is the union of all components of  $Y^* - Y$  different from  $\{y\}$ , and  $Y'^* = Y^* - Z$ , then  $Y$  is an open subscheme of  $Y'^*$  of finite type over  $\mathbb{C}$  and  $Y'^* - Y = \{y\}$ , where  $y$  is still a closed point of codimensional one in  $Y'^*$ . Applying Proposition 2 to the open immersion  $Y \hookrightarrow Y'^*$ , it follows  $\dim Y'^* = 1$ . Since  $Y'^*$  is an open subscheme of  $Y^*$ , we have  $\dim Y = \dim Y'^* = 1$  by [5], Lemma 1. From Lemma 5 it results that  $x$  has a Zariski open neighbourhood of finite type over  $\mathbb{C}$ .

Corollary 3 - Let  $f: X \rightarrow Y$  be a dominant morphism of schemes over  $\mathbb{C}$ , where  $X$  is of finite type over  $\mathbb{C}$ ,  $Y$  is reduced and  $y \in Y$  is a closed point such that  $\mathcal{O}_{Y, y}$  is noetherian. Then  $y$  has a Zariski open neighbourhood of finite type over  $\mathbb{C}$  iff  $y$  has a compact neighbourhood in the fine topology.

COROLLARY 4. Let  $f: X \rightarrow Y$  be a dominant morphism of schemes

over  $\mathbb{C}$  , where  $X$  is of finite type over  $\mathbb{C}$  and  $Y$  is noetherian.  
Then  $Y$  is of finite type over  $\mathbb{C}$  iff the fine topology of  $Y$  is locally compact.

We left to the reader to establish these consequences of the Theorem , using the fact that a  $\mathbb{C}$ -scheme dominated by a  $\mathbb{C}$ -scheme of finite type is generically of finite type (cf. [5], Lemma 5). From Corollary 4 results easy the following

Corollary 5. A noetherian subalgebra  $A$  of a  $\mathbb{C}$  - algebra of finite type is of finite type iff the Gel'fand topology on the set of all maximal ideals of  $A$  is locally compact.



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