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COMPLETE INTERSECTIONS

by

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MONODROMY AND BETTI NUMBERS OF WEIGHTED COMPLETE  
INTERSECTIONS

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Alexandru DIMCA

Let  $(X, 0)$  be an isolated singularity of complete intersection in  $\mathbb{C}^m$  defined by the weighted homogeneous polynomials  $f_i$  of degree  $d_i$  with respect to the positive integer weights  $\text{wt}(X_j) = w_j$  for  $i=1, \dots, p$  and  $j=1, \dots, m$ .

Let  $f: (X, 0) \rightarrow (\mathbb{C}, 0)$  be a function germ induced by a weighted homogeneous polynomial of degree  $d$  with respect to the weights  $\underline{w} = (w_1, \dots, w_m)$  such that  $(X_0, 0) = (f^{-1}(0), 0)$  is again an isolated singularity of complete intersection with  $n = \dim X_0 = \dim X - 1 \geq 1$ .

If  $\bar{X}_0$  denotes the Milnor fiber of the singularity  $(X_0, 0)$ , then there is a natural (complex) monodromy operator  $h: H^n(\bar{X}_0, \mathbb{C}) \rightarrow H^n(\bar{X}_0, \mathbb{C})$  associated to the function  $f$  [8].

In the first part of this note we show that this monodromy operator is diagonalisable and compute its characteristic polynomial

$$\Delta(\lambda) = \det(\lambda \cdot \text{Id} - h)$$

in terms of the weights  $\underline{w}$  and the degrees  $\underline{d} = (d_1, \dots, d_p)$  and  $d$ .

In the special case of Brieskorn-Pham singularities this result is due to Hamm [9], not to mention the case when  $X$  is smooth, treated already by Milnor and Orlik [11] and Brieskorn [2].

Our proof depends on the relation between the monodromy operator  $h$  and the Gauss-Manin connection of the function  $f$  (as

suggested by an example in Looijenga [10], p.166) and on the knowledge of the Poincaré series of  $\Omega_{X_0}^n / d\Omega_{X_0}^{n-1}$  computed by Greuel and Hamm [7].

In the second part we derive some topological consequences. Namely, there are two spaces naturally associated to the singularity  $(X, 0)$ : its link  $K = X \cap S$ , where  $S$  is the unit sphere in  $\mathbb{C}^m$  and the quasi-smooth weighted complete intersection  $Y$  defined by the polynomials  $f_i$  in the weighted projective space  $P(\underline{w})$  [4]. We show that the results in the first section allow one to compute the (middle) Betti numbers of  $K$  and  $Y$  in terms of  $\underline{w}, \underline{d}$ . Equivalently, we determine the rank of the intersection form of the Milnor lattice of  $(X, 0)$ .

We also prove that all the quasi-smooth weighted complete intersections of the same type  $(\underline{w}, \underline{d})$  are homeomorphic.

### 1. The monodromy operator

Let  $\mathcal{O}_K$  denote the  $\mathbb{C}$ -algebra of germs of holomorphic functions at the origin of  $\mathbb{C}^k$ ,  $I_X$  the ideal generated by  $f_1, \dots, f_p$  in  $\mathcal{O}_m$ . The weights  $\underline{w}$  give rise to a filtration on the  $\mathcal{O}_m$ -module  $\Omega_K^k$  of germs of holomorphic  $k$ -forms at the origin of  $\mathbb{C}^m$ , such that a monomial form

$$\varphi = x^a dx_{i_1} \wedge \dots \wedge dx_{i_k}$$

had degree  $\deg(\varphi) = \deg(x^a) + w_{i_1} + \dots + w_{i_k}$ , where  $\deg(x^a) = a_1 w_1 + \dots + a_m w_m$ .

This filtration induces a filtration (compatible with the derivations) on the stalk at the origin of the sheaf of holomorphic  $k$ -forms relative  $f$ :  $\Omega_f^k = \Omega_K^k / I_X \cdot \Omega_K^k = df_1 \wedge \Omega^{k-1} + \dots + df_p \wedge \Omega^{k-1} + df \wedge \Omega^{k-1}$ .

It is known that



(i)  $\Omega_f^n/d\Omega_f^{n-1}$  is (via  $f$ ) a free  $\mathcal{O}_1$ -module of rank  $\mu = \mu(X_0)$ , the Milnor number of  $(X_0, 0)$  [6], [10].

(ii)  $A := \Omega_f^n/d\Omega_f^{n-1} + (f)\Omega_f^n = \Omega_{X_0}^n/d\Omega_{X_0}^{n-1}$  is a  $\mu$ -dimensional vector space over  $\mathbb{C}$  with a natural grading  $A = \bigoplus_{k \geq 0} A_k$  coming from the above filtration. Moreover, the Poincaré series of  $A$

$$P(s) = \sum_{k \geq 0} (\dim A_k) s^k$$

is computed in [7] and in our case is given by

$$P(s) = \text{res}_{t=0} \frac{t^{-m+p}}{1+t} \left[ \prod_{i=1}^m \frac{1+ts^{w_i}}{1-s^{w_i}} \prod_{j=1}^{p+1} \frac{1-s^{d_j}}{1+ts^{d_j}} + t \right]$$

where  $d_{p+1} = d$ .

$$(iii) H^n(\Omega_f^\bullet) \subset \Omega_f^n/d\Omega_f^{n-1} \subset H^n(\Omega_f^\bullet)[u^{-1}]$$

where  $u$  denotes the coordinate on  $\mathbb{C}$  ([10], Proposition 8.24).

Our main result is the following.

Theorem 1. The complex monodromy operator  $h$  is diagonalisable and its eigenvalues are  $d$ -roots of the unity. The multiplicity of the root  $e^{2\pi i k/d}$  is

$$\sum_{j \equiv k \pmod{d}} \dim A_j = d^{-1} \sum_{s^d=1} P(s) s^{-k}.$$

Proof. Chose a homogeneous basis  $\varphi_1, \dots, \varphi_\mu$  for  $A$ . Then by

(i) they form a basis of  $\Omega_f^n/d\Omega_f^{n-1}$  over  $\mathcal{O}_1$ .

The vector field  $\eta = u \frac{d}{du}$  on  $(\mathbb{C}, 0)$  can be lifted to the vector

field  $\xi = d^{-1} \sum_{K=1, m} w_K x_K \frac{\partial}{\partial x_K}$  on  $(X, 0)$ .

The 1-parameter flow generated by  $\xi$  is obviously

$$F_t(x) = (e^{w_1 t/d} x_1, \dots, e^{w_n t/d} x_n).$$

The Lie derivative  $L_\xi$  is easy to compute for a homogeneous form  $\varphi$

$$L_\xi(\varphi) = \lim_{t \rightarrow 0} \frac{F_t^*(\varphi) - \varphi}{t} = \deg(\varphi) d^{-1} \varphi.$$

Using this and (iii), it follows that a (multivalued) horizontal section of  $R^n f_{*} \underline{C} \times \mathcal{O}_{\underline{C}}$  over  $\underline{C} \setminus \{0\}$  is given by  $u \mapsto u^{-\deg(\varphi)/d} \varphi$ .

Taking  $\varphi = \varphi_1, \dots, \varphi_\mu$  we get a frame in each fiber. Thus, if we put  $u = \rho e^{2\pi i \theta}$  and let  $\theta$  go from 0 to 1, then we find that the monodromy operator  $h$  multiplies  $\varphi_K$  with  $e^{2\pi i \deg(\varphi_K)/d}$ . This ends the proof of the Theorem.  $\square$

Example 2. Consider the simple space curve singularity  $X_0 = U_7: g_1 = x^2 + yz = 0, g_2 = xy + z^3 = 0$  corresponding to  $\underline{w} = (4, 5, 3)$  and  $\underline{d} = (8, 9) [5]$ .

Then a direct computation using the formula for  $P(s)$  given in (ii) shows that

$$P(s) = s^{14} + s^{13} + s^{11} + s^{10} + s^9 + s^8 + s^7.$$

Let  $\Delta_i(\lambda)$  be the characteristic polynomial of the monodromy operator of the function germ  $g_i: (\{g_j = 0\}, 0) \rightarrow (\underline{C}, 0)$  for  $i \neq j$ .

Then Theorem 1 gives us

$$\Delta_1(\lambda) = (\lambda^8 - 1)(\lambda + 1)^{-1}, \quad \Delta_2(\lambda) = (\lambda^9 - 1)(\lambda^2 + \lambda + 1)^{-1}$$



## 2. The Betti numbers of K and Y

Recall from the introduction the definition of the spaces K and Y associated to the singularity  $(X, 0)$ . Let  $K_0$  and  $Y_0$  be the similar spaces associated to the singularity  $(X_0, 0)$ .

Note first that K is a smooth compact oriented  $(2n+1)$ -dimensional manifold which is  $(n-1)$ -connected [8]. In particular, we have to determine only the middle Betti numbers  $b_n(K) = b_{n+1}(K)$ . On the other hand, it is known that

$$b_n(K) = \mu(X) - \text{rank } S$$

where S is the intersection form of the Milnor lattice of  $(X, 0)$  [10]. Hence we will get a procedure to compute rank S in terms of  $(w, d)$ . One of the applications of the computation of rank S is the estimation of the number of singularities which may occur on a fiber in a deformation of  $(X, 0)$  [3].

As to the projective variety Y, it is a V-variety and hence a  $2n$ -dimensional  $\mathbb{Q}$ -manifold [4].

The action of  $S^1$  on S given by

$$t \cdot (x_1, \dots, x_m) = (t^{w_1} x_1, \dots, t^{w_m} x_m)$$

leaves K invariant and  $K/S^1 = Y$ . For a point  $y = [x] = (x_1 : \dots : x_m) \in Y$  we define

$$w(y) = \text{g.c.d.} \{w_i; x_i \neq 0\}.$$

It follows easily that the isotropy group  $S_x^1$  of a point  $x \in K$  is precisely the group of  $w([x])$ -roots of the unit. In particular, if  $w(y)$  is constant for  $y \in Y$ , then Y is in a natural way a smooth

manifold ([1], p.72). We will say in this case that  $Y$  is strongly smooth. Note that  $Y$  can be a smooth algebraic variety without being strongly smooth!

First we show that the topology of a quasi-smooth complete intersection depends only on its type.

Proposition 3. Two quasi-smooth complete intersections  $Y_1$  and  $Y_2$  of the same type  $(\underline{w}, \underline{d})$  are homeomorphic. Moreover, if one of them is strongly smooth then so is the other and they are diffeomorphic.

Proof. Let  $P(\underline{w}, \underline{d})$  be the vector space of homogeneous polynomials of degree  $d$  with respect to  $\underline{w}$  and  $P = P(\underline{w}, d_1) \times \dots \times P(\underline{w}, d_p)$ .

The set

$$B = \left\{ (x, f) \in (\mathbb{C}^m \setminus \{0\}) \times P; f = (f_1, \dots, f_p), \text{rk} \left( \frac{\partial f_i}{\partial x_j}(x) \right) < p \right\}$$

where  $i=1, \dots, p$ ;  $j=1, \dots, m$  is an algebraic subset in  $(\mathbb{C}^m \setminus \{0\}) \times P$ .

Let  $U = P \setminus \text{pr}_2(B)$  and note that  $U$  is a Zariski open subset in  $P$ . Hence either  $U = \emptyset$  or  $U$  is a dense connected subset, which is what we assume from now on.

The set

$$Z = \left\{ (x, f) \in S \times U; f(x) = 0 \right\}$$

is a smooth manifold and the map induced by the second projection  $\pi: Z \rightarrow U$  is a proper submersion. There is a  $S^1$ -action on  $Z$  coming from the action on  $S$  defined above.

Next we need the following.

Lemma 4 (Equivariant Ehresmann fibration theorem).



Let  $p: E \rightarrow B$  be a proper submersion. If  $G$  is a compact Lie group acting on  $E$  such that all the orbits are contained in the fibers of  $p$ , then  $p$  is a locally trivial  $G$ -fibration.

[This means: for any  $b \in B$  there is an open set  $U \subset B$  with  $b \in U$  and an equivariant diffeomorphism  $f: p^{-1}(U) \rightarrow U \times F$ , where  $F = p^{-1}(b)$  and  $G$  acts on  $U \times F$  by the formula  $g \cdot (x, y) = (x, gy)$ , such that  $\text{pr}_1 \circ f = p$  ]

Proof. The usual proof of Ehresmann fibration theorem applies if we show that any vector field  $\eta$  on  $B$  can be lifted to an equivariant vector field  $\zeta$  on  $E$  (i.e.  $d_x L_g(\zeta(x)) = \zeta(L_g(x))$  for any  $x \in E$ ,  $g \in G$ , where  $L_g(x) = g \cdot x$ ).

Let  $\zeta_0$  be any lifting of  $\eta$ . Then

$$\zeta(x) = \int_{g \in G} (d_x L_g)^{-1} (\zeta_0(L_g(x))) dg$$

where  $dg$  is a normalized invariant Haar measure on  $G$ , is an equivariant lifting of  $\eta$ .  $\square$

From this lemma we obtain that the fibers of  $\pi$  are equivariantly diffeomorphic and this ends the proof of proposition 3.  $\square$

Corollary 5. If a two-dimensional quasi-smooth complete intersection is nonsingular, then any other quasi-smooth complete intersection of the same type is also nonsingular.

Proof. Use the fact that the local fundamental group is a topological invariant and that the singular points on a normal surface are precisely those with nontrivial local fundamental group [12].  $\square$

Now we give the basic result for the computation of the Betti numbers of  $K$  and  $Y$ . Let  $P^n$  be the usual projective  $n$ -space.

Proposition 6. (i) One has  $b_k(Y) = b_k(P^n)$  for  $k \neq n$  and  $b_n(Y) = b_n(K) + b_n(P^n)$ .

(ii) If  $Y$  is strongly smooth, then all the integer homology groups of  $Y$  are torsion free.

(iii) For  $n \geq 2$  one has

$$b_n(K) + b_{n-1}(K_0) = \dim \ker(h - \text{Id}).$$

Proof. The Smith-Gysin exact sequence in homology with  $\mathbb{C}$ -coefficients  $[1]$  associated to the action of  $S^1$  on  $K$  give the result (i).

When  $Y$  is strongly smooth we can use the Gysin sequence with  $\mathbb{Z}$ -coefficients and Poincaré duality over  $\mathbb{Z}$  to get (ii).

Comparing the Smith-Gysin exact sequences associated to the  $S^1$  actions on  $K$  and  $K_0$ , we find out that the morphism  $H_n(K_0) \rightarrow H_n(K)$  induced by inclusion is trivial for  $n \geq 2$ . The exact sequence of the pair  $(K, K_0)$  then gives

$$b_{n+1}(K) + b_n(K_0) = \dim H_{n+1}(K, K_0)$$

Finally, the exact sequence (1.8) in [8] shows that

$$\dim H_{n+1}(K, K_0) = \dim \ker(h - \text{Id}). \quad \square$$

Since  $\dim \ker(h - \text{Id})$  is equal to the multiplicity of 1 as a root of  $\Delta(\lambda)$ , this number can be computed using Theorem 1. Then one can compute  $b_n(K)$ ,  $b_n(Y)$  by descending induction on  $n = \dim Y$  as follows.



When  $n=0$ ,  $K$  is a disjoint union of circles (and  $Y$  a finite set of points), one for each irreducible branch of the curve  $X$ . The number of branches of  $X$  is computable in terms of the type  $(\underline{w}, d)$  as shown by Giusti [5], Chap. II.

When  $n=1$ ,  $Y$  is a smooth curve and there is a simple formula for its geometric genus  $p_g(Y)$  in terms of  $(\underline{w}, d)$  [4] (3.4.4). Hence  $b_1(K) = b_1(Y) = 2p_g(Y)$  is known in this case.

For  $n > 1$ , there exists a weighted homogeneous function  $f$  of degree  $d$ , where  $d$  is any common multiple of  $(w_1, \dots, w_m)$  such that  $X_0 = X \cap f^{-1}(0)$  is an isolated singularity of complete intersection (see for instance [5], (2.4), Chap. II). Then, using (iii) we can compute  $b_n(K)$  from the previously computed number  $b_{n-1}(K_0)$ .

When the defining equations  $f_1$  of the variety  $Y$  can be chosen such that the weighted complete intersections

$$Y_k: f_1(x) = \dots = f_k(x) = 0$$

are quasi-smooth for  $k=1, \dots, p$ , then one can use (and sometimes is simpler) increasing induction on  $n$  to compute  $b_n(K)$ .

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