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by

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## KILLING DIVISOR CLASSED BY ALGEBRAISATION

by

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O.Introduction

By singularity we mean any garm  $(\mathcal{X},0)$  of analytic space; throughout this paper all singularities are assumed to have dimension  $\geqslant 2$ . By algebraisation of a singularity  $(\mathcal{X},0)$  we mean a pair (X,0) where X is an affine complex algebraic variety and o  $\in X$  is a closed point such that  $(\mathcal{X},0)\simeq (X^{an},0)$  as analytic germs. By  $\begin{bmatrix} 9 \end{bmatrix}$  any complete intersection isolated singularity has an algebraisation (X,0); one can of course assume X normal. It was conjectured by  $\mathbb{J}.Kollar \begin{bmatrix} 7 \end{bmatrix}$  that any hypersurface isolated singularity of dimension  $\mathbb{Z}$  has an algebraisation (X,0) with  $\mathbb{C}l(X)=0$ . In  $\oint \mathbb{T}$  of this paper we will prove that at least one can "kill moduli" in  $\mathbb{C}l(X)$ , more precisely:

COROLLARY 1. Any complete intersection isolated singularity ( $\mathfrak{X}$ ,o) has an algebraisation (X,o) such that C1(X) is finitely generated.

Note that in the above corollary the divisor class

group  $\operatorname{Cl}(\mathcal{O}_{\mathfrak{X},0})$  is far from being finitely generated in general; for instance  $\longrightarrow$  the divisor classes on the vertex of a cone over a smooth irrational complete intersection curve in projective space depend on g moduli, where g is the genus of the curve. On the other hand by a theorem of Grothendieck[5] one has  $\operatorname{Cl}(\mathcal{O}_{\mathfrak{X},0})=0$  for any complete intersection isolated singularity  $(\mathfrak{X},0)$  of dimension  $\geqslant 4$ . This together with our Corollary 1 implies that any complete intersection isolated singularity of dimension  $\geqslant 4$  has an algebraisation  $(\mathfrak{X},0)$  such that  $\operatorname{Cl}(\mathfrak{X})=0$ .

In § 2 we take a closer look at the group Cl(X) appearing in Corollary 1 in the 2-dimensional case. We first associate to any embedding  $Y \subset A^n$  of a normal surface Y with finitely generated class group Cl(Y) two decompositions of Cl(Y)/torsion—into finite sets

$$Cl(Y)/torsion = \bigvee_{r} S_r = \bigvee_{d} F_d$$

where roughly speaking the  $S_r$ 's are the sets of classes of fixed length =r with respect to some canonical euclidian metric and the  $F_d$ 's are sets of classes of curves of fixed degree=d (see §2 for the precise definitions). For any r and d we can form the sums

We will prove that these sums can be killed, which may be interpreted as a symmetry property of Cl:

COROLLARY 2. One can choose X in Corollary 1 and

an embedding  $X \subset A^n$  such that all  $\sigma_{rd}$  vanish.

The method of proof of the above statements is to "move" inside sufficiently large linear subspaces contained in the contact orbit of the singularity and to consider the monodromy produced by this movement.

We are indebted to J.Kollar for his letter [7] which was the starting point of this investigation.

## 1. Killing moduli.

For any algebraic variety  $X^a$  let  $h^{po}(X)$  denote the Hodge number  $h^{po}(\overline{X})$  where  $\overline{X}$  is some smooth projective model of the function field of X; since  $h^{po}$  are birational invariants of smooth projective varieties, the definition above is correct. We will prove the following:

THEOREM. Any complete intersection isolated singularity  $(\pounds,o) \ \ has \ an \ algebraisation \ (X,o) \ \ with \ \ h^{po}(X)=0 \ \ for \\ 1\leqslant p\leqslant dim(X)-1.$ 

REMARK. If X is a normal algebraic variety then  $h^{1o}(X)=0$  iff Cl(X) is finitely generated. Indeed,  $Cl(X)\simeq Cl(U)$  where U is a Zariski open subsetiof a smooth projective variety  $\overline{X}$ . Now  $h^{1o}(\overline{X})=0$  iff  $Pic^{o}(\overline{X})=0$  hence (by the Neron-Severi theorem) iff  $Pic(\overline{X})$  is finitely generated and we are done. In particular Corollary 1 from  $\int 0$  follows from the above Theorem.

The rest of this  $\hat{g}$  is devoted to the proof of the Theorem. The key point will be a variation on an argument from [1].

Let's fix some notations. Put  $A=\{[t_1,\ldots,t_n]=\text{polynomial ring in } n \text{ variables, } \mathcal{O}=\{[t_1,\ldots,t_n]\}=\text{convergent power series ring in } n \text{ variables. The set of germs of analytic maps } (\mathbb{C}^n,0)\longrightarrow\mathbb{C}^s \text{ will be identified with } \mathcal{O}^s.$  The contact group [9] acting on  $\mathcal{O}^s$  will be denoted by  $\mathcal{K}$ .

Now for any finitely dimensional linear subspace L of  $t_1A+\ldots+t_nA$  let  $d_L$  be the maximum of the degrees of the polynomials in L and consider the injective C—linear map  $e:L\longrightarrow A[t_0]$  defined by  $e(F)=t_0F(t_1/t_0,\ldots,t_n/t_0)$ . Let  $IP_L$  be the projective space associated to e(L). Clearly  $IP_L$  is a linear subsystem of  $|O_{IP}(d_L)|$  where  $IP^n=Proj(A[t_0])$ . Call L a large linear space if the set-theoretic base locus of the linear system  $IP_L$  consists only of the point  $o=(1:0:\ldots:0)$  and if the associated rational map  $R_L:IP^n=\ldots:P_L$  is generically finite—to—one.

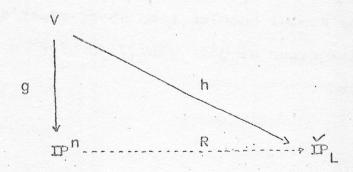
To prove the Theorem note that  $\mathcal{O}_{X,o} \simeq \mathcal{O}/(f_1,\ldots,f_s)$  where  $(f_1,\ldots,f_s) \in \mathcal{O}^s$  is some finitely determined germ [9]. So the Theorem will be proved if we prove the following lemmas:

LEMMA 1. If  $f \in \mathcal{O}^s$  is a finitely determined germ then there exists a large linear space L such that  $(\mathcal{K}f) \cap L^s$  contains an open Zariski subset of  $L^s$ .

LEMMA 2. If L is a large linear space then there exists an open Zariski subset  $\, U \,$  of  $\, L^{\, S} \,$  such that

for any 
$$(f_1, ..., f_s) \in U$$
,  $h^{po}(\operatorname{Spec}(A/(f_1, ..., f_s)))=0$  for  $1 \le p \le n-s-1$ .

Proof of lemma 2. By Hironaka's resolution there exists a diagram



where g is a birational projective morphism, V is smooth and h is everywhere defined. Put  $\mathcal{L}=h^*\mathcal{O}_{\widetilde{\mathbb{P}}_L}(1)$ . By Bertini's theorem [3, p.33] there exists a non-empty open Zariski subset D of  $(\mathbb{P}_L)^s$  such that for any  $(H_1,\dots,H_s)\in D$  the scheme-theoretic intersection

$$S = \int_{i=1}^{s} h^{-1}(H_i)$$

is smooth and connected. Let U be the preimage of D under the projection  $L^S \longrightarrow (\mathrm{IP}_L)^S$  and let  $f_1, \dots, f_S \in L$  be the polynomials corresponding to  $H_1, \dots, H_S$ . Then S is a smooth projective model of the function field of  $\mathrm{Spec}(A/(f_1,\dots,f_S))$ . Let's prove that  $h^{\mathrm{po}}(S)=0$  for  $1 \le p \le n-s-1$ . We have an exact sequence

$$\mathrm{H}^{\mathrm{p}}(\mathrm{V},\mathcal{O}_{\mathrm{V}}) \longrightarrow \mathrm{H}^{\mathrm{p}}(\mathrm{S},\mathcal{O}_{\mathrm{S}}) \longrightarrow \mathrm{H}^{\mathrm{p}+1}(\mathrm{V},\mathrm{J})$$

where J is the ideal sheaf of S on V. Since  $h^{po}(V) = h^{po}(\mathbb{T}^n) = 0$  for  $p \geqslant 1$  we only have to prove that  $H^q(V,J) = 0$  for  $q \leq n$ -s. Put  $E = (\mathcal{L}^{-1})^{\bigoplus s}$ ; we have the exact Koszul complex

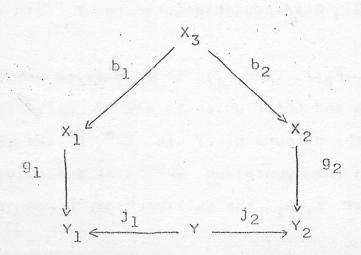
$$0 \rightarrow \bigwedge_{E} \xrightarrow{S} \dots \rightarrow \bigwedge_{E} \xrightarrow{S} \xrightarrow{E} \xrightarrow{S} \xrightarrow{J} \xrightarrow{J} \xrightarrow{J} \xrightarrow{J} 0$$

Since  $\bigwedge^i$  E are direct sums of negative powers of  $\mathscr L$  we have by the Grauert-Riemenschneider vanishing theorem [4] that  $H^q(V, \bigwedge^i E)=0$  for any  $i=1,\ldots,s$  and  $q=0,\ldots,n-1$ . Decomposing the Koszul complex into short exact sequences and applying induction we get  $H^q(V,J)=0$  for  $q \le n-s$  and we are done.

## 2. Killing Ord.

In this  $\oint$  we suppose  $\dim \mathcal{X}$  =2. We begin with some general constructions.

affine, First we will show that for any noemal algebraic surface Y whose class group Cl(Y) is finitely generated, there exists a "canonical "positive definite Q-bilinear form  $\psi$  on  $Cl(Y) \otimes Q$  . Indeed take an embedding  $j_1:Y \longrightarrow Y_1$ of Y into a normal projective surface Y<sub>1</sub> such that  $Y_1 \setminus Y$  is the support of an ample Eartier divisor  $D_1$  and take a desingularization  $g_1:X_1 \longrightarrow Y_1$ . We will define the bilinear form on  $\operatorname{Cl}(Y) \otimes \mathbb{Q}$  in terms of  $Y_1$  and  $X_1$ and then remark it actually depends only on Y. Since  $h^{10}(X_1)=0$  the intersection form  $\varphi$  on  $Pic(X_1) \otimes \mathbb{Q}$  is nondegenerate. Let M<sub>1</sub> be the kernel of the surjection  $Pic(X_1) \otimes \mathbb{Q} \longrightarrow Cl(Y) \otimes \mathbb{Q}$  . Since  $M_1$  contains an element x with  $\Psi(x,x) > 0$  (take for instance  $x=g_1^*D_1\otimes 1$ ) it follows by the Hodge index theorem that  $Pic(X_1) \otimes \mathbb{Q} =$  $=M_1 \oplus M_1$  and Y is negative definite on  $M_1$ . Identifying  $C1(Y) \otimes Q$  with  $M_1$  we define  $\psi_Y$  to be  $-\Psi$  restricted to  $M_1^\perp$  . To check independence of  $\psi_Y$  on  $Y_1$  and  $X_1$ take another compactification  $j_2:Y\longrightarrow Y_2$  and a desingularization  $g_2: X_2 \longrightarrow Y_2$  and let  $M_2$  be the corresponding kernel. There exists a smooth projective surface  $x_3$  and birational morphisms  $b_1$  and  $b_2$  making the following diagram commute:



Using the fact that  $b_i$  are both compositions of blowing ups one immediately identifies the quadratic linear space  $M_i^\perp$  with the quadratic linear space  $N_i^\perp$  where  $N_i = \text{Ker}(\text{Pic}(X_3) \otimes \mathbb{Q} \longrightarrow \text{Cl}(Y_i) \otimes \mathbb{Q} \longrightarrow \text{Cl}(Y) \otimes \mathbb{Q}$ ). Finally it is easy to see that  $N_1 = N_2$  hence  $N_1^\perp = N_2^\perp$  and we are done.

So, for any normal algebraic surface Y whose class group is finitely generated we have a canonical decomposition

$$Cl(Y)/torsion = \bigvee_{r} s_{r}$$

where  $S_r = \{ \alpha; \ \psi_Y(\alpha, \alpha) = r \}$  are obviously finite.

Now for any closed embedding of Y above into an affine space  $Z\!A^n$  one can associate another decomposition

(which will depend on the embedding) as follows. It makes sense to speak of the degree  $\deg(C)$  of a curve C on Y: it is the degree of its projective closure  $\overline{C}$  in  $\mathbb{P}^n \supset \angle A^n$ . Define  $\overline{F}_d \subset \mathrm{Cl}(Y)/\mathrm{torsion}$  by

$$F_d = \{ \alpha = cl(C); C = irreducible curve on Y with deg(C) = d \}$$

and put  $F_d = \overline{F}_d \setminus (\overline{F}_1 \cup \overline{F}_2 \cup \ldots \cup \overline{F}_{d-1})$ . It is easy to see that  $F_d$  are finite sets and their union is all of Cl(Y)/torsion. Indeed let  $\overline{Y}$  be the closure of Y in  $\mathbb{P}^n$ ,  $\overline{D}$  the intersection of  $\overline{Y}$  with the hyperplane  $\mathbb{P}^{n-1}$  at infinity,  $Y_1$  the normalization of  $\overline{Y}$ ,  $D_1$  the pull-back of  $\overline{D}$  on  $Y_1$ ,

 $g:X_1 \longrightarrow Y_1$  a desingularization and  $D=g^*D_1$ . By [6, p.172]there is a very ample divisor on X1 of the form H= =kD+ $\sum a_i E_i$  where  $k \gg 1$  and  $E_i$  are irreducible curves contracted by g. In particular the image of H in Cl(Y) is zero. Since any divisor R on X, may be writen as  $R \sim C - mH$  where C is an irreducible curve and m is an integer we get that any class in Cl(Y) may be represented by an irreducible curve. To see that F<sub>d</sub> are finite note that for any irreducible curve G on X, we have  $(G.H)=k \cdot deg(u(G)) + \sum a_i(G.E_i)$  where  $u:X_1 \longrightarrow IP^n$  is the canonical morphism. Furthermore for any i,  $(G.E_i) \leq$  $\leq$  deg(u(G)). It follows that the strict transforms on X, of irreducible curves on Y of bounded degree have still bounded degree with respect to H, consequently by the theory of the Chow variety there are finitely many of them up to algebraic equivalence and hence up to linear equivalence, since X<sub>1</sub> is a regular surface.

Our result in this f is the following:

PROPOSITION. Let L be a large linear space (see  $\S 1$ ). There exists a Zariski open subset  $U_0$  of  $L^S$ , a member  $f \in U$  and a representation

$$\rho: \mathcal{N} = \mathcal{N}_1(\mathsf{U},\mathsf{f}) \longrightarrow O(\mathsf{Cl}(\mathsf{X})/\mathsf{torsion}, \psi_\mathsf{X})$$

where X=Spec(A/( $f_1,\ldots,f_s$ )),  $f_=(f_1,\ldots,f_s)$  such that if  $Cl(X)/torsion = \bigvee F_d$  is the decomposition associated to the embedding X  $\subset$  Spec(A) then:

1.  $F_d$  is globally invariant under  $\mathfrak{N}$  for any  $d \geqslant 1$ .

2. The group of invariants  $(Cl(X)/torsion)^{3}$  vanishes.

In the above statement  $O(\operatorname{Cl}(\mathsf{X})/\operatorname{torsion}, \psi_{\mathsf{X}})$  denotes the orthogonal group of the lattice  $\operatorname{Cl}(\mathsf{X})/\operatorname{torsion}$  with respect to the restriction of  $\psi_{\mathsf{X}}$ . In particular if  $\bigcup S_r$  is the decomposition of the lattice into sets of vectors of fixed length then each  $S_r$  is globally invariant under  $\mathscr{X}$ . This together with the above proposition gives the vanishing of all  $\mathcal{O}_{\mathsf{rd}}$  in Corollary 2 from  $\mathcal{O}_{\mathsf{C}}$ .

Proof of the Proposition. We shall use the notations from the proof of Lemma 2,  $\beta$ 1. Let P be a sufficiently general (s+1)-dimensional linear subspace of L, let IPpc C IP, be the projective space associated to it and let B be the base locus of  ${
m I\!P}_{
m p}$  on V. By Bertini's theorem [3,p.33] again, B is smooth connected. Let b:W  $\longrightarrow$  V be the blowing up of V along B and let F be the exceptional locus of b. The rational map V -----> IPp Lifts then to a morphism, W  $\longrightarrow$   $\mathring{\mathbb{P}}_{p}$ . Let  $\lambda \in \mathbb{P}_{p}$  be a generic point of  $\mathbf{P}_{p}$  (in Weil's sense) over the common field of definition of our varieties and morphisms, write  $\lambda$  as an intersection of s hyperplanes in  $\overset{\smile}{\mathrm{IP}}_{\mathrm{p}}$  and lift these hyperplanes to s hyperplanes in IP, corresponding to polynomials  $f_1, \dots, f_s \in L$ ; put  $f = (f_1, \dots, f_s)$ . Now if U is as in the proof of Lemma 2 and Sc V corresponds to f then the construction from Lemma 2 clearly yelds a monodromy representation  $\theta: \mathcal{T}_1(\mathsf{U},\mathsf{f}) \longrightarrow \mathsf{O}(\mathsf{H}^2(\mathsf{S},\mathsf{Z}),\varphi)$ . We claim that if  $\eta: \mathcal{T}'=\mathcal{T}_1(U',\lambda) \longrightarrow O(H^2(S,\mathbb{Z}), \varphi)$  is the monodromy representation defined by the family W ---> IPp (where U' is the Zariski open subset of IPp above which  $W\longrightarrow \mathring{\mathbb{P}}_{\mathbb{P}}$  is smooth) then  $\operatorname{Im}(\theta)\supseteq\operatorname{Im}(\eta);$  in particular

any  $\mathcal{T}$ -invariant element is  $\mathcal{T}'$ -invariant. Indeed put  $Y=w^{-1}(0)\subset L^S$  where  $w:L^S\longrightarrow \bigwedge L$  is the canonical map. There is an obvious morphism  $(U\setminus Y)\cap P^S\longrightarrow U'$  which is a locally-trivial fibration with connected fibres hence the map  $\mathcal{T}_1((U\setminus Y)\cap P^S,f)\longrightarrow \mathcal{T}_1(U',\lambda)$  is surjective and we are done.

Now since S is regular,  $\operatorname{Pic}(S)=\operatorname{Im}(\operatorname{H}^1(S,\mathcal{O}^*) \longrightarrow \operatorname{H}^2(S,\mathbb{Z}))$  and since f is generic it follows by the theory in [10] that the above subgroup of  $\operatorname{H}^2(S,\mathbb{Z})$  is a  $\mathcal{M}$ -submodule hence  $\mathcal{N}$  induces a representation  $\mathcal{N}:\mathcal{N}\longrightarrow \operatorname{O}(\operatorname{Pic}(S),\mathcal{N})$ . To show that  $\mathcal{N}$  induces a representation  $\mathcal{N}$  as in the statement of the proposition it is sufficient to see that  $\mathcal{M}$  and  $\mathcal{M}$ -submodule. Let  $\mathcal{N}$  be the projective closure of  $\mathcal{N}$  in  $\mathcal{N}$  and  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$  be the projective closure of  $\mathcal{N}$  in  $\mathcal{N}$  and  $\mathcal{N}$ -submodule components of the exceptional locus of  $\mathcal{N}$  be the irreducible components of the exceptional locus of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$  be the irreducible components of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$ -submodule components of the exceptional locus of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule. Let  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule components of  $\mathcal{N}$ -submodule.

 $(cl(q^*H). Vcl(E_{ij})) = (Vcl(q^*H). Vcl(E_{ij})) = (q^*H.E_{ij}) = 0$ 

Since by the theory in  $\begin{bmatrix} 10 \end{bmatrix}$  again,  $cl(E_{ij})$  may be represented by an irreducible curve it follows that  $\mathcal{T}cl(E_{ij})=cl(E_{km})$  for some k and  $m_i$  in fact it is easy to see that in the above we must have i=k

To see that the Fd's are globally invariant take an irreducible curve D on Y of degree d and let  $D_1$  be its proper transform on S; we have  $(q^{x}H.D_1)=d.$ By [10] for any  $\mathcal{X} \in \mathcal{N}$  one may write  $\mathcal{X} \operatorname{cl}(D_1) = \operatorname{cl}(D_2)$ where Do is an irreducible curve on S suchthat  $D_1$  and  $D_2$  are algebraically equivalent as cycles on V; in particular, (q\*H.D2)=d and we are done.

Finally suppose  $\alpha \in (Cl(X)/torsion)^{\mathfrak{I}} \subseteq (Cl(X)/torsion)^{\mathfrak{I}}$ . Since  $Pic(S) \otimes Q = M \oplus M^{\perp}$  where  $M = M \otimes Q$  and  $M^{\perp}$  identifies with  $C1(X) \otimes \mathbb{Q}$  it follows that  $\overline{\alpha}$  may be viewed as an element lpha of Pic(S) $lpha \mathbb{Q}$  and is  $\mathcal{F}'$ -invariant with respect to the action  $\overline{\eta}$  . By Deligne's 2 it follows that  $\alpha \in Im(H^2(W, 7/2) \longrightarrow H^2(S, 7/2))$ . Since  $h^{10}(W) = h^{20}(W) = 0$ it follows that  $H^2(W, \mathbb{Z}) = Pic(W)$  which is spanned by  $cl(b^*g^*O(1))$ , cl(F) and  $cl(Z_i)$  so  $\alpha$  is a linear combination of  $cl(q^*H)$ , cl(B) and  $cl(E_{ij})$ . But now we are done because B  $\in$   $|\mathbb{O}_{\mathbb{S}} \otimes \mathcal{L}|$  and  $\mathcal{L}$  may be expressed again in terms of  $g^*\mathcal{O}(1)$  and the Zi's so we get  $\alpha = 0$ .

Let's close with three remarks:

1. The simplest non-trivial example of monodromy action as in the above Proposition is the following: take  $(\pounds, \circ) \subset (C^3, \circ)$  to be the analytic germ given by  $f_m = 0$  homogenous, where  $f_m$  is a nondegenerate polynomial of degree m=2 or 3. Then f =0 is m-determined; take L to be the large linear space of all polynomials  $\lambda f_m + \mu f_{m+1}$  where  $\lambda, \mu \in \mathbb{C}$  and  $f_{m+1}$  is an arbitrary homogenous polynomial of degree m+1. Generic singularities f=0 with  $f \in L$  contain m(m+1)lines  $D_1, \dots, D_{m(m+1)}$  through the origin whose union is given by the equations  $f_{m} = f_{m+1} = 0$  and which generate the

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class group of the affine surface  $\left\{f=0\right\}\subset\mathbb{C}^3$ . One sees immediately in this example that  $D_1+\ldots+D_m(m+1)$  is the complete intersection of f=0 with the Cartier divisor  $f_m=0$  so the class of the above sum vanishes in the class group. The monodromy clearly acts by permuting the lines.

- 2. Kollàr's conjecture remains open. Note that Kollàr proved his conjecture for certain rational double points using moduli of K3 surfaces. An example of non-rational singularity for which the conjecture holds is provided for instance by  $(f_3=0)c(C^3,0)$  where  $f_3$  is a generic homogenous polynomial of degree 3 [1].
- 3. The monodromy action we introduced in §2 is related with another action which naturally appears in this context, namely with the action of the contact group  $\mathcal K$  However the connection between the two actions is not an easy direct one; since this aspect is not relevant for our problem, we won't discuss it here.

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