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ISOMETRIC DILATIONS OF COMMUTING
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March 1985

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ISOMETRIC DILATIONS OF COMMUTING CONTRACTIONS. IV

Zoia CEAUSESCU and I. SUCIU

In this paper we continue the study developed in [4] of the set of all Ando dilations of a given pair of commuting contractions.

We show that any Ando dilation can be produced in a canonical way by an appropriate adequate isometry. Considering the labelling by choice sequences of the set of all adequate isometries we establish the obstructions in choice in order that the corresponding adequate isometry produces an Ando dilation (section 2). For a special class of Ando dilations we exhibit a system of free parameters which produces it (section 3). In section 1 we recall some known facts on adequate isometries (cf. [2], [3]). Considering only a particular case, of interest for our purpose, we give to the known general results a form which will be convenient in what follows.

1. Adequate isometries

For $j=1,2$ let T_j be a contraction on the Hilbert space H_j and let A be a contraction from H_1 to H_2 such that $AT_1=T_2A$. In [3] the concept of A-adequate isometry was introduced and used in the labelling of the set of all contractive intertwining dilations of A .

In this section we shall recall some results about A-adequate isometries in the particular case when $H_1=H_2=K_0$, $T_1=T_2=0_{K_0}$ - the null operator on K_0 and $A=V_0$ - a contraction on K_0 .

In this case a V_0 -adequate isometry is an isometry V on a Hilbert space K containing K_0 as a (closed) subspace such that

$$(1.1) \quad K = \bigvee_{n \geq 0} V^n K_0,$$

$$(1.2) \quad V_0 = P_{K_0}^K V|_{K_0}.$$

Hence, a V_0 -adequate isometry is in fact a minimal isometric dilation (not necessarily power dilation) of V_0 . Since the term "minimal isometric dilation" is generally used for the minimal power dilation, we adopt the term " V_0 -adequate isometry" in order to make this distinction. It is in concordance with the term introduced in [3] in the general context.

We say that two V_0 -adequate isometries (K, V) and (K', V') coincide if there exists a unitary operator X from K on K' such that $X|_{K_0} = I_{K_0}$ - the identity operator on K_0 , and $XV = V'X$.

The minimal isometric dilation $(\overset{\circ}{K}_0, \overset{\circ}{V}_0)$ of (K_0, V_0) is the unique (modulo the above coincidence) V_0 -adequate isometry for which K_0 is a semi-invariant subspace for $\overset{\circ}{V}$ i.e.

$$(1.3) \quad V_0^n = P_{K_0}^{\overset{\circ}{K}_0} \overset{\circ}{V}_0^n|_{K_0}.$$

In general, there are V_0 -adequate isometries which do not coincide with $(\overset{\circ}{K}_0, \overset{\circ}{V}_0)$.

We shall recall here some parametrizations of the set of all V_0 -adequate isometries which are particularizations to our situation of general results proved in [3], [2].

A sequence $\{(K_n, V_n)\}_{n \geq 1}$ will be called a generating sequence of V_0 -adequate isometry if it is defined recurrently by the formulas

$$K_n = K_{n-1} \oplus \mathcal{D}_{V_{n-1}}$$

(1.4)_n

$$V_n = \begin{bmatrix} V_{n-1} & D_{V_{n-1}}^* C_{n-1} \\ D_{V_{n-1}} & -V_{n-1}^* C_{n-1} \end{bmatrix}$$

where, for each $n \geq 1$, C_{n-1} is a contraction from $\mathcal{D}_{V_{n-1}}$ into $\mathcal{D}_{V_{n-1}}^*$. Clearly the string $\{(K_n, V_n)\}_{n=1}^P$ is well determined by the string $\{C_n\}_{n=0}^{P-1}$. We shall refer at the sequence $\{C_n\}_{n \geq 0}$ as a generating sequence of a V_0 -adequate isometry with the meaning that C_n appears in the form (1.4)_{n+1} of (K_{n+1}, V_{n+1}) .

Let (K_n, V_n) be a generating sequence of V_0 -adequate isometry. Considering $K_{n-1} \subset K_n$ in a natural way it is easy to see that, for $n \geq 2$, $V_n|_{K_{n-2}} = V_{n-1}|_{K_{n-2}}$. It results that there exists the inductive limit $(K, V) = \varinjlim (K_n, V_n)$ and (K, V) is a V_0 -adequate isometry.

With a natural notion of coincidence for the generating sequences of V_0 -adequate isometries we have

Proposition 1.1. The map $\{(K_n, V_n)\}_{n \geq 1} \xrightarrow{\quad} (K, V) = \varinjlim (K_n, V_n)$ is a bijective correspondence between the set of all generating sequences of V_0 -adequate isometries and the set of all V_0 -adequate isometries.

If (K, V) is a V_0 -adequate isometry than setting $K_n = \bigvee_{j=0}^n V^j K_0$ and $V_n = P_{K_n}^K V|_{K_n}$, it is easy to see that $\{(K_n, V_n)\}_{n \geq 1}$ is the generating sequence of V_0 -adequate isometry, corresponding to (K, V) .

A sequence $\{R_n\}_{n \geq 0}$ will be called a V_0 -choice sequence provided $R_0 = V_0$ and for $n \geq 1$ R_n is a contraction from $\mathcal{D}_{R_{n-1}}$ into

$$\mathcal{D}_{R_{n-1}}^*.$$

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For a V_0 -choice sequence $R = \{R_n\}_{n \geq 0}$ let us set

$$(1.5) \quad K = K(R) = K_0 \oplus D_{R_0} \oplus D_{R_1} \oplus \dots$$

and let V be the operator on K having, with respect to the decomposition (1.5) of K , the matrix

$$(1.6) \quad V = V(R) = \begin{bmatrix} R_0 & D_{R_0}^* R_1 & D_{R_0}^* D_{R_1}^* R_2 & \dots \\ D_{R_0} & -D_{R_0}^* R_1 & -R_0^* D_{R_1}^* R_2 & \dots \\ 0 & D_{R_1} & -R_1^* R_2 & \dots \\ \dots & \dots & \dots & \dots \end{bmatrix}$$

It is easy to see that (K, V) is a V_0 -adequate isometry.

Proposition 1.2. The map $R \rightarrow (K(R), V(R))$ is a bijective correspondence between the set of all V_0 -choice sequences and the set of all V_0 -adequate isometries.

Let (K, V) be a V_0 -adequate isometry, $\{(K_n, V_n)\}_{n \geq 1}$ be its generating sequence and $\{R_n\}_{n \geq 0}$ be the V_0 -choice sequence of (K, V) . We shall work freely with one of the three described above forms of (K_n, V_n) :

I. The non specified form

$$K_n = \bigvee_{j=0}^n V_j^n K_0$$

$$V_n = P_{K_n}^K V|_{K_0}$$

II - The recursive matricial form

$$K_n = K_{n-1} \oplus D_{V_{n-1}}$$

$$V_n = \begin{bmatrix} V_{n-1} & D_{V_{n-1}}^* & C_{n-1} \\ D_{V_{n-1}} & -V_{n-1}^* & C_{n-1} \end{bmatrix}$$

III - The matricial form

$$K_n = K_0 \oplus D_{R_0} \oplus \dots \oplus D_{R_{n-1}}$$

$$V_n = \begin{bmatrix} R_0 & D_{R_0}^* R_1 & D_{R_0}^* D_{R_1}^* R_2 & \dots & D_{R_0}^* \dots D_{R_{n-2}}^* R_{n-1} \\ D_{R_0} & -R_0^* R_1 & -R_0^* D_{R_1}^* R_2 & \dots & -R_0^* D_{R_1}^* \dots D_{R_{n-2}}^* R_{n-1} \\ 0 & D_{R_1} & -R_1^* R_2 & \dots & -R_1^* D_{R_2}^* \dots D_{R_{n-2}}^* R_{n-1} \\ \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & \dots & -R_{n-2}^* R_{n-1} \end{bmatrix}$$

The identification between forms I and II is made having in mind that

$$K_n = K_{n-1} \vee V K_{n-1} = K_{n-1} \oplus [V - V_{n-1}] K_{n-1}$$

and $\|(V - V_{n-1})k_{n-1}\| = \|D_{V_{n-1}} k_{n-1}\|$. The identifications between forms II and III is made having in mind that

$$\|D_{V_{n-1}} \begin{bmatrix} k_{n-1} \\ D_{V_{n-1}} \begin{bmatrix} k_{n-2} \\ \dots \\ \begin{bmatrix} 0 \\ D_{V_0} k_0 \end{bmatrix} \end{bmatrix} \end{bmatrix}\| = \|D_{R_{n-1}} \dots D_{R_0} k_0\|$$

Clearly (K, V) coincides to the minimal isometric dilation of V_0 if and only if $C_n = 0$ for any $n \geq 0$, or, equivalently, $R_n = 0$ for any $n \geq 1$.

Let now $\hat{V}_0$ be a contraction on the Hilbert space $\hat{K}_0$ and let

$K_0 \subset \hat{K}_0$ be invariant to $\hat{V}_0$. Denote $V_0 = \hat{V}_0|_{K_0}$. Let $(\hat{K}, \hat{V})$ be a $\hat{V}_0$ -adequate isometry. Denote

$$K = K_+ (K_0) = \bigvee_{n \geq 0} \hat{V}^n K_0, \quad V = V_+ (K_0) = V \upharpoonright K.$$

Then clearly (K, V) is a V_0 -adequate isometry. Let $\{(\hat{K}_n, \hat{V}_n)\}_{n \geq 1}$ and $\{(K_n, V_n)\}_{n \geq 1}$ be the generating sequences of $(\hat{K}, \hat{V})$ and (K, V) respectively. We have

$$K_n = \bigvee_{j=0}^n V^j K_0 = \bigvee_{j=0}^n \hat{V}^j K_0 \subset \bigvee_{j=0}^n \hat{V}^j \hat{K}_0 = \hat{K}_n.$$

If we denote by Z_n the inclusion of K_n into $\hat{K}_n$ then, for $n \geq 1$, Z_n has the recursive matricial form

$$(1.7)_n \quad Z_n = \begin{bmatrix} Z_{n-1} & a_{n-1} \\ 0 & b_{n-1} \end{bmatrix}$$

where a_{n-1} is the contraction from $\mathcal{D}_{V_{n-1}}$ into $\hat{K}_{n-1}$ defined by

$$(1.8)_n \quad a_{n-1} D_{V_{n-1}} \hat{K}_{n-1} = (\hat{V}_{n-1} Z_{n-1} - Z_{n-1} V_{n-1}) K_{n-1}, \quad k_{n-1} \in K_{n-1},$$

and b_{n-1} is the contraction from $\mathcal{D}_{V_{n-1}}$ into $\mathcal{D}_{\hat{V}_{n-1}}$ defined by

$$(1.9)_n \quad b_{n-1} D_{V_{n-1}} K_{n-1} = D_{\hat{V}_{n-1}} Z_{n-1} K_{n-1}, \quad k_{n-1} \in K_{n-1}.$$

Let us also note that

$$(1.10)_n \quad V_n = P_K^{K_n \hat{V}_n} \upharpoonright K_n$$

or, in recursive matricial form

$$V_n = Z_n^* \hat{V}_n Z_n.$$

If we consider the matricial forms of (K_n, V_n) and $(\hat{K}_n, \hat{V}_n)$ then the matrix of Z_n has not a simple form. However we have the following

Remark 1.1. Let $k_0 \in K_0$ and for $n \geq 1$ let $k_n = (k_0)_n$ be the element of K_n which in the matricial form of (K_n, V_n) is given by $0 \oplus \dots \oplus 0 \oplus D_{R_{n-1}} \dots D_{R_0} k_0$. Then in the recursive matricial form of (K_n, V_n) we have

$$(k_0)_1 = \begin{bmatrix} 0 \\ D_{V_0} k_0 \end{bmatrix}, \quad (k_0)_2 = \begin{bmatrix} 0 \\ D_{V_1} k_1 \end{bmatrix} \dots (k_0)_n = \begin{bmatrix} 0 \\ D_{V_{n-1}} k_{n-1} \end{bmatrix}.$$

It results

$$\begin{aligned} D_{V_n} Z_n k_n &= D_{V_n} Z_n \begin{bmatrix} 0 \\ D_{V_{n-1}} k_{n-1} \end{bmatrix} = D_{V_n}^A \begin{bmatrix} a_{n-1} D_{V_{n-1}} k_{n-1} \\ b_{n-1} D_{V_{n-1}} k_{n-1} \end{bmatrix} = \\ &= D_{V_n}^A \begin{bmatrix} 0 \\ b_{n-1} D_{V_{n-1}} k_{n-1} \end{bmatrix} = D_{V_n}^A \begin{bmatrix} 0 \\ D_{V_{n-1}}^A Z_{n-1} k_{n-1} \end{bmatrix} \end{aligned}$$

This implies that in the matricial form of $(\hat{K}_{n+1}, \hat{V}_{n+1})$ the element $\begin{bmatrix} 0 \\ D_{V_n}^A Z_n k_n \end{bmatrix}$ of $\hat{K}_{n+1}$ is given by $0 \oplus \dots \oplus \oplus D_{R_{n-1}}^A \dots D_{R_0}^A k_0$.

Let us end this section with the following simple but useful

Proposition 1.3. Let (K, V) be a V_0 -adequate isometry and let $\{(K_n, V_n)\}_{n \geq 1}$ be its generating sequence. Let H be a closed subspace of K_0 . Then the following are equivalent

- (i) $V[K \oplus H] \subset K \oplus H$
- (ii) $V_n[K \oplus H] \subset K_n \oplus H, \quad n \geq 0$

$$(iii) \begin{cases} V_0(K_0 \ominus H) \subset K_0 \ominus H \\ C_n D_{V_n} \subset D_{V_n}^* \overline{D_{V_n}^* H}, n \geq 0. \end{cases}$$

Proof. Since for $k_n \in K_n$, k_n is in $K_n \ominus H$ if and only if $k_n \in K \ominus H$ and because

$$V_{n+1} \begin{bmatrix} k_n \\ 0 \end{bmatrix} = V \begin{bmatrix} k_n \\ 0 \end{bmatrix}$$

We clearly have (i) $\Leftrightarrow$ (ii).

Since $0 \oplus D_{V_n} k_n \in K_{n+1} \ominus H$ from (ii) we obtain $V_{n+1} \begin{bmatrix} 0 \\ D_{V_n} k_n \end{bmatrix} \in K_{n+1} \ominus H$.

But

$$V_{n+1} \begin{bmatrix} 0 \\ D_{V_n} k_n \end{bmatrix} = \begin{bmatrix} D_{V_n}^* C_n D_{V_n} k_n \\ -V_n^* C_n D_{V_n} k_n \end{bmatrix}$$

and (iii) clearly results. A simple induction shows that (iii) $\Leftrightarrow$ (ii).

2. Ando dilations as adequate isometries

In what follows we shall denote by $(H, [T, S])$ a pair of commuting contractions T, S on the Hilbert space H .

We say that $(K, [U, V])$ is an Ando dilation of $(H, [T, S])$ provided K is a Hilbert space containing H as a closed subspace, U, V are two commuting isometries on K and

$$(2.1) \quad K = \bigvee_{n, m \geq 0} U^n V^m H$$

$$(2.2) \quad T^m S^n = P_H^K U^n V^m \big|_H, \quad n, m \geq 0.$$

Two Ando dilations $(K, [U, V])$ and $(K', [U', V'])$ of $(H, [T, S])$ coincide if there exists a unitary operator X from K on K' such that $X|_H = I_H$ and $XU = U'X$, $XV = V'X$.

Let us denote

$$K_0 = \bigvee_{n \geq 0} U^n H, \quad U_0 = U|_{K_0}, \quad V_0 = \bigvee_{K_0}^K V|_{K_0}.$$

It is clear that (K_0, U_0) is an identification of the minimal isometric dilation of T . It is easy to see that K_0 reduces U and V_0 is a contractive intertwining dilation of the commutant S of T . That is

$$U_0 V_0 = V_0 U_0, \quad S_{H_0}^{K_0} = P_H^{K_0} V_0.$$

We say that the Ando dilation $(K, [U, V])$ of $(H, [T, S])$ crosses through $(K_0, [U_0, V_0])$ if $(K_0, [U_0, V_0])$ is attached to $(K, [U, V])$ as above.

Since

$$K = \bigvee_{n, m \geq 0} U^n V^m H = \bigvee_{m \geq 0} V^m K_0$$

and $V_0 = P_{K_0}^K V|_{K_0}$ we conclude that (K, V) is a V_0 -adequate isometry.

For all what follows we shall fix $(K_0, [U_0, V_0])$ consisting from an identification (K_0, U_0) of the minimal isometric dilation of T and a contractive intertwining dilation V_0 of the commutant S of T .

We say that the V_0 -adequate isometry (K, V) produces an Ando dilation of $(H, [T, S])$ if there exists an isometry U on K such that $(K, [U, V])$ is an Ando dilation of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$.

The above considerations show that any Ando dilation of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$ is produced by a V_0 -adequate isometry. In [4] it was proved, in a slightly different terminology the following

Proposition 2.1. Let (K, V) be a V_0 -adequate isometry.
Then (K, V) produces an Ando dilation of $(H, [T, S])$ if and only
if the following conditions hold.

(i) If $\{C_n\}_{n \geq 0}$ is the generating sequence of (K, V) then

$$(2.3)_n \quad C_n D_{V_n} \subset D_{V_n}^* \ominus \overline{D_{V_n}^* H}$$

(ii) The formulas

$$(2.4)_n \quad \Gamma_n D_{V_n} = V_n U_n - U_n V_n, \quad n \geq 0$$

$$(2.5)_n \quad Y_n D_{\Gamma_n} D_{V_n} = D_{V_n} U_n, \quad n \geq 0$$

define a contraction Γ_n from D_{V_n} into $\ker U_n^*$ and an isome-
try Y_n from D_{Γ_n} into D_{V_n} . The operator U_n , $n \geq 1$, which appears
in $(2.4)_n$, $(2.5)_n$ is the isometry on K_n defined recursively,
according to $(2.4)_{n-1}$, $(2.5)_{n-1}$, by the matrix

$$(2.6)_n \quad U_n = \begin{bmatrix} U_{n-1} & \Gamma_{n-1} \\ 0 & Y_{n-1} D_{\Gamma_{n-1}} \end{bmatrix}$$

Remarks. Two V_0 -adequate isometries which produce coincin-
 ding Ando dilations of $(H, [T, S])$, coincide. If the V_0 -adequate
 isometry (K, V) produces the Ando dilation $(K, [U, V])$ of $(H, [T, S])$
 then U is uniquely determined by (K, V) .

If $(\hat{K}_0, \hat{V}_0)$ is the minimal isometric dilation of V_0 then
 it produces an Ando dilation $(\hat{K}_0, [\hat{U}_0, \hat{V}_0])$ of $(H, [T, S])$. We
 shall call $(\hat{K}_0, [\hat{U}_0, \hat{V}_0])$ the distinguished Ando dilation of
 $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$.

For an Ando dilation $(K, [U, V])$ of $(H, [T, S])$ let us denote
 by $(\hat{K}, [\hat{U}, \hat{V}])$ its minimal-unitary-isometric extension. This

means that $(\hat{K}, \hat{U})$ is the minimal unitary extension of (K, U) and $\hat{V}$ is an isometry on $\hat{K}$ which commutes with $\hat{U}$ and extends V . Such an extension always exists and it is unique. Let $\hat{K}_0 = \bigvee_{n \geq 0} \hat{U}^{*n} K_0$ and $\hat{U}_0 = \hat{U}|_{\hat{K}_0}$. Clearly $(\hat{K}_0, \hat{U}_0)$ is the minimal unitary extension of (K_0, U_0) and consequently the minimal unitary dilation of T . If $\hat{V}_0 = P_{\hat{K}_0} \hat{V}|_{\hat{K}_0}$ then $\hat{V}_0$ is the unique extension of V_0 to a commutant of $\hat{U}_0$.

Hence $(\hat{K}_0, [\hat{U}_0, \hat{V}_0])$ is uniquely determined by $(K_0, [U_0, V_0])$. Recall also that denoting $K_{*0} = \bigvee_{n \geq 0} \hat{U}_0^{*n} H$ then (cf. [6])

$$(2.7) \quad \hat{K}_0 = [K_{*0} \ominus H] \oplus H \oplus [K_0 \ominus H].$$

Clearly $(\hat{K}, \hat{V})$ is a $\hat{V}_0$ -adequate isometry.

We say that a $\hat{V}_0$ -adequate isometry $(\hat{K}, \hat{V})$ produces an Ando dilation for $(H, [T, S])$ if there exists a unitary operator $\hat{U}$ on $\hat{K}$ which extends $\hat{U}_0$ and commutes with $\hat{V}$ such that

$$(2.8) \quad T^n S^m = P_H \hat{U}^n \hat{V}^m|_H, \quad n, m \geq 0.$$

Setting $K = \bigvee_{n, m \geq 0} \hat{U}^n \hat{V}^m H$, $U = \hat{U}|_K$, $V = \hat{V}|_K$ then clearly $(K, [U, V])$ is an Ando dilation of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$ - the Ando dilation produced by $(\hat{K}, \hat{V})$. Since $K = \bigvee_{n, m \geq 0} V^n U_0^m H = \bigvee_{n \geq 0} V^n K_0$, clearly the V_0 -adequate isometry $(K, V) = (K_+ (K_0), V_+ (K_0^*))$ is attached to $(\hat{K}, \hat{V})$ and K_0 as in the preceding section and $(K, [U, V])$ is also produced by (K, V) .

From

$$\bigvee_{n \geq 0} \hat{U}^{*n} K = \bigvee_{n \geq 0} \hat{U}^{*n} \bigvee_{m \geq 0} V^m K_0 = \bigvee_{n \geq 0} V^n \bigvee_{m \geq 0} \hat{U}^{*m} K_0 = \bigvee_{n \geq 0} V^n \hat{K}_0 = \hat{K}$$

it results that $(\hat{K}, \hat{U})$ is the minimal unitary extension of (K, U)

Clearly then $(\hat{K}, [\hat{U}, \hat{V}])$ is the minimal unitary-isometric extension of $(K, [U, V])$.

We conclude that any Ando dilation of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$ is produced as above by a (uniquely determined) $\hat{V}_0$ -adequate isometry $(\hat{K}, \hat{V})$.

Suppose now that the $\hat{V}_0$ -adequate isometry $(\hat{K}, \hat{V})$ produces the Ando dilation $(K, [U, V])$. Let $(\hat{K}_n, \hat{V}_n)$ and (K_n, V_n) be the generating sequences of $(\hat{K}, \hat{V})$ and (K, V) respectively. Then clearly $\hat{K}_n$ is a reducing subspace for $\hat{U}$ and K_n is invariant for $\hat{U}$. Let $\hat{U}_n = \hat{U}|_{\hat{K}_n}$, $V_n = \hat{U}|_{K_n}$. We shall call $(\hat{K}_n, [\hat{U}_n, \hat{V}_n])$ and $(K_n, [U_n, V_n])$ the sequences of successive dilations of $(\hat{K}, [\hat{U}, \hat{V}])$ and $(K, [U, V])$ respectively. From

$$\bigvee_{j \geq 0} \hat{U}_n^{*j} \hat{K}_n = \bigvee_{j \geq 0} \hat{U}_n^{*j} \bigvee_{p=0}^n \hat{V}_n^p \hat{K}_0 = \bigvee_{p=0}^n \hat{V}_n^p \bigvee_{j \geq 0} \hat{U}_0^{*j} \hat{K}_0 = \bigvee_{p=0}^n \hat{V}_n^p \hat{K}_0 = \hat{K}_n$$

it results that $(\hat{K}_n, \hat{U}_n)$ is the minimal unitary extension of (K_n, U_n) . Since for any $k_n, g_n \in K_n$ we have

$$\begin{aligned} (U_n^{*j} V_n U_n k_n, g_n) &= (V_n U_n k_n, U_n g_n) = (V U K_n, U g_n) = (\hat{V} k_n, g_n) = \\ &= (V_n k_n, g_n) \end{aligned}$$

it results

$$(2.9)_n \quad U_n^{*j} V_n U_n = V_n.$$

That means that V_n is a U_n - Toeplitz operator, and because $P_{K_n} \hat{V}_n|_{K_n} = V_n$ we conclude that $\hat{V}_n$ is the symbol of the U_n -Toeplitz operator V_n . It is known then (cf. [5]) that we have

$$(2.10)_n \quad V_n = s\text{-}\lim_{p \rightarrow \infty} \hat{U}_n^* \hat{V}_n \hat{K}_n \hat{U}_n^p.$$

Note also that for $j \leq n$, $\hat{K}_j$ reduces $\hat{U}_n$ and in the matricial form of $(\hat{K}_n, \hat{V}_n)$, $\hat{U}_n$ is given by the diagonal matrix

$$(2.11)_n \quad \hat{U}_n = \begin{bmatrix} \hat{U}_0 & 0 & \dots & 0 \\ 0 & \hat{Y}_0 & \dots & 0 \\ \vdots & \vdots & \dots & \vdots \\ 0 & 0 & \dots & \hat{Y}_{n-1} \end{bmatrix}$$

where for $0 \leq j \leq n-1$, $\hat{Y}_j = \hat{U}_0 | \mathcal{D}_{R_j}^{\hat{U}}$, and $\hat{U}$ itself has the corresponding infinite diagonal matrix. From Proposition 2.1 it results that for the recursive matricial form of $(K_n, [U_n, V_n])$ the relations $(2.3)_n - (2.5)_n$ hold and U_n is given by the matrix $(2.6)_n$. We shall prove now the main result of this section

Theorem 1.1. Let $(\hat{K}, \hat{V})$ be a $\hat{V}_0$ -adequate isometry and $\{\hat{R}_n\}_{n \geq 0}$ be its $\hat{V}_0$ -choice sequence. Let $(K, V) = (K_+(K_0), V_+(K_0))$ be the corresponding V_0 -adequate isometry and $\{R_n\}_{n \geq 0}$ be its V_0 -choice sequence. Then $(\hat{K}, \hat{V})$ (and consequently (K, V)) produces an Ando dilation of $(H, [T, S])$ if and only if the following conditions hold.

(i) For any $n \geq 1$ we have

$$(2.12)_n \quad \hat{U}_0 \hat{R}_n = \hat{R}_n \hat{U}_0 | \mathcal{D}_{R_{n-1}}^{\hat{U}}.$$

(ii) For any $n \geq 1$, $k_0 \in K_0$, $k_{*0} \in K_{*0}$ we have

$$(2.13)_n \quad (\hat{R}_n \hat{D}_{R_{n-1}}^{\hat{U}} \dots \hat{D}_{R_0}^{\hat{U}} k_0, \hat{D}_{R_{n-1}}^{\hat{U}*} \dots \hat{D}_{R_0}^{\hat{U}*} k_{*0}) = (\hat{V}_{n-1}^2 S_{n-1} k_0, k_{*0})$$

where $S_0 k_0 = 0$ and for $n \geq 1$, S_n is the operator from K_0 into $\hat{K}_n$ given by

$$(2.14)_n \quad S_n k_0 = Z_n (0 \oplus \dots \oplus 0 \oplus \hat{D}_{R_{n-1}}^{\hat{U}} \dots \hat{D}_{R_0}^{\hat{U}} k_0).$$

Proof. Suppose that $(\hat{K}, \hat{V})$ produces an Ando dilation $(K, [U, V])$ of $(H, [T, S])$. Writting $\hat{V}_n$ and $\hat{U}_n$ in the matricial form given by $(1.6)_n$ and $(2.11)_n$ respectively, and using $\hat{U}_n \hat{V}_n = \hat{V}_n \hat{U}_n$ we obtain $(2.12)_{n-1}$.

From $V_{n+1} = P_{K_{n+1}}^{K_{n+1}} \hat{V}_{n+1} | K_{n+1}$ which in the recursive matricial form can be written as

$$V_{n+1} = Z_{n+1}^* \hat{V}_{n+1} Z_{n+1}$$

we obtain

$$(2.15)_n \quad Z_n^* (\hat{V}_n a_n + D_{\hat{V}_n}^* \hat{C}_n b_n) = D_{V_n}^* C_n.$$

From Proposition 2.1 we have $D_{\hat{V}_n}^* \hat{C}_n \hat{D}_{V_n} \subset K_n \oplus H$ and using

$(2.15)_n$ we obtain

$$(2.16)_n (D_{\hat{V}_n}^* \hat{C}_n \hat{D}_{V_n} Z_n k_n, h) = -(\hat{V}_n a_n D_{V_n} k_n, h) = -(\hat{V}_n^2 Z_n k_n, h) + (\hat{V}_n^2 k_n, h)$$

For $n=0$ it results

$$(2.16)_0 (D_{\hat{V}_0}^* \hat{C}_0 \hat{D}_{V_0} k_0, h) = -(\hat{V}_0^2 k_0, h) + (\hat{V}_0^2 k_0, h) = 0$$

which in the matricial form gives

$$(D_{R_0}^* \hat{R}_1 \hat{D}_{R_0} k_0, h) = 0$$

Since

$$(D_{R_0}^* \hat{R}_1 \hat{D}_{R_0} k_0, U_0^{*p} h) = (U_0^p D_{R_0}^* \hat{R}_1 \hat{D}_{R_0} k_0, h) = (D_{R_0}^* \hat{R}_1 \hat{D}_{R_0} U_0^p k_0, h) = 0$$

for any $p \geq 0$, we obtain $(2.13)_1$.

Suppose now $n \geq 1$. Since $V_n(K_n \ominus H) \subset K_n \ominus H$ (see Proposition 1.3) from (2.16)_n it results that for any $k_n \in K_n \ominus H$ we have

$$(D_{V_n}^{\wedge} C_n^{\wedge} D_{V_n}^{\wedge} Z_n k_n, h) = -(\wedge^2 Z_n k_n, h).$$

Since $U_n(K_n \ominus H) \subset K_n \ominus H$ we obtain

$$\begin{aligned} (D_{V_n}^{\wedge} C_n^{\wedge} D_{V_n}^{\wedge} Z_n k_n, U_n^{\wedge} p h) &= (U_n^{\wedge} D_{V_n}^{\wedge} C_n^{\wedge} D_{V_n}^{\wedge} Z_n k_n, h) = \\ &= (D_{V_n}^{\wedge} C_n^{\wedge} U_n^{\wedge} Z_n k_n, h) = (D_{V_n}^{\wedge} C_n^{\wedge} Z_n U_n^{\wedge} k_n, h) = \\ &= (\wedge^2 Z_n U_n^{\wedge} k_n, h) = (\wedge^2 U_n^{\wedge} Z_n k_n, h) = -(\wedge^2 Z_n k_n, U_n^{\wedge} p h). \end{aligned}$$

Hence

$$(2.17)_n \quad (D_{V_n}^{\wedge} C_n^{\wedge} D_{V_n}^{\wedge} Z_n k_n, k_{*0}) = -(\wedge^2 Z_n k_n, k_{*0}), \quad k_n \in K_n \ominus H, k_{*0} \in K_{*0}$$

Let now $k_0 \in K_0$ and denote by k_n the element of $K_n \ominus H$ which in the matricial form of (K_n, V_n) is given by $k_n = 0 \oplus \dots \dots \dots$
 $\dots \oplus 0 \oplus D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0$. We have

$$(\wedge_{n+1}^{\wedge} \begin{bmatrix} 0 \\ D_{V_n}^{\wedge} Z_n k_n \end{bmatrix}, k_{*0}) = (D_{V_n}^{\wedge} C_n^{\wedge} D_{V_n}^{\wedge} Z_n k_n, k_{*0}) = -(\wedge^2 Z_n k_n, k_{*0}).$$

But according to the Remark 1.1, in the matricial form of (K_{n+1}, V_{n+1}) the element $0 \oplus D_{V_n}^{\wedge} Z_n k_n$ of K_{n+1} is given by

$$0 \oplus \dots \oplus 0 \oplus D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} k_0.$$

It results

$$\begin{aligned} (D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} R_{n+1}^{\wedge} D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} k_0, k_{*0}) &= (\wedge_{n+1}^{\wedge} \begin{bmatrix} 0 \\ D_{V_n}^{\wedge} Z_n k_n \end{bmatrix}, k_{*0}) = \\ &= -(\wedge^2 Z_n k_n, k_{*0}) \end{aligned}$$

which is (2.13)_{n+1}.

We proved that $(2.12)_n$ and $(2.13)_n$, $n \geq 1$ are necessary conditions in order that $(\hat{K}, \hat{V})$ produces an Ando dilations.

Suppose now that for any $n \geq 1$, $(2.12)_n$ and $(2.13)_n$ hold. From $(2.12)_n$ it results that $(2.11)_n$ defines a unitary operator $\hat{U}_n$ on $\hat{K}_n$ which extends $\hat{U}_0$ and commutes with $\hat{V}_n$ and consequently we can construct the unitary extension $\hat{U}$ of $\hat{U}_0$ to $\hat{K}$ which commutes with $\hat{V}$. If

$$(2.18) \quad P_H^K V = D_H^K V P_H^K$$

holds then

$$\begin{aligned} P_H^K \hat{U}_n \hat{U}_m &= P_H^K V^n U_0^m = P_H^K V P_H^K V^{n-1} U_0^m = \\ &= P_H^K P_{K_0}^K V P_H^K V^{n-1} U_0^m = P_H^K P_{V_0}^K P_H^K V^{n-1} U_0^m = \dots = \\ &= P_H^K V^n U_0^m = S^n T^m h. \end{aligned}$$

So if (2.18) holds then $(\hat{K}, \hat{V})$ produces an Ando dilation of $(H, [T, S])$.

But (2.18) is equivalent to $V[K \ominus H] \subset K \ominus H$ and, according to Proposition 1.3 this is equivalent to $V_n(K_n \ominus H) \subset K_n \ominus H$, for any $n \geq 0$ and also equivalent to $D_{V_n}^* C_n D_{V_n} \subset K_n \ominus H$ for any $n \geq 0$. For $n=0$ we have

$$\begin{aligned} (D_{V_0}^* C_0 D_{V_0} k_0, h) &= (V_1 \begin{Bmatrix} 0 \\ D_{V_0} k_0 \end{Bmatrix}, h) = (Z_1^* V_1^* Z_1 \begin{Bmatrix} 0 \\ D_{V_0} k_0 \end{Bmatrix}, h) = \\ &= (V_1 \begin{Bmatrix} 0 \\ D_{V_0} k_0 \end{Bmatrix}, h) = (D_{R_0}^* R_1 D_{R_0} k_0, h) = 0 \end{aligned}$$

because of $(2.13)_1$.

Suppose now, by induction, that for some $n \geq 1$ we have $V_n(K_n \ominus H) \subset K_n \ominus H$. Then for any $k_n \in K_n \ominus H$ we have

$$\begin{aligned}
 (D_{V_n}^* C_n D_{V_n} k_n, h) &= (V_{n+1} \begin{bmatrix} 0 \\ D_{V_n} k_n \end{bmatrix}, h) = (Z_{n+1}^* \hat{V}_{n+1} Z_{n+1} \begin{bmatrix} 0 \\ D_{V_n} k_n \end{bmatrix}, h) = \\
 &= (\hat{V}_{n+1} \begin{bmatrix} a_n D_{V_n} k_n \\ b_n D_{V_n} k_n \end{bmatrix}, h) = (\hat{V}_n a_n D_{V_n} k_n, h) + (\hat{V}_{n+1} \begin{bmatrix} 0 \\ D_{V_n} Z_n k_n \end{bmatrix}, h) = \\
 &= (\hat{V}_n^2 Z_n k_n, h) + (V_n^2 k_n, h) + (\hat{V}_{n+1} \begin{bmatrix} 0 \\ D_{V_n} Z_n k_n \end{bmatrix}, h) = \\
 &= (\hat{V}_n^2 Z_n k_n, h) + (\hat{V}_{n+1} \begin{bmatrix} 0 \\ D_{V_n} Z_n k_n \end{bmatrix}, h)
 \end{aligned}$$

It K_n is the element of $K_n \ominus H$ which in the matricial form of (K_n, V_n) is given by $0 \oplus \dots \oplus 0 \oplus D_{R_{n-1}} \dots D_{R_0} k_0$ then using (2.13)_n we obtain

$$(D_{V_n}^* C_n D_{V_n} k_n, h) = (\hat{V}_n^2 Z_n k_n, h) + (D_{R_0}^* \dots D_{R_{n-1}}^* \hat{R}_n D_{R_{n-1}} \dots D_{R_0} k_0, h) = 0.$$

Since for $n \geq 1$ $\overline{D_{V_n} K_n} = D_{V_n} (0 \oplus \dots \oplus 0 \oplus D_{R_{n-1}})$ we obtain

$$D_{V_n}^* C_n D_{V_n} K_n \subset K_n \ominus H$$

which clearly implies $V_{n+1} (K_{n+1} \ominus H) \subset K_{n+1} \ominus H$ and the induction is complete.

This finishes the proof of the Theorem.

The conditions (2.12)_n and (2.13)_n, $n \geq 1$ describe all the obstructions on the $\hat{V}_0$ -choice sequence $\{\hat{R}_n\}_{n \geq 0}$ in order that the corresponding $\hat{V}_0$ -isometry $(\hat{K}, \hat{V})$ produces an Ando dilation $(\hat{K}, [\hat{U}, \hat{V}])$ of $(H, [T, S])$. They also suggest a recursive construction of $\{\hat{R}_n\}_{n \geq 0}$. Indeed if we denote

$$\begin{aligned}
 W_n &= \hat{U}_0 \left| \overline{D_{R_n}^* \dots D_{R_0}^* K_0} \right. \\
 W_{*n} &= \hat{U}_0^* \left| \overline{D_{R_n}^* \dots D_{R_0}^* K_{*0}} \right.
 \end{aligned}$$

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then W_n and W_{*n} are isometries. Let Q_n be the operator from $\overline{D_{R_n}^\wedge \dots D_{R_0}^\wedge K_0}$ into $\overline{D_{R_n}^{*\wedge} \dots D_{R_0}^{*\wedge} K_{*0}}$ defined by

$$(2.19)_n \quad (Q_n D_{R_n}^\wedge \dots D_{R_0}^\wedge k, D_{R_n}^{*\wedge} \dots D_{R_0}^{*\wedge} k_{*0}) = (\hat{V}_n^2 S_n k_0, k_{*0})$$

According to (2.13)_{n+1} Q_n is a contraction. We also have

$$(2.20)_n \quad Q_n W_n = W_{*n}^* Q_n$$

So $\hat{R}_{n+1}$ is a contractive intertwining dilation of the contraction Q_n which intertwines W_n and W_{*n}^* and can be constructed following the methods from intertwining dilation theory (cf. [2]). Unfortunately the choice of $\hat{R}_{n+1}$ as a contractive intertwining dilation of Q_n is not free. It has to be chosen such that Q_{n+1} defined by (2.19)_{n+1} be a contraction. It can happen that (2.13)_j holds for any $1 \leq j \leq n$ but the choice of $\hat{R}_{n+1}$ which satisfies (2.13)_{n+1} be impossible. An example in this sense is given in [4], III.

In [4], I we indirectly proved that if we start with $\hat{R}_1$ verifying (2.13)₁ and having sufficiently small norm we can produce a sequence $\{R_n\}_{n \geq 1}$ which verifies (2.13)_n for any $n \geq 1$.

In the next section we shall study a class of Ando dilation of $(H, [T, S])$ which cross through $(K_0, [U_0, V_0])$ for which, we can exhibit a system of free parameters which describe it.

3. U-diagonal Ando dilation

Let $(K, [U, V])$ be an Ando dilation of $(H, [T, S])$ and let $(K_n, [U_n, V_n])_{n \geq 0}$ be its sequence of successive dilations. We say that $(K, [U, V])$ is U-diagonal provided $U_n V_n = V_n U_n$ for any $n \geq 0$.

Since $\int_n D_{V_n} = V_n U_n - U_n V_n$ it results from Proposition 2.1

that $(K, [U, V])$ is U -diagonal if and only if for each $n \geq 1$ U_n has the diagonal form

$$U_n = \begin{bmatrix} U_{n-1} & 0 \\ 0 & Y_{n-1} \end{bmatrix}$$

where $Y_{n-1} D_{V_{n-1}} = D_{V_{n-1}} U_{n-1}$. Clearly then U itself has a diagonal matricial form.

Proposition 3.1. Let $(K, [U, V])$ be an Ando dilation of $(H, [T, S])$, $(\hat{K}, [\hat{U}, \hat{V}])$ be the minimal unitary - isometric extension of $(K, [U, V])$ and $\{\hat{K}_n, [\hat{U}_n, \hat{V}_n]\}_{n \geq 0}$ $\{K_n, [U_n, V_n]\}_{n \geq 0}$ be the corresponding sequences of successive dilations. The following assertions are equivalent.

- (i) $(K, [U, V])$ is U -diagonal.
- (ii) For each $n \geq 0$, $\hat{V}_n / K_n = V_n$.
- (iii) The recursive matricial form of the embedding Z_n of K_n into $\hat{K}_n$ is diagonal i.e.

$$Z_n = \begin{bmatrix} Z_{n-1} & 0 \\ 0 & b_{n-1} \end{bmatrix}$$

with $b_{n-1} D_{V_{n-1}} = D_{V_{n-1}} Z_{n-1}$.

Proof. Since according to (2.10)_n, for any $n \geq 0$ and $k_n \in K_n$ we have

$$\hat{V}_n k_n = \lim_{p \rightarrow \infty} \hat{U}_n^* \hat{V}_n \hat{U}_n^p k_n$$

clearly (i) $\Rightarrow$ (ii). If (ii) holds then for any $k_n \in K_n$ we have

$$(V U - U V) k_n = V U k_n - U V k_n = 0$$

hence (ii) $\Rightarrow$ (i).

Since in general

$$Z_n = \begin{bmatrix} Z_{n-1} & a_{n-1} \\ 0 & b_{n-1} \end{bmatrix}$$

with $a_{n-1} D_{V_{n-1}} K_{n-1} = (\hat{V}_{n-1} U_{n-1} - U_{n-1} V_{n-1}) K_{n-1}$ clearly (i) $\Leftrightarrow$ (ii).

Let us fix again $(K_0, [U_0, V_0])$ and consequently $(\hat{K}_0, [\hat{U}_0, \hat{V}_0])$ with the semnification given in the preceding section.

Theorem 3.1. Let $(\hat{K}, \hat{V})$ be a $\hat{V}_0$ -adequate isometry and let $\{R_n\}_{n \geq 0}$ be its $\hat{V}_0$ -choice sequence. Then $(\hat{K}, \hat{V})$ produces a U-diagonal Ando dilation of $(H, [T, S])$ if and only if for any $n \geq 1$ we have

$$(3.1)_n \quad \hat{U}_0 \hat{R}_n = \hat{R}_n \hat{U}_0 \mid \mathcal{D}_{R_{n-1}}$$

$$(3.2)_{n,0} \quad D_{R_{n-1}}^{\wedge*} \dots D_{R_0}^{\wedge*} \hat{R}_n D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} K_0 \subset K_0 \ominus H$$

$$(3.2)_{n,j} \quad \hat{R}_{j-1}^{\wedge*} D_{R_j}^{\wedge*} \dots D_{R_{n-1}}^{\wedge*} \hat{R}_n D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} K_0 \subset \overline{D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} K_0}, \quad 1 \leq j \leq n-1,$$

$$(3.2)_{n,n} \quad \hat{R}_{n-1}^{\wedge*} \hat{R}_n D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} K_0 \subset \overline{D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} K_0}.$$

Proof. Suppose that $(\hat{K}, \hat{V})$ produces the U-diagonal Ando dilation $(K, [U, V])$ of $(H, [T, S])$ and let $(\hat{K}, [\hat{U}, \hat{V}])$ be the minimal unitary - isometric extension of $(K, [U, V])$. From Proposition 3.1 it results that $\hat{V}_n \mid K_n = V_n$ and the embedding Z_n of K_n in $\hat{K}_m$ has a diagonal recurssive matricial form. It results that if we writte $\hat{K}_n$ as

$$\hat{K}_n = \hat{K}_0 \oplus \hat{D}_{R_0} \oplus \dots \oplus \hat{D}_{R_{n-1}}$$

then the subspace K_n of $\hat{K}_n$ is

$$K_n = K_0 \oplus \overline{D_{R_0}^* K_0} \oplus \overline{D_{R_1}^* D_{R_0}^* K_0} + \dots + \overline{D_{R_{n-1}}^* \dots D_{R_0}^* K_0}.$$

From

$$\hat{V}_n \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}} \dots D_{R_0} k_0 \end{bmatrix} = \begin{bmatrix} D_{R_0}^* \dots D_{R_{n-1}}^* \hat{R}_n D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \\ -\hat{R}_0^* D_{R_1}^* \dots D_{R_{n-1}}^* \hat{R}_n D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \\ \vdots \\ -\hat{R}_{n-2}^* D_{R_{n-1}}^* \hat{R}_n D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \\ \hat{R}_{n-1}^* \hat{R}_n D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \end{bmatrix}$$

and

$$\hat{V}_n \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \end{bmatrix} = V_n \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}}^* \dots D_{R_0}^* k_0 \end{bmatrix} \in K_n \ominus H$$

we obtain (3.2)_{n,j} for $0 \leq j \leq n$. The conditions (3.1)_n result as in the proof of Theorem 2.1.

Suppose now that for any $n \geq 1$, (3.1)_n and (3.2)_{n,j}, $0 \leq j \leq n$, hold. Using (3.1)_n we shall construct as in the proof of Theorem 2.1 the unitary operator $\hat{U}$ on $\hat{K}$ which extends $\hat{U}_0$ and commutes with $\hat{V}$. Let $(K, V) = (K_+ (K_0), V_+ (K_0))$ be the V_0 -adequate isometry attached to $\hat{K}, \hat{V}$ and $\hat{K}_0$ as in the first section and (K_n, V_n) be its generating sequence.

We shall prove first that

$$(3.3)_n \quad K_n = K_0 \oplus \overline{D_{R_0}^* K_0} \oplus \dots \oplus \overline{D_{R_{n-1}}^* \dots D_{R_0}^* K_0}$$

Since $K_{n+1} = K_n \vee V K_n$ proceeding by induction, it is sufficient to prove that for any $k_0 \in K_0$ we have

$$V \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \end{bmatrix} \in K_0 \oplus \overline{D_{R_0}^{\wedge} K_0} \oplus \dots \oplus \overline{D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} K_0}$$

But from (3.2)_{n,j}, 0 ≤ j ≤ n we have

$$V \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \end{bmatrix} = \frac{1}{V} \begin{bmatrix} \circ \\ \circ \\ \vdots \\ \circ \\ D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \end{bmatrix} =$$

$$= \begin{bmatrix} D_{R_0}^{\wedge*} \dots D_{R_{n-1}}^{\wedge*} \frac{1}{R_n} D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \\ - \frac{1}{R_0} D_{R_1}^{\wedge*} \dots D_{R_{n-1}}^{\wedge*} \frac{1}{R_n} D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \\ \vdots \\ - \frac{1}{R_{n-1}} \frac{1}{R_n} D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \\ D_{R_n}^{\wedge} D_{R_{n-1}}^{\wedge} \dots D_{R_0}^{\wedge} k_0 \end{bmatrix} \in K_0 \oplus \overline{D_{R_0}^{\wedge} K_0} \oplus \dots \oplus \overline{D_{R_n}^{\wedge} \dots D_{R_0}^{\wedge} K_0}$$

and (3.3)_n is proved for any n ≥ 1.

It results

$$(3.4) \quad K = K_0 \oplus \overline{D_{R_0}^{\wedge} K_0} \oplus \overline{D_{R_1}^{\wedge} D_{R_0}^{\wedge} K_0} \oplus \dots$$

From (3.4) and (3.2)_{n,0} we obtain $V[K \ominus H] \subset K \ominus H$ which together with the existence of $\hat{U}$ shows that $(\hat{K}, \hat{V})$ produces an Ando dilation $(K, [U, V])$ of $(H, [T, S])$. Using again (3.3)_n and (3.2)_{n,j}, 0 ≤ j ≤ n we obtain $\hat{V}_n|_{K_n} = V_n$ and by Proposition 3.1 we conclude that $(K, [U, V])$ is U-diagonal.

The proof of the Theorem is complete.

Based on Theorem 3.1 we shall give now a labelling by a system of free parameters of the set of all U-diagonal Ando dilations of $(H, [T, S])$ which cross through a fixed $(K_0, [U_0, V_0])$.

A sequence $\Theta = \left\{ [l_{n-1}, f_{n-1}, \oplus_n(\lambda)] \right\}_{n \geq 1}$ will be called

$(K_0, [U_0, V_0])$ -adequate sequence of analytic functions if its terms $[L_{n-1}, F_{n-1}, \Phi_n(\lambda)]$ are analytic functions defined in the open unit disc D of the complex plane, taking values contractions from the Hilbert space L_{n-1} into the Hilbert space F_{n-1} which are recurrently constructed following the procedure presented below:

Let us denote

$$(3.5)_0 \quad \hat{R}_0 = \hat{V}_0$$

and

$$(3.6) \quad \begin{aligned} L_0 &= \overline{D_{\hat{R}_0} K_0} \ominus \hat{U}_0 \overline{D_{\hat{R}_0} K_0}; \quad R_0 = \bigcap_{p \geq 0} \hat{U}_0^p \overline{D_{\hat{R}_0} K_0} \\ L_{*0} &= \overline{D_{\hat{R}_0}^* K_{*0}} \ominus U_0^* \overline{D_{\hat{R}_0}^* K_{*0}}; \quad R_{*0} = \bigcap_{p \geq 0} \hat{U}_0^{*p} \overline{D_{\hat{R}_0}^* K_{*0}} \end{aligned}$$

Then L_0 and L_{*0} are wandering subspaces for $\hat{U}_0$ and

$$(3.8)_0 \quad \begin{aligned} \overline{D_{\hat{R}_0} K_0} &= M_+(L_0) \oplus R_0 \\ \overline{D_{\hat{R}_0}^* K_{*0}} &= M_-(L_{*0}) \oplus R_{*0} \end{aligned}$$

when, for the wandering subspace L of the unitary operator U we denoted

$$M(L) = \bigoplus_{n \in \mathbb{Z}} U^n L, \quad M_+(L) = \bigoplus_{n \geq 0} U^n L, \quad M_-(L) = \bigoplus_{n \geq 0} U^{*n} L.$$

Let us denote

$$(3.9)_0 \quad \begin{aligned} D_0 &= \bigoplus_{p \geq 1} \hat{U}_0^{*p} L_0 = M(L_0) \ominus M_+(L_0) = \overline{D_{\hat{R}_0} K_0} \ominus \overline{D_{\hat{R}_0}^* K_{*0}} \\ D_{*0} &= \bigoplus_{p \geq 1} \hat{U}_0^p L_{*0} = M(L_{*0}) \ominus M_-(L_{*0}) = \overline{D_{\hat{R}_0}^* K_{*0}} \ominus \overline{D_{\hat{R}_0} K_0} \end{aligned}$$

and let $H_{0,0}$ be the contraction from $\mathcal{D}_{*0}$ into $\mathcal{D}_0$ defined by

$$(3.10)_{0,0} \quad H_{0,0} = P_{\mathcal{D}_0} \hat{R}_0^* | \mathcal{D}_{*0}.$$

Since we have

$$(3.11)_{0,0} \quad H_{0,0} \hat{U}_0 | \mathcal{D}_{*0} = P_{\mathcal{D}_0} \hat{U}_0 H_{0,0}$$

it results that the subspace $M_0 = \ker H_{0,0}$ of $\mathcal{D}_{*0}$ is invariant to $\hat{U}_0$. Since $\hat{U}_0 | \mathcal{D}_{*0}$ is a unilateral shift, $\hat{U}_0 | M_0$ will be a unilateral shift too. Hence we have

$$(3.12)_0 \quad M_0 = \ker H_{0,0} = M_+(F_0)$$

with

$$(3.13) \quad F_0 = M_0 \ominus \hat{U}_0 M_0.$$

We shall choose as the first term in our sequence
an arbitrary contractive analytic function $[L_0, F_0, \phi_1(\lambda)]$.

Let us remark that what is imposed in this choice are the subspaces L_0, F_0 (in fact only their dimensions) the choice of the parameter $\phi_1(\lambda)$ as a contractive analytic function from $\mathcal{D}$ into $L(L_0, F_0)$ being totally free.

Let us denote by $\hat{U}_{U,L} = \hat{U}_L$ the Fourier representation of the bilateral shift U on $M(L)$ as multiplication by coordinate function e^{it} on $L^2(L)$, i.e.

$$\Phi_L \sum_{n \in \mathbb{Z}} U^n \ell_n = \sum_{n \in \mathbb{Z}} e^{int} \ell_n, \ell_n \in L, \sum_{n \in \mathbb{Z}} \|\ell_n\|^2 < \infty.$$

Using (3.8)₀ we shall define the contraction $\hat{R}_1$ from $\mathcal{D}_{R_0}^1$ into $\mathcal{D}_{R_0}^{1*}$ by

$$(3.5)_1 \quad \hat{R}_1 = \Phi_{F_0}^* \hat{\Theta}_1 \Phi_{L_0} \oplus 0_{R_0}$$

where by $\hat{\Theta}_1$ we denoted the contraction from $L^2(L_0)$ into $L^2(F_0)$ given by the pointwise multiplication with the boundary values $\hat{\Theta}_1(e^{it})$ of $\hat{\Theta}_1(\lambda)$.

Let us mention the following properties of $\hat{R}_1$. From the definition (3.5)₁ it is clear that

$$(3.14)_1 \quad \hat{R}_1 \hat{U}_0 \big| \mathcal{D}_{R_0}^1 = \hat{U}_0 \hat{R}_1.$$

Using

$$\hat{R}_1 \overline{\mathcal{D}_{R_0}^1 K_0} \subset M_+(F_0) \subset \mathcal{D}_{*0}^1 = \mathcal{D}_{R_0}^{1*} \ominus \overline{\mathcal{D}_{R_0}^{1*} K_{*0}}$$

we obtain

$$(3.15)_{1,0} \quad (\hat{R}_1 \mathcal{D}_{R_0}^1 k_0, \mathcal{D}_{R_0}^{1*} k_{*0}) = 0, \quad k_0 \in K_0, \quad k_{*0} \in K_{*0}.$$

Note also that from $H_{0,0} \hat{R}_1 \mathcal{D}_{R_0}^1 K_0 = \{0\}$ it results

$$(3.15)_{1,1} \quad \overline{\mathcal{D}_{R_0}^{1*} \hat{R}_1 \mathcal{D}_{R_0}^1 K_0} \subset \overline{\mathcal{D}_{R_0}^1 K_0}$$

Let now $n \geq 1$. Suppose that, after the n^{th} step of our construction for any p , $0 \leq p \leq n-1$ we produced L_p , L_{*p} by (3.6)_p, $\mathcal{D}_p$, $\mathcal{D}_{*p}$ by (3.9)_p, $H_{p,j}$, $0 \leq j \leq p$ by (3.10)_{p,j}, M_p by (3.12)_p and F_p by (3.13)_p. Suppose that $[L_p, F_p, \Theta_{p+1}]$ was chosen as an arbitrary

trary contractive analytic function on $\mathcal{D}$ and $\hat{R}_{p+1}$ was constructed by (3.5)_{p+1}. Suppose also the relations (3.14)_{p+1} and (3.15)_{p+1,j} $0 \leq j \leq p+1$ hold.

We shall describe now the $(n+1)^{th}$ -step of our construction.

Define

$$(3.6)_n \quad \begin{aligned} L_n &= \overline{D_{R_n} \dots D_{R_0} K_0 \ominus U_0 D_{R_n} \dots D_{R_0} K_0}; R_n = \bigcap_{p \geq 0} U_0^p \overline{D_{R_n} \dots D_{R_0} K_0} \\ L_{*n} &= \overline{D_{R_n}^* \dots D_{R_0}^* K_{*0} \ominus U_0^* D_{R_n} \dots D_{R_0} K_{*0}}; R_{*n} = \bigcap_{p \geq 0} U_0^* \overline{D_{R_n}^* \dots D_{R_0}^* K_{*0}} \end{aligned}$$

We have

$$(3.7)_n \quad \begin{cases} \overline{D_{R_n} \dots D_{R_0} K_0} = M_+(L_n) \oplus R_n \\ \overline{D_{R_n}^* \dots D_{R_0}^* K_{*0}} = M_-(L_{*n}) \oplus R_{*n} \end{cases}$$

and

$$(3.8)_n \quad \begin{cases} \mathcal{D}_{R_n} = M(L_n) \oplus R_n \\ \mathcal{D}_{R_n}^* = M(L_{*n}) \oplus R_{*n} \end{cases}$$

Denote

$$(3.9)_n \quad \begin{aligned} \mathcal{D}_n &= \bigoplus_{p \geq 1} U_0^{*p} L_n = M(L_n) \ominus M_+(L_n) = \overline{D_{R_n} \ominus D_{R_n} \dots D_{R_0} K_0} \\ \mathcal{D}_{*n} &= \bigoplus_{p \geq 1} U_0^p L_{*n} = M(L_{*n}) \ominus M_-(L_{*n}) = \overline{D_{R_n}^* \ominus D_{R_n}^* \dots D_{R_0}^* K_{*0}} \end{aligned}$$

and let $H_{n,j}$, $0 \leq j \leq n$ be the contraction from $\mathcal{D}_{*n}$ to $\mathcal{D}_j$ defined by

$$(3.10)_{n,j} \quad H_{n,j} = \begin{cases} P_{D_j} \hat{R}_j^* D_{R_{j+1}}^* \dots D_{R_n}^* |_{D_{*n}}, & 0 \leq j \leq n-1 \\ P_{D_n} \hat{R}_n^* |_{D_{*n}}, & j=n. \end{cases}$$

Since for each j , $1 \leq j \leq n$, R_j is a contraction from $D_{R_{j-1}}^{\wedge}$ into $D_{R_{j-1}}^{\wedge*}$ we have $D_{R_j}^{\wedge} \subset D_{R_{j-1}}^{\wedge}$, $D_{R_j}^{\wedge*} \subset D_{R_{j-1}}^{\wedge*}$. Also $D_j \subset D_{R_j}^{\wedge}$, $D_{*j} \subset D_{R_j}^{\wedge*}$. Hence (3.10) $_{n,j}$ has sense for any j , $0 \leq j \leq n$, and defines a contraction $H_{n,j}$ from D_{*n} to D_j . Moreover it is easy to see that

$$(3.11)_{n,j} \quad H_{n,j} \hat{U}_0 |_{D_{*n}} = P_{D_j} \hat{U}_0 H_{n,j}, \quad 0 \leq j \leq n.$$

It results that the subspace $M_n = \bigcap_{j=0}^{\infty} \text{Ker } H_{n,j}$ of D_{*n} is invariant to $\hat{U}_0$ and $\hat{U}_0 |_{D_{*n}}$ being a unilateral shift, $\hat{U}_0 |_{M_n}$ will be a unilateral shift too. Hence we have

$$(3.12)_n \quad M_n =: \bigcap_{j=0}^n \text{Ker } H_{n,j} = M_+(F_n)$$

with

$$(3.13)_n \quad F_n = M_n \ominus \hat{U}_0 M_n$$

We shall choose as the $(n+1)$ th-term of our sequence an arbitrary contractive analytic function $[L_n, F_n \oplus_{n+1}(\lambda)]$.

Using (3.8) $_n$ we shall define the contraction $\hat{R}_{n+1}$ from D_{R_n} into $D_{R_n}^*$ by

$$(3.5)_{n+1} \quad \hat{R}_{n+1} = \hat{F}_n^* \hat{U}_{n+1} \hat{F}_n \oplus D_{R_n}$$

Clearly $\hat{R}_{n+1}$ verifies

$$(3.14)_{n+1} \quad \hat{R}_{n+1} \hat{U}_0 |_{D_{R_n}} = \hat{U}_0 \hat{R}_{n+1}$$

Using

$$\bigwedge_{R_{n+1}} D_{R_n} \dots D_{R_0} K_0 \subset M_+(F_n) \subset \mathcal{D}_{*n} = \mathcal{D}_{R_n}^{**} \oplus \overline{D_{R_n}^{**} \dots D_{R_0}^{**} K_0}$$

we obtain

$$(3.15)_{n+1,0} \quad \left(\bigwedge_{R_{n+1}} D_{R_n} \dots D_{R_0} K_0, D_{R_n}^{**} \dots D_{R_0}^{**} K_0 \right) \neq 0, \quad K_0 \in K_0, \quad K_0 \in K_{*0}.$$

$$\text{From } H_{n,j} \bigwedge_{R_{n+1}} D_{R_n} \dots D_{R_0} K_0 = 0, \quad K_0 \in K_0, \quad 0 \leq j \leq n$$

we obtain

$$(3.15)_{n+1,j+1} \quad \begin{cases} \bigwedge_{R_j} D_{R_{j+1}}^{**} \dots D_{R_n}^{**} \bigwedge_{R_{n+1}} D_{R_n} \dots D_{R_0} K_0 \subset \overline{D_{R_j}^{**} \dots D_{R_0}^{**} K_0}, & 0 \leq j \leq n-1 \\ \bigwedge_{R_n}^{**} \bigwedge_{R_{n+1}} D_{R_n} \dots D_{R_0} K_0 \subset \overline{D_{R_n}^{**} \dots D_{R_0}^{**} K_0}, & j=n \end{cases}$$

In this way we described completely the recursive construction of a $(K_0, [U_0, V_0])$ -choice sequence of analytic functions $\theta = \{ [L_{n-1}, F_{n-1}, \theta_n(\lambda)] \}_{n \geq 1}$. This construction also produces the V_0 -choice sequence $\{ \hat{R}_n \}_{n \geq 0} = \{ \hat{R}_n(\theta) \}_{n \geq 0}$. We shall call $\{ \hat{R}_n(\theta) \}_{n \geq 0}$ the V_0 -choice sequence canonically attached to the $(K_0, [U_0, V_0])$ -choice sequence of analytic functions $= \{ [L_{n-1}, F_{n-1}, \theta_n(\lambda)] \}_{n \geq 1}$.

We can state now the main result of this section.

Theorem 3.2. Let $\theta = \{ [L_{n-1}, F_{n-1}, \theta_n(\lambda)] \}_{n \geq 1}$ be a $(K_0, [U_0, V_0])$ -choice sequence of analytic function and let $\{ \hat{R}_n \}_{n \geq 0} = \{ \hat{R}_n(\theta) \}_{n \geq 0}$ be the V_0 -choice sequence canonically attached to θ . Then the $\hat{V}_0$ -adequate isometry $(\hat{K}, \hat{V}) = (\hat{K}(\theta), \hat{V}(\theta))$ corresponding to $\{ \hat{R}_n \}_{n \geq 0} = \{ \hat{R}_n(\theta) \}_{n \geq 0}$ produces a U-diagonal Ando dilation $(K, [U, V]) = (K(\theta), [U(\theta), V(\theta)])$ of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$. Moreover, the map $(K(\theta), [U(\theta), V(\theta)])$ is an one-to-one correspondence between the set of all

$(K_0, [U_0, V_0])$ - adequate sequences of analytic functions and the set of all U-diagonal Ando dilations of $(H, [T, S])$ which cross through $(K_0, [U_0, V_0])$.

Proof. Let $\theta = \{[L_{n-1}, F_{n-1}, \theta_n(\lambda)]\}_{n \geq 1}$ be a $(K_0, [U_0, V_0])$ -choice sequence of analytic functions and $\{\hat{R}_n\}_{n \geq 0} = \{\hat{R}_n(\theta)\}_{n \geq 0}$. Then the conditions $(3.14)_n$ and $(3.15)_{n,j}$, $0 \leq j \leq n$ hold for any $n \geq 1$. But $(3.14)_n$ is the same as $(3.1)_n$ and $(3.15)_{n,j}$, is the same as $(3.2)_{n,j}$, $0 \leq j \leq n$. From Theorem 3.1 it results that $(\hat{K}(\theta), \hat{V}(\theta))$ produces a U-diagonal Ando dilation.

So the map $\theta \rightarrow (K(\theta), [U(\theta), V(\theta)])$ is well defined and we can show easily that it is an injective map.

In order to prove that it is also a surjective map let $(K, [U, V])$ be a U-diagonal Ando dilation of $(H, [T, S])$ which crosses through $(K_0, [U_0, V_0])$ and let $(\hat{K}, [\hat{U}, \hat{V}])$ be its unitary-isometric extension. If $\{\hat{R}_n\}_{n \geq 0}$ is the $\hat{V}_0$ -choice sequence of $(\hat{K}, \hat{V})$ then from Theorem 3.1 it results that for any $n \geq 1$ the relations $(3.1)_n$ and $(3.2)_{n,j}$, $0 \leq j \leq n$, hold.

As in the recursive construction of $(K_0, [U_0, V_0])$ -choice sequences of analytic functions we shall produce all the elements defined by the formulas $(3.6)_n \xrightarrow{h} (3.13)_n$. The relations $(3.2)_{n,j}$, $0 \leq j \leq n$ imply

$$(3.16)_n \quad \hat{R}_n D \hat{R}_{n-1} \dots D \hat{R}_0 K_0 \leq M_+(F_{n-1})$$

Since $\hat{R}_{n-1}$ is reducing for $\hat{U}_0$ so will be $\hat{R}_n \hat{R}_{n-1}$ which together with $(3.16)_n$ implies $\hat{R}_n|_{\hat{R}_{n-1}=0}$. It results that there exists a contractive analytic function $[L_{n-1}, F_{n-1}, \theta_n(\lambda)]$ such that

$$\hat{R}_n = \hat{R}_{n-1}^* \hat{\theta}_n \hat{R}_{n-1} \oplus 0_{\hat{R}_{n-1}}$$

The resulting $\theta = \{[L_{n-1}, F_{n-1}, \theta_n(\lambda)]\}_{n \geq 1}$ is a $(K_0, [U_0, V_0])$ -

choice sequence of analytic functions and $\hat{R}_n = \hat{R}_n(\theta)$. Hence
 $(K, [U, V]) = (K(\theta), [U(\theta), V(\theta)])$.

The proof of the Theorem is complete.

Corollary 3.1. The distinguished Ando dilation $(K_o, [U_o, V_o])$ of $(H, [T, S])$ is the only U-diagonal Ando dilation of $(H, [T, S])$ which crosses through $(K_o, [U_o, V_o])$ if and only if either $L_o = \{0\}$ or $\text{Ker } H_{o,o} = \{0\}$.

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