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In this paper we consider the viscous fluid flow in the (small) canals of a rigid porous solid with periodic structure. We prove that if ε , the characteristic length of the period, is sufficiently small, then the Navier-Stokes problem has unique solution, in both evolution and stationary cases.

1. PRELIMINARIES

Let Ω be an open connected bounded set in \mathbb{R}^n , $n=2$ or 3 , locally located on one side of the boundary $\partial\Omega$, a $(n-1)$ -dimensional manifold of class C^2 , composed of a finite number of connected components. Let Γ be also a $(n-1)$ -dimensional manifold of class C^2 , composed of a finite number of connected components, included in $Y = [0, 1]^n$ and which separates Y into two sets, Y_s (the solid part) and Y_f (the fluid part), with the property that repeating Y by periodicity, the union of all fluid parts is connected in \mathbb{R}^n and of class C^2 . We also assume that if Γ crosses the boundary of Y , then these intersections are reproduced identically on opposite faces of Y . Thus, if $n=3$, it is possible for the union of all the solid parts to be also connected.

Defining $\varphi : \mathbb{R}^n \rightarrow Y$ by

$$\varphi(x_1, x_2, \dots, x_n) = (\{x_1\}, \{x_2\}, \dots, \{x_n\})$$

where $\{\cdot\}$ denotes the function which associates to any real number its fractional part, we say that a function f defined on \mathbb{R}^n is Y -periodic iff $f = f \circ \varphi$.

Further, for any $\varepsilon \in]0, 1[$ we denote

$$\begin{aligned}\varphi^\varepsilon(x) &= \varphi(x/\varepsilon), \quad x \in \mathbb{R}^n \\ \Omega_\varepsilon &= \{x \in \Omega \mid \varphi^\varepsilon(x) \in Y_f\} = \text{the fluid part of } \Omega \\ \Omega \setminus \overline{\Omega_\varepsilon} &= \{x \in \Omega \mid \varphi^\varepsilon(x) \in Y_s\} = \text{the solid part of } \Omega \\ \Gamma_\varepsilon &= \{x \in \Omega \mid \varphi^\varepsilon(x) \in \Gamma\} \\ (\partial\Omega)_\varepsilon &= \overline{\Omega_\varepsilon} \cap \partial\Omega\end{aligned}$$

Let us remark here that $\partial\Omega_\varepsilon = (\partial\Omega)_\varepsilon \cup \Gamma_\varepsilon$.

Let \mathcal{V}_ε be the space (without topology)

$$\mathcal{V}_\varepsilon = \left\{ v \in \mathcal{H}(\Omega_\varepsilon) \mid \operatorname{div} v = 0 \text{ in } \Omega_\varepsilon \right\}$$

We denote by H_ε and V_ε the closures of \mathcal{V}_ε in $L^2(\Omega_\varepsilon)$ and $H_0^1(\Omega_\varepsilon)$, respectively.

As usual the scalar products and norms in $L^2(\Omega)$ and $H_0^1(\Omega)$ are denoted respectively by (\cdot, \cdot) , $\|\cdot\|$ and $((\cdot, \cdot)), \|\cdot\|$. The norms in $L^p(\Omega)$ ($p \neq 2$) and $H^m(\Omega)$ will be denoted by $\|\cdot\|_p$ and $\|\cdot\|_m$. To the corresponding notations associated to Ω_ε , we attach the index ε (for instance the norm in $L^4(\Omega_\varepsilon)$ will be denoted by $\|\cdot\|_{4,\varepsilon}$).

In the sequel we shall prove that if ε is sufficiently small then the Navier-Stokes problem in the domain Ω_ε has unique solution. Although this result seems to be related to the classical "large \mathcal{V} , small f (and u_0)" case of uniqueness, neither it can be reduced to that, nor viceversa.

2. THE EVOLUTION CASE

For any $T > 0$, we consider the Navier-Stokes model of incompressible viscous fluids flows. That is, if the external force f , the initial velocity distribution u_0 and the kinematic viscosity ν are given, we have to find the velocity field u and the pressure p , satisfying in some senses the system:

$$(2.1) \quad \operatorname{div} u = 0 \quad \text{in } \Omega_\varepsilon \times]0, T[$$

$$(2.2) \quad \frac{\partial u}{\partial t} + (u \nabla) u - \nu \Delta u = f - \nabla p \quad \text{in } \Omega_\varepsilon \times]0, T[$$

and the boundary and initial conditions

$$(2.3) \quad u = 0 \quad \text{on } \partial \Omega_\varepsilon \times]0, T[$$

$$(2.4) \quad u(0) = u^0 \quad \text{in } \Omega_\varepsilon$$

The problem (2.1) - (2.4) has a well-known variational formulation:

Problem (E). For f , u_0 and ν given with

$$(2.5) \quad f \in L^2(0, T; V'), \quad u_0 \in H_\varepsilon, \quad \nu > 0$$

to find $u \in L^2(0, T; V_\varepsilon)$ satisfying (2.4) and

$$(2.6) \quad (u', v)_\varepsilon + \nu((u, v))_\varepsilon + b_\varepsilon(u, u, v) = \langle f, v \rangle_\varepsilon \quad (\forall) v \in V_\varepsilon$$

where b_ε is the trilinear continuous form on V defined by

$$(2.7) \quad b_{\varepsilon}(u, v, w) = \sum_{i,j=1}^3 \int_{\Omega_{\varepsilon}} (u_i \frac{\partial v_j}{\partial x_i} w_j) dx$$

Remark 2.1. If u is a solution of Problem (E) then $u' \in L^1(0, T; V'_{\varepsilon})$ and hence u is almost everywhere equal to a function continuous from $[0, T]$ into V'_{ε} . These are the senses of (2.4) and of u' in (2.6). For any $v \in V_{\varepsilon}$, we naturally define $v_{\varepsilon} \in V$ by

$$(2.8) \quad v_{\varepsilon} = \begin{cases} v & \text{in } \Omega_{\varepsilon} \\ 0 & \text{in } \Omega \setminus \Omega_{\varepsilon} \end{cases}$$

Thus the meaning of $\langle \cdot, \cdot \rangle_{\varepsilon}$ in (2.6) is

$$\langle f, v \rangle_{\varepsilon} = \langle f, v_{\varepsilon} \rangle_{(V', V)} = \langle f, v_{\varepsilon} \rangle.$$

One can prove (see for instance [2] Ch.III) that there exists a solution of Problem (E) which satisfies

$$(2.9) \quad u \in L^{\infty}(0, T; H_{\varepsilon})$$

and which is continuous between the weak topologies of $[0, T]$ and H_{ε} . Moreover, if $n=2$ then Problem (E) has a unique solution satisfying (2.9).

In this section, from now on we consider only the case $n=3$.

Exactly, like in [1] we can prove that

$$(2.10) \quad |u|_{\varepsilon} \leq C_1 \varepsilon \|u\|_{\varepsilon} \quad (\forall u \in H^1_0(\Omega_{\varepsilon}))$$

where C_1 is independent of ε and u .

Now let us consider the Sobolev inequality

$$(2.11) \quad |v|_6 \leq C_2 \|v\| \quad (\forall) v \in H_0^1(\Omega)$$

In order to obtain the corresponding Sobolev inequality in Ω_ε , for any $u \in H_0^1(\Omega_\varepsilon)$ we define $u_\varepsilon \in H_0^1(\Omega)$ like in (2.8). Then, taking $v = u_\varepsilon$ in (2.11) it follows

$$(2.12) \quad |u|_{6,\varepsilon} \leq C_2 \|u\|_\varepsilon \quad (\forall) u \in H_0^1(\Omega_\varepsilon)$$

Because $1/4 + 3/4 = 1$ and $1/4 = (1/4)/2 + (3/4)/6$, by the Holder inequalities we have

$$|u|_{4,\varepsilon} \leq |u|_\varepsilon^{1/4} |u|_{6,\varepsilon}^{3/4}$$

Getting (2.10) and (2.12) in it, we finally receive:

$$(2.13) \quad |u|_{4,\varepsilon} \leq C_0^{1/2} \varepsilon^{1/4} \|u\|_\varepsilon \quad (\forall) u \in H_0^1(\Omega_\varepsilon)$$

where C_0 is independent of ε and u . Now we can prove:

Theorem 2.1. If $f \in W_1^{(1)}(0, T; H)$ and $u_0 \in V_\varepsilon \cap H_2(\Omega_\varepsilon)$ such that $(\|u_0\|_\varepsilon + \|u_0\|_{2,\varepsilon})$ is essentially bounded with respect to ε , then for any $\varepsilon \in]0, 1[$ sufficiently small (this phrase will be specified during the proof by the estimates (2.18) and (2.27)) there exists a solution u of Problem (E) which satisfies

$$(2.14) \quad u \in L^\infty(0, T; V_\varepsilon) \text{ and } u' \in L^\infty(0, T; H_\varepsilon)$$

Proof. We apply the Galerkin method. As we already know (see [2] Ch. III) that the Galerkin approximation is converging strongly in $L^2(0, T; H_\varepsilon)$ to a solution of Problem (E), it

remains only to obtain the a priori estimates corresponding to (2.14)

After differentiating (2.6) with respect to t , we take $v=u'$; it yields

$$(2.15) \quad \frac{d}{dt} \|u'\|_{\varepsilon}^2 + 2b_{\varepsilon}(u', u, u') + 2\gamma \|u'\|_{\varepsilon}^2 = 2 \langle f', u' \rangle_{\varepsilon} \leq 2 \|f'\|_{\varepsilon} \|u'\|_{\varepsilon}$$

According to (2.13) we can estimate the non-linear term as follows:

$$(2.16) \quad |b_{\varepsilon}(u', u, u')| \leq \|u'\|_{4, \varepsilon}^2 \|u\|_{\varepsilon} \leq C_0 \varepsilon^{1/2} \|u'\|_{\varepsilon}^2 \|u\|_{\varepsilon}$$

In this way (2.15) becomes

$$(2.17) \quad \frac{d}{dt} (\|u'\|_{\varepsilon}^2 + 1) + (2\gamma - C_0 \varepsilon^{1/2} \|u\|_{\varepsilon}) \|u'\|_{\varepsilon}^2 \leq \|f'\|_{\varepsilon} (\|u'\|_{\varepsilon}^2 + 1)$$

Assuming that ε satisfies

$$(2.18) \quad 2\gamma - C_0 \varepsilon^{1/2} \operatorname{ess\,sup}_{\varepsilon \in [0, 1]} \|u_0\|_{\varepsilon} > 0$$

we deduce that there exists $T_* \in]0, T]$ such that

$$(2.19) \quad 2\gamma - C_0 \varepsilon^{1/2} \|u(t)\|_{\varepsilon} > 0 \quad \text{for a.a. } t \in [0, T_*],$$

and T_* is maximal with this property. Obviously, we want to prove that $T_* = T$.

Taking (2.19) into account, (2.17) becomes:

$$(2.20) \quad \frac{d}{dt} (\|u'\|_{\varepsilon}^2 + 1) \leq \|f'\|_{\varepsilon} (\|u'\|_{\varepsilon}^2 + 1)$$

Because of Gronwall's inequality, (2.20) implies

$$(2.21) \quad \left(\left| u'(t) \right|_{\varepsilon}^2 + 1 \right) \leq \left(\left| u'(0) \right|_{\varepsilon}^2 + 1 \right) \exp \left(\left\| f' \right\|_{L^1(0,T;H)} \right) \text{ a.e. on } [0, T_*]$$

Therefore we need an estimation of $\left| u'(0) \right|_{\varepsilon}$. For this let t tends to zero in (2.6) and choose $v = u'(0)$; it follows

$$(2.22) \quad \left| u'(0) \right|_{\varepsilon}^2 = \left(\Delta u_0, u'(0) \right)_{\varepsilon} - \left| u_0 \right|_{\infty, \varepsilon} \left\| u_0 \right\|_{\varepsilon} \left| u'(0) \right|_{\varepsilon} + \left(f(0), u'(0) \right)_{\varepsilon}$$

from which we derive

$$(2.23) \quad \left| u'(0) \right|_{\varepsilon} \leq C_3 (1 + \text{ess sup}_{\varepsilon \in]0,1[} \left\| u_0 \right\|_{\varepsilon}) \text{ess sup}_{\varepsilon \in]0,1[} \left\| u_0 \right\|_{2, \varepsilon} + \left| f(0) \right|$$

where C_3 is some constant. According to (2.23), from (2.21) it results that there exists a positive constant C_4 , independent of t and ε , such that

$$(2.24) \quad \left| u'(t) \right|_{\varepsilon} \leq C_4 \text{ a.e. on } [0, T_*]$$

Since $b_{\varepsilon}(u, v, v) = 0 \quad (\forall) \quad u, v \in V_{\varepsilon}$, if we set $v = u$ in (2.6) it yields

$$(2.25) \quad \forall \left\| u \right\|_{\varepsilon}^2 = \left(f, u \right)_{\varepsilon} - (u', u)_{\varepsilon} \leq (|f| + |u'|) |u|_{\varepsilon}$$

Using (2.10) and (2.24), we find from (2.25) that there exists a positive constant C_5 , independent of t and ε , such that

$$(2.26) \quad \left\| u(t) \right\|_{\varepsilon} \leq C_5 \varepsilon \text{ a.e. } [0, T_*]$$

Choosing ε to satisfy also

$$(2.27) \quad 2\gamma - C_0 \varepsilon^{1/2} C_5 > 0$$

we finally obtain

$$(2.28) \quad 2\nabla - C_0 \varepsilon^{1/2} \|u(t)\|_{\varepsilon} > 2\nabla - C_0 \varepsilon^{1/2} C_5 > 0 \quad \text{a.e. on } [0, T_*]$$

If we assume that $T_* < T$, then as t tends to T_* , from (2.28) we obtain that T_* is not the maximal element with the property (2.19). Hence $T_* = T$ and the estimations (2.24) and (2.26) imply (2.14).

Remark 2.2. If we continue u by zero in $\Omega \setminus \Omega_{\varepsilon}$, defining u_{ε} by (2.8), then from (2.26), using again (2.10), it follows that

$$(2.29) \quad \left\{ \frac{1}{\varepsilon^2} u_{\varepsilon} \right\}_{\varepsilon} \text{ is bounded in } L^{\infty}(0, T; H)$$

It seems that with (2.29) we can start the study of the homogenization process (as $\varepsilon \rightarrow 0$) of Problem (E) like in [1] and [3].

Corollary 2.1. Under the conditions of Theorem 2.1, Problem (E) has a unique solution in $L^{\infty}(0, T; H_{\varepsilon}) \cap L^{\delta}(0, T; L^4(\Omega_{\varepsilon}))$.

Proof. Because $V_{\varepsilon} \subseteq L^4(\Omega_{\varepsilon})$, then according to (2.9) and (2.14) it follows that Problem (E) has a solution in $L^{\infty}(0, T; H_{\varepsilon}) \cap L^{\delta}(0, T; L^4(\Omega_{\varepsilon}))$. But a solution of Problem (E) is surely unique with this property (see [2] Ch.III).

3. THE STATIONARY CASE

Naturally, for the stationary Navier-Stokes model of incompressible viscous fluid flow it is sufficient to ignore the time dependence. Then, for the given external force f and kinematic viscosity ν , we have to find the velocity field u and the pressure p , satisfying in some senses the system

$$(3.1) \quad \operatorname{div} u = 0 \quad \text{in } \Omega_\varepsilon$$

$$(3.2) \quad (u \nabla) u - \nabla \Delta u = f - \nabla p \quad \text{in } \Omega_\varepsilon$$

and the boundary condition

$$(3.3) \quad u = 0 \quad \text{on } \partial \Omega_\varepsilon$$

The problem (3.1) - (3.3) has the following variational formulation:

Problem (S). For f and ∇ given with

$$(3.4) \quad f \in V', \quad \nabla > 0$$

to find $u \in V_\varepsilon$, satisfying

$$(3.5) \quad \nabla((u, v))_\varepsilon + b_\varepsilon(u, u, v) = \langle f, v \rangle_\varepsilon \quad (\forall) v \in V_\varepsilon$$

where b_ε is defined by (2.7), and the meaning of $\langle f, v \rangle_\varepsilon$ is the same as in Remark 2.1.

One can prove (see for instance [2] Ch. II) that Problem (S) has at least one solution. In this section $n \in \{2, 3\}$ because there is no general uniqueness result.

In the stationary case we can prove straightly a uniqueness result similar to that of Corollary 2.1.

Theorem 3.1. If ε is sufficiently small so that

$$(3.6) \quad c_0 \varepsilon^{1/2} \|f\|_{V'} < \nabla^2$$

then there exists a unique solution of Problem (S).

Proof. Let u_1 be a solution of Problem (S). Then taking $v = u_1$ in (3.5) we obtain

$$(3.7) \quad \|u_1\|_{\varepsilon} \leq |f|_{V'} / \gamma$$

Let u_2 be a solution of Problem (S), possibly different from u_1 . If we subtract the equations (3.5) corresponding to u_1 and u_2 , and if we denote by $w = u_1 - u_2$, then we have

$$(3.8) \quad \gamma((w, v))_{\varepsilon} + b_{\varepsilon}(u_1, w, v) + b_{\varepsilon}(w, u_1, v) = 0 \quad (\forall) v \in V_{\varepsilon}$$

Since $b_{\varepsilon}(u, v, v) = 0 \quad (\forall) u, v \in V_{\varepsilon}$, for $v = w$ the relation (3.8) reduces to

$$(3.9) \quad \gamma \|w\|_{\varepsilon}^2 = -b_{\varepsilon}(w, u_1, w) \leq |w|_{4, \varepsilon}^2 \|u_1\|_{\varepsilon}$$

Using (2.13) and (3.7), from (3.9) it follows

$$(3.10) \quad (\gamma - C_0 \varepsilon^{1/2} |f|_{V'} / \gamma) \|w\|_{\varepsilon}^2 \leq 0$$

According to (3.6) it implies $\|w\|_{\varepsilon} = 0$, that is $u_1 = u_2$ in V .

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