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# Fields of AF-C\*-algebras on suspensions

by V. Nistor

## 1. Introduction

In a pioneering work J. Dixmier and A. Douady classified fields of elementary C\*-algebras ([12]). Fields of AF-C\*-algebras were considered by several authors, [1], [2], [10], [12], but the classification problem has not been solved completely.

If  $X = S^n$  then the isomorphism classes of homogeneous locally trivial fields of C\*-algebras with fiber A are in one-to-one correspondence with  $\pi_{n-1}(\text{Aut}(A))/\pi_0(\text{Aut}(A))$  ([15]) and  $\pi_k(\text{Aut}(A))$  has been computed for a large class of AF-C\*-algebras ([20], [26]). A similar device holds if  $(X, x_0)$  is a pointed compact connected CW-complex. If we denote by  $[X, \text{Aut}(A)]$  the set of homotopy classes of basepoint preserving mappings  $X \rightarrow \text{Aut}(A)$ ,  $\text{Aut}(A)$  being pointed by the identity automorphism, then isomorphism classes of homogeneous locally trivial fields of C\*-algebras with fiber A are in one-to-one correspondence with  $[X, \text{Aut}(A)]/\pi_0(\text{Aut}(A))$ .

The main result of this paper is the determination of  $[X, \text{Aut}(A)]$  up to an extension of groups if A is an AF-C\*-algebra satisfying certain technical conditions as in 4.1. We also determine the kernel of this extension.

The technique of proof generalizes the technique developed in [20] and the resulting exact sequence has close trends with the exact sequence of the Universal Coefficient Theorem for Kasparov's KK-groups, [24].

An important fact is that  $\text{Aut}^0(A) \rightarrow \text{End}^0(A)$  is a weak homotopy equivalence ([20]).

Let us suppose that  $A$  is simple and  $(X, x_0)$  is a  $H'$ -space ([27], chapter III) then our results are complete and give isomorphisms  $[X, \text{Aut}(A)] \xrightarrow{\sim} KK^0(A, C_0(X \setminus \{x_0\}, A))$  if  $1 \notin A$  and  $[X, \text{Aut}(A)] \xrightarrow{\sim} KK^1(A', C_0(X \setminus \{x_0\}, A))$  if  $1 \in A$ , here  $A$  denotes the mapping cone of the inclusion  $\mathbb{C} \rightarrow A$ .

The next section contains notational conventions.

Section 3 contains results about filtered modules, morphisms and extensions of filtered modules. The definitions and the results of this section are in the spirit of those in [20]. Their purpose is to give a satisfactory framework for the groups appearing in the exact sequence. The objects we introduce and the theorem we prove reduce to well known ones if the filtrations are trivial and this indeed happens if  $A$  is simple. The reader interested only in this case may very well skip this sections. Section four contains preliminary results concerning cancellation and comparability of projections. The results we obtain are crucial in turning K-theory data in homotopy information, they are in the spirit of the programs of [4] and [23]. Sections five and six contain the exact sequence in the general case and the determination of  $[X, \text{Aut}(A)]$  for  $A$  simple and  $X$  a  $H'$ -space. The last section contains a brief discussion of the Samelson product. It is proved that in general there exists no natural group structure on the set of isomorphism classes of locally trivial fields of  $C^*$ -algebras with fiber  $A$ . This contrasts with the results of J. Dixmier and A. Douady ([12]).



## 2. Notations and conventions

In this section we shall fix some notations and make some conventions to be used in the sequel.

$K_i, i \in \{0, 1\}$  will denote the K-theory functors  $([5], [25])$ .  $M(A)$  is the multiplier  $C^*$ -algebra of  $A$  ([21]). We shall denote by  $U(A)$  the set of those unitaries  $u$  in  $M(A)$  such that  $u^{-1} \in A$ .  $S$  is the suspension factor in the category of pointed topological spaces or in the category of  $C^*$ -algebras.

By ideal we shall mean closed two-sided ideal.

Let  $A, B$  be  $C^*$ -algebras, we shall denote by  $Hom(A, B)$  the set of all  $*$ -homomorphisms  $A \rightarrow B$  with the topology of pointwise norm convergence.

We shall denote by  $id_0$  the identity morphism of an object  $0$ .

$1$  denotes the unit of various  $C^*$ -algebras, if  $A$  has no unit,  $1$  denotes the unit of  $M(A)$ .

$\mathcal{K}$  is the  $C^*$ -algebra of compact operators on a separable Hilbert space.

If  $A$  is a  $C^*$ -algebra,  $A^+$  denotes the algebra with adjoint unit.

### 3. Filtered modules, morphisms and extensions of filtered modules

Let  $\Omega$  be a complete lattice,  $R$  a commutative ring with unit.

3.1. Definition An  $\Omega$ -filtered module  $E$  over a ring  $R$  is <sup>an</sup> left  $R$ -module  $E$  with a family of submodules  $(E_\omega)_{\omega \in \Omega}$  such that  $\omega \rightarrow E_\omega$  is a morphism of lattices. An  $\Omega$ -filtered  $\mathbb{Z}$ -module will be called simply an  $\Omega$ -filtered abelian group.

3.2. Let  $E, F$  be  $\Omega$ -filtered  $R$ -modules. A morphism  $f: E \rightarrow F$  such  $f(E_\omega) \subset F_\omega$  will be called compatible. The set of compatible morphisms  $f: E \rightarrow F$  will be denoted by  $\text{Hom}_{R, \mathcal{C}}(E, F)$ .

3.3. Let  $0 \rightarrow E \rightarrow F \rightarrow G \rightarrow 0$  be an exact sequence of  $\Omega$ -filtered  $R$ -modules with compatible morphisms.

Definition The above exact sequence will be called a compatible extension of  $G$  by  $E$  if  $E_\omega = F_\omega \cap E$  and  $E_\omega / F_\omega \rightarrow G_\omega$  is an isomorphism.

Two compatible extensions  $0 \rightarrow E \rightarrow F_j \rightarrow G \rightarrow 0, j \in \{0, 1\}$  are equivalent if there exists a commutative diagram of compatible morphisms

$$\begin{array}{ccccccc} 0 & \rightarrow & E & \rightarrow & F_0 & \rightarrow & G \rightarrow 0 \\ & & \parallel & & \downarrow & & \parallel \\ 0 & \rightarrow & E & \rightarrow & F_1 & \rightarrow & G \rightarrow 0 \end{array}$$

A compatible extension  $0 \rightarrow E \rightarrow F \xrightarrow{f} G \rightarrow 0$  will be called trivial if there exists a compatible morphism  $f_1: G \rightarrow F$  such that  $f \circ f_1 = \text{id}_G$ .

The pointed set of equivalence classes of compatible extensions of  $G$  by  $E$  will be denoted by  $\text{Ext}_{R, \mathcal{C}}(G, E)$ .



3.4. We want to show that  $\text{Ext}_{R,C}(G, E)$  is a group with the Baer sum as operation and with the trivial extension as neutral element.

Let  $g \in G$ . Denote by  $\Omega_g = \{\omega \in \Omega, g \in E_\omega\}$ .

Then  $\Omega_g$  is a complete sublattice of  $\Omega$ . Denote by  $\omega(g)$  the least element of  $\Omega_g$  and call it support of  $g$ .

For each  $g \in G, g \neq 0$  choose  $f(g) \in F_{\omega(g)}$  such that

$\rho(f(g)) = g$ , if  $0 \rightarrow E \rightarrow F \xrightarrow{\rho} G \rightarrow 0$  is a compatible extension.

Let  $f(0) = 0, \xi(g_1, g_2) = f(g_1) + f(g_2) - f(g_1 + g_2),$

$\xi(r, g) = rf(g) - f(rg)$ . Then  $(\xi, \zeta) \in Z_{R,C}^1(G, E)$  if we denote by

$Z_{R,C}^1(G, F)$  the group of pairs  $(\xi, \zeta), \xi: G \times G \rightarrow E,$

$\zeta: R \times G \rightarrow E$  satisfying:

$$(1) \xi(g_1, g_2) + \xi(g_1 + g_2, g_3) = \xi(g_1, g_2 + g_3) + \xi(g_2, g_3),$$

$$(2) \xi(g_1, g_2) = \xi(g_2, g_1),$$

$$(3) \xi(0, g) = \xi(g, 0) = 0, \quad (0, g) = (1, g) = 0,$$

$$(4) \xi(r_1 r_2, g) = \xi(r_1, r_2 g) + r_1 \xi(r_2, g)$$

$$(5) r \xi(g_1, g_2) = \xi(rg_1, rg_2) + \xi(r, g_1) + \xi(r, g_2) - \xi(r, g_1 + g_2)$$

$$(6) \xi(g_1, g_2) \in E_\omega, \xi(r, g) \in E_\omega \text{ for any } g_1, g_2, g \in G_\omega, r \in R, \\ (g, g_1, g_2 \in G, r, r_1, r_2 \in R).$$

Denote by  $B_{R,C}^1(G, E) \subset Z_{R,C}^1(G, E)$  the group of these pairs

$(\xi, \zeta) \in Z_{R,C}^1(G, E)$  such that

$$\xi(g_1, g_2) = e(g_1) + e(g_2) - e(g_1 + g_2),$$

$$\xi(r, g) = -e(rg) + re(g)$$

for some function  $e: G \rightarrow E, e(0) = 0, e(G_\omega) \subset E_\omega, \forall \omega \in \Omega$ .

Let  $x \in \text{Ext}_{R,C}(G, E)$ . Define  $(\xi, \zeta)$  as above, two different choices of  $f$  give elements of  $Z_{R,C}^1(G, E)$  which differ by an

element of  $B_{R,C}^1(G,E)$ . This shows that we obtain a well defined function  $c: \text{Ext}_{R,C}(G,E) \rightarrow Z_{R,C}^1(G,E)/B_{R,C}^1(G,E)$ .

Conversely, given  $(\xi, \zeta)$  satisfying (1) to (6) then let  $G \times E = F$  with operations

$$(g_1, e_1) + (g_2, e_2) = (g_1 + g_2, e_1 + e_2 + \xi(g_1, g_2))$$

$$r(g, e) = (rg, re + \zeta(r, g)), e, e_1, e_2 \in E, g, g_1, g_2 \in G.$$

and filtration  $F_\omega = G_\omega \times E_\omega$ . Note that  $F$  is an  $\Omega$ -filtered  $R$ -module due to (1)-(6) and satisfies an exact sequence  $0 \rightarrow E_\omega \rightarrow F_\omega \rightarrow G_\omega \rightarrow 0$  for any  $\omega \in \Omega$  and if we let  $f: G \rightarrow F$  be given by  $f(g) = (g, 0)$  then  $\xi(g_1, g_2) = f(g_1) + f(g_2) - f(g_1 + g_2)$  and  $\zeta(r, g) = rf(g) - f(rg)$ .

Since to Baer sum of extension there corresponds the sum of cycles we get that  $c$  is the desired isomorphism (see [13]). It is obvious that  $\text{Ext}_{R,C}(G, E) \cong \{0\}$  if  $G$  is a free  $R$ -module.

3.5. Let  $\varphi: G_1 \rightarrow G, \psi: E \rightarrow E_1$ , be compatible morphisms then we obtain morphisms  $Z_{R,C}^1(G, E) \rightarrow Z_{R,C}^1(G_1, E), B_{R,C}^1(G, E) \rightarrow B_{R,C}^1(G_1, E), Z_{R,C}^1(G, E) \rightarrow Z_{R,C}^1(G, E_1), B_{R,C}^1(G, E) \rightarrow B_{R,C}^1(G, E_1)$  defined by  $\xi \rightarrow \xi \circ (\varphi \times \varphi), \zeta \rightarrow \zeta \circ (\text{id}_R \times \varphi)$  and  $\xi \rightarrow \psi \circ \xi, \zeta \rightarrow \psi \circ \zeta$ .

This shows that  $\text{Ext}_{R,C}(\cdot, \cdot)$  is contravariant in the second variable in the category of  $\Omega$ -filtered  $R$ -modules with compatible morphisms, and covariant in the first variable.

We shall denote by  $\varphi^*: \text{Ext}_{R,C}(G, E) \rightarrow \text{Ext}_{R,C}(G_1, E)$  and  $\psi_*: \text{Ext}_{R,C}(G, E) \rightarrow \text{Ext}_{R,C}(G, E_1)$  the morphisms defined by  $\varphi$  and  $\psi$ .

3.6. Lemma Let  $F, E_n$  be  $\Omega$ -filtered  $R$ -modules,  $\varphi_n: E_n \rightarrow E_{n+1}, E = \varinjlim (E_n, \varphi_n)$ . Then there exists an exact sequence

$$0 \rightarrow \varprojlim^1 (\text{Hom}_{R,C}(E_n, F), \varphi_n^*) \rightarrow \text{Ext}_{R,C}(E, F) \rightarrow \varprojlim (\text{Ext}_{R,C}(E_n, F), \varphi_n^*) \rightarrow 0$$



Proof.

Let  $X_n \in \text{Ext}_{R,C}(E_n, F)$  such that  $\varphi_n^*(X_{n+1}) = X_n$ . There exists an infinite commutative diagram

$$\begin{array}{ccccccc} & & \parallel & \downarrow & \downarrow & & \\ 0 & \rightarrow & F & \rightarrow & G_n & \rightarrow & E_n \rightarrow 0 \\ & & \parallel & \downarrow \psi_n & \downarrow \varphi_n & & \\ 0 & \rightarrow & F & \rightarrow & G_{n+1} & \rightarrow & E_{n+1} \rightarrow 0 \\ & & \parallel & \downarrow & \downarrow & & \end{array}$$

Such that  $0 \rightarrow F \rightarrow G_n \rightarrow E_n \rightarrow 0$  represents  $X_n$  in  $\text{Ext}_{R,C}(E_n, F)$ . Let  $G = \lim(G_n, \psi_n)$ . Then there exists an exact sequence  $0 \rightarrow F \rightarrow G \rightarrow E \rightarrow 0$  whose image in  $\text{Ext}_{R,C}(E, F)$  is  $X_n$ .

Let us identify the kernel of  $\text{Ext}_{R,C}(E, F) \xrightarrow{\eta} \lim(\text{Ext}_{R,C}(E_n, F), \varphi_n^*)$  To this end denote by  $\chi_n: E_n \rightarrow E$  the obvious morphism. Suppose that  $0 \rightarrow F \rightarrow G \rightarrow E \rightarrow 0$  defines an element  $X \in \text{Ext}_{R,C}(E, F)$  such that  $\chi_n^*(X) = 0$  for any  $n$ . Then exists a compatible morphism  $\tau_n: E_n \rightarrow G$  making the following diagram commutative

$$\begin{array}{ccccc} & & E_n & & \\ & \tau_n \swarrow & \downarrow & \searrow & \\ 0 & \rightarrow & F & \rightarrow & G \rightarrow E \rightarrow 0 \end{array}$$

Let  $\lambda = (\lambda_n)_{n \in \mathbb{N}} \in \bigoplus_{n \in \mathbb{N}} \text{Hom}_{R,C}(E_n, F)$ ,  $\lambda_n = \tau_{n+1} \circ \varphi_n - \tau_n$ . Denote by  $d: \bigoplus_{n \in \mathbb{N}} \text{Hom}_{R,C}(E_n, F) \rightarrow \bigoplus_{n \in \mathbb{N}} \text{Hom}_{R,C}(E_n, F)$  the morphisms  $d((f_n)_{n \in \mathbb{N}}) = (f_{n+1} \circ \varphi_n - f_n)_{n \in \mathbb{N}}$ . Then two different choices of  $\tau_n$  define sequences  $\lambda$  differing by an element in  $\text{Im} d$ . This shows that there exists a well defined morphism

$\ker \eta \rightarrow \lim^1(\text{Hom}_{R,C}(E_n, F), \varphi_n^*)$  which turns out to be an isomorphism.

3.7. We shall need also an other group, the group of extensions with order unit. It is defined as in [20].

Let  $E, G$  be  $\Omega$ -filtered modules,  $u \in G$  an element such that  $u \in G_\omega$  if and only if  $\omega = \sup \Omega$  ( $\sup \Omega$  is the largest element in  $\Omega$ ).

By a compatible extension with order unit of  $G$  by  $E$  we shall mean a compatible extension  $0 \rightarrow E \rightarrow F \rightarrow G \rightarrow 0$  such that  $F$  has a

order unit  $v$  and  $f(v) = u$ . We shall write in this case  $0 \rightarrow E \rightarrow (F, \mathcal{V}) \rightarrow (G, u) \rightarrow 0$ .

Two compatible extensions with order unit  $0 \rightarrow E \rightarrow (F_j, v_j) \rightarrow (G, u) \rightarrow 0$   $j \in \{0, 1\}$  are equivalent if and only if there exists a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & E & \rightarrow & (F_0, v_0) & \rightarrow & (G, u) \rightarrow 0 \\ & & \parallel & & \downarrow f & & \parallel \\ 0 & \rightarrow & E & \rightarrow & (F_1, v_1) & \rightarrow & (G, u) \rightarrow 0 \end{array}$$

such that  $f$  is a compatible morphism and  $f(v_0) = v_1$ .

A compatible extension with order unit  $0 \rightarrow E \rightarrow (F, \mathcal{V}) \rightarrow (G, u) \rightarrow 0$  will be called trivial if there exists a compatible morphism  $f_1: G \rightarrow F$  such that  $f_1(u) = v$  and  $f \circ f_1 = \text{id}_G$ .

We shall denote by  $\text{Ext}_{R, C}^u(G, E)$  the set of equivalence classes of compatible extensions with order unit of  $G$  by  $E$ . We omit  $R$  when  $R = \mathbb{Z}$ .

3.8. Proposition a)  $\text{Ext}_{R, C}^u(G, )$  is a covariant functor from the category of  $\Omega$ -filtered  $R$ -modules with compatible morphisms to  $\text{Ens}$ .

b)  $\text{Ext}_{R, C}^u(, E)$  is a contravariant functor from the category of  $\Omega$ -filtered  $R$ -modules with unit preserving compatible morphism to  $\text{Ens}$ .

c)  $\text{Ext}_{R, C}^u$  is an abelian group in a natural way.

d) There exists an exact sequence:

$$\begin{array}{ccccccc} 0 & \rightarrow & \text{Hom}(G/Ru, E) & \rightarrow & \text{Hom}_{R, C}(G, E) & \rightarrow & \text{Hom}_{R, C}(G, E) \rightarrow \text{Hom}_R(Ru, E) \rightarrow \\ & & \downarrow \varepsilon_G & & \downarrow & & \downarrow \\ & & \text{Ext}_{R, C}^u(G, E) & \rightarrow & \text{Ext}_{R, C}(G, E) & \rightarrow & 0 \end{array}$$



Proof. (Sketch) a) Let  $f: E \rightarrow E_1$  be a compatible morphism between the  $\Omega$ -filtered  $R$ -modules  $E$  and  $E_1$ . Let  $0 \rightarrow E \rightarrow (F, \mathcal{V}) \rightarrow (G, \mathcal{U}) \rightarrow 0$  be a compatible extension with order unit, denote by  $X$  its class in  $\text{Ext}_{R, \mathcal{C}}^n(G, E)$ . There exists by 3.5 a commutative diagram of compatible morphisms

$$\begin{array}{ccccccc} 0 & \rightarrow & E & \rightarrow & F & \rightarrow & G \rightarrow 0 \\ & & f \downarrow & & \downarrow f & & \parallel \\ 0 & \rightarrow & E_1 & \rightarrow & F_1 & \rightarrow & G \rightarrow 0 \end{array}$$

Then  $f_*(X)$  is defined to be the class of

$$0 \rightarrow E \rightarrow (F_1, f'(v)) \rightarrow (G, \mathcal{U}) \rightarrow 0 \quad \text{in } \text{Ext}_{R, \mathcal{C}}^n(G, E_1).$$

b) Let  $\varphi: (G_1, \mathcal{U}_1) \rightarrow (G, \mathcal{U})$  be a unit preserving compatible morphism,  $G, G_1$  being  $\Omega$ -filtered  $R$ -modules with order unit. Let  $X \in \text{Ext}_{R, \mathcal{C}}^n(G, E)$  be represented by  $0 \rightarrow E \rightarrow (F, \mathcal{V}) \rightarrow (G, \mathcal{U}) \rightarrow 0$ . Let  $F_1 \subset F \oplus G_1$  be the submodule consisting of those pairs  $(f, g_1)$  such that  $h(f) = \varphi(g_1)$ . Let  $\mathcal{V}_1 = (\mathcal{V}_1, \mathcal{U}_1)$  be the order unit of  $F_1$  then  $\varphi^*(X)$  is represented by  $0 \rightarrow E \rightarrow (F_1, \mathcal{V}_1) \rightarrow (G_1, \mathcal{U}_1) \rightarrow 0$

c) Let  $0 \rightarrow E \rightarrow (F_j, \mathcal{V}_j) \rightarrow (G, \mathcal{U}) \rightarrow 0$  represent  $X_j \in \text{Ext}_{R, \mathcal{C}}^n(G, E)$ ,  $j \in \{0, 1\}$ . Denote by  $d_2: G \rightarrow G \times G$  the diagonal map:  $d_2(g) = (g, g)$ , and by  $\sigma_2: E \times E \rightarrow E$  the "addition" map:  $\sigma_2(e_1, e_2) = e_1 + e_2$ . Let  $X \in \text{Ext}_{R, \mathcal{C}}^n(G \times G, E \times E)$  be represented by

$$0 \rightarrow E \oplus E \rightarrow (F_1 \oplus F_2, (\mathcal{V}_1, \mathcal{V}_2)) \rightarrow (G \oplus G, (\mathcal{U}, \mathcal{U})) \rightarrow 0$$

Then  $x_1 + x_2$  is defined to be  $d_2^*(\sigma_{2*}(X)) = \sigma_{2*}(d_2^*(X))$ . (The last relation is proved as lemma III.1.6. of [18])

d) The morphism in  $\mathcal{E}_G: \text{Hom}_R(R_n, E) \rightarrow \text{Ext}_{R, \mathcal{C}}^n(G, E)$  is defined as follows. Let  $e \in E$  and denote by  $f_e$  the morphism  $f_e(r) = re$ . Then  $\mathcal{E}_G(f_e)$  is the class of  $0 \rightarrow E \rightarrow (E \oplus G, (-e, \mathcal{U})) \rightarrow (G, \mathcal{U}) \rightarrow 0$ .  $\text{Ext}_{R, \mathcal{C}}^n(G, E) \rightarrow \text{Ext}_{R, \mathcal{C}}^n(G, E)$  is defined by "forgetting" the units. The exactness is obvious.

#### 4 Preliminary results

4.1 We shall fix from now on an AF-C\*-algebra A with the following proprieties:

- a) Let  $I \subset J \subset A$  be ideals  $I \neq J$ , then  $J/I$  is not type  $\overline{I}$
- b) Either  $1 \in A$  or A is completely nonunital in the sense that for any projection  $e \in A$ ,  $(1 - e)A(1 - e)$  is full in A.

4.2. Definition ([20]) definition 2.2). Let  $(G, G_+)$  be an ordered group, we say that  $(G, G_+)$  has large dominators if for any  $g \in G_+$  and  $n \in \mathbb{N}$  there exists  $g_1 \in G_+$  and  $m \in \mathbb{N}$  such that  $ng_1 \leq g \leq mg_1$ .

4.3. Proposition Let A be an AF-C\*-algebra. Then A satisfies 4.1.a) if and only if  $K_0(A)$  has large denominators.

Proof. Suppose that  $\pi: A \rightarrow B(\mathcal{H})$  is an irreducible representation such that  $\mathcal{K}(\mathcal{H}) \subset \pi(A)$  ( $\mathcal{K}(\mathcal{H})$  denotes the algebra of compact operators on  $\mathcal{H}$ ).

Using L. Brown's lifting projection theorem for AF-C\*-algebras ([7], [13]) we find a projection  $e \in A$  such that  $\pi(e)$  is a rank one projection in  $\mathcal{K}(\mathcal{H})$ . Then  $g = [e]$  does not satisfy the conditions of definition 2.1.

Conversely, let  $e$  be a projection in  $M_q(A)$  for some  $q \in \mathbb{N}$ . Denote by  $J'$  the ideal generated by  $e$  in  $M_q(A)$ . Fix  $n \in \mathbb{N}$  and let  $J = eJ'e = \overline{\bigcup_k J_k}$  with  $J_k$  finite dimensional. Denote by  $I_k$  the ideal of  $J_k$  consisting of those factors of  $J_k$  having dimension  $\geq n$ . It follows that  $I_k \subset I_{k+1}$  and hence  $I = \overline{\bigcup_k I_k}$  is an ideal of  $J$ . Denote as in [11] by  $r(n)$  the last integer  $m$  with the propriety that  $\sum_{\sigma \in S_m} \text{sgn}(\sigma) a_{\sigma(1)} \dots a_{\sigma(m)} = 0$  for any  $a_1, \dots, a_m \in M_n(\mathbb{C})$  ( $S_m$  is the symmetric group of order  $m$ ). It follows that for any  $x_1, \dots, x_m \in J/I$ ,  $m \geq r(n)$ ,  $\sum_{\sigma \in S_m} \text{sign}(\sigma) x_{\sigma(1)} \dots x_{\sigma(m)} = 0$  since this is true for  $x_j$  in the dense subalgebra  $J_k/I_k$ . The proof of [11],



proposition 3.6.3. shows that  $J/I$  has only finite dimensional representations (of dimension  $\leq n$ ). The assumption on  $A$  shows that  $I = J$  and hence  $e \in J_k$  for some large  $k$ . Choose a minimal projection from each factor of  $J_k$  and denote by  $p$  their sum. Then  $n[p] \leq [e] \leq m[p]$  for some large  $m \in \mathbb{N}$ .

4.4 Let  $\mathcal{B} = (A(x), x \in X, \Gamma)$  be a locally trivial field of  $C^*$ -algebras such that  $A(x) \simeq A$  for any  $x \in X$ , and  $X$  a compact space ([11], ch. X).  $\mathcal{B}$  may be viewed as a fiber bundle with  $\text{Aut}(A)$  as structure group. Denote by  $\text{Aut}^0(A)$  the connected component of the identity in  $\text{Aut}(A)$ . Recall that  $\text{Aut}^0(A) = \overline{\text{Inn}(A)}$  ([2]):

Denote by  $\Omega$  the lattice of ideals of  $A$ ,  $\Omega$  can be identified with the lattice of the ideals of  $A \otimes \mathcal{K}$ .

Let  $\varphi \in \text{Aut}(A)$ , then  $\varphi(\omega) \stackrel{\text{for any } \omega \in \Omega}{=} \omega$ . Suppose that our bundle admits a restriction of the structure group to  $\text{Aut}^0(A)$  (this always happens if  $X$  is simply connected). Denote by  $\xi$  the associated  $\text{Aut}^0(A)$  principal bundle.

The  $\text{Aut}^0(A)$ -equivariant inclusion  $\omega \subset A$  gives rise to an inclusion  $\xi[\omega] \subset \xi[A]$  of fiber bundles. (Our notation and terminology are taken from [15]).

Denote by  $B(B_\omega)$  the  $C^*$ -algebra of continuous sections of  $\xi[A] = \mathcal{B}(\xi[\omega])$ , see [11], ch. X. We obtain an  $\Omega$ -filtration of  $K_0(B)$  by  $K_0(B)_\omega = \text{Ran}(K(B) \rightarrow K_0(B))$ .

The following proposition is the key in translating homotopy information into  $K$ -theory algebraic language.

4.5. Proposition Let  $(X, x_0)$  be a pointed compact connected CW-complex,  $A, B, B_\omega$  as above. Denote by  $\eta: K_0(B) \rightarrow K_0(A)$  the morphism of "evaluation at  $x_0$ ".

a) If  $a, a' \in K_0(B)$ ,  $\eta(a') \geq \eta(a)$  and  $m(\eta(a') - \eta(a)) \geq \eta(a)$  for some  $m \in \mathbb{N}$  then  $a' \geq a$ .

b) Let  $a, a' \in K_0(B)$ ,  $a = [e]$ ,  $\eta(a) = \eta(a')$ . Denote by  $\omega$  the ideal generated by  $e(x_0)$  in  $A \otimes \mathcal{K}$ . Then  $a' \geq 0$  if and only if  $a' - a \in K_0(B)_\omega$ .

c) Suppose  $\mathcal{E}$  is trivial, then  $B$  has the cancellation propriety for projections and  $\pi_j(U(B)) \rightarrow K_{j+1}(B)$  is an isomorphism for any  $j \geq 0$ .

Proof.

The idea of proof will be to regard elements  $b \in B$  satisfying certain Properties as sections in a suitable defined fiber bundle.

We may assume that  $B$  is stable (i.e.  $B \simeq B \otimes \mathcal{K}$ )

a) Let  $e, e'$  be projections in  $B$  representing  $a, a'$  in  $K_0(B)$ . We may assume that  $e(x_0) = e'(x_0)$ . Denote by  $V(x) = \{v(x) \in A(x), v^*(x)v(x) = e(x), v(x)v^*(x) \leq e'(x)\}$ , it is a sort of "generalised Stieffel manifold".

Let  $V = \bigcup_{x \in X} V(x) \subset \mathcal{E}[A]$  with the induced topology, then  $V$  becomes a locally trivial fiber bundle on  $X$ . It is easy to prove that  $U(e(x_0)Ae(x_0)) \ni u \rightarrow ue \in V(x_0)$  is a locally trivial fiber bundle (the proof is similar to lemma 1.2 of [20]) with fiber  $U((e(x_0) - e(x_0))A(e(x_0) - e(x_0)))$ . The hypothesis shows that the ideals generated by  $e(x_0)$  and  $e(x_0) - e(x_0)$  in  $A \otimes \mathcal{K}$  coincide. The exact sequence of homotopy groups ([27]) and proposition 2.4.b) of [20] show that  $\pi_k(V(x)) \simeq \pi_k(V(x_0)) \simeq \{0\}$  for any  $k \geq 0$  and  $x \in X$ . A standard argument ([15], theorem 7.1. pag 21) shows that  $V$  has a cross-section. This cross-section defines a partial isometry from  $e$  to a subprojection of  $e'$ .

b) Let  $e, e' \in B$  be projections such that  $e(x_0) = e'(x_0)$ . Then  $e, e' \in B_\omega$  and hence  $[e] - [e'] \in K_0(B)_\omega$ . Conversely, suppose that  $\gamma a = [e] - [e'] - a \in K_0(B)_\omega$ ,  $\omega$  being the ideal generated by  $e(x_0)$  in  $A$ . Then  $\text{Aut}^0(A)$  acts on  $\omega^+$ . Let  $B$  be the  $C^*$ -algebra of continuous sections in  $\mathcal{E}[\omega^+]$ . The split exact sequence  $0 \rightarrow B_\omega \xrightarrow{\sim \mathcal{K}_\omega} B_\omega \xrightarrow{\sim \mathcal{K}_\omega} C(x) \rightarrow 0$  shows that the element



$a' = (a' - a) + a$  of  $K_0(B_\omega)$  may be represented by  $[e'_1] - [e_1]$ ,  $e_1$  and  $e'_1$  being projections in  $\tilde{B}_\omega \otimes \mathcal{K}$  such that  $\chi(e_1) = \chi(e'_1)$ , ( $\chi = \chi_0 \otimes \text{id}_\mathcal{K}$ ). Denote by  $\chi_x: \omega(x) \otimes \mathcal{K} \rightarrow \mathcal{K}$  the quotient morphism ( $\omega(x)^+$  is the fiber of  $\xi[\omega^+]$  at  $x$ ). Define  $W(x) = \{w(x) \in \omega(x)^+ \otimes \mathcal{K},$

$\chi_x(w(x)) = \chi_x(e'_1(x)) (= \chi_x(e_1(x))),$   
 $w(x)^* w(x) = e_1(x), w(x) w(x)^* \leq e'_1(x)\}$ . It follows as in b)

that  $\pi_k(W_x) \not\subseteq \{0\}$  and that  $W = \bigcup_{x \in X} W_x$  has a cross-section. This shows that  $e_1$  is equivalent to a subprojection of  $e'_1$  and hence  $a' \geq 0$ .

c) The cancellation propriety follows from standard results in topology. <sup>(e)</sup> Ended, suppose that  $e_1, e_2$  are projections in  $M_q(B)$  such that  $[e_1] = [e_2]$  in  $K_0(B)$ . We write  $A = \overline{\bigcup A_n}$ ,  $A_n$  are finite dimensional  $C^*$ -algebras. Let  $d$  denote the dimension of  $X$ . We may suppose that  $e_1, e_2 \in C(X, A_n)$  for same large  $n$ . Also, since  $K_0(A)$  has large denominators we may suppose that the dimensions of the projections  $e_1$  and <sup>(e2)</sup>  $e_2$  in  $K_0(C(X, A_n))$  are large enough (greater than  $d/2$ ) and that  $[e_1] = [e_2]$  in  $K_0(C(X, A_n))$ . This can be done by increasing  $n$  if necessary. But stable isomorphic vector bundles of large dimension are isomorphic (see [15], theorem 8.1.7, page 100).

Let us observe that  $s = \text{tsr}(C(X)) < \infty$ , (see [22]) for definition and notations. It follows that  $\pi_0(U(M_q(C(X))))$  is isomorphic to  $K_1(C(X))$  for  $q \geq s+2$  ([22], theorem 10.12), use also the fact that the topological stable rank and the Bass stable rank coincide for  $C^*$ -algebras [14]). This shows that  $\pi_j(U(B)) \simeq \varinjlim \pi_j(U(C(X, A_n))) \simeq \varinjlim \pi_0(U(S^j C(X, A_n))) \simeq \varinjlim K_{j+1}(C(X, A_n)) \simeq K_{j+1}(B)$  since the dimension of the blocks of  $A_n$  increase to  $\infty$ .

Let  $A = \bigcup A_n$  with  $A_n$  finite dimensional. Denote by  $i_{m,n}$  the inclusion  $A_n \rightarrow A_m$  and by  $i_n$  the inclusion  $A_n \rightarrow A$ . Let  $\text{Hom}^0(A_n, A)$  denote the connected component of  $i_n$  in  $\text{Hom}(A_n, A)$ , pointed by  $i_n$ .

Let  $B = C(X, A)$ ,  $J = C_0(X \setminus \{x_0\}, A)$ . Denote by  $[X, \text{Hom}^0(A_n, A)]$  the homotopy classes of base point preserving continuous functions  $\varphi: X \rightarrow \text{Hom}^0(A_n, A)$ . Such a continuous function  $\varphi$  defines a morphism  $\Phi_n(\varphi): A_n \rightarrow B$ . We shall denote by  $j_n: A_n \rightarrow B$  the morphism  $\Phi_n(\varphi)$  for  $\varphi(x) = i_n \ (\forall) x \in X$ .

The following lemma shows the power of the previous proposition

4.6. Lemma  $[X, \text{Hom}^0(A_n, A)] \ni [\varphi] \rightarrow K_0(\Phi_n(\varphi)) - K_0(j_n) \in \text{Hom}_C(K_0(A_n), K_0(J))$  is well defined and bijective if the filtration of  $K_0(J)$  is  $K_0(J)_\omega = K_0(C_0(X \setminus \{x_0\}, \omega))$ , the filtration of  $K_0(A_n)$  is  $K_0(A_n)_\omega = K_0(i_n)^{-1}(K_0(\omega))$ , and  $A$  does not have unit.

Proof.

Let  $p_1, \dots, p_k$  be the minimal projections of  $A_n$ , denote by  $\omega_\ell$  the ideal generated by  $p_\ell$  in  $A$ . It follows from proposition 4.5.b) that  $K_0(\Phi_n(\varphi))([p_\ell]) - K_0(j_n)([p_\ell]) \in K_0(J)_\omega_\ell$ . Conversely, suppose that  $f \in \text{Hom}_C(K_0(A_n), K_0(J))$  then it follows also from proposition 4.5.b) that there exists a projection  $e'_\ell \in B$  such that  $[e'_\ell] = [j_n(p_\ell)] + f([p_\ell])$ . Suppose that  $A_n = A_n^{(1)} \oplus \dots \oplus A_n^{(k)}$  and  $A_n^{(q)}$  is a factor of type  $\underline{I}_m$ . Using proposition 4.5.b) one obtains by induction  $m_1 + m_2 + \dots + m_k$  orthogonal projections  $\tilde{e}_{11}^{(1)}, \dots, \tilde{e}_{m_1 m_1}^{(1)}, \tilde{e}_{11}^{(2)}, \dots, \tilde{e}_{m_k m_k}^{(k)}$  such that  $[\tilde{e}_{rr}^{(\ell)}] = [e'_\ell]$ . It follows then from proposition 4.5.c) that  $\tilde{e}_{11}^{(\ell)}$  is equivalent to  $\tilde{e}_{rr}^{(\ell)}$ , there exists  $\tilde{e}_{r\ell}^{(\ell)}$  such that  $\tilde{e}_{r\ell}^{(\ell)*} \tilde{e}_{r\ell}^{(\ell)} = \tilde{e}_{11}^{(\ell)}$  and  $\tilde{e}_{r\ell}^{(\ell)} \tilde{e}_{r\ell}^{(\ell)*} = \tilde{e}_{rr}^{(\ell)}$ ,  $r \in \{2, \dots, m_1\}$ . Denote by  $\tilde{e}_{rq}^{(\ell)} = \tilde{e}_{r\ell}^{(\ell)} (\tilde{e}_{q\ell}^{(\ell)})^*$ ,  $q \in \{2, \dots, m_1\}$   $\tilde{e}_{1r}^{(\ell)} = \tilde{e}_{r\ell}^{(\ell)*}$ . Let  $e_{rq}^{(\ell)} \ell \in \{1, \dots, k\}, r, q \in \{1, \dots, m\}$  denote a matrix unit of  $A_n$ . There exists  $\varphi: X \rightarrow \text{Hom}^0(A_n, A)$ ,  $\varphi_x(e_{rq}^{(\ell)}) = \tilde{e}_{rq}^{(\ell)}(x)$ . Moreover any map  $X \rightarrow \text{Hom}^0(A_n, A)$  is homotopic to a base point preserving map. This shows the surjectivity of  $[X, \text{Hom}^0(A_n, A)] \rightarrow \text{Hom}_C(K_0(A_n), K_0(J))$ .

In order to prove that it is injective let us observe that if  $\varphi, \psi \in \text{Hom}(A_n, B)$  have the proprierty that  $K_0(\varphi) = K_0(\psi)$  then



it follows from proposition 4.5.c) using a standard trick of

O.Bratteli that  $\varphi$  and  $\psi$  are unitary conjugated:

$\varphi = \text{ad}_u \circ \psi$  with  $u \in U(J)$ . Let  $e = \psi(1)$ . Then there exists a unitary  $V$  in  $U((1-e)J(1-e))$  such that  $uv$  is in the connected component of the identity (use proposition 4.5.c)) and  $\varphi = \text{ad}_{uv} \circ \psi$ . It follows that  $\varphi$  is homotopic to  $\psi$ .

4.7 Remark Let us observe that if  $X$  is a  $H'$ -space ([27], ch III, 3) then  $[X, \text{Hom}^0(A_n, A)]$  is a group and  $[X, \text{Hom}^0(A_n, A)] \rightarrow \text{Hom}_C(K_0(A_n), K_0(J))$  is actually a morphism.



## 5. The exact sequence

Denote by  $\text{Map}(X, \text{Aut}^0(A))$  the space of base point preserving continuous mappings  $X \rightarrow \text{Aut}^0(A)$ .

5.1. There exists a commutative diagram

$$\begin{array}{ccc} \text{Map}(X, \text{Aut}^0(A)) & & \\ \Phi \downarrow & \searrow & \\ \text{Hom}(A, B) & \xrightarrow{T} & \text{End}(B) = \text{Hom}(B, B) \end{array}$$

The first vertical arrow associates to a continuous function  $X \ni x \rightarrow \varphi_x \in \text{Aut}(A)$  the morphism  $\Phi(\varphi): A \rightarrow B$  given by  $\Phi(\varphi)(a)(x) = \varphi_x(a)$ . The horizontal arrow associates to a morphism  $\psi: A \rightarrow B$  the morphism  $T(\psi): C(X) \otimes A \rightarrow B$  defined by  $T(\psi)(f \otimes a) = f\psi(a)$ .

Passing to  $K$ -groups one obtains the following commutative diagram

$$\begin{array}{ccc} [X, \text{Aut}^0(A)] & \xrightarrow{\alpha_i} & \\ \alpha'_i \downarrow & \searrow \mu_x & \\ \text{Hom}(K_0(A), K_0(B)) & \xrightarrow{\mu_x} & \text{Hom}_{K^0(X)}(K_0(B), K^0(B)) \end{array}$$

( $i = 0$  if  $1 \notin A$ ,  $i = 1$  if  $1 \in A$ ).

Since  $K_0(B) \simeq K^0(X) \otimes K_0(A)$  it follows that  $K_0(B)$  is a  $K^0(X)$ -module. This module structure can be described directly as follows, let  $[e] \in K_0(B)$ ,  $[p] \in K^0(X)$  with  $e \in M_q(B)$ ,

$p \in M_r(C(X))$ . Then  $[p][e]$  is the class of

$(p \otimes I_q)(I_r \otimes e) \in M_{rq}(B)$  in  $K_0(B)$ . This shows that  $\alpha_i([p])$  is indeed  $K^0(X)$ -linear.

$[X, \text{Aut}(A)]$  is a group with the law  $[\varphi][\psi] = [\varphi \circ \psi]$ .

It is clear from definition that  $\alpha_i([\varphi][\psi]) = \alpha_i([\varphi])\alpha_i([\psi])$ .

$\mu_x$  can be described by  $\mu_x(f)(x \otimes z) = xf(z)$  for  $f \in \text{Hom}(K_0(A), K_0(B))$ ,  $x \in K^0(X)$ ,  $z \in K_0(A)$ .

Let us denote by  $G^i(G^{i'})$  the range of  $\alpha_i(\alpha'_i)$ . Since

$\mu_x: \text{Hom}(K_0(A), K_0(B)) \rightarrow \text{Hom}_{K^0(X)}(K_0(B), K_0(B))$  is bijective it follows that it is enough to determine  $G^{i'}$ .



Denote as before by  $\Omega$  the lattice of ideals of  $A$  and observe that  $K_0(A), K_j(B), K_j(J)$  have natural  $\Omega$ -filtrations ( $j \in \{0, 1\}$ ).

If  $\varphi \in \text{Map}(X, \text{Aut}(A))$  is constant denote by  $\epsilon = \epsilon_X$  the embedding  $K_0(A) \rightarrow K_0(B)$  defined by  $K_0(\tilde{\Phi}(\varphi))$ . It coincides with the composition of  $K_0(A) \ni [e] \rightarrow [1] \otimes [e] \in K^0(X) \otimes K_0(A)$  with the isomorphism  $K^0(X) \otimes K_0(A) \xrightarrow{\sim} K_0(B)$ .

5.2. The following constructions are needed in order to determine the kernel of  $\alpha_i$ .

Let  $\varphi \in \text{Map}(X, \text{Aut}(A))$ . Denote by  $E_\varphi \subset M_\varphi \subset C([0, 1] \times X, A)$  the  $C^*$ -algebras defined by  $E_\varphi = \{f, (\exists) a \in A \text{ such that } f(0, x) = a, f(t, x_0) = a, f(1, x) = \varphi_x(a) \text{ for any } x \in X, t \in [0, 1]\}$   
 $M_\varphi = \{f, f(1, x) = \varphi_x(f(0, x)) \text{ for any } x \in X\}$ .  $M_\varphi$  is the mapping torus of  $\tilde{\varphi} = T \circ \tilde{\Phi}(\varphi) \in \text{End}(B)$  (see [5]).

Let  $\rho: E_\varphi \rightarrow A$ ,  $\rho(f) = f(0, x_0)$ ,  $\chi: M_\varphi \rightarrow B$ ,  $\chi(f) = f|_{\{0\} \times X}$ . Then there exists a commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \rightarrow & SJ & \rightarrow & E_\varphi & \xrightarrow{\rho} & A \rightarrow 0 \\ & & \downarrow & & \downarrow \chi & & \downarrow \\ 0 & \rightarrow & SB & \rightarrow & M_\varphi & \rightarrow & B \rightarrow 0 \end{array}$$

Let us recall that the connecting morphisms of the K-theory exact sequence of the bottom row are the composition of  $\text{id} - K_j(\tilde{\varphi}): K_j(B) \rightarrow K_j(B)$  and of  $K_j(B) \xrightarrow{\sim} K_{j-1}(SB)$  (see [5], proposition 10.4.1)

Observe that if we denote by  $K_*(\tilde{\varphi}) = K_0(\tilde{\varphi}) \oplus K_1(\tilde{\varphi})$ :  
 $K_*(B) = K_0(B) \oplus K_1(B) \rightarrow K_*(B)$  then  $K_*(\tilde{\varphi})$  is the unique  $K^*(X) = K^0(X) \oplus K^1(X)$ -linear extension of  $K_0(\tilde{\varphi})$ . Thus if  $K_0(\tilde{\varphi}) = \text{id}_{K_0(B)}$  then also  $K_1(\tilde{\varphi}) = \text{id}_{K_1(B)}$ .

We obtain for any  $\varphi \in \text{Map}(X, \text{Aut}(A))$  such that  $\alpha_i([\varphi]) = \text{id}$  a commutative diagram with exact rows:

$$0 \rightarrow K_1(J) \rightarrow K_0(E_\varphi) \rightarrow K_0(A) \rightarrow 0 \quad (1)$$

$$0 \rightarrow K_1(B) \rightarrow K_0(M_\varphi) \rightarrow K_0(B) \rightarrow 0 \quad (2)$$

If we denote  $E_{\varphi, \omega} = E_\varphi \cap C([0, 1] \times X, \omega)$ ,  
 $M_{\varphi, \omega} = M_\varphi \cap C([0, 1] \times X, \omega)$  then  $K_0(E_\varphi)$  and  $K_0(M_\varphi)$  have natural  
 $\Omega$ -filtration. Moreover there exists an obvious morphism of  $C(X)$   
in the center of  $M(M_\varphi)$  giving a  $K^0(X)$ -module structure on  $K_0(M_\varphi)$ .

Let us denote by  $\gamma_0'(\varphi)$  the class of

(1) in  $\text{Ext}_C(K_0(A), K, (J))$  and by  $\gamma_0^L(\varphi)$  the class of

(2) in  $\text{Ext}_{K^0(X), C}(K_0(B), K, (B))$  for  $[\varphi] \in \ker \alpha_0$ .

If  $A$  has a unit then  $E_\varphi$  and  $M_\varphi$  are also unital and the quotient  
morphisms  $\mathcal{J}$  and  $\mathcal{X}$  are unit preserving. Let us note also that  
 $K_0(E_\varphi)$  and  $K_0(M_\varphi)$  have order units given by the classes of the  
units. This shows that if  $\varphi \in \text{Map}(X, \text{Aut}(A))$ ,  $\alpha_1([\varphi]) = \text{id}_{K_0(A)}$

then we can define  $\gamma_1^L(\varphi) \in \text{Ext}_{K^0(X), C}^u(K_0(B), K, (B))$  and  
 $\gamma_1'(\varphi) \in \text{Ext}_C^u(K_0(A), K, (J))$  regarding (1) and (2) as compatible  
extensions with order unit.

Let  $\varphi, \psi \in \text{Map}(X, \text{Aut}(A))$ ,  $\tilde{\psi} = T_0 \circ \varphi(\psi)$ . Denote by  $\sigma_2$  the composition  
of  $SB \oplus SB \simeq C_0((0, 1/2), B) \oplus C_0((1/2, 1), B) \rightarrow SB$ . Then, if we denote  
by  $D = \{f \in C([0, 2], B), f(1) = \tilde{\psi}(f(0)), f(2) = \tilde{\varphi}(f(1))\}$ , we obtain  
a commutative diagram with exact rows:

$$\begin{array}{ccccccc} 0 & \rightarrow & SB \oplus SB & \xrightarrow{M_\psi \oplus M_\varphi} & B \oplus B & \rightarrow & 0 \\ & & \parallel & \uparrow & \uparrow \text{id} & & \\ 0 & \rightarrow & SB \oplus SB & \rightarrow & D & \rightarrow & B \rightarrow 0 \\ & & \downarrow \sigma_2 & & \downarrow & & \parallel \\ 0 & \rightarrow & SB & \xrightarrow{M_{\varphi \circ \psi}} & B & \rightarrow & 0 \end{array}$$

If  $K_0(\tilde{\varphi}) = K_0(\tilde{\psi}) = \text{id}_B$  then the corresponding diagram of  
 $K_0$ -groups shows that  $\gamma_1(\varphi \circ \psi) = \gamma_1(\varphi) + \gamma_1(\psi)$ ,  $i \in \{0, 1\}$ . It is  
obvious that if  $\varphi$  is homotopic to the constant map  $x \rightarrow \text{id}_A$  then  
 $\gamma_i(\varphi) = 0$ . Also observe that there exists obvious morphisms



$r_0: \text{Ext}_{K^0(X),c}^{K_0(B),K_1(B)} \rightarrow \text{Ext}_c(K_0(A),K_1(J))$  and  
 $r_1: \text{Ext}_{K^0(X),c}^{K_0(B),K_1(B)} \rightarrow \text{Ext}_c^u(K_0(A),K_1(J))$  obtained by  
 composing the "forgetfull" morphism  $\text{Ext}_{K^0(X),c}(\cdot, \cdot) \rightarrow \text{Ext}_c(\cdot, \cdot)$   
 $(\text{Ext}_{K^0(X),c}^u(\cdot, \cdot) \rightarrow \text{Ext}_c^u(\cdot, \cdot))$  with  $c^*$  and using the isomorphism  
 $K_1(J) \cong K_1(B)$ . It follows that  $\gamma'_i = r_i \circ \gamma_i$  is also a morphism.  
 It also follows that  $\gamma_0, \gamma'_0, \gamma_1$  and  $\gamma'_1$  depend only on the class  
 of  $\varphi$  in  $[X, \text{Aut}(A)]$ . The preceding discussion is partially included  
 in the following lemma:

### 5.3. Lemma

a) There exist commutative diagram of morphisms:

$$\begin{array}{ccc}
 & \ker \alpha_0 & \\
 \gamma_0 \swarrow & & \searrow \gamma'_0 \\
 \text{Ext}_{K^0(X),c}^{K_0(B),K_1(B)} & \xrightarrow{r_0} & \text{Ext}_c(K_0(A),K_1(J))
 \end{array}$$

for A non unital, and

$$\begin{array}{ccc}
 & \ker \alpha_1 & \\
 \gamma_1 \swarrow & & \searrow \gamma'_1 \\
 \text{Ext}_{K^0(X),c}^u(K_0(B),K_1(B)) & \xrightarrow{r_1} & \text{Ext}_c^u(K_0(A),K_1(J))
 \end{array}$$

for A unital.  $r_i$  is an isomorphism  $i \in \{0,1\}$ .

b)  $\gamma_i([\psi] \circ [\psi]^{-1}) = \alpha_i([\psi])^{*-1} K_1(\tilde{\psi}) (\gamma_i(\xi))$  for  $\psi \in \text{Map}(X, \text{Aut}(A))$   
 $\xi \in \ker \alpha_i$ .

Proof. Let  $A = \overline{\bigcup A_n}$  with  $A_n$  finite dimensional.

Let us observe that there exists by lemma 3.6 a commutative diagram

$$\begin{array}{ccc}
 \text{Ext}_{K^0(X),c}^{K_0(B),K_1(B)} & \xrightarrow{h_0} & \text{Ext}_c(K_0(A),K_1(J)) \\
 \downarrow \cong & & \downarrow \cong \\
 \lim^1 \text{Hom}_{K^0(X),c}^{K^0(X) \otimes K_0(A_n), K_1(B)} & & \lim^1 \text{Hom}_c(K_0(A_n), K_1(J))
 \end{array}$$

from which it follows that  $r_0$  is also an isomorphism.

We get using lemma 3.8. a commutative diagram with exact rows:

$$\begin{array}{ccccccc}
 \rightarrow \text{Hom}_C(K_0(A), K_1(J)) & \longrightarrow & \text{Hom}(\mathbb{Z}, K_1(J)) & \longrightarrow & & & \\
 & \downarrow & \downarrow & & & & \\
 \rightarrow \text{Hom}_{K^0(X), C}(K_0(B), K_1(B)) & \longrightarrow & \text{Hom}_{K^0(X)}(K^0(X), K_1(B)) & \longrightarrow & & & \\
 & \downarrow & \downarrow & & & & \\
 \rightarrow \text{Ext}_C^u(K_0(A), K_1(J)) & \longrightarrow & \text{Ext}_C(K_0(A), K_1(J)) & \longrightarrow & 0 & & \\
 & \downarrow & \downarrow & & & & \\
 \rightarrow \text{Ext}_{K^0(X), C}^u(K_0(B), K_1(B)) & \longrightarrow & \text{Ext}_{K^0(X)}(K_0(B), K_1(B)) & \longrightarrow & 0 & & 
 \end{array}$$

We obtain from the five lemma that  $r_1$  is also an isomorphism.

The equality of b) follows from the commutative diagram

$$\begin{array}{ccccccc}
 0 & \longrightarrow & SB & \longrightarrow & M & \longrightarrow & B \longrightarrow 0 \\
 & & \downarrow \tilde{s} & & \downarrow \varphi & & \downarrow \tilde{\gamma} \\
 0 & \longrightarrow & SB & \longrightarrow & M & \longrightarrow & B \longrightarrow 0 \\
 & & & & \psi \circ \varphi \circ \psi^{-1} & & 
 \end{array}$$

if  $[\varphi] = \xi$ .

5.4. Proposition  $G = \epsilon + \text{Hom}_C(K_0(A), K_0(J))$  and  $\gamma'_0$  is an isomorphism.

Proof. Let  $A = \overline{UA_n}$  with  $A_n$  finite dimensional. Denote as before by  $i_{m,n}: A_n \rightarrow A_m$  the inclusion of  $A_n$  in  $A_m$  and by  $i_n$  the inclusion of  $A_n$  in  $A$ . Let  $\text{Hom}^0(A_n, A)$  be the connected component of  $i_n$  in  $\text{Hom}(A_n, A)$ , pointed by  $i_n$ . It is proved in [20], lemma 1.2. that the restriction  $i_{m,n}: \text{Hom}^0(A_m, A) \rightarrow \text{Hom}^0(A_n, A)$  is a fibration, and hence  $\text{Map}(X, \text{Hom}^0(A_m, A)) \rightarrow \text{Map}(X, \text{Hom}(A_n, A))$  is also a fibration ([27], theorem(7.10), pag 31).

Denote  $\text{Hom}(A, A)$  by  $\text{End}(A)$  pointed by  $\text{id}_A$  and by  $\text{End}^0(A)$  the connected component of  $\text{id}_A$  in  $\text{End}(A)$ . Then  $\text{Map}(X, \text{Aut}^0(A)) \rightarrow \text{Map}(X, \text{End}^0(A))$  is a weak homotopy equivalence ([20], lemma 1.4). It is obvious that  $\text{Map}(X, \text{End}^0(A))$  is homeomorphic to the inverse limit  $\varprojlim \text{Map}(X, \text{Hom}^0(A_n, A))$ .

The proof of Theorem(4.8) from [27], pag 433 shows that  $H = \varprojlim \pi_1(\text{Map}(X, \text{Hom}^0(A_n, A)))$  acts free on the pointed set  $\pi_0(\text{Map}(X, \text{End}^0(A)))$  and that  $\pi_0(\text{Map}(X, \text{End}^0(A))) \rightarrow \varprojlim \pi_0(\text{Map}(X, \text{Hom}^0(A_n, A)))$  gives a bijection

$$\pi_0(\text{Map}(X, \text{End}^0(A))) / H \rightarrow \varprojlim \pi_0(\text{Map}(X, \text{Hom}^0(A_n, A))).$$



Let us observe that  $\pi_1(\text{Map}(X, \text{Hom}^0(A_n, A)))$  is naturally isomorphic to  $[SX, \text{Hom}^0(A_n, A)] \simeq \text{Hom}_C(K_0(A_n), K_1(J))$  by lemma 4.6. (use also remark 4.7.). It follows also from lemma 4.6. that there exists a commutative diagram

$$\begin{array}{ccc} [X, \text{End}^0(A)] & \xrightarrow{\quad} & \varprojlim \pi_0(\text{Map}(X, \text{Hom}^0(A_n, A))) \\ \downarrow \alpha_0 - \epsilon & & \downarrow \\ \text{Hom}_C(K_0(A), K_0(J)) & \xrightarrow{\quad} & \varprojlim \text{Hom}_C(K_0(A_n), K_0(J)) \end{array}$$

in which the bottom arrow is an isomorphism and the right vertical arrow is a bijection.

We obtain the following diagram:

$$\begin{array}{ccccccc} 0 \rightarrow \varprojlim^1 \text{Hom}_C(K_0(A_n), K_1(J)) & \rightarrow & [X, \text{End}^0(A)] & \rightarrow & \epsilon + \text{Hom}_C(K_0(A), K_0(J)) & \rightarrow & 0 \\ & \nwarrow & \uparrow \simeq & & & & \\ & \text{Ext}_C(K_0(A), K_1(J)) & \xleftarrow{\delta'_0} & \text{ker } \alpha_0 & & & \end{array}$$

in which the first vertical arrow is an isomorphism by lemma 3.6 and the top horizontal line is an exact sequence of pointed sets.

The proof of this proposition will be concluded if we show that this diagram is commutative.

Let  $\varphi \in \text{Map}(X, \text{Aut}^0(A))$ ,  $[\varphi] \in \text{ker } \alpha_0$ . Our assumption shows that  $\varphi|_{A_n} \in \text{Map}(X, \text{Hom}^0(A_n, A))$  is homotopic to the function  $x \rightarrow i_n$  via a homotopy  $\psi_n \in \text{Map}([0, 1] \times X / [0, 1] \times \{x_0\}, \text{Hom}^0(A_n, A))$  i.e.  $\psi_n|_{\{1\} \times X} = \varphi|_{A_n}$ ,  $\psi_n(0, x) = i_n$ .  $\psi_n$  defines a morphism  $f_n: A_n \rightarrow E$  such that  $\rho \circ f_n = i_n$  ( $\rho$  is the quotient map  $E_\varphi \rightarrow A$ ). It follows from lemma 3.6. that  $\delta'_0([\varphi])$  is represented in  $\varprojlim^1 \text{Hom}_C(K_0(A_n), K_1(J))$  by the sequence  $(\lambda_n)_{n \in \mathbb{N}} = (K_0(f_{n+1}) \circ K_0(i_{n+1, n}) - K_0(f_n))_{n \in \mathbb{N}}$  (we identify  $K_0(SJ)$  to  $K_1(J)$  by Bott periodicity).

Let us observe that we may define

$\eta'_n: [0, 1] \times X \rightarrow \text{Hom}^0(A_n, A)$  by  $\eta'_n(t, x) = \psi_{n+1}(2t, x)|_{A_n}$  for  $t \in [0, 1/2]$  and by  $\eta'_n(t, x) = \psi_n(2-2t, x)$  for  $t \in [1/2, 1]$  then

$\eta'_n(0, x) = \eta'_n(t, x_0) = \eta'_n(1, x) = i_n$  and  $\eta'_n$  factors to a mapping  $\eta_n: SX \rightarrow \text{Hom}^0(A_n, A)$  of pointed spaces. Then ([27], Theorem (4.8), pag 433)  $\varphi$  is represented in  $\varprojlim [SX, \text{Hom}^0(A_n, A)]$  by the sequence  $([\eta_n])_{n \in \mathbb{N}}$ . Since  $[\eta_n]$  is sent to  $\lambda_n \in \text{Hom}_c(K_0(A_n), K_1(J))$  under the isomorphism of lemma 4.6 (see also 4.7) the commutativity of the diagram follows.

We now turn to the unital case.

Let us note first that  $A \otimes \mathcal{K}$  is completely non unital and that there exists a morphism  $\text{Aut}(A) \rightarrow \text{Aut}(A \otimes \mathcal{K})$  given by  $\eta \rightarrow \eta \otimes \text{id}_{\mathcal{K}}$ . We have denoted by  $\mathcal{K}$ , as usual, the  $C^*$ -algebra of compact operators on a separable Hilbert space.

Denote by  $\sigma: [X, \text{Aut}(A)] \rightarrow [X, \text{Aut}(A \otimes \mathcal{K})]$  the corresponding morphism. The following lemma is folklore and identifies the range of this morphism. We sketch its proof for the convenience of the reader.

5.5. Lemma Let  $\psi \in \text{Map}(X, \text{Aut}(A \otimes \mathcal{K}))$  then  $[\psi]$  is in the range of  $\sigma$  if and only if  $\alpha_0([\psi])([1]) = [1]$ .

Proof. One implication is obvious.

Let  $(e_{n,m})_{n,m \in \mathbb{N}}$  denote a matrix unit of  $\mathcal{K}$ .

Denote as usual  $B = C(X, A)$  and let  $\tilde{\psi}: B \otimes \mathcal{K} \rightarrow B \otimes \mathcal{K}$  be the morphism defined by  $\psi, f_{n,m} = 1 \otimes e_{n,m} \in B \otimes \mathcal{K}$ . If  $\tilde{\psi}(f_{n,m}) = f_{n,m}$  then it follows that there exists  $\varphi \in \text{Map}(X, \text{Aut}(A))$  such that

$\tilde{\psi} = \tilde{\varphi} \otimes \text{id}_{\mathcal{K}}$  ( $\tilde{\varphi}$  is the morphism  $B \rightarrow B$  defined by  $\varphi$ ), and it follows that  $\psi$  is even in the range of  $\text{Map}(X, \text{Aut}(A)) \rightarrow \text{Map}(X, \text{Aut}(A \otimes \mathcal{K}))$ .

In general, the assumption that  $K_0(\tilde{\psi})([1]) = \alpha_0([\psi])([1]) = [1]$  shows that  $\tilde{\psi}(f_{00})$  is equivalent to  $f_{00}$  (use proposition 4.5.).

(If we identify  $K_0(A)$  with  $K_0(A \otimes \mathcal{K})$  by stability, then  $[1] = [f_{00}]$ )

Let  $v \in B \otimes \mathcal{K}$  be such that  $v^*v = f_{00}, vv^* = \tilde{\psi}(f_{00})$ . Let  $u =$

$= \sum_{n \in \mathbb{N}} \tilde{\psi}(f_{n0}) v f_{0n}$ , the convergence being in the strict topology of

$M(B \otimes \mathcal{K})$ ,  $u$  is a unitary in  $M(B \otimes \mathcal{K})$  and  $\text{ad}_u(f_{n,m}) = \tilde{\psi}(f_{n,m})$ . Since the

unitary group of  $M(B \otimes \mathcal{K})$  is contractible



for any  $C^*$ -algebra  $D([9], [19])$  it follows that there exists an arc of unitaries  $u_t^* \in M(B \otimes K)$  connecting  $u$  to  $1 \in M(B \otimes K)$  and such that the value of  $u_t$  in  $x_0$  is a multiple of the identity in  $M(A \otimes K)$  (use the fact that  $U(M(A \otimes K))$  is a direct summand of  $U(M(B \otimes K))$ ). Then  $t \rightarrow \text{ad}_{u_t} \circ \psi$  is a homotopy of  $\psi$  in  $\text{Map}(X, \text{Aut}(A \otimes K))$  to a mapping in the range of  $\text{Map}(X, \text{Aut}(A)) \rightarrow \text{Map}(X, \text{Aut}(A \otimes K))$ .

We get the following corollary:

5.6. Corollary a)  $G^1 = G^0 \cap \{ \xi \in \text{Hom}(K_1(A), K_0(B)), \alpha_1(\xi)([1]) = [1] \in K_0(B) \}$

b) The restriction of  $\sigma$  to  $\ker \alpha_1$  maps  $\ker \alpha_1$  onto  $\ker \alpha_0$ .

Proof. Use proposition 5.4. and lemma 5.5.

We are left with the determination of the kernel of  $\alpha_1$ .

5.7. Lemma  $\gamma_1'$  is an isomorphism.

Proof. We first prove that  $\gamma_1'$  is injective.

Suppose that there exists  $\tau \in \text{Hom}_c(K_0(A), K_0(E_0))$  such that  $K_0(\tau) \circ \tau = \text{id}_{K_0(A)}$  and  $\tau([1]) = [1]$ .

Let  $A = \overline{\bigcup A_n}$  with  $A_n$  finite dimensional and let  $i_{m,n}, i_n$  have the same meaning as in the discussion preceding lemma 4.6. It is an immediate consequence of proposition 4.5. that there exists a morphism  $\eta_n: A_n \rightarrow E_\varphi$  such that  $\rho \circ \eta_n = i_n$  and  $K_0(\eta_n) = \tau \circ K_0(i_n)$ , moreover any two such morphisms are unitary conjugated. Using induction on  $n$  one can define morphisms  $\eta_n: A_n \rightarrow E_\varphi$  as above such that  $\eta_m|_{A_n} = \eta_n$ . This can be done as follows. Suppose that we have defined  $\eta_1, \dots, \eta_n$  as above. Choose  $\eta'_{n+1}: A_{n+1} \rightarrow E_\varphi$  arbitrarily such that  $\rho \circ \eta'_{n+1} = i_{n+1}$  and  $K_0(\eta'_{n+1}) = \tau \circ K_0(i_{n+1})$ . Then there exists  $u \in U(A)$  such that  $\eta'_{n+1}|_{A_n} = \text{ad}_u \circ \eta_n$ . Let  $\eta_{n+1} = \text{ad}_{u^*} \circ \eta'_{n+1}$ .  $\eta_n$  collect to define a lifting  $\eta: A \rightarrow E_\varphi$  for  $\rho: \rho \circ \eta = \text{id}_A$

Let  $\psi: [0,1] \times X \rightarrow \text{End}^0(A)$  be defined by  $\psi(t,x) =$  the composition of  $\eta: A \rightarrow B$  and of the "evaluation at  $(t,x)$ ":  $B \ni b \mapsto b(t,x) \in A$ .  $\psi$  defines an arc connecting  $\varphi$  to the constant mapping in  $\text{Map}(X, \text{End}^0(A))$ . Using the isomorphism  $[X, \text{Aut}^0(A)] \rightarrow [X, \text{End}^0(A)]$  ([20], lemma 1.4) we obtain that  $\ker \gamma'_1 \simeq \{0\}$ .

To prove the surjectivity of  $\gamma'_1$  consider the diagram

$$\begin{array}{ccccccc} K_1(J) & \xrightarrow{\text{Ad}} & \ker \alpha_1 & \longrightarrow & \ker \alpha_0 & \longrightarrow & 0 \\ \downarrow \simeq & & \downarrow \gamma'_1 & & \searrow \gamma'_0 & & \\ \text{Hom}(\mathbb{Z}, K_1(J)) & \longrightarrow & \text{Ext}_C^u(K_0(A), K_1(J)) & \longrightarrow & \text{Ext}_C(K_0(A), K_1(J)) & \longrightarrow & 0 \end{array}$$

Ad is defined as follows. Let  $u \in U(J)$ ,  $u$  is represented by a function  $u: X \rightarrow U(A)$  such that  $u(x_0) = 1$ . Let  $\text{Ad}([u])$  be the class of  $x \mapsto \text{ad}_{u(x)} \mapsto \text{Aut}(A)$ . It follows that the diagram is commutative and hence  $\gamma'_1$  is onto (a simple diagram chase).





We put together the results of this section in the following theorem.

5.8. Theorem Let  $(X, x_0)$  be a pointed compact connected CW-complex and  $A$  an AF-algebra which satisfies 4.1.a) and b). Let  $i = 0$  if  $1 \notin A$ ,  $i = 1$  if  $1 \in A$ .

Denote by  $\Omega$  the lattice of ideals of  $A$ ,  $B = C(X, A)$ ,  $J = C_0(X \setminus \{x_0\}, A)$ ;  $K_j(B)$  and  $K_j(J)$  are  $\Omega$ -filtered  $K^0(X)$ -modules ( $j \in \{0, 1\}$ ).

The range of  $\alpha_0$  is  $G^0 = \text{id}_{K_0(A)} + \text{Hom}_{K^0(X), c}^{K_0(B), K_1(B)}(K_0(J))$  and the range of  $\alpha_1$  is  $G^1 = \{ \eta \in G, \eta([1]) = [1] \}$ .

The product in  $G^i$  is the composition of morphisms.

$$\begin{aligned} \gamma_0: \ker \alpha_0 &\rightarrow \text{Ext}_{K^0(X), c}^{K_0(B), K_1(B)} \text{ and} \\ \gamma_1: \ker \alpha_1 &\rightarrow \text{Ext}_{K^0(X), c}^{K_0(B), K_1(B)} \end{aligned}$$

are isomorphisms. We obtain exact sequences

$$0 \rightarrow \text{Ext}_{K^0(X), c}^{K_0(B), K_1(B)} \rightarrow [X, \text{Aut}(A)] \rightarrow G^0 \rightarrow 0.$$

if  $1 \notin A$ , and

$$0 \rightarrow \text{Ext}_{K^0(X), c}^{K_0(B), K_1(B)} \rightarrow [X, \text{Aut}(A)] \rightarrow G^1 \rightarrow 0$$

if  $1 \in A$ . These exact sequences are natural in  $(X, x_0)$ ; their kernels are determined by lemma 5.3.b).

Here are some consequence of the naturality in  $X$  of the exact sequence.

5.9. Corollary Let  $(X, x_0), (Y, y_0)$  be pointed compact connected CW-complexes. Suppose  $f: (X, x_0) \rightarrow (Y, y_0)$  induces an isomorphism of the  $K$ -groups then  $f^*: [Y, \text{Aut}(A)] \rightarrow [X, \text{Aut}(A)]$  is an isomorphism. If  $K^0(f)$  is an isomorphism and  $K^1(Y) \simeq \{0\}$  then the exact sequences of the preceding theorem split.

Proof. Denote by  $G^1(X)(G^1(Y))$  the range of  $\alpha_1$  in order to put in evidence the natural dependence of these groups on  $X(Y)$ .

Then there exist by the naturality of the exact sequences commutative diagrams

$$\begin{array}{ccccccc} 0 \rightarrow \text{Ext}_C(K_0(A), K^1(Y)) & \rightarrow & [Y, \text{Aut}(A)] & \rightarrow & G^0(Y) & \rightarrow & 0 \\ & \downarrow K^1(f)_* & & \downarrow f^* & & \downarrow G^0(f) & \\ 0 \rightarrow \text{Ext}_C(K_1(A), K^1(X)) & \rightarrow & [X, \text{Aut}(A)] & \rightarrow & G^0(X) & \rightarrow & 0 \end{array}$$

if  $1 \in A$  and

$$\begin{array}{ccccccc} 0 \rightarrow \text{Ext}_C^u(K_0(A), K^1(Y)) & \rightarrow & [Y, \text{Aut}(A)] & \rightarrow & G^1(Y) & \rightarrow & 0 \\ & \downarrow K^1(f)_* & & \downarrow f & & \downarrow G^1(f) & \\ 0 \rightarrow \text{Ext}_C^u(K_0(A), K^1(X)) & \rightarrow & [X, \text{Aut}(A)] & \rightarrow & G^1(X) & \rightarrow & 0 \end{array}$$

if  $1 \in A$ .

$G^0(f)$  is obtained from the commutative diagram

$$\begin{array}{ccc} \mathcal{L}_Y + \text{Hom}_C(K_0(A), K_0(C_0(Y \setminus \{Y_0\}, A))) & \xrightarrow{\mu_Y} & G_0(Y) \\ \downarrow K_0(f)_* & & \downarrow G^0(f) \\ \mathcal{L}_X + \text{Hom}_C(K_0(A), K_0(C_0(X \setminus \{X_0\}, A))) & \xrightarrow{\mu_X} & G_0(X) \end{array}$$

$\mu_X, \mu_Y$  have the same meaning as in 5.1

$$f^*: C_0(Y \setminus \{Y_0\}, A) \rightarrow C_0(X \setminus \{X_0\}, A)$$

is given by  $b \mapsto b \circ f$ .

The first part of the corollary is a consequence of the Five Lemma. The second part follows from the fact that

$\alpha_i: [Y, \text{Aut}(A)] \rightarrow G^1(Y)$  and  $G^1(f): G^1(Y) \rightarrow G^1(X)$  are isomorphism and hence  $f \circ \alpha_i^{-1} \circ G^1(f)^{-1}$  is well defined and is the described splitting



## 6. The case A simple and X a $H'$ -space

Let A be a simple AF-C-algebra not stably isomorphic to  $\mathbb{K}$ ,  $(X, x_0)$  a pointed compact connected CW complex which is also a  $H'$ -space.

Let B and J have the meaning of the preceding sections and denote by  $A'$  the mapping cone of the inclusion  $\mathbb{C} \rightarrow A$  if  $1 \in A$ . We shall prove that  $[X, \text{Aut}(A)]$  is naturally isomorphic to  $\text{KK}(A, J)$  if  $1 \notin A$  or to  $\text{KK}(A', SJ)$  if  $1 \in A$ .

For the definition and the basic proprieties of the KK-bifunctor the reader is referred to the original papers of G.G.Kasparov [16], [17] or to the book of B.Blackadar [5]. Our approach uses Cuntz's "quasihomomorphism picture" of KK-groups (see [8] or [5]).

We shall define first natural transformations  $c_0: [X, \text{Aut}(A)] \rightarrow \text{KK}(A, J)$  if  $1 \notin A$  and  $c_1: [X, \text{Aut}(A)] \rightarrow \text{KK}(A', SJ)$  if  $1 \in A$ .

Let  $\varphi_0 \in \text{Map}(X, \text{Aut}(A))$  denote the constant function. For  $\varphi \in \text{Map}(X, \text{Aut}(A))$  we shall denote by  $\Phi(\varphi) \in \text{End}(B)$  the morphism defined by  $\varphi$ , i.e.  $\Phi(\varphi)(a)(x) = \varphi_x(a)$  for any  $a \in A, x \in X$ . It follows that  $\Phi(\varphi)(a) - \Phi(\varphi_0)(a) \in J$  for any  $a \in A$  and hence the pair  $(\Phi(\varphi), \Phi(\varphi_0))$  is a quasihomomorphism from A to J.

We shall denote by  $c_0([\varphi])$  the corresponding element in  $\text{KK}(A, J)$  (see [5], [8]). If A is unital denote by  $\psi, \psi_0: A' \rightarrow C([0, 1] \times X, A) \rightarrow M(SJ)$  the morphisms defined as follows. Recall first that

$A' = \{f: [0, 1] \rightarrow A, f(0) = 0, f(1) \in \mathbb{C}\}$ . Then  $\psi(f)(t, x) = \varphi_x(f(t))$ ,  $\psi_0(f)(t, x) = f(t)$  for any  $f \in A$ . It follows that

$\psi(f) - \psi_0(f) \in SJ$  for any  $f \in A$ . We shall define  $c_1([\psi])$  to be the class of the quasihomomorphism  $(\psi, \psi_0)$  in  $\text{KK}(A, SJ)$  (see [5], [8]).

6.1. Lemma  $c_i$  is a morphism ( $i \in \{0, 1\}$ ).

Proof. We shall prove the lemma for  $i = 0$ , for  $i = 1$  the

proof is similar.

Denote by  $\theta: X \rightarrow X \vee X$  the comultiplication of  $X$  and by  $q_1, q_2: X \vee X \rightarrow X$  the projection on the first or the second coordinate i.e.  $q_1 = \text{id}_X \vee \text{ct}$ ,  $q_2 = \text{ct} \vee \text{id}_X$  (here  $\text{ct}: X \rightarrow X$  is the constant function  $x \rightarrow x_0$ ). Then  $q_1 \circ \theta, q_2 \circ \theta$  and  $\text{id}_X$  are homotopic via base point preserving homotopies ( $X$  is a  $H'$  space).

It is a well known fact that the multiplication in  $[X, \text{Aut}(A)]$  may be defined also by  $[\varphi] + [\psi] = [(\varphi \vee \psi) \circ \theta]$

There exists a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & J \oplus J & \rightarrow & C(X \vee X, A) & \rightarrow & A \rightarrow 0 \\ & & \downarrow \nu & & \downarrow \theta^* & & \parallel \\ 0 & \rightarrow & J & \rightarrow & C(X, A) & \rightarrow & A \rightarrow 0 \end{array}$$

The quotient maps are obtained from evaluation at the base point.

It follows from the assumptions on  $\theta, q_1$  and  $q_2$  that  $\nu$  is a homotopy equivalence on each factor. This shows that if

$$(\xi, \zeta) \in KK(A, J) \oplus KK(A, J) \simeq KK(A, J \oplus J) \text{ then } \nu_*(\xi, \zeta) = \xi + \zeta.$$

It follows from the definitions that

$$\nu_*(c_0([\varphi]), c_0([\psi])) = c_0([\varphi \vee \psi] \circ \theta) \text{ and hence}$$

$$c_0([\varphi][\psi]) = c_0([\varphi]) + c_0([\psi]).$$

6.2. Theorem  $c_0: [X, \text{Aut}(A)] \rightarrow KK(A, J)$  if  $\overbrace{1 \in A}^{1 \in A}$  and  $c_1: [X, \text{Aut}(A)] \rightarrow KK(A', J)$  if  $1 \in A$  are isomorphisms.

Proof. Let  $\iota$  be the composition  $K_0(A) \ni \xi \rightarrow [1] \otimes \xi \in K^0(X) \otimes K_0(A) \simeq K_0(B)$ .

There exists a commutative diagram

$$\begin{array}{ccccccc} 0 & \rightarrow & \text{Ext}_C(K_0(A), K_1(J)) & \rightarrow & [X, \text{Aut}(A)] & \rightarrow & \text{Hom}_C(K_0(A), K_0(J)) \rightarrow 0 \\ & & \downarrow & & \downarrow c_0 & & \downarrow \\ 0 & \rightarrow & \text{Ext}(K_0(A), K_1(J)) & \rightarrow & KK(A, J) & \rightarrow & \text{Hom}(K_0(A), K_0(J)) \rightarrow 0 \end{array}$$

in which the top line is exact by proposition 5.4. and the



bottom line is exact by the Universal Coefficient Theorem ([24]). The first vertical arrow is a morphism since it is the restriction of  $c_0$ . The third vertical arrow is also a morphism. This can be viewed as follows. Let  $F_1, F_2 \in \text{Hom}(K_0(A), K_0(J))$ ,  $\mu_X: \text{Hom}(K_0(A), K_1(B)) \rightarrow \text{Hom}_{K^0(X)}(K_0(B), K_0(B))$  be as in 5.1., then

$$\mu_X(\iota + F_j)(\iota(a) + b_0) = \iota(a) + F_j(a) + b_0$$

for any  $a \in K_0(A)$ ,  $b_0 \in K_0(J)$  since  $K^0(X)^2 = 0$ . This shows that  $\mu_X(\iota + F_1)\mu_X(\iota + F_2) = \mu_X(\iota + F_1 + F_2)$ .

The filtration are trivial if  $A$  is simple and hence  $\text{Ext}_C(K_0(A), K_1(J)) \rightarrow \text{Ext}(K_0(A), K_1(J))$  and  $\text{Hom}_C(K_0(A), K_1(J)) \rightarrow \text{Hom}(K_1(A), K_0(J))$  are isomorphisms. This shows that  $c_0$  is an isomorphism.

Let us prove now that  $c_1$  is an isomorphism. Since  $K_1(A') \simeq K_0(A)/\mathbb{Z}[1]$ ,  $K_0(A') \simeq \{0\}$  we obtain using corollary 5.6.a) and lemma 5.7. and the Universal Coefficient Theorem ([24]) that there exists a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 \rightarrow \text{Ext}_C^u(K_0(A), K_1(J)) & \rightarrow & [X, \text{Aut}(A)] & \rightarrow & G_1 & \rightarrow & 0 \\ \downarrow h & & \downarrow c_1 & & \searrow & & \\ 0 \rightarrow \text{Ext}(K_0(A)/\mathbb{Z}[1], K_1(J)) & \rightarrow & \text{KK}(A, SJ) & \rightarrow & & & \\ & & & & \searrow & & \\ & & & & \rightarrow \text{Hom}(K_0(A)/\mathbb{Z}[1], K_0(J)) & \rightarrow & 0 \end{array}$$

Let us determine the morphism  $h$ .

Suppose that  $\varphi \in \text{Map}(X, \text{Aut}^0(A)$ , then  $[\varphi] \in \ker \alpha_1$ , if and only if  $K_0(\Phi(\varphi)) = K_0(\Phi(\varphi_0)) = \iota$ .

Then there exists a commutative diagram

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & \\
 & & \downarrow & & \downarrow & & \\
 0 \rightarrow & S^2 J \rightarrow & SE_\varphi & \rightarrow & SA & \rightarrow & 0 \\
 & \parallel & \downarrow & & \downarrow & & \\
 0 \rightarrow & S^2 J \rightarrow & E'_\varphi & \rightarrow & A' & \rightarrow & 0 \\
 & & \downarrow \mathcal{C} & & \downarrow \mathcal{C} & & 
 \end{array}$$

(We have denoted by  $E'_\varphi$  the mapping cone of the inclusion  $\mathcal{C} \rightarrow E_\varphi$ ). The corresponding diagram of  $K_1$ -groups shows that  $h$  associates to the class of the compatible extension with order unit

$$0 \rightarrow K_1(J) \rightarrow (K_0(E_\varphi), [1]) \rightarrow (K_0(A), [1]) \rightarrow 0$$

the class of

$$0 \rightarrow K_1(J) \rightarrow K_0(E_\varphi)/\mathbb{Z}[1] \rightarrow K_0(A)/\mathbb{Z}[1] \rightarrow 0$$

in  $\text{Ext}(K_0(A)/\mathbb{Z}[1], K_1(J))$ . (see [5])  $h$  is obviously an isomorphism if  $A$  is simple. The Five Lemma shows that  $c_1$  is also an isomorphism.



## 7. The Samelson product

In this section we briefly study the effect of the Samelson product. It turns out that it does not vanish in general and hence the classifying space of  $\text{Aut}^0(A)$  is not a H-space ([27]). This shows that the set of isomorphism classes of locally trivial fields of  $C^*$ -algebras on  $X$  with fiber  $A$  cannot be given a natural group structure for any compact space  $X$  (see [27], pag. 475 (7.8)).

Let us recall the definition of the Samelson product ([27], pag. 467) it is pairing

$$\langle \cdot, \cdot \rangle : [X, \text{Aut}(A)] \times [Y, \text{Aut}(A)] \rightarrow [X \wedge Y, \text{Aut}(A)] \text{ defined by}$$

$$\langle [\varphi], [\psi] \rangle = [\eta], \eta(x \wedge y) = \varphi(x) \psi(y) \varphi(x)^{-1} \psi(y)^{-1}$$

If  $X = S^n, Y = S^m$  this gives a pairing

$$\pi_n(\text{Aut}(A)) \times \pi_m(\text{Aut}(A)) \rightarrow \pi_{n+m}(\text{Aut}(A))$$

Let us observe that  $\alpha(\langle a, b \rangle)$  depends only on  $\alpha(a)$  and  $\alpha(b)$  (we omit various subscripts of  $\alpha$ ) and it is defined by

$$(7.1) \quad j_* \mu_{X \wedge Y}(\alpha(\langle a, b \rangle)) = (\mu'_X(a) \mu'_Y(b) \mu'_X(a)^{-1} \mu'_Y(b)^{-1})_* j_*$$

where  $j: K_0(C(X \wedge Y, A)) \rightarrow K_0(C(X \vee Y, A))$  is the obvious inclusion and  $\mu'_X(a)$  is the extension of  $\mu_X(\alpha(a)): K_0(C(X, A)) \rightarrow K_0(C(X, A))$  to a  $K^0(X \times Y)$ -linear morphism

$\mu'_X(a): K_0(C(X \times Y, A)) \rightarrow K_0(C(X \times Y, A))$ .  $\mu'_Y$  is defined similarly.

Moreover since  $\ker \alpha$  is represented by approximatively inner loops we obtain the following result:

### 7.2. Proposition

a)  $\alpha(\langle a, b \rangle)$  depends only on  $\alpha(a)$  and  $\alpha(b)$  and its formula is given by (7.1).

b)  $\langle \ker \alpha, [Y, \text{Aut}(A)] \rangle$  and  $\langle [X, \text{Aut}(A)], \ker \alpha \rangle$  are contained in  $\ker \alpha$ .

c)  $\langle \ker \alpha, \ker \alpha \rangle = \{0\}$ .

d)  $\bigoplus_{n \geq 0} \pi_n(\text{Aut}(A))$  with the Samelson product is gradedly isomorphic to  $\text{Aut}(K_0(A), \Sigma(A)) \oplus (\bigoplus_{k \geq 1} \text{Ext}^{p(k)}(K_0(A), K_0(A)))$  with the product  $\langle a, b \rangle' = aba^{-1}b^{-1}$  if  $a$  and  $b$  are of degree 0,  $\langle a, b \rangle' = aba^{-1} - b$  if  $a$  is of degree 0 and  $b$  of degree  $\geq 1$ , and  $\langle a, b \rangle' = ab - ba$  if  $a, b$  are both of degree  $\geq 1$ .

$(\text{Ext}^{p(k)}(K_0(A), K_0(A)))$  denotes  $\text{Hom}_C(K_0(A), K_0(A))$  if  $k$  is even and  $1 \notin A, \text{Hom}_C(K_0(A), K_0(A)) \cap \text{Hom}(K_0(A)/\mathbb{Z}[1], K_0(A))$  if  $k$  is even and  $1 \in A, \text{Ext}_C(K_0(A), K_0(A))$  if  $k$  is odd,  $1 \notin A, \text{Ext}_C^u(K_0(A), K_0(A))$  if  $k$  is odd and  $1 \in A$ ,  $\Sigma(A)$  is the scale of the ordered group  $K_0(A)$ .

Proof.

a), b) and c) are obvious and d) follows from theorem(5.8) using (7.1) (see also [20]).

5.8. d) gives a necessary condition on  $A$  in order to exist a natural group structure on the set of isomorphism classes of locally trivial fields of AF-algebras with fiber  $A$ . Indeed, if such a natural group structure would exist then every field on  $S^n \vee S^m$  would have an extension on  $S^n \times S^m$  thus forcing the vanishing of the Samelson product on  $\pi_{n-1}(\text{Aut}(A)) \times \pi_{m-1}(\text{Aut}(A))$ . (See [27] pag. 476 (7,10)). This cannot happen if  $A$  is simple and  $\text{Hom}(K_0(A), K_0(A))$  is not commutative.



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