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FUNCTIONS, III.

by

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GEOMETRY OF DIFFERENTIAL POLYNOMIAL FUNCTIONS, III

A. Buium

Introduction.

This paper is a direct continuation of $[B_1][B_2]$ from where we borrow terminology and notations.

In section 1 we discuss some further properties of Δ -polynomial functions and apply these properties to make a rough discussion of Δ -polynomial functions on algebraic surfaces.

In section 2 we prove a theorem which implies in particular that the order of any Δ -polynomial character of the Jacobian of a curve does not decrease by restriction to the curve.

In section 3 we introduce a new concept (having no analogue in the "non-differential" algebraic geometry): a Δ -closed subset Σ of \mathcal{U}^N will be called strongly Δ -closed if it remains Δ -closed in \mathbb{P}^N (where we view \mathcal{U}^N embedded in \mathbb{P}^N via $(x_1, \dots, x_N) \mapsto (1:x_1:\dots:x_N)$). We shall prove that for X a smooth complete curve of genus $g \geq 3$ which is Δ -generic in the moduli space \mathcal{M}_g , the image of the Δ -pluricanonical map $\psi_3: X \rightarrow \mathcal{U}^{8g-8}$ which is known from $[B_2]$ to be Δ -closed is in fact strongly Δ -closed.

1. Further properties of Δ -polynomial functions

(1.1) Start by recalling a basic fact from [J] p.183. Assume $(f, \delta): X \rightarrow Y$ is a smooth kernel and $(\tilde{f}, \tilde{\delta}): P \rightarrow X$ its canonical prolongation. Then we have a natural isomorphism:

$$\tilde{\Phi} = \tilde{\Phi}_{X/Y}: \tilde{f}_* \tilde{\Omega}_{X/Y} \longrightarrow \Omega_{P/X}$$

Here naturality of $\tilde{\Phi}_{X/Y}$ means compatibility in the obvious sense with morphisms between kernels. Recall for convenience how $\tilde{\Phi}$ is defined (cf. [J]).

The composition

$$\mathcal{O}_X \xrightarrow{\tilde{\delta}} \tilde{f}_* \mathcal{O}_P \xrightarrow{\tilde{f}_* d_{P/X}} \tilde{f}_* \Omega_{P/X}$$

is an \mathcal{O}_Y -derivation hence provides an \mathcal{O}_X -linear map $\Omega_{X/Y} \rightarrow \tilde{f}_* \Omega_{P/X}$ hence an \mathcal{O}_P -linear map $\tilde{\Phi}$ as above. It is easy to see (using for instance the fact that P has a natural ^{structure} of principal homogeneous space for $\mathbb{V}(T_{X/Y})$ or simply using [J] p.183) that $\tilde{\Phi}$ is an isomorphism.

In particular if X is a smooth \mathcal{U} -variety and $(X^n)_n$ its associated infinite prolongation sequence [B₁] (3.1) then we have natural isomorphisms $\mathbb{V}(T_{X^n/X^{n-1}}) \cong X^n \times_X TX$ where TX denotes as usual the tangent bundle of X .

Now let $(f, \delta): X_0 \rightarrow X_{-1}$ and $(g, \delta): Y_0 \rightarrow Y_{-1}$ be two smooth kernels and $(u_0, u_{-1}): (f, \delta) \rightarrow (g, \delta)$ be a morphism of kernels. Let moreover $X_1 = P(f, \delta) \rightarrow X_0$ and $Y_1 = P(g, \delta) \rightarrow Y_0$ be the canonical prolongations. Then using the functors that X_1 and Y_1 represent one immediately checks that the natural morphism $u_1: X_1 \rightarrow Y_1$ induced by (u_0, u_{-1}) is equivariant (in the obvious sense) with respect to the natural map $\mathbb{V}(T_{X_0/X_{-1}}) \rightarrow \mathbb{V}(T_{Y_0/Y_{-1}})$.

In particular, if we start with a morphism of smooth \mathcal{U} -varieties $u: X \rightarrow Y$ and if $u^n: X^n \rightarrow Y^n$ is the associated morphism of infinite prolongation sequences then $u^{n+1}: X^{n+1} \rightarrow Y^{n+1}$ is equivariant with respect to the map

$$u^n \times T u: X^n \times_X TX \rightarrow Y^n \times_Y TY$$

where $Tu:TX \rightarrow TY$ is the "tangent" map of u .

Here is a quick but remarkable application of (1.1) above. Let's introduce a notation. For X a smooth projective \mathcal{U} -variety and $I=(i_1, \dots, i_k)$, $i_j \geq 0$, $k \geq 1$ any multiindex we put

$$q_I(X) = \dim_{\mathcal{U}} H^0(X, S^{i_1} \Omega_{X/\mathcal{U}} \otimes \dots \otimes S^{i_k} \Omega_{X/\mathcal{U}})$$

These are birational invariants of X . We put $|I| = k$. Note that the invariants q_I for $|I| = 1$ have been studied by Sakai [Sak]. We have the following:

(1.2) PROPOSITION. Assume $q_I(X) = 0$ for $I \neq 0$, $|I| \leq n$. Then $\mathcal{O}^{(n)}(X) = \mathcal{U}$. In particular, if $q_I(X) = 0$ for all $I \neq 0$ then $\mathcal{O}^\Delta(X) = \mathcal{U}$.

To prove (1.2) let's make one more definition. A morphism of schemes $f: X \rightarrow Y$ will be said to have property P_n if the map $H^0(\mathcal{O}_Y) \rightarrow H^0(\mathcal{O}_X)$ is an isomorphism and for any multiindex $I \neq 0$ with $|I| \leq n$ we have $H^0(X, S^{i_1} \Omega_{X/Y} \otimes \dots \otimes S^{i_k} \Omega_{X/Y}) = 0$. Then (1.2) follows by induction applying the Lemma below to the infinite prolongation sequence $(f^n, \mathcal{S}^n): X^n \rightarrow X^{n-1}$ associated to X .

(1.3) LEMMA. Assume $(f, \mathcal{S}): X \rightarrow Y$ is a smooth kernel and $(\tilde{f}, \tilde{\mathcal{S}}): \tilde{X} \rightarrow X$ its canonical prolongation. If f has property P_{n+1} then \tilde{f} has property P_n .

Proof. For any multiindex $I=(i_1, \dots, i_k)$, $i_j \geq 0$, $k \leq n$ we have by (2.1):

$$\begin{aligned} H^0(P, S^{i_1} \Omega_{P/X} \otimes \dots \otimes S^{i_k} \Omega_{P/X}) &= H^0(P, \tilde{f}^* (S^{i_1} \Omega_{X/Y} \otimes \dots \otimes S^{i_k} \Omega_{X/Y})) = \\ &= H^0(X, S^{i_1} \Omega_{X/Y} \otimes \dots \otimes S^{i_k} \Omega_{X/Y} \otimes \tilde{f}^* \mathcal{O}_P) \end{aligned}$$

But by $[B_2]$ (1.2) $\tilde{f}_* \mathcal{O}_P$ has a filtration whose associated graded algebra is $S(\Omega_{X/Y})$. This immediately implies that \tilde{f} has property P_n .

(1.4) Remarks. 1) One immediately checks that $q_l(\mathbb{P}^1)^N = 0$ for $l \neq 0$ and $N \geq 1$. So $q_l(X) = 0$ for $l \neq 0$ and any unirational smooth projective X ; this reproves the fact that for any such X , $\mathcal{O}^\Delta(X) = \mathcal{U}$ (cf. $[B_1]$ (6.3)).

2) By $[Sak]$ it follows that for any smooth projective surface X which is either K3 or Enriques or a hypersurface in \mathbb{P}^3 we have $q_l(X) = 0$ for $l \neq 0$, $|l| = 1$; so for any such X , $\mathcal{O}^{(1)}(X) = \mathcal{U}$. One might conjecture in fact that for any such X , $q_l(X) = 0$ for all $l \neq 0$ hence in particular that $\mathcal{O}^\Delta(X) = \mathcal{U}$.

(1.5) Let's investigate in what follows the behaviour of Δ -polynomial functions with respect to Galois coverings (i.e. finite Galois morphisms). Assume $X \rightarrow Y$ is a Galois covering with group G , X and Y being smooth (non necessarily projective) \mathcal{U} -varieties. As well known $\mathcal{O}(Y) = \mathcal{O}(X)^G$. But in general we have the curious fact that $\mathcal{O}^\Delta(Y) \neq \mathcal{O}^\Delta(X)^G$. Indeed, let $f: X \rightarrow Y$ be a Galois covering of smooth projective curves with group G such that $g(X) \geq 1$ and $g(Y) = 0$, so $Y = \mathbb{P}^1$. Then by $[B_2]$ $\mathcal{O}^\Delta(X) \neq \mathcal{U}$ and $\mathcal{O}^\Delta(Y) = \mathcal{U}$ hence $\mathcal{O}^\Delta(X)^G$ cannot coincide with $\mathcal{O}^\Delta(Y)$. Nevertheless we have the following

(1.6) PROPOSITION. Let $u: X \rightarrow Y$ be an étale covering of smooth \mathcal{U} -varieties. Then:

- 1) If u is Galois with group G we have $\mathcal{O}^{(n)}(Y) = \mathcal{O}^{(n)}(X)^G$ hence $\mathcal{O}^\Delta(Y) = \mathcal{O}^\Delta(X)^G$.
- 2) u is a closed map for the Δ -topologies (we will say simply that u is Δ -closed).

Proof. Let $u^n: X^n \rightarrow Y^n$ be the natural morphisms induced by u between the infinite prolongation sequences associated to X and Y . We prove by induction on n that u^n is an étale covering which is Galois if u is so and this will close the proof of 1). Now by (1.1) u^{n+1} is equivariant with respect to the map $u^n \times_T u: X^n \times_X TX \rightarrow Y^n \times_Y TY$. Since u is étale we have a natural isomorphism $TX \cong X \times_Y TY$ hence $u^n \times_T u$ identifies with the map $u^n \times_{id}: X^n \times_Y TY \rightarrow Y^n \times_Y TY$. Since $u^n \times_{id}$ is an étale covering so will be u^{n+1} . If u^n is Galois then so is u^{n+1} .

To prove 2) we may assume X and Y affine. Let $u^\infty: X^\infty \rightarrow Y^\infty$ be the morphism of D -scheme induced by u , $u^\infty = \varprojlim u^n$. Since $\mathcal{O}(X^n)$ is finite over $\mathcal{O}(Y^n)$, we get that $\mathcal{O}(X^\infty)$ is integral over $\mathcal{O}(Y^\infty)$ hence u^∞ is closed for the Zariski topology. This (and the "differential Nullstellensatz") immediately imply that u is Δ -closed.

(1.7) Remarks. 1) The Δ -closedness of étale coverings implies for instance that if $(\mathcal{A}_g)_{\text{reg}}$ is the smooth locus of the moduli space of principally polarized abelian \mathcal{U} -varieties of dimension g then the locus of all points in $(\mathcal{A}_g)_{\text{reg}}$ corresponding to abelian \mathcal{U} -varieties of Δ -rank r is Δ -closed for all r (cf: $[B_1]$ (6.8)).

2) Any Δ -closed immersion of smooth \mathcal{U} -varieties (in the sense of $[B_2]$ (1.6)) hence in particular any closed immersion of smooth \mathcal{U} -varieties is Δ -closed in the sense of (1.6).

3) If $u: X \rightarrow Y$ is a Galois covering of smooth \mathcal{U} -varieties with group G ramified along the divisor $D \subset Y$ and if $\varphi \in \mathcal{O}^\Delta(X)^G$ then the function $\bar{\varphi}: Y \rightarrow \mathcal{U}$ induced by φ (which is well defined set-theoretically but which is not in general Δ -polynomial on Y cf. (1.5)) is nevertheless Δ -polynomial on $Y \setminus D$. Here is a typical example. Let $u: X = \mathbb{A}^1 \rightarrow Y = \mathbb{A}^1$ be the Galois covering defined by $u(y) = y^a$, $a \geq 2$ an integer and consider the Δ -polynomial function $\varphi: X \rightarrow \mathcal{U}$, $\varphi(y) = (y^b)^a$. Clearly φ is invariant with respect to the Galois group of u and we have $\varphi = \psi \circ u$ where $\psi: Y \setminus \{0\} \rightarrow \mathcal{U}$ is defined by $\psi(y) = a^{-a} y^{1-a} (y^b)^a$.

We see that ψ is Δ -polynomial on $Y \setminus \{0\}$ (embed $Y \setminus \{0\}$ into \mathcal{U}^2 via $y \mapsto (y,$

y^{-1}) and then ψ is given by the Δ -polynomial $a^{-a} w_2^{a-1} (w_1^a)^{a-1}$ where (w_1, w_2) are coordinate on \mathcal{U}^2) and not Δ -polynomial on Y . So ψ may be viewed as having a "singularity" at $0 \in Y$ which can be "resolved" by composing with a finite covering of Y ramified at 0. The study of such "singularities" of Δ -polynomial functions deserves further investigation.

(1.8) PROPOSITION. Let X be a smooth \mathcal{U} -variety and $U \subset X$ a Zariski open subset whose complement has codimension ≥ 2 . Then the restriction maps $\mathcal{O}^{(n)}(X) \rightarrow \mathcal{O}^{(n)}(U)$, $\mathcal{O}^\Delta(X) \rightarrow \mathcal{O}^\Delta(U)$ are isomorphisms. In particular $\mathcal{O}^{(n)}(X)$, $\mathcal{O}^\Delta(X)$ are birational invariants of the smooth projective variety X .

Proof. If (U^n) , (X^n) are the infinite prolongation sequences associated to U and X then U^n is the preimage of U via the projection $X^n \rightarrow X$ hence $X^n \setminus U^n$ has codimension ≥ 2 in X^n and we are done.

(1.9) LEMMA. Let X and Y be smooth \mathcal{U} -varieties and (X^n) , (Y^n) , $((X \times Y)^n)$ be the infinite prolongation sequences associated to X, Y and $X \times Y$. Then we have natural isomorphisms

$$(X \times Y)^n \simeq X^n \times Y^n$$

compatible with the corresponding structure maps of the two prolongation sequences $((X \times Y)^n)$ and $((X^n) \times (Y^n))$.

Proof. It is a formal consequence of the fact that a functor admitting a left adjoint commutes with products.

(1.10) PROPOSITION. Let X and Y be smooth \mathcal{U} -varieties. Then we have natural isomorphisms $\mathcal{O}^{(n)}(X \times Y) \simeq \mathcal{O}^{(n)}(X) \otimes \mathcal{O}^{(n)}(Y)$, $\mathcal{O}^\Delta(X \times Y) \simeq \mathcal{O}^\Delta(X) \otimes \mathcal{O}^\Delta(Y)$.

Proof. By (1.9) plus Künneth's formula we get

$$\mathcal{O}^{(n)}(X \times Y) \simeq \mathcal{O}((X \times Y)^n) \simeq \mathcal{O}(X^n \times Y^n) \simeq \mathcal{O}(X^n) \otimes \mathcal{O}(Y^n) \simeq \mathcal{O}^{(n)}(X) \otimes \mathcal{O}^{(n)}(Y).$$

(1.11) COROLLARY. Let X be a smooth \mathcal{U} -variety and E a vector bundle on X . Then we have a natural isomorphism

$$\mathcal{O}^\Delta(\mathbb{P}(E)) \simeq \mathcal{O}^\Delta(X)$$

Proof. The question is local on X (for the Zariski topology). So we may assume E is trivial. Then we conclude by (1.9) and (1.4).

(1.12) PROPOSITION. Let X be a smooth \mathcal{U} -variety with $\mathcal{O}(X) = \mathcal{U}$, assume we are given a structure of D -scheme on X and let X_D be the subset of X consisting of all points $x \in X$ such that the maximal ideal of $\mathcal{O}_{X,x}$ is a Δ -ideal. Then any Δ -polynomial function on X is constant on X_D .

Proof. We shall denote by X^* the D -scheme X so $X = X^{*!}$. Let $\pi: X^{\infty!} \rightarrow X$ be the canonical projection. By adjunction the identity $1_X \in \text{Hom}_{\mathcal{U}\text{-sch}}(X^{*!}, X)$ corresponds to a morphism $s \in \text{Hom}_{D\text{-sch}}(X^*, X^\infty)$ so $\pi \circ s^! = 1_X$. For any $\varphi \in \mathcal{O}^\Delta(X)$ let $\tilde{\varphi} \in \mathcal{O}(X^\infty) = \text{Hom}_{\mathcal{U}\text{-sch}}(X^\infty, \mathbb{A}^1)$ correspond to φ . Since $\mathcal{O}(X) = \mathcal{U}$ the composition $\tilde{\varphi} \circ s^!: X \rightarrow \mathbb{A}^1$ is constant i.e. factors through some $a \in \text{Hom}_{\mathcal{U}\text{-sch}}(\text{Spec } \mathcal{U}, \mathbb{A}^1)$. Now let $\alpha \in \text{Hom}_{\mathcal{U}\text{-sch}}(\text{Spec } \mathcal{U}, X)$ correspond to a point in X_D . Then α provides a morphism $\alpha^* \in \text{Hom}_{D\text{-sch}}(\text{Spec } \mathcal{U}, X^*)$ hence $s \circ \alpha^*: \text{Spec } \mathcal{U} \rightarrow X^\infty$ is a morphism of D -schemes such that $\pi \circ (s \circ \alpha^*)^! = \alpha$. So $s \circ \alpha^* \in \text{Hom}_{D\text{-sch}}(\text{Spec } \mathcal{U}, X^\infty)$ corresponds via adjunction to $\alpha \in \text{Hom}_{\mathcal{U}\text{-sch}}(\text{Spec } \mathcal{U}, X)$. Consequently $\varphi(\alpha) = \tilde{\varphi} \circ s \circ \alpha^* = a$ and we are done. The following corollary completes [B₂] Theorem 2:

(1.13) COROLLARY. Let X be a smooth \mathcal{U} -variety with $\mathcal{O}(X) = \mathcal{U}$ and assume X descends to \mathcal{K} . Then any Δ -polynomial function on X is constant on $X_{\mathcal{K}}$.

Proof. If $X \simeq X_0 \otimes_{\mathcal{O}_Y} \mathcal{U}$ (X_0 a \mathcal{K} -variety) then X has the natural

"split" D-scheme structure obtained by lifting δ from \mathcal{U} to $X \otimes_{\mathcal{K}} \mathcal{U}$; in this case $X_D = X_{\mathcal{K}}$ and we are done.

(1.14) Remarks. 1) Proposition (1.12) can be useful also in the case when X does not descent to \mathcal{K} . For instance if X is the universal extension of an abelian \mathcal{U} -variety A then $\mathcal{O}(X) = \mathcal{U}$ and δ lifts from \mathcal{U} to X (cf. [B₃], Chapter 3 section 2; the latter is also a consequence of Grothendieck's theorem that X is "crystalline in nature"). On the other hand if A does not descend to \mathcal{K} the same will hold for X !

2) Assume X is a smooth \mathcal{U} -variety which descends to \mathcal{K} with $\mathcal{O}(X) = \mathcal{U}$ and assume that for any $x \in X$ there exists $\sigma \in \text{Aut } X$ such that $x \in \sigma(X_{\mathcal{K}})$ and $\sigma(X_{\mathcal{K}}) \cap X_{\mathcal{K}} \neq \emptyset$.

Then any Δ -polynomial function on X is constant (indeed any $\psi \in \mathcal{O}^{\Delta}(X)$ is constant on any set of the form $\sigma(X_{\mathcal{K}})$ with $\sigma \in \text{Aut } X$). This gives in particular one more proof of the that $\mathcal{O}^{\Delta}(\mathbb{P}^N) = \mathcal{U}$ (cf. [B₁] (6.3) or (1.4) of the present paper):

(1.16) The above remarks can be used to make a rough discussion of Δ -polynomial functions on algebraic surfaces. We expect a deeper discussion could be done if more results along the work of Sakai [Sak] could be obtained. Let X be a smooth projective surface over \mathcal{U} . By (1.8) we have $\mathcal{O}^\Delta(X) \simeq \mathcal{O}^\Delta(X_1)$ where X_1 is a minimal model. So we may assume X itself is a minimal model. Then according to the classification of surfaces X is in one of the following situations:

- 1) X is rational. Then $\mathcal{O}^\Delta(X) = \mathcal{U}$ cf. (1.4)
- 2) X is ruled irrational. If $X \rightarrow B$ is the ruling then $\mathcal{O}^\Delta(X) \simeq \mathcal{O}^\Delta(B)$ cf. (1.11)
- 3) X is a K3 surface. Then $\mathcal{O}^{(1)}(X) = \mathcal{U}$ cf. (1.4).
- 4) X is an Enriques surface. Then $\mathcal{O}^{(1)}(X) = \mathcal{U}$ cf. (1.4).
- 5) X is an abelian surface. Then $\mathcal{O}^\Delta(X)$ is a Hopf Δ - \mathcal{U} -algebra generated as an algebra by its space of primitives, which is a finitely generated D -module (cf. [B₁] (6.1) for additional information).

6) X is a bielliptic surface, $X = (E_1 \times E_2)/G$ where E_i are elliptic curves, $G \subset E_1$ is a finite subgroup acting on E_1 by translations and on E_2 by algebraic group automorphisms. Then $\mathcal{O}^\Delta(X) = (\mathcal{O}^\Delta(E_1) \otimes \mathcal{O}^\Delta(E_2))^G$ cf. (1.10) and (1.6). Now $\mathcal{O}^\Delta(E) = \mathcal{U}\{y\}$ ($= \Delta$ -polynomial algebra in one variable) for any elliptic curve, cf. [B₁] (1.6); if $\text{rank}_\Delta E = 0$, y corresponds to an element of order 1 while if $\text{rank}_\Delta E = 1$, y corresponds to an element of order 2. So $\mathcal{O}^\Delta(X) = \mathcal{U}\{y_1, y_2\}^G$ with y_1, y_2 Δ -indeterminates and y_1 is fixed by G .

Moreover there is a character $\chi: G \rightarrow \mathcal{U}$ such that $gy_2 = \chi(g)y_2$; indeed G acts on $\mathcal{O}^\Delta(E_2)$ via its action on $\text{Ch}_\Delta(E_2)$ and this action is compatible with the filtration by orders so G invariants the subspace $\mathcal{U}y_2$ of $\mathcal{U}\{y_2\}$.

Consequently $\mathcal{O}^\Delta(X) = \mathcal{U}\{y_2\}^G \{y_1\}$ while $\mathcal{U}\{y_2\}^G$ can be computed in an obvious way.

- 7) X is an elliptic surface with Kodaira dimension 1.

8) X has Kodaira dimension 2.

In cases 7) and 8) very different things can happen. For instance in case 8) if X is a hypersurface in \mathbb{P}^3 , $\mathcal{O}^{(1)}(X) = \mathcal{U}$ cf. (1.4) while if $X = C_1 \times C_2$ where C_i are curves of genus ≥ 2 then by (1.10) $\mathcal{O}^\Delta(X) = \mathcal{O}^\Delta(C_1) \otimes \mathcal{O}^\Delta(C_2)$. In the latter case if C_i do not descend to K then there exist $\varphi_1, \dots, \varphi_N \in \mathcal{O}^{(1)}(X)$ providing a Δ -closed embedding $X \rightarrow \mathcal{U}^N$ (because by (1.9) $X^1 = C_1^1 \times C_2^1$ hence X^1 is affine cf [B₁] (2.1)).

2. Non-degeneracy of characters

Let $u: X \rightarrow Y$ be a morphism of smooth \mathcal{U} -varieties. For any Δ -polynomial function φ on Y we have

$$\text{ord}(\varphi \circ u) \leq \text{ord}(\varphi)$$

where "ord(φ)" means "order of φ " cf. [B₁] (3.3). We shall say that φ is non-degenerate with respect to u if equality $\text{ord}(\varphi \circ u) = \text{ord}(\varphi)$ holds. The aim of this section is to prove the following:

(2.1) THEOREM. Let $u: X \rightarrow G$ be a morphism from a smooth \mathcal{U} -variety to a commutative algebraic \mathcal{U} -group such that $0 \in u(X)$ and $u(X)$ generates G . Then all Δ -polynomial characters of G are non-degenerate with respect to u .

We need a preparation

(2.2) Let $u: X \rightarrow G$ be as in (2.1), $\dim X = r$. Then the "Gauss map" $\delta: X \rightarrow \text{Grass}(r, L(G))$ sending each $x \in X$ to $(T_x(L_{u(x)^{-1}} \circ u))(T_x X) \subset T_0 G \simeq L(G)$ (where $L_y: G \rightarrow G$ denotes the translation with y) is non-degenerate in the sense that there is no hyperplane in $L(G)$ containing $\delta(x)$ for all $x \in X$. Indeed there exists an integer N such that the induced map $u_N: X \times \dots \times X \rightarrow G$,

$u_N(x_1, \dots, x_N) = \sum_{i=1}^N u(x_i)$ is dominant. Hence there exists a point $x = (x_1, \dots, x_N)$ such that the tangent map $T_x u_N: T_x(X \times \dots \times X) \rightarrow T_{u_N(x)} \mathcal{G}$ is surjective hence the map $\bigoplus_{i=1}^N T_{x_i} X \rightarrow L(\mathcal{G})$ defined by $(t_1, \dots, t_N) \mapsto \sum_{i=1}^N (T_{x_i} (L_{u(x_i)} u))(t_i)$ is surjective and we are done.

(2.3) Let \mathcal{G} be as in (2.1), let B its linear part i.e. its maximum connected subgroup and $A = \mathcal{G}/B$. Then we claim that there is an exact sequence

$$0 \rightarrow X_a(\mathcal{G}) \xrightarrow{\text{res}} X_a(B) \xrightarrow{a} H^1(\mathcal{O}_A)$$

where a is the "defining map" of the extension $0 \rightarrow B \rightarrow \mathcal{G} \rightarrow A \rightarrow 0$ according to Serre's description of $\text{Ext}(A, B)$ (cf. $[B_1]$ (2.3) for notations). To check this, recall that we have $\mathcal{G} \simeq B_1 \times \mathcal{G}_1$ where B_1 is an algebraic vector group and $\mathcal{O}(\mathcal{G}_1) = \mathcal{U}$ (cf. $[B_3]$, Chapter 3, section 2) so if B_2 is the linear part of \mathcal{G}_1 we have induced isomorphisms $B \simeq B_1 \times B_2$, $X_a(\mathcal{G}) \simeq X_a(B_1)$, $X_a(B) \simeq X_a(B_1) \oplus X_a(B_2)$, the induced map $X_a(B_2) \rightarrow H^1(\mathcal{O}_A)$ is injective and $X_a(B_1) \rightarrow H^1(\mathcal{O}_A)$ is the zero map. This checks our claim.

(2.4) Let \mathcal{G} be as in (2.1) and (\mathcal{G}^n) its infinite prolongation sequence. Let $\text{Ch}_n(\mathcal{G}) = \text{Ch}_\Delta(\mathcal{G}) \wedge \mathcal{O}^{(n)}(\mathcal{G})$. By $[B_1]$ section 3, $\text{Ch}_n(\mathcal{G})$ identifies with $X_a(\mathcal{G}^n)$. We claim there is an exact sequence

$$0 \rightarrow X_a(\mathcal{G}^{n-1}) \xrightarrow{\alpha_n} X_a(\mathcal{G}^n) \xrightarrow{\beta_n} L(\mathcal{G})^0$$

where α_n is induced by the natural projection $\pi_n: \mathcal{G}^n \rightarrow \mathcal{G}^{n-1}$ while β_n is induced by restriction (we identify $L(\mathcal{G})$ with $\ker \pi_n$ cf. $[B_1]$ (2.2)). Indeed if B^n is the linear part of \mathcal{G}^n and $A = \mathcal{G}^n/B^n$ then we have an exact sequence of algebraic groups $0 \rightarrow L(\mathcal{G}) \rightarrow B^n \rightarrow B^{n-1} \rightarrow 0$ hence an induced exact sequence $0 \rightarrow X_a(B^{n-1}) \xrightarrow{\beta_n} X_a(B^n) \rightarrow L(\mathcal{G})^0$. Now looking at the diagram with exact rows (cf. (2.3) above):

$$\begin{array}{ccccc}
 0 \longrightarrow & X_a(G^{n-1}) & \xrightarrow{\text{res}} & X_a(B^{n-1}) & \xrightarrow{a_{n-1}} H^1(\mathcal{O}_A) \\
 & \downarrow \alpha_n & & \downarrow \beta_n & \parallel \\
 0 \longrightarrow & X_a(G^n) & \xrightarrow{\text{res}} & X_a(B^n) & \xrightarrow{a_n} H^1(\mathcal{O}_A)
 \end{array}$$

we get that $\text{coker } \alpha_n$ is contained in $\text{coker } \beta_n$ and our claim is proved.

(2.3) Proof of (2.1). Let $\varphi \in \text{Ch}_n(G) \setminus \text{Ch}_{n-1}(G)$. We must prove that $\varphi \circ u \notin \mathcal{O}^{(n-1)}(X)$. By (2.4) φ corresponds to an element (still denoted by) $\varphi \in X_a(G^n)$ whose restriction to $L(G)$ is a non-zero functional on $L(G)$. Let G_O^{n-1} be an open Zariski neighbourhood of 0 in G^{n-1} on which the $L(G)$ -torsor $G^n \rightarrow G^{n-1}$ has a section $s: G_O^{n-1} \rightarrow G^n$ and let G_O^n be the preimage of G_O^{n-1} in G^n ; let moreover X_O^{n-1} be any open subset of $u^{-1}(G_O^{n-1})$ over which the $\mathbb{A}^1(T_{X^{n-1}/X^{n-2}})$ -torsor $X^n \rightarrow X^{n-1}$ has a section $t: X_O^{n-1} \rightarrow X^n$ and let X_O^n be the preimage of X_O^{n-1} in X^n . Consider now the diagram

$$\begin{array}{ccccc}
 X_O^n & \xrightarrow{u^n} & G_O^n \subset G^n & \xrightarrow{\varphi} & G_a \\
 \uparrow \tau & & \uparrow \sigma & \nearrow \psi & \\
 X_O^{n-1} \times_X TX & \xrightarrow{v^n} & G_O^{n-1} \times L(G) & &
 \end{array}$$

where $\psi = \varphi \circ \sigma$, $\sigma(g_{n-1}, \theta) = s(g_{n-1}) + \theta$, $g_{n-1} \in G_O^{n-1}$, $\theta \in L(G)$ (the sum "+" is taken in the group G^n) and where $v^n := \sigma^{-1} \circ u^n \circ \tau$, $\tau(x_{n-1}, \theta) = t(x_{n-1}) + \theta$, $(x_{n-1}, \theta) \in X_O^{n-1} \times_X TX$ (the sum "+" here indicates the torsor operation). With notations above we have

$$(*) \quad \psi(g_{n-1}, \theta) = \varphi(s(g_{n-1}) + \theta) = \varphi(s(g_{n-1})) + \varphi(\theta)$$

By (1.1) u^n is equivariant with respect to the map

$$u^{n-1} \times T_u: X_O^{n-1} \times_X TX \rightarrow G_O^{n-1} \times_G TG = G_O^{n-1} \times L(G)$$

hence we have

$$v^n(x_{n-1}, \theta) = (u^{n-1}(x_{n-1}), \bar{v}^n(x_{n-1}) + (T_x(L_{u(x)}^{-1} \circ u))(\theta))$$

where $x \in X$ is the image of $x_{n-1} \in X_O^{n-1}$ and $\bar{v}^n: X_O^{n-1} \rightarrow L(G)$ is the composition

$$X_O^{n-1} \xrightarrow{t} X_O^n \xrightarrow{u^n} G_O^n \xrightarrow{\bar{v}^{-1}} G_O^{n-1} \times L(G) \xrightarrow{\text{proj}} L(G)$$

Let $\pi: X_O^{n-1} \times_X TX \rightarrow X_O^{n-1}$ be the first projection. Then for $x_{n-1} \in X_O^{n-1}$ the image of $\pi^{-1}(x_{n-1})$ via v^n equals

$$\{u^{n-1}(x_{n-1})\} \times (\bar{v}^n(x_{n-1}) + \gamma(x))$$

where $\gamma(x) = (T_x(L_{u(x)}^{-1} \circ u))(T_x X) \subset L(G)$. Consequently by equality (*) above $\psi(v_n(\pi^{-1}(x_{n-1})))$ equals

$$(**) \psi(u^{n-1}(x_{n-1})) + \psi(\bar{v}^n(x_{n-1})) + \psi(\gamma(x))$$

Let $\pi_n: X^n \rightarrow X^{n-1}$ be the canonical projection. Then the image of $\pi_n^{-1}(x_{n-1})$ via $\psi \circ u^n$ equals (**) too. Since $\psi(L(G)) \neq 0$, by (2.2) we have $\gamma(x) \notin \text{Ker } \psi$ for x_{n-1} generic in X_O^{n-1} . So for such an x_{n-1} the set (**) does not reduce to a point; consequently $\psi \circ u^n$ does not factor through π_n and our Theorem is proved.

(2.6) The most interesting case in our Theorem above is when X is a smooth projective curve and $u: X \rightarrow G$ is its canonical map into its Jacobian $G=J(X)$. It happens in this case that there are plenty of Δ -polynomial functions on G which are degenerate with respect to u . So the non-degeneracy property in (2.1) is specific to characters! For instance if X descends to K

the map $u^1: X^1 \rightarrow G^1$ identifies with the tangent map $Tu: TX \rightarrow TG = G \times L(G)$ and as well known the image of the composition

$$TX \xrightarrow{Tu} G \times L(G) \xrightarrow{\text{proj}} L(G)$$

is the cone over the canonical image of X into $\mathbb{P}(H^0(\omega_X))$. So any hypersurface in $\mathbb{P}(H^0(\omega_X))$ containing this image will provide a non-zero form in Δ -polynomial characters of G which vanishes on X hence is degenerate with respect to $u: X \rightarrow G$.

(2.7) Let us see what Theorem (2.1) gives in case $G = G_a^N = \mathcal{U}^N$ and X a closed subvariety of \mathcal{U}^N containing $0 = (0, \dots, 0)$ and not contained in any hyperplane passing through 0 . If J is the ideal of X in $\mathcal{U}[y_1, \dots, y_N]$ then (2.1) says that the ideal $[J] \subset \mathcal{U}\{y_1, \dots, y_N\}$ does not contain Δ -polynomials of the form $L+F$ with L a homogeneous non-zero linear Δ -polynomial of order n and F a Δ -polynomial of order $\leq n-1$. We expect that the latter statement can be proved "directly" using the usual theory Δ -ideals in the ring of Δ -polynomials (characteristic sets, a.s.o.).

3. Strongly Δ -closed sets

(3.1) Let Σ be a Δ -closed subset of \mathcal{U}^N . We will say that Σ is strongly Δ -closed in \mathcal{U}^N if, upon embedding \mathcal{U}^N into \mathbb{P}^N via $(x_1, \dots, x_N) \mapsto (1: x_1: \dots: x_N)$, Σ remains Δ -closed in \mathbb{P}^N . Note that \mathcal{U}^N and \mathbb{K}^N are not strongly Δ -closed in \mathcal{U}^N (although they are of course Δ -closed). It is easy to check the following invariance property of the notion defined above. Let $\alpha: \mathcal{U}^N \rightarrow \mathcal{U}^M$ be an affine morphism (i.e. the composition of a translation with a linear map); then a subset Σ of \mathcal{U}^N is strongly Δ -closed in \mathcal{U}^N if and only if $\alpha(\Sigma)$ is strongly Δ -closed in \mathcal{U}^M . The aim of this section is to prove the following:

(3.2) THEOREM. Assume X is a smooth projective curve over \mathcal{U} of genus $g \geq 2$ which does not descend to \mathcal{K} and let $\varphi_d: X \rightarrow \mathcal{U}^{N_d}$ be the Δ -pluricanonical map of degree d cf. [B₁]. Then for $d \gg 0$, $\varphi_d(X)$ is strongly Δ -closed in \mathcal{U}^{N_d} . Moreover if X is non-hyperelliptic and $\text{rank } \Delta(X) = g$ then $\varphi_d(X)$ is strongly Δ -closed for $d \gg 3$.

Proof. We may assume the first component of φ_d is 1 and denote by $\bar{\varphi}_d: X \rightarrow \mathcal{U}^{N_d-1}$ the composition of φ_d with the projection $\mathcal{U}^{N_d} \rightarrow \mathcal{U}^{N_d-1}$ onto the last N_d-1 components. By (3.1) it is sufficient to prove that $\bar{\varphi}_d(X)$ is strongly Δ -closed in \mathcal{U}^{N_d-1} . With notations from [B₂], section 2 we have a commutative diagram

$$\begin{array}{ccc} \mathbb{P}(\mathcal{E}) & \xrightarrow{\varphi_{dD}} & \mathbb{P}^{N_d-1} = \mathbb{P}(H^0(\mathcal{O}_{\mathbb{P}(\mathcal{E})}(dD))) \\ \uparrow & & \uparrow \\ X^1 & \xrightarrow{\bar{\varphi}_d} & \mathcal{U}^{N_d-1} \end{array}$$

where $D = \mathbb{P}(\omega_X) \subset \mathbb{P}(\mathcal{E})$, we still denote by $\bar{\varphi}_d$ the morphism of schemes induced by the Δ -polynomial map $\bar{\varphi}_d: X \rightarrow \mathcal{U}^{N_d-1}$, φ_{dD} is the natural rational map defined by the linear system $|dD|$ and $\mathcal{U}^{N_d-1} \rightarrow \mathbb{P}^{N_d-1}$ is defined by $(u_1, \dots, u_{N_d-1}) \mapsto (1: u_1: \dots: u_{N_d-1})$. By [B₂] (2.1), φ_{dD} is a closed immersion for $d \gg 0$ so to check that $\bar{\varphi}_d(X)$ is strongly Δ -closed for $d \gg 0$ it is sufficient to check that the composed map $h: X \xrightarrow{\nabla_1} X^1 \rightarrow \mathbb{P}(\mathcal{E})$ has a Δ -closed image. But this map is a section of the projection $\pi: \mathbb{P}(\mathcal{E}) \rightarrow X$. Now h corresponds to a morphism of \mathbb{D} -schemes $h^\infty: X^\infty \rightarrow \mathbb{P}(\mathcal{E})^\infty$ which is a section of $\pi^\infty: \mathbb{P}(\mathcal{E})^\infty \rightarrow X^\infty$. Since π^∞ is separated (indeed $\mathbb{P}(\mathcal{E})^{\infty!} \rightarrow \mathbb{P}(\mathcal{E})$ is affine hence separated, $\mathbb{P}(\mathcal{E}) \rightarrow \text{Spec } \mathcal{U}$ is separated hence $\mathbb{P}(\mathcal{E})^{\infty!} \rightarrow \text{Spec } \mathcal{U}$ is separated hence so is π^∞) and since an \mathcal{U} -point of $\mathbb{P}(\mathcal{E})^{\infty!}$ belongs to the image of h^∞ if and only if it lies in the pull-back of the diagonal of $(\mathbb{P}(\mathcal{E})^\infty \times_{X^\infty} \mathbb{P}(\mathcal{E})^\infty)_{\mathcal{U}}$ via the morphism $\text{id}_X \times h^\infty: \pi^\infty: \mathbb{P}(\mathcal{E})^\infty_{\mathcal{U}} \rightarrow (\mathbb{P}(\mathcal{E})^\infty \times_{X^\infty} \mathbb{P}(\mathcal{E})^\infty)_{\mathcal{U}}$ one immediately

gets that the image of h is Δ -closed.

Let's prove the assertion about non-hyperelliptic curves of Δ -rank g .

By $[B_2]$ (2.5) for any such X , $|dD|$ separates points and tangent vectors on $P(\mathcal{E}) \setminus D$ for $d \geq 3$. If $p \in P(\mathcal{E}) \setminus D$, $q \in D$ then clearly $|dD|$ separates p and q .

Claim. If $d \geq 3$ then 1) for any $p, q \in D$, $p \neq q$, $|dD|$ separates p and q and 2) for any $p \in D$ and any $t \in T_p D$ there exists $E \in |dD|$ passing through p such that $t \notin T_p E$. To check this, note first that $\mathcal{O}_D(D) \cong \omega_D$; indeed for $d \geq 1$ applying π_* to the exact sequence $0 \rightarrow \mathcal{O}((d-1)D) \xrightarrow{s} \mathcal{O}(dD) \rightarrow \mathcal{O}_D(dD) \rightarrow 0$ we get (identifying D with X via π) an exact sequence

$$0 \rightarrow S^{d-1}\mathcal{E} \xrightarrow{s} S^d\mathcal{E} \rightarrow \mathcal{O}_D(dD) \rightarrow R^1\pi_* \mathcal{O}((d-1)D) = 0$$

hence $\mathcal{O}_D(D) = \text{Coker}(s: \mathcal{O} \rightarrow \mathcal{E})$; but the latter is ω_D . Consequently $\mathcal{O}_D(dD) \cong \omega_D^{\otimes d}$ is very ample for $d \geq 1$ and to check our Claim it is sufficient to check that for $d \geq 3$ all sections of $\mathcal{O}_D(dD)$ lift to sections of $\mathcal{O}(dD)$. But this follows from the fact that $H^1(\mathcal{O}((d-1)D)) = H^1(S^{d-1}\mathcal{E}) = 0$, cf $[B_2]$ (2.3), and our Claim follows.

To conclude the proof of (3.2) we must prove that if $d \geq 3$ then for

any $p \in D$ and any $t \in T_p(P(\mathcal{E}) \setminus T_p D)$ there exists $E \in |dD|$ such that $t \notin T_p E$. It is sufficient to find $F \in |(d-1)D|$ with $p \notin \text{Supp } F$ because then we may take $E = D + F$.

If $d \geq 4$ this is clear from the Claim above. So assume $d = 3$. By $[B_2]$ (2.3) we have an exact sequence

$$H^0(\mathcal{O}(2D)) \rightarrow H^0(\omega_D^{\otimes 2}) \xrightarrow{\partial} H^1(\mathcal{E}) = \mathcal{U}$$

and $\text{Ker } \partial = \text{Ker}(\lambda_X: H^0(\omega_X^{\otimes 2}) \rightarrow \mathcal{U})$ where $\lambda_X \in H^0(\omega_X^{\otimes 2})^0$ is the element corresponding to the Kodaira-Spencer class $\rho_X(\delta) \in H^1(\omega_X^{-1})$ ($\rho_X: \text{Der } \mathcal{U} \rightarrow H^1(\omega_X^{-1})$) via Serre duality. Now we can consider the non-complete linear system $|\text{Ker } \lambda_X|$ contained in the complete linear system $|\omega_X^{\otimes 2}|$. Clearly an F as above can be found if we can prove that $|\text{Ker } \lambda_X|$ is base point free. Let $V = H^0(\omega_X^{\otimes 2})$ and let $b: V \times V \rightarrow \mathcal{U}$ be the non-degenerate bilinear map induced by $V \otimes V \rightarrow H^0(\omega_X^{\otimes 2}) \xrightarrow{\lambda_X} \mathcal{U}$. For any subspace $W \subset V$ (respectively for any element $x \in V$) we let $W^\perp \subset V$ (respectively $v^\perp \in V$) be the orthogonal with respect to b . Now for any $p \in X$ let V_p be the space of all $v \in V$ which vanish at p and choose $v_1 \in V$ such that $v_1 \notin V_p^\perp$ and $v_1 \notin V_p$. Moreover choose $v_2 \in v_1^\perp$ such that $v_2 \notin V_p$. Then the section $v_1 \otimes v_2 \in H^0(\omega_X^{\otimes 2})$ does not vanish at p and belongs to $\text{Ker } \lambda_X$. This proves the fact that $|\text{Ker } \lambda_X|$ is base point free and closes the proof of our Theorem.

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