

INSTITUTUL DE MATEMATICA  
AL ACADEMIEI ROMANE

PREPRINT SERIES OF THE INSTITUTE OF MATHEMATICS  
OF THE ROMANIAN ACADEMY  
(FORMER SERIES OF THE PREPRINT SERIES IN MATHEMATICS OF INCREST)

ISSN 0250 3638

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QUASIELLIPTIC BALAYAGES IN H-CONES.II ;  
THE RELATION WITH THE GREEN FUNCTION

by

Lucian BEZNEA and Nicu BOBOC

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PREPRINT No.10/1991

August 1991

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BALAYAGES IN H-CONES. II; THE RELATION WITH THE GREEN FUNCTION.

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In this paper we continue the study of absorbent, parabolic, elliptic and quasielliptic balayages started in [3]. We develop now the theory in the frame of standard H-cones of functions, especially for those H-cones which are represented on a Green set. In this case we characterize the parabolicity, ellipticity and quasiellipticity in terms of the Green function associated with the given H-cone.

In the first section, preliminaries results on absorbent sets are given. Particularly we remark that if  $\underline{S}$  is a standard H-cone of functions on a set  $X$ , then the absorbent balayages on  $\underline{S}$  (defined in [3]) are corresponding to the absorbent subsets of  $X$  (i.e. the zero sets of the elements of  $\underline{S}$ ). In the second section we consider the parabolic and elliptic subsets of  $X$  introduced and studied in [2]. Recall that a subbasic subset  $E$  of  $X$  is called elliptic if for any absorbent set  $A \subseteq X$  we have either  $A \cap E = \emptyset$  or  $E \subseteq A$ . A subbasic subset  $P$  of  $X$  is parabolic if for any absorbent sets  $A_1, A_2$  with  $A_1 \cap P \subsetneq A_2 \cap P$  there exists an absorbent set  $A$  with

$$A_1 \cap P \subsetneq A \cap P \subsetneq A_2 \cap P,$$

where  $\subsetneq$  denotes the strict inclusion. We show that the above notions are strongly related with the similar ones of elliptic and parabolic balayages on  $\underline{S}$  considered

and studied in [3]. We prove that if  $M$  is a subbasic subset of  $X$  then  $M$  will be parabolic (resp. elliptic) iff the corresponding balayage  $B^M$  is of the same type. A characterization of parabolicity in terms of harmonic carrier of the elements of  $\underline{S}$  is also given. If  $X$  is nearly saturated then a basic subset  $F$  of  $X$  is called quasielliptic if there are no non empty parabolic subsets of  $F$ . We show that if  $X$  is nearly saturated and  $P$  is the greatest parabolic subset of  $X$  then the fine open set  $G := X \setminus P$  is quasielliptic with respect to the localized  $\underline{S}(G)$  of  $\underline{S}$  on  $G$  (i.e. the  $H$ -cone of functions on  $G$  generated by the functions of the form  $s - B^{X \setminus G} s$ ,  $s \in \underline{S}$ ).

In the third section we suppose that the standard  $H$ -cone  $\underline{S}$  and its dual  $\underline{S}^x$  are represented as standard  $H$ -cones of functions on the same set  $X$  which is a Green set associated with  $(\underline{S}, \underline{S}^x)$ . (We denote by  $g(\cdot, \cdot)$  the associated Green function.) We show that if  $A$  is an absorbent set with respect to  $\underline{S}$  then the fine closure with respect to  $\underline{S}^x$  of the complement of  $A$  is an absorbent set with respect to  $\underline{S}^x$ . We also prove that  $X$  is quasielliptic (resp. elliptic, parabolic) iff  $g(x, x) > 0$  (resp.  $g(x, y) > 0$ ,  $g(x, x) = 0$ ) for any  $x \in X$  without a semi-polar set (resp. for any  $x, y \in X$ ). Generally, the essential base of the set  $\{x \in X / g(x, x) = 0\}$  is the greatest parabolic subset of  $X$  with respect to  $\underline{S}$ . Particularly if the fine topologies on  $X$  with respect to  $\underline{S}$  and  $\underline{S}^x$  coincide, then  $X$  is quasielliptic. Consequently, if  $\underline{S}$  is autodual then  $X$  is always quasielliptic.

In the last section we analyse the special case of totally parabolic  $H$ -cones. If  $\underline{S}$  and  $\underline{S}^x$  are as above, we say that  $\underline{S}$  is totally parabolic if  $X$  is parabolic and the set of all absorbent subsets of  $X$  is totally ordered. We show that  $\underline{S}$  is totally parabolic iff for any  $x \in X$ , without a semi-polar set, the set  $\{y \in X / g(x, y) = 0\}$  is the smallest absorbent set containing  $x$ . The totally parabolic  $H$ -cones are illustrated by the standard  $H$ -cone associated with the heat equation on  $\mathbb{R}^n \times \mathbb{R}$ .

Finally, we remark that the contents of many results are clarified by suitable examples.



# § 1. Absorbent sets with respect to a standard H-cone of functions.

In this section  $\underline{S}$  will be a standard H-cone of functions on a set  $X$ .

We recall now some results concerning the balayages on a standard H-cone of functions  $\underline{S}$  on a set  $X$  (cf. [5] ), the absorbent subsets of  $X$  with respect to  $\underline{S}$  (cf. [1] and [2] ) and their relations with the absorbent balayages on  $\underline{S}$  (cf. [3] ).

A subset  $M$  of  $X$  is called subbasic (with respect to  $\underline{S}$ ) if

$$B^M s(x) = s(x) \text{ , for any } x \in M \text{ and } s \in \underline{S} \text{ ,}$$

where

$$B^M s := \bigwedge \{s' \in \underline{S} / s \leq s' \text{ on } M\} .$$

A subbasic set  $M \subseteq X$  which is fine closed is termed basic. Obviously, the fine closure of any subbasic set is basic. If  $M$  is a subbasic set then  $B^M$  is a balayage, called the balayage on  $M$  with respect to  $\underline{S}$ . A subset  $M$  of  $X$  will be subbasic iff  $M$  is not thin at any point  $x \in M$ . Consequently, if  $M$  is a subbasic set and  $U$  is a fine open subset of  $X$  then  $M \cap U$  is also a subbasic set.

For any balayage  $B$  on  $\underline{S}$ , the set

$$b(B) := \{x \in X / B s(x) = s(x), \text{ for any } s \in \underline{S}\}$$

is called the base of  $B$ . If  $M$  is a basic set then

$$M = b(B^M)$$

and the map  $M \rightarrow B^M$  from the set of all basic set to the set of all balayages on  $\underline{S}$  is such that

$$M_1 \subseteq M_2 \iff B^{M_1} \leq B^{M_2} .$$

It will be important the case when  $X$  is such that for any balayage  $B$  on  $\underline{S}$  there exists a basic subset  $M$  of  $X$  with  $B = B^M$ . It is known that this property holds iff  $X$  is nearly saturated (i.e. any universally continuous element of the dual  $\underline{S}^*$  of  $\underline{S}$  is represented as a measure on  $X$ ). In this case, the correspondence  $B \rightarrow b(B)$  between the set of all balayages on  $\underline{S}$  and the set of all basic subsets of  $X$  is a bijection such that

For any positive numerical function  $f$  on  $X$  such that there exist  $t_1, t_2 \in \underline{S}$ ,  $t_2 < \infty$  with  $f = t_1 - t_2$ , we have denoted by  $B_f$  the balayage on  $\underline{S}$  given by

$$B_f s = \bigvee_{n \in \mathbb{N}} R(s \wedge nf).$$

Since for any  $s, t \in \underline{S}$  we have

$$t \geq s \wedge nf, \text{ for any } n \in \mathbb{N},$$

iff  $t \geq s$  on the fine open set  $[f > 0]$ , it follows

$$B_f s = B^{[f > 0]} s, \text{ for any } s \in \underline{S}.$$

Proposition 1.1. For any balayage  $B$  on  $\underline{S}$  and any  $u \in \underline{S}$  which is a finite generator of  $\underline{S}$  we have

$$B' = B^{[Bu < u]}.$$

Particularly, for any basic set  $M$  we have

$$(B^M)' = B^{X \setminus M}.$$

Proof. From [3, Proposition 1.5] we have

$$B' = \bigvee \{ B_g / g = t - Bt, t \in \underline{S}, t < \infty \}.$$

Since  $u$  is a finite generator of  $\underline{S}$  we get

$$[g > 0] \subseteq [Bu < u],$$

for any  $g = t - Bt$ ,  $t \in \underline{S}$ ,  $t < \infty$  and therefore

$$B' = B_{u-Bu} = B^{[Bu < u]}.$$

If  $M$  is a basic set then

$$M = \{ x \in M / B^M u(x) = u(x) \}$$

and consequently  $X \setminus M = [Bu < u]$ ,  $(B^M)' = B^{X \setminus M}$ .

Corollary 1.2. If  $B$  is a balayage on  $\underline{S}$  then.

$$B = B'',$$

iff there exists a basic set  $M$  on  $X$  such that  $B = B^M$  and such that  $M$  is the fine closure of the fine interior of  $M$ .

We remember now the notion of absorbent set. A subset  $A$  of  $X$  is called absorbent (with respect to  $\underline{S}$ ) if there exists  $s \in \underline{S}$  (or only a bounded element  $s \in S$ ) such that

$$A = [s = 0].$$

If  $A$  is absorbent then it is closed (in the natural topology on  $X$ ) and fine open and therefore a basic set.

Proposition 1.3. If  $s \in \underline{\underline{S}}$  and  $A := [s = 0]$  then

$$(B_s)' = B^A.$$

Proof. We have  $B_s = B^{[s > 0]}$  and since the set  $[s > 0]$  is a basic set, from Proposition 1.1 we conclude

$$(B_s)' = B^A.$$

A balayage  $B$  on  $\underline{\underline{S}}$  is called absorbent (cf. [3]) if  $Bs \leq s$  for any  $s \in \underline{\underline{S}}$ , where  $\leq$  is the specific order on  $\underline{\underline{S}}$ .

Proposition 1.4. a) For any balayage  $B$  on  $\underline{\underline{S}}$  we have:  $B$  is an absorbent balayage iff  $b(B)$  is an absorbent subset of  $X$  and  $B^{b(B)} = B$ .

b) For any basic subset  $A$  of  $X$  we have:  $A$  is an absorbent set iff  $B^A$  is an absorbent balayage.

Proof. The assertions follow from Proposition 1.3 and Corollary 1.2, using also [3, Theorem 2.2].

Remark 1.5. The map

$$A \rightarrow B^A$$

between the set of all absorbent subsets of  $X$  and the set of all absorbent balayages on  $\underline{\underline{S}}$  is a bijection and

$$A_1 \subseteq A_2 \iff B^{A_1} \leq B^{A_2}.$$

Moreover, if  $(A_i)_{i \in I}$  is a family of absorbent subsets of  $X$  then the fine closure

$$\bigcup_{i \in I} A_i^f \text{ of } \bigcup_{i \in I} A_i \text{ and } \bigcap_{i \in I} A_i \text{ are also absorbent sets and}$$

$$\bigcup_{i \in I} B^{A_i} = \bigvee_{i \in I} B^{A_i}, \quad \bigcap_{i \in I} B^{A_i} = \bigwedge_{i \in I} B^{A_i}.$$

Proposition 1.6. Let  $A$  be a basic subset of  $X$ . Then  $A$  is an absorbent set iff for any  $s \in \underline{\underline{S}}$  we have

$$(B^A)'s = \begin{cases} s, & \text{on } X \setminus A \\ 0, & \text{on } A \end{cases}.$$

Proof. From Proposition 1.1 we have:

$$(B^A)' = B^{X \setminus A}$$

and by Proposition 1.4 it follows that  $A$  is an absorbent set iff  $B^A$  is an absorbent balayage on  $\underline{S}$ . From [3, Theorem 2.1] we deduce now that  $A$  is absorbent iff

$$B^A(B^{X \setminus A}) = 0$$

or equivalently iff

$$B^{X \setminus A}s = 0 \quad \text{on } A, \text{ for any } s \in \underline{S}.$$

Proposition 1.7. Let  $A$  be a basic subset of  $X$ . Then  $A$  is an absorbent set iff for any subbasic subset  $M$  of  $X$  we have

$$B^{A \cap M} = B^A \wedge B^M = B^A B^M.$$

Proof. From Proposition 1.4, Corollary 1.2 and [3, Proposition 2.8 and Theorem 2.9] it follows that  $A$  is absorbent iff

$$B^A B^M = B^A \wedge B^M,$$

for any subbasic subset  $M$  of  $X$ .

Suppose now that  $A$  is absorbent. Then  $A \cap M$  is a subbasic set and we have

$$B^{A \cap M} \leq B^A \wedge B^M.$$

To prove the converse inequality it will be sufficient to show that if  $s, t \in \underline{S}$  then

$$s \leq t \text{ on } A \cap M \implies B^M s \leq t \text{ on } A.$$

Indeed, from Proposition 1.6 we have

$$B^{X \setminus A}s = \begin{cases} s, & \text{on } X \setminus A \\ 0, & \text{on } A \end{cases}.$$

It follows

$$\begin{aligned} s &\leq t + B^{X \setminus A}s && \text{on } M, \\ B^M s &\leq t + B^{X \setminus A}s && \text{on } X, \\ B^M s &\leq t && \text{on } A \end{aligned}$$

and the proof is complete.

Let  $M$  be a subbasic subset of  $X$ . Then the H-cone

$$B^M(\underline{S}) := \{B^M s / s \in \underline{S}\}$$

is a standard H-cone (cf. [5, Corollary 5.2.6]). Since for any  $s, t \in \underline{S}$  we have

$$B^M s = s \text{ on } M$$

and

$$B^M s \leq B^M t \iff s \leq t \text{ on } M$$

and since the infimum in  $B^M(\underline{S})$  of  $B^M s$  and  $B^M t$  is equal to  $B^M(s \wedge t)$ , it follows that the set

$$\underline{S}|_M := \{s|_M / s \in \underline{S}\}.$$

is a standard H-cone of functions on the set  $M$  which is isomorphic with  $B^M(\underline{S})$ .

Note that if  $M$  is a subbasic subset of  $X$  and  $A$  is a subset of  $M$  then  $A$  is semi-polar with respect to  $\underline{S}$  iff  $A$  is semi-polar with respect to  $B^M(\underline{S})$ . We also remark that if  $A$  is a subset of  $M$  then  $A$  is subbasic with respect to  $B^M(\underline{S})$  iff  $A$  is a subbasic subset of  $X$  with respect to  $\underline{S}$ . Moreover the balayage on  $A$  with respect to  $B^M(\underline{S})$  coincides with the restriction to  $B^M(\underline{S})$  of the balayage on  $A$  with respect to  $\underline{S}$ .

Proposition 1.8. Let  $M$  be a subbasic subset of  $X$  and  $A \subseteq M$ . Then  $A$  is an absorbent set with respect to  $B^M(\underline{S})$  iff there exists an absorbent set (with respect to  $\underline{S}$ )  $\tilde{A}$  such that

$$A = \tilde{A} \cap M.$$

Proof. If  $A_1 \subseteq X$  is absorbent with respect to  $\underline{S}$  and  $s \in \underline{S}$  is such that  $A_1 = [s = 0]$  then we have

$$A_1 \cap M = [s|_M = 0]$$

and therefore  $A_1 \cap M$  is absorbent with respect to  $B^M(\underline{S})$ . Conversely, let  $A \subseteq M$  be an absorbent set with respect to  $B^M(\underline{S})$  and let  $s \in B^M(\underline{S})$  such that

$$A = [s|_M = 0].$$

If we put  $\tilde{A} := [s = 0]$  it follows that  $\tilde{A}$  is absorbent with respect to  $\underline{S}$  and  $A = \tilde{A} \cap M$ .

Remark. The relation

$$A = \tilde{A} \cap M$$

from Proposition 1.8 is equivalent with the following one (cf. Proposition 1.7)



$$B^A = B^{\tilde{A}} \wedge B^M$$

and therefore the above proposition may be regarded as a consequence of a general assertion which holds on an H-cone and for an arbitrary balayage B instead of  $B^M$  (see [3, Theorem 2.15] ).

§ 2. Parabolic, elliptic and quasielliptic subsets with respect to a standard H-cone of functions.

Definition. Let  $\underline{S}$  be a standard H-cone of functions on a set  $X$ . The set  $X$  is called parabolic with respect to  $\underline{S}$  (cf. [1]) if there exists a strictly increasing family  $(A_t)_{t \in [0,1]}$  of absorbent sets such that  $A_0 = \emptyset$ ,  $A_1 = X$  and

$$\bigcup_{u > t} A_u = A_t, \quad \text{for any } t \in [0,1),$$

$$\bigcap_{u < t} A_u^f = A_t, \quad \text{for any } t \in (0,1].$$

Remark. It is proved in [2] that  $X$  will be parabolic with respect to  $\underline{S}$  iff for any two absorbent sets  $A_1, A_2 \subseteq X$ ,  $A_1 \subsetneq A_2$  (i.e.  $A_1 \subseteq A_2$  and  $A_1 \neq A_2$ ) there exists an absorbent set  $A$  with  $A_1 \subsetneq A \subsetneq A_2$ . Keeping in mind this characterization of parabolicity, we recall the following definition:

Definition. An H-cone  $\underline{S}$  is called parabolic (cf. [3, {4}]) if for any two absorbent balayages  $B_1, B_2$  on  $\underline{S}$ ,  $B_1 < B_2$ , there exists an absorbent balayage  $B$  on  $\underline{S}$  with  $B_1 < B < B_2$ .

Proposition 2.1. Let  $\underline{S}$  be a standard H-cone. Then the following assertions are equivalent:

- a)  $\underline{S}$  is parabolic.
- b) There exists a set  $X$  such that  $\underline{S}$  is a standard H-cone of functions on  $X$  and  $X$  is parabolic with respect to  $\underline{S}$ .
- c) Whenever  $\underline{S}$  is a standard H-cone of functions on a set  $X$  then  $X$  is parabolic with respect to  $\underline{S}$ .

Proof. Let  $X$  be a set such that  $\underline{S}$  is a standard H-cone of functions on  $X$ . From the preceding remark and Remark 1.5. it follows that  $\underline{S}$  is parabolic iff  $X$  is parabolic with respect to  $\underline{S}$ .

Definition. Let  $\underline{S}$  be a standard H-cone of functions on a set  $X$ . The set  $X$  is called elliptic with respect to  $\underline{S}$  (cf. [2]) if there are no non empty absorbent sets  $M \subseteq X$ ,  $M \neq X$ .

Definition. An H-cone  $\underline{S}$  is called elliptic (cf. [3, §4] ) if there are no non zero absorbent balayages  $B$  on  $\underline{S}$ ,  $B \neq I$ .

Proposition 2.2. Let  $\underline{S}$  be a standard H-cone. Then the following assertions are equivalent:

- a)  $\underline{S}$  is elliptic.
- b) There exists a set  $X$  such that  $\underline{S}$  is a standard H-cone of functions on  $X$  and  $X$  is elliptic with respect to  $\underline{S}$ .
- c) Whenever  $\underline{S}$  is a standard H-cone of functions on a set  $X$  then  $X$  is elliptic with respect to  $\underline{S}$ .

Proof. If  $X$  is a set such that  $\underline{S}$  is a standard H-cone of functions on  $X$  then from Remark 1.5 it follows that  $\underline{S}$  is elliptic iff  $X$  is elliptic with respect to  $\underline{S}$ .

Definition. Let  $\underline{S}$  be a standard H-cone of functions on a set  $X$ . A subbasic subset  $M \subseteq X$  is called parabolic (resp. elliptic) with respect to  $\underline{S}$  (cf. [2, §2] ) if  $M$  is parabolic (resp. elliptic) with respect to the standard H-cone of functions on  $M$  given by  $\underline{S}|_M$ .

Definition. A balayage  $B$  on an H-cone  $\underline{S}$  is called parabolic (resp. elliptic) (cf. [3, §4] ) if the H-cone  $B(\underline{S})$  is parabolic (resp. elliptic).

In the sequel, if any confusion is avoid, we omit to specify the standard H-cone  $\underline{S}$  with respect to which the parabolicity, ellipticity, the absorbent sets or other potential theoretic notions are considered.

Proposition 2.3. Let  $\underline{S}$  be a standard H-cone of functions on a set  $X$  and let  $M$  be a subbasic subset of  $X$ . Then  $M$  is parabolic (resp. elliptic) iff the balayage  $B^M$  on  $\underline{S}$  is parabolic (resp. elliptic).

Proof. The assertion follows from Proposition 2.1, Proposition 2.2 and from the fact that the H-cones  $\underline{S}|_M$  and  $B^M(\underline{S})$  are isomorphic.

Remark 2.4. Let  $\underline{S}$ ,  $X$  and  $M$  be as in Proposition 2.3. Then  $M$  is parabolic (resp. elliptic) iff the fine closure of  $M$  is parabolic (resp. elliptic).

Proposition 2.5. Suppose that  $\underline{S}$  is a standard H-cone of functions on a set  $X$ .

Then the following assertions are equivalent:

- a)  $X$  is parabolic.

- b) There are no non empty elliptic subsets of  $X$ .  
 c) There are no non empty elliptic fine open subsets of  $X$ .

Proof. a)  $\Rightarrow$  b) follows from [3, Proposition 4.5], b)  $\Rightarrow$  c) is obvious and c)  $\Rightarrow$  a) follows from [2, Theorem 2.3], using also Proposition 2.3.

From the preceding considerations and from [3, §4] the following assertions on the parabolicity and ellipticity holds:

Proposition 2.6. Suppose that  $\underline{S}$  is a standard H-cone of functions on a set  $X$ . We have:

- a) For any family  $(M_i)_{i \in I}$  of parabolic subset of  $X$  the set  $\bigcup_{i \in I} M_i$  is also parabolic.  
 b) For any family  $(E_i)_{i \in I}$  of elliptic subsets of  $X$  such that  $E_i \cap E_j \neq \emptyset$ , for any  $i, j \in I$ , the set  $\bigcup_{i \in I} E_i$  is also elliptic.  
 c) If  $M_1, M_2$  are subbasic subsets of  $X$ ,  $M_1 \subseteq M_2$  and  $M_2$  is parabolic (resp. elliptic) then  $M_1$  is parabolic (resp. elliptic).  
 d) There exists the greatest parabolic subset  $P$  of  $X$  which is fine closed and
- $$P = \bigcap \{X \setminus E \mid E \subseteq X, E \text{ is elliptic}\}.$$
- e) Any elliptic subset of  $X$  is contained in a maximal elliptic subset of  $X$ ; any two different maximal elliptic subsets of  $X$  are disjoint; the set of all maximal elliptic subsets of  $X$  is at most countable; an elliptic subset  $E$  of  $X$ ,  $E \neq \emptyset$  will be maximal iff there exists two absorbent subsets  $A_1, A_2$  of  $X$  such that  $A_1 \subsetneq A_2$  and  $E = A_2 \setminus A_1$ .

We give now an example of a standard H-cone of functions  $\underline{S}$  on a set  $X$  for which the greatest parabolic subset  $P$  of  $X$  is without fine interior points. Hence in this case  $(B^P)^3 = I$ .

Example 2.7. Let us denote by  $\underline{S}$  the convex cone of all positive lower semi-continuous real functions  $s$  on the interval  $(-1, 1) =: X$ , which are increasing and such that the restriction of  $s$  to the complement of Cantor set  $K$  is locally concave. We remark that  $\underline{S}$  is a standard H-cone of functions on the set  $X$ . More precisely there exists an harmonic space on  $X$  such that  $\underline{S}$  coincides with the set of all positive superharmonic functions on this space. A general construction may be found

in [7] (see also [6, Exercice 3.1.47] ).

If  $G$  is an open subset of  $X$ , we denote by  $\mathcal{H}(G)$  the set of all real continuous functions  $h$  on  $G$  such that  $x \in G \cap K \Rightarrow$  there exists  $x' < x$  with  $h|_{(x',x)}$

is constant,  $h|_{G-K}$  is a locally affine function.

Obviously  $\mathcal{H}(G)$  is a linear subspace of  $\mathcal{C}(G)$  and for any increasing sequence

$(h_n)_{n \in \mathbb{N}}$  from  $\mathcal{H}(G)$  such that  $\sup_{n \in \mathbb{N}} h_n$  is finite on a dense subset of  $G$  it follows

that  $\sup_{n \in \mathbb{N}} h_n$  belongs to  $\mathcal{H}(G)$ . Also the map  $G \longrightarrow \mathcal{H}(G)$  is a sheaf  $\mathcal{H}$  of linear

spaces of real continuous functions. On the other hand let  $(a,b)$  be an open inter-

val with  $[a,b] \subseteq X$ . If  $b \notin K$  or  $(a,b) \cap K = \emptyset$  then the open set  $(a,b)$  is regular

with respect to  $\mathcal{H}$  since  $\mathcal{H}((a,b))$  coincides with the set of all continuous functions

$h$  on  $(a,b)$  such that  $h$  is linear on  $(c,b)$  and constant on  $(a,c)$  where

$$c = \begin{cases} a & , \text{ if } (a,b) \cap K = \emptyset \\ \sup((a,b) \cap K) & , \text{ if } (a,b) \cap K \neq \emptyset. \end{cases}$$

If  $b \in K$  and  $(a,b) \cap K \neq \emptyset$ , then the interval  $(a,b)$  is semiregular since in this case  $\mathcal{H}((a,b))$  coincides with the set of all constant functions on  $(a,b)$ .

From the above considerations it is easy to see that a lower semi-continuous function  $s$  on  $X$ ,  $s > -\infty$  will be superharmonic with respect to the sheaf  $\mathcal{H}$  iff  $s$  is finite, increasing and concave on any interval  $(a,b)$  such that  $K \cap (a,b) = \emptyset$ .

Hence  $\underline{S}$  is a standard  $H$ -cone of functions on  $X$  and a subset  $A$  of  $X$  will be absorbent (with respect to  $\underline{S}$ ) iff  $A = (-1, c]$ , where  $c \in K$ . From this fact it follows that a subset  $E$  of  $(-1, 1)$  will be a maximal elliptic set with respect to  $\underline{S}$  iff  $E = (a, b]$ , where  $(a, b)$  is a component of the open set  $X \setminus K$ .

Moreover the greatest parabolic subset  $P$  of  $X$  with respect to  $\underline{S}$  is the set

$$K \cap (-1, 1) \setminus M,$$

where

$$M = \{ b \in K / \text{ there exists } b' < b \text{ with } (b', b) \cap K = \emptyset \}.$$

Obviously the fine interior of  $P$  is empty and therefore the complement of the balayage  $B^P$  is the identity.



We extend now a result concerning the characterization of parabolicity in terms of harmonic carrier and in terms of balayages on compact subsets of  $X$  (see [1])

If  $\underline{S}$  is a standard  $H$ -cone of functions on a set  $X$  and  $s \in \underline{S}$ , the harmonic carrier of  $s$  on  $X$  is the set

$$\text{carr } s = \{x \in X / B^{X \setminus V} s \neq s, \text{ for any } V \in \mathcal{V}_x\},$$

where  $\mathcal{V}_x$  denotes the set of all natural neighbourhoods of  $x$ .

Theorem 2.8. Let  $\underline{S}$  be a standard  $H$ -cone of functions on a nearly saturated set  $X$ .

Then the following assertions are equivalent:

- a)  $X$  is parabolic.
- b) For any universally continuous element  $p$  of  $\underline{S}$  we have

$$\inf \{p(x) / x \in \text{carr } p\} = 0.$$

If moreover the topological space  $X$  (endowed with the natural topology) is universally measurable then each of the above two assertions is equivalent with each of the following ones:

- c) For any universally continuous element  $p$  of  $\underline{S}$  and any compact subset  $K$  of  $X$  such that  $\text{carr } p \subseteq K$ , there exists  $x \in K$  with  $p(x) = 0$ .
- d) For any compact subset  $K$  of  $X$  there exists  $x_0 \in K$  such that  $p(x_0) = 0$  for any universally continuous element  $p$  of  $\underline{S}$  with  $\text{carr } p \subseteq K$ .
- e) For any compact subset  $K$  of  $X$  there exists  $x \in K$  with  $B^K 1(x) = 0$ .
- f) For any compact subset  $K$  of  $X$  there exists  $x_0 \in K$  such that  $B^K s(x_0) = 0$ , for any  $s \in \underline{S}$ .
- g) For any compact subset  $K$  of  $X$  and any universally continuous element  $p$  of  $\underline{S}$  there exists  $x \in K$  with  $B^K p(x) = 0$ .

Proof. We suppose firstly that the topological space  $X$  is universally measurable. We denote by  $\underline{S}_0$  the set of all universally continuous elements of  $\underline{S}$ .

The implications  $f) \Rightarrow e) \Rightarrow g)$ ,  $b) \Rightarrow c)$  and  $d) \Rightarrow c)$  are obvious,  $g) \Rightarrow f)$ . Since for any two universally continuous elements  $p, q$  of  $\underline{S}$  we have  $B^K(p+q) = B^K p + B^K q$  it follows that the family  $(\{x \in K / B^K p(x) = 0\})_{p \in \underline{S}_0}$  is lower directed and therefore has non empty intersection. Hence there exists  $x_0 \in K$  with  $B^K p(x_0) = 0$  for any  $p \in \underline{S}_0$  and therefore  $B^K s(x_0) = 0$  for any  $s \in \underline{S}$ .

The proof of  $c) \Rightarrow d)$  is similar to the above proof of  $g) \Rightarrow f), c) \Rightarrow b)$ .

Let  $p \in S_0$ . Since  $X$  is nearly saturated and universally measurable then there exists an increasing sequence  $(K_n)_{n \in \mathbb{N}}$  of compact subsets of  $X$  such that the sequence  $(p_{K_n})_{n \in \mathbb{N}}$  of specifically restrictions of  $p$  to  $K_n$  increases to  $p$  (see [5, § 3.4]). Since for any  $n \in \mathbb{N}$  we have

$$p = p_{K_n} + p_{X \setminus K_n}$$

and since  $p \in S_0$ , we deduce that the sequence  $(p_{X \setminus K_n})_{n \in \mathbb{N}}$  decreases uniformly to 0. For any  $n \in \mathbb{N}$  we get

$$\inf \{ p(x) / x \in \text{carr } p \} \leq \inf \{ p_{K_n}(x) / x \in \text{carr } p \} + \inf \{ p_{X \setminus K_n}(x) / x \in \text{carr } p \},$$

$$\inf \{ p_{K_n}(x) / x \in \text{carr } p \} \leq \inf \{ p_{K_n}(x) / x \in \text{carr } p_{K_n} \} = 0.$$

We conclude that  $\inf \{ p(x) / x \in \text{carr } p \} = 0$ .

"b)  $\Rightarrow$  a)". Let  $G$  be a fine open subset of  $X$ ,  $G \neq \emptyset$ . Since  $X$  is nearly saturated and in the same time universally measurable there exists a compact subset  $K$  of  $G$  which is not semi-polar. Thus there exists an universally continuous element  $p$  of  $S$  with  $\text{carr } p \subseteq K$ ,  $p \neq 0$ . From b) we get  $\inf \{ p(x) / x \in K \} = 0$  and therefore there exists  $x_0 \in K$  with  $p(x_0) = 0$ . On the other hand we have  $\{x \in G / p(x) = 0\} \neq G$ . Consequently  $G$  is not an elliptic subset of  $X$ . By Proposition 2.5 it follows that  $X$  is parabolic.

"a)  $\Rightarrow$  e)". Let  $(A_t)_{t \in [0,1]}$  be a strictly increasing family of absorbent subsets of  $X$  such that

$$t \in [0,1) \Rightarrow \bigcup_{s > t} A_s = A_t$$

$$t \in (0,1] \Rightarrow A_t = \bigcap_{s < t} A_s$$

and let  $K$  be a compact subset of  $X$  such that  $B^{K,1} \neq 0$  on  $K$ . There exists  $t \in (0,1)$ ,

with  $K \cap A_t \neq \emptyset$  since in the contrary case we have

$$B^{K,1} \leq 1_{X \setminus A_t}, \text{ for any } t \in (0,1)$$

and therefore

$$B^{K,1} = 0 \text{ on } A_t, \text{ for any } t \in (0,1),$$

$$B^{K_1} = 0 \text{ on } X = \overline{\bigcup_{t < 1} A_t}^f$$

Since  $K$  is compact and  $\bigcap_{s > t} A_s = A_t$  it follows that there exists the smallest

$t_0 \in (0, 1)$  with

$$A_{t_0} \cap K \neq \emptyset.$$

Hence if  $t < t_0$  then  $A_t \cap K = \emptyset$  and thus  $B^{K_1} \leq 1_{X \setminus A_t}$ . It follows

$$B^{K_1} = 0 \text{ on } A_t, \text{ for any } t < t_0$$

$$B^{K_1} = 0 \text{ on } A_{t_0} = \overline{\bigcup_{t < t_0} A_t}^f$$

and therefore there exists  $x_0 \in K$  with  $B^{K_1}(x_0) = 0$ .

Suppose now that  $X$  is only nearly saturated and let  $X_1$  be the saturated set with  $X \subseteq X_1$ . Since from Proposition 2.1  $X$  and  $X_1$  are simultaneously parabolic sets with respect to  $\underline{S}$ , it follows that (using the above considerations applied to the universally measurable set  $X_1$ )  $b) \Rightarrow a)$ . We also have  $a) \Rightarrow b)$  since for any  $p \in S_0$

$$\underline{\text{carr}} p = (\underline{\text{carr}}_{X_1} p) \cap X,$$

$$\underline{\text{carr}}_{X_1} p = \underline{\text{carr}} p.$$

(where  $\underline{\text{carr}}_{X_1} p$  denotes the harmonic carrier of  $p$  on  $X_1$ ) and therefore

$$\inf \{ p(x) / x \in \underline{\text{carr}} p \} = \inf \{ p(x) / x \in \underline{\text{carr}}_{X_1} p \}$$

Remark. The equivalence  $a) \Leftrightarrow e)$  was proved in [1, Theorem 4.3]. The arguments in the proof of  $a) \Rightarrow e)$  used above are the same as in [1].

Corollary 2.9. Let  $\underline{S}$  be a standard  $H$ -cone of functions on a set  $X$  and suppose that  $X$  is parabolic. Then for any universally continuous element  $p$  of  $\underline{S}$  there exists  $x \in X$  with  $p(x) = 0$ .

Proof. Let  $X_1$  be the saturated set,  $X \subseteq X_1$  and let  $(p_n)_{n \in \mathbb{N}}$  be an increasing sequence,  $p_n \in \underline{S}_0$  ( $n \in \mathbb{N}$ ),  $\underline{\text{carr}}_{X_1} p_n$  is a compact subset of  $X_1$  and  $\sup_{n \in \mathbb{N}} p_n = p$ . If  $p > 0$  on  $X$  then  $p > 0$  on  $X_1$  and therefore  $p$  is a weak unit in  $\underline{S}$ . Hence there exists  $n_0 \in \mathbb{N}$  with

$$p \leq p_{n_0} + \frac{1}{2} p,$$

$$p \leq 2p_{n_0}$$

which contradicts the fact that  $[p_{n_0} = 0]$  is non empty.

Remark. Let  $\underline{S}$  be the set of all positive lower semi-continuous functions on  $X := (-1, 1)$  which are increasing on  $(-1, 0]$  and concave on  $(0, 1)$ . It is known ([2, Example 1]) that  $\underline{S}$  is a standard H-cone of functions on  $X$  and  $X$  is not parabolic. On the other hand one can see that there are no universally continuous weak units in  $\underline{S}$ . Hence the condition

$$[p = 0] \neq \emptyset, \text{ for any } p \in \underline{S}_0$$

is not sufficient for the parabolicity of  $X$  (compare with Corollary 2.9).

Definition. Let  $\underline{S}$  be a standard H-cone functions on a set  $X$ . A subbasic subset  $M \subseteq X$  is called nearly saturated (with respect to  $\underline{S}$ ) if  $M$  is nearly saturated with respect to the standard H-cone  $\underline{S}|_M$ .

Remark. Let  $\underline{S}$  be a standard H-cone of functions on a set  $X$  and let  $M$  be a subbasic subset of  $X$ . Then the following assertions hold:

- a)  $M$  is nearly saturated iff for any balayage  $B$  on  $\underline{S}$ ,  $B \leq B^M$  there exists a subbasic subset  $L$  of  $X$ ,  $L \subseteq M$  with  $B = B^L$ .
- b) If  $X$  is nearly saturated and  $M$  is a basic set or  $M$  differs from its fine closure with a semi-polar set then  $M$  is nearly saturated.

Definition. Let  $\underline{S}$  be a standard H-cone of functions on a nearly saturated set  $X$ . The set  $X$  is called quasielliptic with respect to  $\underline{S}$  if there are no nonempty parabolic subsets of  $X$ .

We recall the following definition (cf. [3, §5]):

Definition. An H-cone  $\underline{S}$  is called quasielliptic if there are no non zero parabolic balayages on  $\underline{S}$ .

Proposition 2.10. Suppose that  $\underline{S}$  is a standard H-cone. Then the following assertions are equivalent:

- a)  $\underline{S}$  is quasielliptic.
- b) There exists a set  $X$  such that  $\underline{S}$  is a standard  $H$ -cone of functions on  $X$ ,  $X$  is nearly saturated and quasielliptic.
- c) Whenever  $\underline{S}$  is a standard  $H$ -cone of functions on a nearly saturated set  $X$  then  $X$  is quasielliptic.

The proof follows immediately, using Proposition 2.3.

Remark. Suppose that  $\underline{S}$  is a standard  $H$ -cone of functions on a set  $X$ . If  $\underline{S}$  is quasielliptic then there are no parabolic subsets of  $X$  with respect to  $\underline{S}$ . If  $X$  is not nearly saturated the converse is not true. Indeed the standard  $H$ -cone  $\underline{S}$  from Example 2.7 is not quasielliptic however if we consider  $\underline{S}$  as a standard  $H$ -cone of functions on the set  $X = (-1, 1) \setminus K$  then there are no parabolic subsets of  $X$ .

Definition. Let  $\underline{S}$  be a standard  $H$ -cone of functions on a set  $X$  and let  $M$  be a subbasic nearly saturated subset of  $X$ . We say that  $M$  is a quasielliptic set with respect to  $\underline{S}$  if  $M$  is quasielliptic with respect to the standard  $H$ -cone of functions  $\underline{S}|_M$  on the nearly saturated set  $M$ .

Definition. A balayage  $B$  on an  $H$ -cone  $\underline{S}$  is called quasielliptic (cf. [3, §5]) if the  $H$ -cone  $B(\underline{S})$  is quasielliptic.

Proposition 2.11. Let  $\underline{S}$  be a standard  $H$ -cone of functions on a set  $X$  and let  $M$  be a nearly saturated subset of  $X$ . Then  $M$  is quasielliptic iff the balayage  $B^M$  on  $\underline{S}$  is quasielliptic.

The proof follows from Proposition 2.10.

Proposition 2.12. Let  $\underline{S}$  be a standard  $H$ -cone of functions on a nearly saturated set  $X$ . Then  $X$  is quasielliptic iff

$$X = \bigcup \{E / E \subseteq X, E \text{ is elliptic}\}.$$

Proof. If  $P$  is the greatest parabolic subset of  $X$ , from Proposition 2.6 we get

$$P = \bigcap \{X \setminus E / E \subseteq X, E \text{ is elliptic}\}.$$

Hence  $X$  is quasielliptic iff  $P \neq \emptyset$  and therefore iff

$$X = \bigcup \{E / E \subseteq X, E \text{ is elliptic}\}.$$



Remark. If  $X$  is not nearly saturated. Example 2.7 shows that the relation

$$X = \bigcup \{E / E \subseteq X, E \text{ is elliptic}\}$$

is not sufficient to characterize the quasiellipticity of  $\underline{S}$ .

Proposition 2.13. Suppose that  $\underline{S}$  is a standard H-cone of functions on a set  $X$  and let  $F_1, F_2$  be two nearly saturated subsets of  $X$ . The following assertions hold:

- a) If  $F_1$  is elliptic then  $F_1$  is quasielliptic.
- b) If  $F_1$  is parabolic and quasielliptic then  $F_1 = \emptyset$ .
- c) If  $F_1, F_2$  are quasielliptic then  $F_1 \cup F_2$  is quasielliptic.
- d) If  $F_1 \subseteq F_2$  and  $F_2$  is quasielliptic then  $F_1$  is quasielliptic.

Proof. The assertions follow immediately from the above considerations and since  $F_1 \cup F_2$  is also a nearly saturated subset of  $X$ .

Let  $\underline{S}$  be a standard H-cone of functions on a nearly saturated set  $X$  and let  $G$  be a fine open subset of  $X$ . We recall that the localized of  $\underline{S}$  on  $G$  is (cf. [4]) the standard H-cone of functions on  $G$  denoted by  $\underline{S}(G)$ , which is the cone of all positive functions  $f$  on  $G$  which are finite on a fine dense subset of  $G$  such that

$$f = \sup \{s \cdot B^{X \setminus G}_s / s \in \underline{S}, s < \infty, s \cdot B^{X \setminus G}_s \leq f\}$$

It is known (see [4, Theorem 2.1]) that  $G$  is nearly saturated with respect to  $\underline{S}(G)$ .

Theorem 2.14. Suppose that  $\underline{S}$  is a standard H-cone of functions on a nearly saturated set  $X$  and let  $P$  be the greatest parabolic subset of  $X$ . Then the fine open set  $G := X \setminus P$  is quasielliptic with respect to the localized  $\underline{S}(G)$  of  $\underline{S}$  on  $G$ .

Proof. We have remarked that  $G$  is nearly saturated with respect to  $\underline{S}(G)$ . From [3, Theorem 5.16] it follows that the H-cone

$$\underline{S}_P := \{s \cdot B^P_s / s \in \underline{S}\}$$

is quasielliptic. Since  $\underline{S}_P$  is solid and increasingly dense in  $\underline{S}(G)$  we deduce that  $\underline{S}(G)$  is also a quasielliptic H-cone and therefore, by Proposition 2.10, it follows that  $G$  is quasielliptic with respect to  $\underline{S}(G)$ .

Remark. Generally the fine open set  $G := X \setminus P$  in Theorem 2.14 is not quasielliptic with respect to  $\underline{S}$  (see Example 2.7) More precisely, from Proposition 2.6 and Proposition 2.12 we deduce that  $G$  is quasielliptic with respect to  $\underline{S}$  iff  $G$  is nearly saturated with respect to  $\underline{S}$ .

### § 3. Absorbent, parabolic, elliptic and quasielliptic subsets on a Green set and their relations with the Green function.

Let  $\underline{S}$  be a standard H-cone and let  $X$  be a set such that  $\underline{S}$  and its dual  $\underline{S}^x$  are represented as standard H-cones of functions on  $X$ . Since  $\underline{S}$  is a solid and increasingly dense convex subcone in  $\underline{S}^{xx} := (\underline{S}^x)^x$  (the bidual of  $\underline{S}$ ) then without loss of generality we may suppose that  $\underline{S} = \underline{S}^{xx}$ . In this way if  $x \in X$  then the map

$$s \longrightarrow s(x) \quad , \quad s \in \underline{S},$$

is an H-integral on  $\underline{S}$  and therefore an element of  $\underline{S}^x$  for which the associated function on  $X$  is denoted by  ${}^x g_x$ . Analogously, for any  $x \in X$  we denote by  $g_x$  the function on  $X$ ,  $g_x \in \underline{S} = \underline{S}^{xx}$ , which is the associated function on  $X$  of the H-integral on  $\underline{S}^{xx}$  given by

$$t \longrightarrow t(x) \quad , \quad t \in \underline{S}^x.$$

If we denote by  $[\cdot, \cdot]$  the canonical duality between  $\underline{S}$  and  $\underline{S}^x$  then for any  $x \in X$  we have

$$[s, {}^x g_x] = s(x) \quad , \quad s \in \underline{S} \quad ,$$

$$[g_x, t] = t(x) \quad , \quad t \in \underline{S}^x.$$

Therefore for any  $x, y \in X$  we get

$$[g_x, {}^x g_y] = g_x(y) = {}^x g_y(x).$$

The function on  $X \times X$  with values in  $\overline{\mathbb{R}}_+$  given by

$$g(x, y) := g_x(y) = {}^x g_y(x), \quad x, y \in X$$

is called the Green function on  $X$  associated with  $(\underline{S}, \underline{S}^x)$ .

In the sequel we mark with the prefix "co" the potential theoretic notions related with  $\underline{S}^x$  as a standard H-cone of functions on  $X$ , in order to distinguish them from the similar notions related with the standard H-cone of functions  $\underline{S}$  on  $X$ .

Particularly we have on  $X$  the natural and conatural topologies, the fine and cofine topologies etc.

For any subset  $M$  of  $X$ ,  $\overline{M}^f$  and  $\overset{\circ}{M}^f$  (resp.  $\overline{M}^{cf}$  and  $\overset{\circ}{M}^{cf}$ ) denote the fine closure and the fine interior (resp. the cofine closure and the cofine interior) of  $M$ .

If  $M$  is a subset of  $X$ , and  $t \in \underline{S}^x$  we put

$${}^x_B M_t := \bigwedge \{ t' \in \underline{S}^x / t \leq t' \text{ on } M \}$$

and we denote by  $\text{carr } t$  the harmonic carrier of  $t$  on  $X$ , i.e.

$$\text{carr } t = \{ x \in X / {}^x_B X \setminus V_t \neq t, \text{ for any } V \in \mathcal{V}_x^x \},$$

when  $\mathcal{V}_x^x$  denotes the set of all conatural neighbourhoods of  $x$ . Particularly, for any  $x \in X$ , since  $g_x$  (resp.  ${}^x g_x$ ) is an extrem element of the convex set  $\underline{S}$  (resp.  $\underline{S}^x$ ) the set  $\text{carr } g_x$  (resp.  $\text{carr } {}^x g_x$ ) is either empty or a singleton.

We remember that ([5, §5.5]) a set  $X$  is called a Green set associated with  $(\underline{S}, \underline{S}^x)$  if  $X$  is nearly saturated with respect to both  $\underline{S}$  and  $\underline{S}^x$  and if for any point  $x \in X$  we have

$$\text{carr } g_x = \{x\}, \quad \text{carr } {}^x g_x = \{x\}.$$

It is known (cf. [5, §5.5]) that if  $\underline{S}$  is a standard H-cone there exists a set  $Y$  such that  $\underline{S}$  and  $\underline{S}^x$  are represented as standard H-cones of functions on  $Y$  and  $Y$  is a Lusin space with respect to the natural and conatural topologies and such that  $Y$  is a Green set associated with  $(\underline{S}, \underline{S}^x)$ . Moreover we can choose  $Y$  such that the natural and conatural topologies on  $Y$  coincide (cf. [8]).

From this fact it follows that whenever  $\underline{S}$  is a standard H-cone of functions on a nearly saturated set  $X$  there exists a subset  $Y$  of  $X$  such that  $\underline{S}^x$  may be represented as a standard H-cone of functions on  $Y$  and  $Y$  becomes a Green set associated with  $(\underline{S}, \underline{S}^x)$ .

Consequently if  $\underline{S}$  and  $\underline{S}^x$  are represented as standard H-cones of functions on a set  $X$  then  $X$  is nearly saturated with respect to  $\underline{S}$  iff it is nearly saturated with respect to  $\underline{S}^x$ .

In the sequel instead of " $X$  is a Green set associated with  $(\underline{S}, \underline{S}^x)$ " we say simply " $X$  is a Green set" if there is no any ambiguity concerning the pair  $(\underline{S}, \underline{S}^x)$ .

We recall now some results concerning the theory of balayages on a Green set  $X$  associated  $(\underline{S}, \underline{S}^x)$  (cf. [5, §5.5]).

If  $A$  is a subset of  $X$  then:

1) For any  $s \in \underline{S}$  and  $t \in \underline{S}^x$  we have:

$$[B^A_{s,t}] = [s, {}^x B^A_t] .$$

2)  $A$  is semi-polar (resp. polar) iff  $A$  is cosemi-polar (resp. copolar).

3)  $A$  is thin (resp. cothin) at  $x \in X$  iff  ${}^x B^A({}^x g_x) \neq {}^x g_x$  (resp.  $B^A g_x \neq g_x$ ).

As a consequence we have that:

a) Any natural (resp. conatural) open set is cofine (resp. fine) open.

b) Any fine (resp. cofine) open set is a cofine (resp. fine) neighbourhood for all its points without a semi-polar set.

Proposition 3.1. Suppose that  $\underline{S}$  and  $\underline{S}^x$  are represented as standard H-cones of functions on a nearly naturated set  $X$ . Then  $X$  is a Green set iff any natural (resp. conatural) open subset of  $X$  is cofine (resp. fine) open.

Proof. From the preceding considerations the "only if" part of the proof is clear.

Further we want to show that for any subset  $A$  of  $X$  and any  $s \in \underline{S}$ ,  $t \in \underline{S}^x$  we have:

$$[B^A_{s,t}] = [s, {}^x B^A_t] .$$

Obviously it will be sufficient to suppose that  $s \in \underline{S}_0$  and  $t \in \underline{S}_0^x$ . Since  $X$  is nearly saturated with respect to  $\underline{S}$  then there exists a subset  $Y$  of  $X$  which is a Green set associated with  $(\underline{S}, \underline{S}^x)$ .

Obviously since  $s \in \underline{S}_0$  we have

$$\begin{aligned} B^A_s &= \bigwedge \{ B^U_s / A \subseteq U, U \text{ natural open in } X \} = \\ &= \bigwedge \{ B^{U \cap Y}_s / A \subseteq U, U \text{ natural open in } X \} \end{aligned}$$

and therefore,  $t$  being universally continuous,

$$\begin{aligned} [B^A_{s,t}] &= \inf \{ [B^{U \cap Y}_{s,t}] / A \subseteq U, U \text{ natural open in } X \} = \\ &= \inf \{ [s, {}^x B^{U \cap Y}_t] / A \subseteq U, U \text{ natural open in } X \} . \end{aligned}$$

Since any natural open set  $U$  in  $X$  is cofine open we get

$${}^x B^{U \cap Y}_t = {}^x B^U_t \geq {}^x B^A_t$$

and therefore, using the above considerations,

$$[B^A_{s,t}] \geq [s, {}^x B^A_t] .$$

Analogously we get

$$[B^A_{s,t}] \leq [s, {}^x B^A_t] .$$



We show now that if  $A$  is a subset of  $X$  and  $x \in X$  then we have

$$A \text{ is thin at } x \iff {}^x B^A({}^x g_x) \neq {}^x g_x,$$

$$A \text{ is cothin at } x \iff B^A g_x \neq g_x.$$

For any  $x, y \in X$  we have

$${}^x B^A({}^x g_x)(y) = [g_y, {}^x B^A({}^x g_x)] = [B^A g_y, {}^x g_x] = B^A g_y(x).$$

Since  $X$  is nearly saturated with respect to  $\underline{S}$  then for any  $s \in \underline{S}_0$  there exists a measure  $\mu$  on  $X$  such that

$$[s, t] = \int t(y) d\mu(y) \quad , \text{ for any } t \in \underline{S}^X.$$

Hence for any  $x \in X$ ,

$$s(x) = [s, {}^x g_x] = \int {}^x g_x(y) d\mu(y) = \int g_y(x) d\mu(y)$$

and therefore  $A$  is not thin at  $x$  iff

$$B^A g_y(x) = g_y(x), \text{ for any } y \in X$$

or equivalently

$${}^x B^A({}^x g_x)(y) = {}^x g_x(y) \quad , \text{ for any } y \in X.$$

Analogously, using the fact that  $X$  is also nearly saturated with respect to  $\underline{S}^X$ , we get

$$A \text{ is cothin at } x \iff B^A g_x \neq g_x.$$

If  $x \in X$  and  $U$  is a natural neighbourhood of  $x$  then  $U$  is a cofine neighborhood of  $x$  and therefore  $X \setminus U$  is cothin at  $x$ . Hence

$$B^{X \setminus U} g_x \neq g_x$$

and therefore

$$\text{carr } g_x = \{x\}.$$

Analogously for any  $x \in X$  we have

$$\text{carr } {}^x g_x = \{x\}.$$

Hence  $X$  is a Green set.

Theorem 3.2. Suppose that  $X$  is a Green set. If  $A \subseteq X$  is absorbent (with respect to  $\underline{S}$ ) then  $\overline{X \setminus A}^{cf}$  is coabsorbent (i.e. absorbent with respect to  $\underline{S}^X$ ). Moreover we have

$$A = \overline{\overline{A}^{cf}}^f.$$

Proof. Let  $A$  be an absorbent subset of  $X$ . Then  $A$  is a basic set and therefore from Proposition 1.4 it follows that the balayage  $B^A$  is absorbent. From [3, Theorem 3.2] we deduce that the balayage on  $S^{\times}$  given by  $(B^A)^{\times'}$  is coabsorbent. We have

$$(B^A)^{\times'} = (B^A)^{\times} = (\overline{B^{X \setminus A}})^{\times} = (B^{X \setminus A})^{\times} = {}^{\times}B^{X \setminus A} = {}^{\times}B^{\overline{X \setminus A}^{cf}}.$$

Since  $X \setminus A$  is natural open it is cofine open and therefore  $\overline{X \setminus A}^{cf}$  is a cobasic set. Again from Proposition 1.4. we deduce that  $\overline{X \setminus A}^{cf}$  is coabsorbent.

From the previous considerations we have

$$\begin{aligned} (B^A)^{\times'} &= {}^{\times}B^{\overline{X \setminus A}^{cf}} = (\overline{B^{X \setminus A}})^{\times'} \\ B^A &= ((B^A)^{\times'})^{\times'} = ({}^{\times}B^{\overline{X \setminus A}^{cf}})^{\times'} = B^{X \setminus (\overline{X \setminus A}^{cf})^f}. \end{aligned}$$

Since  $X \setminus (\overline{X \setminus A}^{cf})^f$  is a basic set, we deduce that

$$A = \overline{X \setminus (\overline{X \setminus A}^{cf})^f}^f = \overline{A^{cf}}^f.$$

Proposition 3.3. Let  $X$  be a Green set. Then for any  $x \in X$  the following assertions are equivalent:

- a)  $g(x, x) = 0$ .
- b) The complement of the smallest absorbent set which contains  $x$  is not cothin at  $x$ .
- c) The set  $[g_x = 0]$  is the greatest absorbent set which contains  $x$  such that its complement is not cothin at  $x$ .

Proof. 1)  $\Rightarrow$  3). We already remarked that  $X \setminus [g_x = 0]$  is not cothin at  $x$  iff

$$B^{[g_x > 0]} g_x = g_x.$$

Or from  $g_x = 0$  on the set  $[g_x = 0]$  it follows that

$$B^{[g_x > 0]} g_x = g_x \quad \text{on } [g_x = 0]$$

and therefore

$$B^{[g_x > 0]} g_x = g_x.$$

Let  $A$  be an absorbent set which contains  $x$  and such that its complement is not cothin at  $x$ . Therefore

$$B^{X \setminus A} g_x = g_x.$$

Since  $A$  is absorbent we have

$$B^{X \setminus A} g_x = 0 \text{ on } A$$

and thus

$$[g_x = 0] \subseteq A.$$

Hence  $[g_x = 0]$  is the greatest absorbent set which contains  $x$  and such that its complement is not cothin at  $x$ .

3)  $\Rightarrow$  2) is obvious.

2)  $\Rightarrow$  1). Let  $A_x$  be the smallest absorbent set which contains  $x$ . Since  $X \setminus A_x$  is not cothin at  $x$  we get

$$B^{X \setminus A_x} g_x = g_x.$$

Since  $A_x$  is absorbent we have

$$B^{X \setminus A_x} g_x = 0 \text{ on } A_x$$

and therefore

$$g(x, x) = g_x(x) = 0.$$

Corollary 3.4. If  $X$  is a Green set then the following assertions are equivalent:

- a)  $g(x, x) > 0$ , for any  $x \in X$ .
- b) Any absorbent subset of  $X$  is cofine open.
- c) Any coabsorbent subset of  $X$  is fine open.

Proposition 3.5. Suppose that  $X$  is a Green set and let  $x \in X$  be that  $g(x, x) = 0$ .

Then the set  $\overline{[g_x > 0]}^f$  is the smallest absorbent set which contains  $x$ .

Proof. By Proposition 3.3 the set  $[g_x > 0]$  is not thin at  $x$  and therefore, using Theorem 3.2, the set  $\overline{[g_x > 0]}^f$  is an absorbent set containing  $x$  and we have

$$[g_x = 0] = \overline{(X \setminus \overline{[g_x > 0]}^f)}^{cf}.$$

It follows that the set  $X \setminus \overline{[g_x > 0]}^f$  is not cothin at  $x$ .

Hence

$$\overline{[g_x > 0]}^f \subseteq [g_x = 0].$$

Let now  $A$  be an absorbent set containing  $x$  and such that  $A \subseteq [g_x = 0]$ . Since

$[g_x > 0]$  is not cothin at  $x$  we get that  $X \setminus A$  has the same property. Since

$$A = \overline{X \setminus (\overline{X \setminus A}^{cf})^f}$$

it follows that the coabsorbent set  $\overline{X \setminus A}^{cf}$  is such that its complement is not thin at  $x$  and therefore

$$\overline{X \setminus A}^{cf} \subseteq [{}^x g_x = 0],$$

$$[{}^x g_x > 0] \subseteq A, \quad [\overline{{}^x g_x > 0}]^f \subseteq A.$$

Corollary 3.6. Suppose that  $X$  and  $x \in X$  are as in Proposition 3.5. Then for any  $y \in X$  we have

$$g(x, y) = 0 \text{ or } g(y, x) = 0.$$

Proposition 3.7. Let  $X$  be a Green set and let  $x \in X$  be such that  $g(x, x) > 0$ . Then there exists an elliptic subset of  $X$  which contains  $x$ .

Proof. Let us denote by  $A_x$  (resp  $A_x^x$ ) the smallest absorbent (resp. coabsorbent) subset of  $X$  containing  $x$ . We put

$$E := A_x \setminus (\overline{X \setminus A_x^x})^f.$$

Obviously  $E$  is fine open and fine closed. Further  $x \in E$ . Indeed, since  $g(x, x) > 0$ , using Proposition 3.3, we get that  $X \setminus A_x^x$  is thin at  $x$  and therefore  $x \notin \overline{X \setminus A_x^x}^f$ ,  $x \in E$ .

We show now that  $E$  is an elliptic subset of  $X$ . Let  $A$  be an absorbent subset of  $X$ . If  $x \in A$  then  $A_x \subseteq A$  and therefore  $E \subseteq A$ . If  $x \notin A$  then  $x \in X \setminus A$  and therefore

$$A_x^x \subseteq \overline{X \setminus A}^{cf}.$$

Since  $A = \overline{X \setminus (\overline{X \setminus A}^{cf})^f}$  we deduce now that

$$A \subseteq \overline{X \setminus A_x^x}^f$$

and we conclude that

$$A \cap E = A \cap A_x \cap (\overline{X \setminus (\overline{X \setminus A_x^x})^f}) \subseteq A \cap (X \setminus A) = \emptyset.$$

Proposition 3.8. Suppose that  $X$  is a Green set. Let  $E$  be an elliptic subset of  $X$  and  $x \in E$  be such that  $E$  is a cofine neighborhood of  $x$ . Then  $g(x, x) > 0$ .

Proof. Let  $A_x$  be the smallest absorbent set containing  $x$ . Since  $E$  is elliptic we have  $E \subseteq A_x$ . If  $g(x, x) = 0$  then, by Proposition 3.3 it follows that  $X \setminus A_x$  is not cothin at  $x$  and therefore  $X \setminus E$  is also not cothin at  $x$ , which contradicts the

fact that  $E$  is a cofine neighbourhood of  $x$ .

Theorem 3.9. Let  $\underline{S}$  be a standard H-cone. Then the following assertions are equivalent:

- a)  $\underline{S}$  is quasielliptic.
- b) There exists a Green set  $X$  such that  $g(x, x) > 0$  for any  $x \in X$ .
- c) There exists a Green set  $X$  such that  $g(x, x) > 0$  for any  $x \in X$  without a semi-polar set.
- d) For any Green set  $X$  we have  $g(x, x) > 0$  for any  $x \in X$  without a semi-polar set.

Proof. a)  $\Rightarrow$  d). Let  $X$  be a Green set. If we denote by  $(E_i)_{i \in I}$  the family of all maximal elliptic subsets of  $X$ , since  $\underline{S}$  is quasielliptic it follows that this family is at most countable and by Proposition 2.10 and Proposition 2.12 we get

$$X = \bigcup_{i \in I} E_i$$

On the other hand, for any  $i \in I$  the fine open set  $E_i$  is a cofine neighbourhood for any  $x \in E_i$  without a semi-polar subset of  $E_i$  and therefore, by Proposition 3.8, the set

$$\{x \in E_i / g(x, x) = 0\}$$

is semi-polar. We conclude that the set

$$\{x \in X / g(x, x) = 0\}$$

is semi-polar.

Obviously d)  $\Rightarrow$  c)  $\Rightarrow$  b). The implication b)  $\Rightarrow$  a) follows from Proposition 3.7, Proposition 2.12 and Proposition 2.10.

Corollary 3.10. Suppose that  $X$  is a Green set such that the fine and cofine topologies on  $X$  coincide. Then  $\underline{S}$  is quasielliptic. Particularly if  $\underline{S}$  is an autodual standard H-cone then  $\underline{S}$  is quasielliptic.

Proof. From Corollary 3.4 it follows that  $g(x, x) > 0$  for any  $x \in X$  and therefore by Theorem 3.9,  $X$  is quasielliptic.

Proposition 3.11. Let  $\underline{S}$  be a standard H-cone. Then the following assertions are equivalent:

- a)  $\underline{S}$  is elliptic.
- b) There exists a Green set  $X$  such that  $g(x, y) > 0$  for any  $x, y \in X$ .

c) For any Green set  $X$  we have  $g(x,y) > 0$  for any  $x,y \in X$ .

Proof. a)  $\Rightarrow$  c) follows from the fact that for any  $x \in X$  we have  $g_x \neq 0$ . c)  $\Rightarrow$  b) is trivial.

b)  $\Rightarrow$  a). For any universally continuous element  $s$  of  $\underline{S}$  there exists a measure  $\mu$  on  $X$  such that

$$s(x) = \int g(y,x) d\mu(y) \quad , \text{ for any } x \in X$$

and therefore  $s > 0$  if  $s \neq 0$ . Hence there are no absorbent subsets  $A$  of  $X$ ,  $A \neq \emptyset$ ,  $A \neq X$ . We conclude that  $X$  is elliptic and by Proposition 2.2 it follows that  $\underline{S}$  is elliptic.

Theorem 3.12. Let  $\underline{S}$  be a standard  $H$ -cone. Then the following assertions are equivalent:

a)  $\underline{S}$  is parabolic.

b) There exists a Green set  $X$  such that  $g(x,x) = 0$  for any  $x \in X$ .

c) For any Green set  $X$  we have  $g(x,x) = 0$  for any  $x \in X$ .

d) There exists a Green set  $X$  such that for any  $x,y \in X$  we have

$$g(x,y) = 0 \quad \text{or} \quad g(y,x) = 0.$$

e) For any Green set  $X$  we have for any  $x,y \in X$

$$g(x,y) = 0 \quad \text{or} \quad g(y,x) = 0.$$

Proof. a)  $\Rightarrow$  c). Let  $X$  be a Green set and let  $x \in X$ . Then  $g(x,x) = 0$  since in the contrary case from Proposition 3.7 there exists an elliptic subset  $E$  of  $X$ ,  $x \in E$  which contradicts the fact that  $X$  is parabolic.

c)  $\Rightarrow$  e) follows from Corollary 3.6. The implications e)  $\Rightarrow$  d)  $\Rightarrow$  b) are trivial.

b)  $\Rightarrow$  a). Let  $X$  be Green set such that  $g(x,x) = 0$  for any  $x \in X$  and suppose that  $\underline{S}$  is not parabolic. Then from Proposition 2.1, Proposition 2.5 and Proposition 2.6 it follows that there exists a maximal elliptic subset  $E$  of  $X$ ,  $E \neq \emptyset$ . Since  $E$  is fine open and since  $E$  is a cofine neighbourhood for any  $x \in E$  without a semi-polar set, from Proposition 3.8 we deduce that there exists  $x \in E$  with  $g(x,x) > 0$ , which contradicts the hypothesis.

Definition. Let  $X$  be a Green set associated with  $(\underline{S}, \underline{S}^*)$ . A subset  $M$  of  $X$  is called a Green subset of  $X$  if  $M$  is a subbasic and nearly saturated subset of  $X$

We remember now some remarks concerning the duality between H-cones (cf. [3, §6]).

If  $B$  is a balayage on a standard H-cone  $\underline{S}$  then the dual  $(B(\underline{S}))^\times$  of  $B(\underline{S})$  is isomorphic with the H-cone  $B^\times(\underline{S}^\times)$ , where  $B^\times$  is the adjoint of  $B$ . The restriction to  $B(\underline{S}) \times B^\times(\underline{S}^\times)$  of the canonical map defining the duality between  $\underline{S}$  and  $\underline{S}^\times$  is the canonical map defining the duality between  $B(\underline{S})$  and  $(B(\underline{S}))^\times$ .

Suppose now that  $X$  is Green set and let  $M$  be a subset of  $X$  which is subbasic with respect to both  $\underline{S}$  and  $\underline{S}^\times$ . In this case we always consider that the standard H-cones  $B^M(\underline{S})$  and  $(B^M(\underline{S}))^\times = {}^\times B^M(\underline{S}^\times)$  are represented as standard H-cones of functions on the set  $M$ . In this way  $B^M(\underline{S})$  (resp.  ${}^\times B^M(\underline{S}^\times)$ ) is identified with the set  $\underline{S}|_M$  (resp.  $\underline{S}^\times|_M$ ) of the restrictions of  $s \in \underline{S}$  (resp.  $s \in \underline{S}^\times$ ) to  $M$ . The above notion of Green subset is strongly related with the case when  $M$  becomes a Green set associated with  $(B^M(\underline{S}), (B^M(\underline{S}))^\times)$ .

Proposition 3.13. Suppose that  $X$  is a Green set associated with  $(\underline{S}, \underline{S}^\times)$  and let  $M$  be a subset of  $X$  which is subbasic with respect to both  $\underline{S}$  and  $\underline{S}^\times$ . Then  $M$  is a Green subset of  $X$  iff  $M$  is a Green set associated with  $(B^M(\underline{S}), (B^M(\underline{S}))^\times)$ .

Moreover if  $M$  is a Green subset of  $X$  then the Green function on  $M$  associated with  $(B^M(\underline{S}), (B^M(\underline{S}))^\times)$  is the restriction to  $M \times M$  of the Green function on  $X$  associated with  $(\underline{S}, \underline{S}^\times)$ .

Proof. If  $M$  is a Green set with respect to  $(B^M(\underline{S}), (B^M(\underline{S}))^\times)$  then  $M$  is nearly saturated with respect to  $\underline{S}$  and since  $(B^M(\underline{S}))^\times = {}^\times B^M(\underline{S}^\times)$ ,  $M$  is also nearly saturated with respect to  $\underline{S}^\times$ . Hence  $M$  is a Green subset of  $X$ .

Suppose now that  $M$  is a Green subset of  $X$ . Then  $M$  is nearly saturated with respect to the standard H-cones of functions on  $M$  given by  $B^M(\underline{S})$  and  ${}^\times B^M(\underline{S}^\times) = (B^M(\underline{S}))^\times$ . To obtain that  $M$  is a Green set associated to  $(B^M(\underline{S}), (B^M(\underline{S}))^\times)$  we apply Proposition 3.1. Hence it will be sufficient to show that: any natural open subset of  $M$  with respect to  $B^M(\underline{S})$  is fine open with respect to  ${}^\times B^M(\underline{S}^\times)$ . This assertion follows from the fact that the natural and the fine topologies on  $M$  associated with  $B^M(\underline{S})$  (resp.  ${}^\times B^M(\underline{S}^\times)$ ) are the traces on  $M$  of the corresponding ones associated on  $X$  with  $\underline{S}$  (resp.  $\underline{S}^\times$ ).



Proposition 3.14. Suppose that  $X$  is Green set. Then the following assertions hold:

a) For any balayage  $B$  on  $\underline{S}$  the set

$$b(B) \cap b(B^x)$$

is a Green subset of  $X$  and a Green set associated with  $(B(\underline{S}), (B(\underline{S}))^x)$ .

b) If  $M$  is a Green subset of  $X$  then

$$\overline{M}^f \cap \overline{M}^{cf}$$

is the greatest Green subset of  $X$  which contains  $M$ .

c) If  $M$  is a nearly saturated subbasic subset of  $X$  with respect to  $\underline{S}$  then the set

$$M \cap b^x(M)$$

is the greatest Green subset of  $X$  containing  $M$  and a Green set associated with

$(B^M(\underline{S}), (B^M(\underline{S}))^x)$ . ( $b^x(M)$  denotes the base of  $M$  with respect to  $\underline{S}^x$  i.e.

$b^x(M) := \{x \in X / {}^x B^M s(x) = s(x), \text{ for any } s \in \underline{S}^x\}$ .)

Proof. Assertion a) follows from the fact that

$$b(B) \setminus b(B^x), \quad b(B^x) \setminus b(B)$$

are semi-polar subset of  $X$ . Assertion b) follows from a) using the obvious relations

$\overline{M}^f = b(B^M)$ ,  $\overline{M}^{cf} = b({}^x B^M) = b((B^M)^x)$ .

c) We have

$${}^x B^{\overline{M}^f} = (B^{\overline{M}^f})^x = (B^M)^x = {}^x B^M$$

and therefore

$$b({}^x B^{\overline{M}^f}) = b({}^x B^M) = \bigcup_{s \in \underline{S}} [{}^x B^M s = s] = b^x(M) = b^x(\overline{M}^f).$$

Hence we get

$$b(B^M) \cap b((B^M)^x) = \overline{M}^f \cap b^x(M) = (M \cap b^x(M)) \cup ((\overline{M}^f \setminus M) \cap b^x(M)).$$

Since  $\overline{M}^f \setminus M$  is negligible (i.e. any compact subset of  $\overline{M}^f \setminus M$  is semi-polar),

$b(B^M) \cap b((B^M)^x)$  is nearly saturated and  $\overline{M} \setminus b^x(M)$  is semi-polar, we deduce that

$M \cap b^x(M)$  is nearly saturated. The assertion c) follows now from a).

Proposition 3.15. Let  $X$  be a Green set and let  $M$  be a Green subset of  $X$ . Then

we have

$M$  is elliptic  $\Leftrightarrow M$  is coelliptic  $\Leftrightarrow g(x, y) > 0$ , for any  $x, y \in M$ .

$M$  is parabolic  $\Leftrightarrow M$  is coparabolic  $\Leftrightarrow g(x, x) = 0$ , for any  $x \in M$ .

$M$  is quasielliptic  $\Leftrightarrow M$  is coquasielliptic  $\Leftrightarrow g(x,x) > 0$ , for any  $x \in M$  without a semi-polar set.

Proof. The assertion follows from Proposition 3.13 and from Proposition 3.9, Proposition 3.11 and Theorem 3.12.

Corollary 3.16. Let  $X$  be a Green set and let  $M$  be a nearly saturated subbasic subset of  $X$  with respect to  $\underline{S}$ . Then  $M$  is elliptic (resp. parabolic, quasielliptic) iff there exists a semi-polar set  $A \subseteq M$  such that

$$g(x,y) > 0 \text{ (resp. } g(x,x) = 0, g(x,x) > 0 \text{)}$$

for any  $x, y \in M \setminus A$ .

Theorem 3.17. Suppose that  $X$  is a Green set associated with  $(\underline{S}, \underline{S}^*)$ .

We put

$$\begin{aligned} X_p &:= \{x \in X / g(x,x) = 0\}, \\ X_e &:= \{x \in X / g(x,x) > 0\}, \end{aligned}$$

and let  $P$  be the greatest parabolic subset of  $X$  with respect to  $\underline{S}$  and  $(E_i)_{i \in I}$  be the family of all maximal elliptic subsets of  $X$  with respect to  $\underline{S}$ . Then  $X_p$  is a fine and cofine closed subset of  $X$  and  $P$  coincides with the essential base of  $X_p$ . Particularly

$$\begin{aligned} X_e &\subseteq \bigcup_{i \in I} E_i \\ P &\subseteq X_p \end{aligned}$$

and the sets

$$\bigcup_{i \in I} E_i \setminus X_e, X_p \setminus P$$

are semi-polar.

Proof. Since the functions

$$\begin{aligned} x &\longrightarrow (x,x) \\ (x,y) &\longrightarrow g(x,y) \end{aligned}$$

are fine lower semi-continuous it follows that the function

$$x \longrightarrow g(x,x)$$

is also fine lower semi-continuous and therefore the set  $X_p$  is fine and cofine closed. Let us denote by  $P_0$  the essential base of  $X_p$  (i.e. the greatest basic

subset of  $X_p$ ) and let

$$Y := P_0 \cap b^x(P_0)$$

From Proposition 3.14 it follows that  $Y$  is a Green subset of  $X$  and a Green set associated with  $(B^{P_0}(\underline{S}), (B^{P_0}(\underline{S}))^x)$ . If  $g$  is the Green function on  $X$  associated with  $(\underline{S}, \underline{S}^x)$  then by Proposition 3.13 it follows that its restriction to  $Y \times Y$  is the Green function on  $Y$  associated with  $(B^{P_0}(\underline{S}), (B^{P_0}(\underline{S}))^x)$ . Since

$$g(x, x) = 0, \text{ for any } x \in P_0$$

it follows by Theorem 3.12 that  $B^{P_0}(\underline{S})$  is parabolic and therefore

$$P_0 \subseteq P.$$

By the definition of  $P_0$  we get that  $X_p \setminus P_0$  is semi-polar.

On the other hand, from Proposition 3.7 we deduce

$$X_e \subseteq \bigcup_{i \in I} E_i.$$

By Proposition 2.6 we get

$$P = X \setminus \bigcup_{i \in I} E_i \subseteq X \setminus X_e = X_p$$

and therefore

$$P \subseteq P_0, \quad P = P_0$$

From

$$\bigcup_{i \in I} E_i \setminus X_e = \left( \bigcup_{i \in I} E_i \right) \cap X_p \subseteq X_p \setminus P$$

it follows that the set  $\bigcup_{i \in I} E_i \setminus X_e$  is also semi-polar.

#### § 4. Totally parabolic standard H-cones.

Definition. An H-cone  $\underline{S}$  is called totally parabolic if it is parabolic and the set of all absorbent balayages on  $\underline{S}$  is totally ordered.

Definition. A balayage  $B$  on a given H-cone  $\underline{S}$  is called totally parabolic if the H-cone  $B(\underline{S})$  is totally parabolic.

Remark. 1. Generally, the notion of totally parabolic H-cone is more restrictive than the parabolic H-cone one. For example if  $X$  is a Stonian space which has no isolated points and  $\underline{S}$  is the convex cone of all positive real continuous functions on  $X$ , then  $\underline{S}$  is an H-cone such that any non zero balayage on  $\underline{S}$  is parabolic without being totally parabolic.

2. If  $\underline{S}$  and  $\underline{T}$  are two H-cones in duality (cf. [3, §6]) then  $\underline{S}$  and  $\underline{T}$  are simultaneously totally parabolic. Particularly a standard H-cone  $\underline{S}$  will be totally parabolic iff  $\underline{S}^{\times}$  is totally parabolic.

3. Suppose that  $\underline{S}$  is a parabolic H-cone such that there exists an absorbent control function on  $\underline{S}$  ( see [3, §4] ). Then  $\underline{S}$  will be totally parabolic iff there exists an increasing bijection  $t \rightarrow A_t$  from  $[0,1]$  on the set of all absorbent balayages on  $\underline{S}$ .

From now on  $\underline{S}$  will be a given parabolic standard H-cone.

If  $X$  is a Green set associated with  $(\underline{S}, \underline{S}^{\times})$  and  $g : X \times X \rightarrow \overline{\mathbb{R}}_+$  is the Green function on  $X$  associated with  $(\underline{S}, \underline{S}^{\times})$ , for any  $x \in X$  we denote by  $G_x$  (resp.  $G_x^{\times}$ ) the absorbent (resp. coabsorbent) set given by

$$G_x := [g_x = 0] \quad (\text{resp. } G_x^{\times} := [{}^x g_x = 0]).$$

Also we denote by  $A_x$  (resp.  $A_x^{\times}$ ) the smallest absorbent (resp. coabsorbent) subset of  $X$  containing the point  $x$ .

Remark. Since  $\underline{S}$  is parabolic from Theorem 3.12 and Proposition 3.3 it follows that for any  $x \in X$  we have

$$A_x \subseteq G_x, \quad A_x^{\times} \subseteq G_x^{\times}.$$

and  $G_x$  (resp.  $G_x^*$ ) is the greatest absorbent (resp. coabsorbent) subset of  $X$  such that  $X \setminus G_x$  (resp.  $X \setminus G_x^*$ ) is not cothin (resp. not thin) at  $x$ . We have also

$$A_x = \overline{X \setminus G_x^*}^f, \quad A_x^* = \overline{X \setminus G_x}^{cf}.$$

Proposition 4.1. The following assertions are equivalent:

- a)  $\underline{S}$  is totally parabolic.
- b) There exists a Green set  $X$  such that the set

$$\{G_x / x \in X\}$$

is totally ordered.

- c) There exists a Green set  $X$  such that the set

$$\{A_x / x \in X\}$$

is totally ordered.

Proof. a)  $\Rightarrow$  b) and a)  $\Rightarrow$  c) are obvious.

c)  $\Rightarrow$  a). Let  $A_1, A_2$  be two absorbent subset of  $X$  and suppose that there exists  $x_0 \in A_2$  with  $x_0 \notin A_1$ . We show that  $A_1 \subseteq A_2$ . If  $x \in A_1$  we have  $A_x \subseteq A_{x_0}$  and therefore

$$A_1 = \bigcup_{x \in A_1} A_x \subseteq A_{x_0} \subseteq A_2.$$

b)  $\Rightarrow$  a). Since for any  $x \in X$  we have  $A_x^* = \overline{X \setminus G_x}^{cf}$  it follows that the set

$$\{A_x^* / x \in X\}$$

is totally ordered and therefore from c)  $\Rightarrow$  a) applied to  $\underline{S}^x$  we get that  $\underline{S}$  is totally parabolic.

Proposition 4.2. Let  $X$  be a Green set. Then for any  $x \in X$  the following assertions are equivalent:

- a)  $A_x = G_x$ .
- b)  $G_x \cap G_x^*$  is semi-polar.
- c) There exists an unique pair  $(A, A^*)$  where  $A$  (resp.  $A^*$ ) is absorbent (resp. coabsorbent) with

$$x \in A \cap A^*, \quad X = A \cup A^*$$

and  $A \cap A^*$  is semi-polar.

Proof. a)  $\Leftrightarrow$  b). Since  $A_x$  is fine open it follows that  $A \setminus \overset{\circ}{A}^{cf}$  is semi-polar and therefore from

$$G_x \cap G_x^* = (G_x \setminus A_x) \cup (A_x \setminus \overset{\circ}{A}_x^{cf})$$

we get that  $G_x \cap G_x^*$  is semi-polar iff  $G_x \setminus A_x$  is semi-polar or equivalently  $A_x = G_x$ .

a)  $\Rightarrow$  c). If  $(A, A^*)$  is a pair as in the assertion c) then we have  $X \setminus A$  (resp.  $X \setminus A^*$ ) is not cothin (resp. not thin) at  $x$  and therefore from Proposition 3.3 it follows

$$A \subseteq G_x, \quad A^* \subseteq G_x^*.$$

Since

$$A_x \subseteq A \text{ and } A_x^* \subseteq A^* \text{ we get}$$

$$A = A_x = G_x, \quad A^* = A_x^* = G_x^*.$$

Obviously the pair  $(A_x, G_x^*)$  verifies the conditions from c).

c)  $\Rightarrow$  a). Since  $(A_x, G_x^*)$  and  $(G_x, A_x^*)$  are two pairs which verify the conditions from c) we deduce  $A_x = G_x$ .

Proposition 4.3. Let  $X$  be a Green set. Then the following assertions are equivalent:

- a)  $A_x = G_x$  for any  $x \in X$ .
- b)  $G_x \cap G_x^*$  is semi-polar for any  $x \in X$ .
- c)  $G_x \cap G_x^*$  is totally thin for any  $x \in X$ .
- d) For any absorbent set  $A$  we have  $A = A_x$  if  $x \in A \setminus \overset{\circ}{A}^{cf}$ .

Particularly if one of the above conditions is verified then for any absorbent set  $A$  have

$$\overset{\circ}{A}^{cf} = \bigcup \{A_x / x \in \overset{\circ}{A}^{cf}\}.$$

and therefore  $\overset{\circ}{A}^{cf}$  is fine open and the set  $A \setminus \overset{\circ}{A}^{cf}$  is polar in  $A$  with respect to  $\underline{S}|_A \equiv B^A(\underline{S})$ .

Proof. Suppose that a) is fulfilled and let  $A$  be an absorbent subset of  $X$ . If  $x \in \overset{\circ}{A}^{cf}$  then  $A_x \subseteq A$ . If  $y \in A_x$  then  $y \in \overset{\circ}{A}^{cf}$  since in the contrary case we have by Proposition 3.3 that  $A \subseteq G_y$  and from d) we get

$$A \subseteq G_y = A_y \subseteq A_x \subseteq A$$

which contradicts the fact that  $X \setminus A_x$  is not cothin at  $x$ . From the above considerations we deduce that  $A_x \subseteq \overset{\circ}{A}^{cf}$  if  $x \in \overset{\circ}{A}^{cf}$  and therefore

$$\overset{\circ}{A}^{cf} = \bigcup \{A_x / x \in \overset{\circ}{A}^{cf}\}.$$

For any  $s \in \overset{\circ}{S}$  we have

$$B^{A \setminus \overset{\circ}{A}^{cf}}_s \leq \bigwedge \{B^{A \setminus A_x}_s / x \in \overset{\circ}{A}^{cf}\} = 0 \text{ on } \bigcup_{x \in \overset{\circ}{A}^{cf}} A_x = \overset{\circ}{A}^{cf}$$

and therefore, since  $A \setminus \overset{\circ}{A}^{cf}$  is semi-polar,

$$B^{A \setminus \overset{\circ}{A}^{cf}} = 0 \text{ on } A.$$

a)  $\Leftrightarrow$  b) follows from Proposition 4.2 and c)  $\Rightarrow$  b) is trivial.

a)  $\Rightarrow$  d). If  $A$  is an absorbent subset of  $X$  and  $x \in A \setminus \overset{\circ}{A}^{cf}$  from Proposition 3.3.

we have

$$A_x \subseteq A \subseteq G_x$$

and therefore

$$A_x = A = G_x.$$

d)  $\Rightarrow$  a). From Proposition 3.3 we get  $x \in G_x \setminus \overset{\circ}{G}_x^{cf}$  and consequently

$$A_x = G_x.$$

a)  $\Rightarrow$  c). From the first part of the proof we have for any  $x \in X$

$$G_x \cap G_x^x = A_x \setminus \overset{\circ}{A}_x^{cf}$$

and therefore

$$B^{G_x \cap G_x^x} = 0 \text{ on } G_x.$$

We conclude that  $G_x \cap G_x^x$  is totally thin.

Theorem 4.4. The following assertions are equivalent:

a)  $S$  is totally parabolic.

b) There exists a Green set  $X$  such that:

$$A_x = G_x \text{ for any } x \in X.$$

c) There exists a Green set  $X$  such that:

$$G_x \cap G_x^x \text{ is totally thin for any } x \in X.$$

d) For any Green set  $X$  we have:

$$A_x = G_x \text{ for any } x \in X \text{ without a semi-polar set.}$$

e) For any Green set  $X$  we have:

$$G_x \cap G_x^x \text{ is semi-polar}$$

for any  $x \in X$  without a semi-polar set.



Proof. b)  $\Leftrightarrow$  c) follows from Proposition 4.3 and d)  $\Leftrightarrow$  e) follows from Proposition 4.2.

b)  $\Rightarrow$  a) Let  $x, y \in X$ . Since  $\underline{S}$  is parabolic, from Theorem 3.12 we get that either  $g(x, y) = 0$  or  $g(y, x) = 0$ . Therefore

$$x \in G_y \quad \text{or} \quad y \in G_x.$$

If  $x \in G_y$  we have  $A_x \subseteq G_y$  and consequently

$$G_x = A_x \subseteq G_y.$$

By Proposition 4.1 we deduce now that a) is true.

d)  $\Rightarrow$  b) follows from the fact that if  $X$  is a Green set associated with  $(\underline{S}, \underline{S}^x)$  and  $M$  is a semi-polar subset of  $X$  then  $X \setminus M$  is also a Green set associated with  $(\underline{S}, \underline{S}^x)$ .

a)  $\Rightarrow$  e). Let  $X$  be a Green set associated with  $(\underline{S}, \underline{S}^x)$  and let  $E$  be a Green set associated with  $(\underline{S}, \underline{S}^x)$  such that  $E$  is a Lusin space (cf. [5, § 5.5]).

Let  $D$  be a countable set of non zero  $H$ -measures such that does not charge the semi-polar subsets of  $X$  and such that the Green potentials (resp. the Green copotentials) on  $E$ , given by

$$G^\mu(.) = \int g_y(.) d\mu(y) \quad (\text{resp. } {}^x G^\mu(.) = \int {}^x g_y(.) d\mu(y)),$$

where  $\mu \in D$ , form an increasing dense subset of  $\underline{S}$  (resp.  $\underline{S}^x$ ).

We denote by  $M$  the set of all points  $x \in E$  such that the set  $G_x \cap G_x^x$  is not semi-polar.

We remark that for any  $x \in E$  we have:

$G_x \cap G_x^x$  is semi-polar (or equivalently  $x \notin M$ ) iff for any  $\mu \in D$  we have

$$G^\mu(x) > 0, \quad \text{or} \quad {}^x G^\mu(x) > 0.$$

Therefore

$$M = \bigcup_{\mu \in D} ([G^\mu = 0] \cap [{}^x G^\mu = 0]).$$

It remains to show that for any  $\mu \in D$  the set

$$L := [G^\mu = 0] \cap [{}^x G^\mu = 0]$$

is semi-polar. Since the sets

$$[G^\mu = 0] , \quad \overline{[x_{G^\mu} > 0]}^f$$

are absorbent, from hypothesis a) we get

$$[G^\mu = 0] \subseteq \overline{[x_{G^\mu} > 0]}^f .$$

Indeed in the contrary case we have

$$\overline{[x_{G^\mu} > 0]}^f \subseteq [G^\mu = 0] .$$

We remark that a measure  $\nu$  on  $E$  such that  $G^\nu = 0$  on  $\text{supp } \nu$  and  $\nu$  does not charges any semi-polar set is equal to zero. From this fact we may suppose that  $\mu$  is charged only by the set  $[G^\mu > 0] \cap [x_{G^\mu} > 0]$  and therefore  $\mu = 0$ , which is a contradiction.

Hence

$$[G^\mu = 0] \cap [x_{G^\mu} = 0] \subseteq [x_{G^\mu} = 0] \cap \overline{[x_{G^\mu} > 0]}^f = [x_{G^\mu} = 0] \setminus \overbrace{[x_{G^\mu} = 0]}^{of}$$

and we conclude that the set

$$[G^\mu = 0] \cap [x_{G^\mu} = 0]$$

is semi-polar.

Corollary 4.5. The following assertions are equivalent:

a)  $\underline{S}$  is totally parabolic.

b) There exists a Green set  $X$  such that for any  $x \in X$  there exists a unique pair  $(A, A^x)$  where  $A$  (resp.  $A^x$ ) is an absorbent (resp. coabsorbent) set with

$$x \in A \cap A^x , \quad X = A \cup A^x$$

and  $A \cap A^x$  is semi-polar.

c) For any Green set  $X$  and any  $x \in X$  without a semi-polar set, there exists a unique pair  $(A, A^x)$  where  $A$  (resp.  $A^x$ ) is an absorbent (resp. coabsorbent) set with

$$x \in A \cap A^x , \quad X = A \cup A^x$$

and  $A \cap A^x$  is semi-polar.

Proof. It follows from Theorem 4.4 and Proposition 4.2.

Remark. 1. If  $\underline{S}$  is the standard H-cone of functions associated with the heat equation on  $X := \mathbb{R}^n \times \mathbb{R}$ ,  $n \geq 1$ , then  $\underline{S}$  is totally parabolic and the totally thin set (see Theorem 4.4)

$$G_x \cap G_x^x , \quad x \in X$$

is exactly the horizontal line

$$\{(z, t) / z \in \mathbb{R}^n\},$$

where  $x = (y, t) \in \mathbb{R}^n \times \mathbb{R}$ .

2. We give now an example of totally parabolic standard H-cone  $\underline{S}$  and a Green set  $X$  associated with  $(\underline{S}, \underline{S}^x)$  such that there exist points  $x \in X$  for which

$$G_x \cap G_x^x \text{ is not semi-polar.}$$

We consider  $X := \mathbb{R} \times \mathbb{R}$  and we distinguish in  $X$  the following three regions:

$$D_1 = \{(x, y) / y \geq 0\},$$

$$D_2 = \{(x, y) / y \leq 0, x \geq 0\},$$

$$D_3 = \{(x, y) / y \leq 0, x \leq 0\} \setminus \{(0, 0)\}.$$

We identify  $D_2$  with

$$\{(x, y) / y \leq 0\}$$

by a homeomorphism  $\varphi$  such that

$$\varphi((x, 0)) = (x, 0) \quad \text{if } x \geq 0$$

$$\varphi((0, y)) = (y, 0) \quad \text{if } y \leq 0$$

and we identify  $D_3$  with the band

$$\{(x, y) / 0 \leq y \leq 1\}$$

by a homeomorphism  $\psi$  such that

$$\psi((y, 0)) = \psi((0, y)) = y - \frac{1}{y} \quad \text{if } y < 0$$

and such that

$$\lim_{(x, y) \rightarrow (0, 0)} |\psi((x, y))| = +\infty.$$

On  $X$  we consider now a sheaf  $\mathcal{H}$  of linear vector spaces of real continuous functions defined by: if  $U$  is an open subset of  $X$ , a real continuous functions  $h$  on  $U$  belongs to  $\mathcal{H}(U)$  iff

$$h|_{U \cap D_1}, h|_{D_2} \circ \varphi, h|_{D_3} \circ \psi$$

are harmonic for the heat equation. It is easy to see that  $(X, \mathcal{H})$  is a Bauer space. If we denote by  $\underline{S}$  the standard H-cone of functions on  $X$  of all positive superharmonic functions on  $X$  then one can see that  $\underline{S}$  is parabolic and  $X$  is a Green set associated with  $(\underline{S}, \underline{S}^x)$ . We also remark that if  $a = (x, 0)$ ,  $x \geq 0$  then

we have

$$A_a = (x, 0) + D_2, \quad G_a = D_2 \cup D_3$$

and therefore

$$A_a \neq G_a.$$

On the other hand for any  $a \neq (x, 0)$  with  $x \geq 0$  we have

$$A_a = G_a.$$

Obviously the set  $\{(x, 0) / x \geq 0\}$  is semi-polar and  $\underline{S}$  is totally parabolic.

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