

# Finite sums of projections

Victor Kaftal

University of Cincinnati

OT23 –Timisoara, July 1, 2010

Joint work with

- ▶ **Herbert Halpern, Ping Wong Ng, Shuang Zhang** Finite sums of projections in von Neumann algebras.
- ▶ **Ping Wong Ng, Shuang Zhang** Positive combinations and sums of projections in purely infinite simple  $C^*$ -algebras and their multiplier algebras.

# The main question

- ▶ Which (positive) operators are **finite sums of projections**?

# The main question

- ▶ Which (positive) operators are **finite sums of projections**?

Here we will focus on  **$W^*$ -algebras**, but we have also results on **purely infinite  $C^*$ -algebras and their multiplier algebras**.

# The main question

- ▶ Which (positive) operators are **finite sums of projections**?

Here we will focus on  **$W^*$ -algebras**, but we have also results on **purely infinite  $C^*$ -algebras and their multiplier algebras**.

We need first to answer the following question:

- ▶ Which (positive) operators are **positive combinations of projections**? (finite linear combinations of projections with positive coefficients)

$$a = \sum_1^n \lambda_j p_j \quad \text{where } \lambda_j \geq 0, \quad p_j \text{ projections} \in \text{algebra}, \quad n \in \mathbb{N}.$$

## What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.

# What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.
- ▶ **Fillmore (67)** If  $a \in K(H)_+$  but the range projection  $R_a \notin K(H)$ , then  $a$  is not a positive combination of projections.

## What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.
- ▶ **Fillmore (67)** If  $a \in K(H)_+$  but the range projection  $R_a \notin K(H)$ , then  $a$  is not a positive combination of projections. Indeed, otherwise,  $a = \sum_1^n \lambda_j p_j$  and  $\lambda_j > 0 \quad \forall j \Rightarrow \lambda_j p_j \leq a \Rightarrow p_j \in K(H) \Rightarrow R_a = \bigvee_1^n p_j \in K(H)$ .

# What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.
- ▶ **Fillmore (67)** If  $a \in K(H)_+$  but the range projection  $R_a \notin K(H)$ , then  $a$  is not a positive combination of projections. Indeed, otherwise,  $a = \sum_1^n \lambda_j p_j$  and  $\lambda_j > 0 \quad \forall j \Rightarrow \lambda_j p_j \leq a \Rightarrow p_j \in K(H) \Rightarrow R_a = \bigvee_1^n p_j \in K(H)$ .
- ▶ **Fong & Murphy (85)** This is the ONLY exception. Notice: **the obstruction is due to ideals.**



# What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.
- ▶ **Fillmore (67)** If  $a \in K(H)_+$  but the range projection  $R_a \notin K(H)$ , then  $a$  is not a positive combination of projections. Indeed, otherwise,  $a = \sum_1^n \lambda_j p_j$  and  $\lambda_j > 0 \quad \forall j \Rightarrow \lambda_j p_j \leq a \Rightarrow p_j \in K(H) \Rightarrow R_a = \bigvee_1^n p_j \in K(H)$ .
- ▶ **Fong & Murphy (85)** This is the ONLY exception. Notice: **the obstruction is due to ideals.**
- ▶ **Bikchentaev (05)** Every positive invertible element in a  $W^*$ -algebra  $M$  without finite type I direct summands with infinite dim center is a positive combination of projections.

# What is known on positive combinations of projections.

- ▶ **Fillmore (69)** Every positive **invertible** operator in  $B(H)$  is a positive combination of projections.
- ▶ **Fillmore (67)** If  $a \in K(H)_+$  but the range projection  $R_a \notin K(H)$ , then  $a$  is not a positive combination of projections. Indeed, otherwise,  $a = \sum_1^n \lambda_j p_j$  and  $\lambda_j > 0 \quad \forall j \Rightarrow \lambda_j p_j \leq a \Rightarrow p_j \in K(H) \Rightarrow R_a = \bigvee_1^n p_j \in K(H)$ .
- ▶ **Fong & Murphy (85)** This is the ONLY exception. Notice: **the obstruction is due to ideals.**
- ▶ **Bikchentaev (05)** Every positive invertible element in a  $W^*$ -algebra  $M$  without finite type I direct summands with infinite dim center is a positive combination of projections.

We need to follow an alternative approach:

## The algebra constant $V_o$

For some  $C^*$ -algebras  $\mathcal{A}$  there is a constant  $V_o$  s.t. for all  $a \in \mathcal{A}$  there are  $\lambda_j \in \mathbb{C}$  and projections  $p_j \in \mathcal{A}$  for which

(i)  $a = \sum_1^n \lambda_j p_j$      and

(ii)  $\sum_1^n |\lambda_j| \leq V_o \|a\|$

# The algebra constant $V_o$

For some  $C^*$ -algebras  $\mathcal{A}$  there is a constant  $V_o$  s.t. for all  $a \in \mathcal{A}$  there are  $\lambda_j \in \mathbb{C}$  and projections  $p_j \in \mathcal{A}$  for which

(i)  $a = \sum_1^n \lambda_j p_j$     and

(ii)  $\sum_1^n |\lambda_j| \leq V_o \|a\|$

Among those algebras:

- ▶  $B(H)$     Fong & Murphy (1985) (they introduced the notion)

# The algebra constant $V_o$

For some  $C^*$ -algebras  $\mathcal{A}$  there is a constant  $V_o$  s.t. for all  $a \in \mathcal{A}$  there are  $\lambda_j \in \mathbb{C}$  and projections  $p_j \in \mathcal{A}$  for which

(i)  $a = \sum_1^n \lambda_j p_j$      and

(ii)  $\sum_1^n |\lambda_j| \leq V_o \|a\|$

Among those algebras:

- ▶  $B(H)$      Fong & Murphy (1985) (they introduced the notion)
- ▶ All  $W^*$  algebras with no finite type I direct summands with infinite dim center. Implicit in the proofs (see Goldstein & Paskiewicz (1992))

# The algebra constant $V_o$

For some  $C^*$ -algebras  $\mathcal{A}$  there is a constant  $V_o$  s.t. for all  $a \in \mathcal{A}$  there are  $\lambda_j \in \mathbb{C}$  and projections  $p_j \in \mathcal{A}$  for which

(i)  $a = \sum_1^n \lambda_j p_j$      and

(ii)  $\sum_1^n |\lambda_j| \leq V_o \|a\|$

Among those algebras:

- ▶  $B(H)$      **Fong & Murphy (1985)** (they introduced the notion)
- ▶ All  $W^*$  algebras with no finite type I direct summands with infinite dim center. Implicit in the proofs (see **Goldstein & Paskiewicz (1992)**)
- ▶ Infinite simple  $C^*$ -algebras. AF algebras with finite number of extremal traces. Implicit in the proofs (**Fack (1982), Marcoux (2002)**)

# Positive combinations of projections & invertibility

## Proposition

*If an algebra  $\mathcal{A}$*

*(i) has a constant  $V_0$  as above*

*(ii) positive combinations of projections are dense in  $\mathcal{A}_+$*

*then every positive invertible operator is a positive combination of projections.*

# Positive combinations of projections & invertibility

## Proposition

*If an algebra  $\mathcal{A}$*

*(i) has a constant  $V_0$  as above*

*(ii) positive combinations of projections are dense in  $\mathcal{A}_+$*

*then every positive invertible operator is a positive combination of projections.*

The proof is an adaptation of the **Fong & Murphy (1985)** proof in  $B(H)$ .



# Positive combinations of projections & invertibility

## Proposition

*If an algebra  $\mathcal{A}$*

*(i) has a constant  $V_0$  as above*

*(ii) positive combinations of projections are dense in  $\mathcal{A}_+$*

*then every positive invertible operator is a positive combination of projections.*

The proof is an adaptation of the **Fong & Murphy (1985)** proof in  $B(H)$ .

Notice that the condition that positive combinations of projections are dense in  $\mathcal{A}_+$  is satisfied by all real rank zero algebras, and in particular by all  $W^*$ -algebras.

## Beyond invertibility: a key lemma.

Invertibility on a “large” direct summand permits to “absorb” noninvertible smaller summands:

## Beyond invertibility: a key lemma.

Invertibility on a “large” direct summand permits to “absorb” noninvertible smaller summands:

### Lemma

*Assume there are projections  $e \perp f$ ,  $e \prec f$  in a  $C^*$ -algebra  $\mathcal{A}$  and every positive invertible in  $f\mathcal{A}f$  is a positive combination of projections. Let  $a = b \oplus c$  where  $b \geq 0$  and  $c \geq (\|b\| + \epsilon)f$ .*

## Beyond invertibility: a key lemma.

Invertibility on a “large” direct summand permits to “absorb” noninvertible smaller summands:

### Lemma

*Assume there are projections  $e \perp f$ ,  $e \prec f$  in a  $C^*$ -algebra  $\mathcal{A}$  and every positive invertible in  $f\mathcal{A}f$  is a positive combination of projections. Let  $a = b \oplus c$  where  $b \geq 0$  and  $c \geq (\|b\| + \epsilon)f$ . Then  $a$  is a positive combination of projections.*

## Beyond invertibility: a key lemma.

Invertibility on a “large” direct summand permits to “absorb” noninvertible smaller summands:

### Lemma

Assume there are projections  $e \perp f$ ,  $e \prec f$  in a  $C^*$ -algebra  $\mathcal{A}$  and every positive invertible in  $f\mathcal{A}f$  is a positive combination of projections. Let  $a = b \oplus c$  where  $b \geq 0$  and  $c \geq (\|b\| + \epsilon)f$ . Then  $a$  is a positive combination of projections.

### Sketch of proof

$$v^*v = e, \quad vv^* = f' \leq f, \quad q_{\pm} := \begin{pmatrix} b & \pm\sqrt{b - b^2}v^* \\ \pm v\sqrt{b - b^2} & v(e - b)v^* \end{pmatrix}$$

$$a = \frac{1}{2}(q_- + q_+) + \underbrace{c - f' + vbv^*}_{\text{positive, invertible, hence pos comb proj}}$$

# Positive combinations of projections in $W^*$ -algebras

Theorem (Halpern, K, Ng, Zhang)

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . TFAE

(i)  $a$  is a positive combination of projections

# Positive combinations of projections in $W^*$ -algebras

Theorem (Halpern, K, Ng, Zhang)

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . TFAE

(i)  $a$  is a positive combination of projections

(ii)  $\exists \delta > 0$  such that  $\chi_a(0, \delta) \prec \chi_a[\delta, \infty)$ .  $\chi_a$  denotes the spectral measure of  $a$ .

# Positive combinations of projections in $W^*$ -algebras

Theorem (Halpern, K, Ng, Zhang)

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . TFAE

(i)  $a$  is a positive combination of projections

(ii)  $\exists \delta > 0$  such that  $\chi_a(0, \delta) \prec \chi_a[\delta, \infty)$ .  $\chi_a$  denotes the spectral measure of  $a$ .

Even when  $M$  is finite (but without finite type I direct summands with infinite dim center) then (ii)  $\Rightarrow$  (i)



# Positive combinations of projections in $W^*$ -algebras

Theorem (Halpern, K, Ng, Zhang)

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . TFAE

(i)  $a$  is a positive combination of projections

(ii)  $\exists \delta > 0$  such that  $\chi_a(0, \delta) \prec \chi_a[\delta, \infty)$ .  $\chi_a$  denotes the spectral measure of  $a$ .

Even when  $M$  is finite (but without finite type I direct summands with infinite dim center) then (ii)  $\Rightarrow$  (i)

Corollary

If  $M$  is a finite sum of finite factors or of  $\sigma$ -finite type III factors, then every  $a \in M_+$  is a positive combination of projections.

## The obstruction in terms of ideals

When  $M$  is a global (i.e., nonfactor) algebra there is a nice theory of “central ideals”, “central essential spectra”, and “central essential norms” due to **Halpern** and to **Stratila & Zsido (1970's)**

# The obstruction in terms of ideals

When  $M$  is a global (i.e., nonfactor) algebra there is a nice theory of “central ideals”, “central essential spectra”, and “central essential norms” due to Halpern and to Stratila & Zsido (1970's)

A simple example: If  $M = \bigoplus_1^\infty B(H_n)$  and  $J = \bigoplus_1^\infty K(H_n)$ , then central essential norm of  $a = \bigoplus_1^\infty a_n \in M_+$  is

$$\bigoplus_1^\infty \|a_n\|_{\text{ess}} I_n \in M \cap M'$$

## The obstruction in terms of ideals

When  $M$  is a global (i.e., nonfactor) algebra there is a nice theory of “central ideals”, “central essential spectra”, and “central essential norms” due to Halpern and to Stratila & Zsido (1970's)

A simple example: If  $M = \bigoplus_1^\infty B(H_n)$  and  $J = \bigoplus_1^\infty K(H_n)$ , then central essential norm of  $a = \bigoplus_1^\infty a_n \in M_+$  is

$$\bigoplus_1^\infty \|a_n\|_{\text{ess}} I_n \in M \cap M'$$

Condition (ii) can be reformulated in terms of the central essential norm relative to an ideal “smaller” than  $R_a$ :

(ii)  $\exists \delta > 0$  such that  $\chi_a(0, \delta) \prec \chi_a[\delta, \infty) \iff$

(iii) The central essential norm of  $a$  is  $\geq \nu I$  for some  $\nu > 0$ .

## Sums of projections: an elementary test question

Now we have the tools to discuss sums of projections.

## Sums of projections: an elementary test question

Now we have the tools to discuss sums of projections.

Let  $h := \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$

## Sums of projections: an elementary test question

Now we have the tools to discuss sums of projections.

Let  $h := \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$

- ▶ Is  $h$  a **finite** sum of projections?
- ▶ Is  $h$  an **infinite** sum of projections (converging in the strong topology)?

## Sums of projections: an elementary test question

Now we have the tools to discuss sums of projections.

Let  $h := \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$

- ▶ Is  $h$  a **finite** sum of projections?
- ▶ Is  $h$  an **infinite** sum of projections (converging in the strong topology)?

Can you guess?



## Sums of projections: an elementary test question

Now we have the tools to discuss sums of projections.

Let  $h := \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$

- ▶ Is  $h$  a **finite** sum of projections?
- ▶ Is  $h$  an **infinite** sum of projections (converging in the strong topology)?

Can you guess?

ANSWER

- ▶ NO
- ▶ YES

# Infinite sums of projections in $B(H)$ and $W^*$ -factors

The easier question is:

when is  $a \in M_+$  a (possibly) infinite sum of projections?

# Infinite sums of projections in $B(H)$ and $W^*$ -factors

The easier question is:

when is  $a \in M_+$  a (possibly) infinite sum of projections?

For  $a \in M_+$ , the answer lies in considering

$a_- := (I - a)\chi_a(0, 1)$       the defect operator

$a_+ := (a - I)\chi_a(1, \infty)$       the excess operator.

# Infinite sums of projections in $B(H)$ and $W^*$ -factors

The easier question is:

when is  $a \in M_+$  a (possibly) infinite sum of projections?

For  $a \in M_+$ , the answer lies in considering

$a_- := (I - a)\chi_a(0, 1)$       the defect operator

$a_+ := (a - I)\chi_a(1, \infty)$       the excess operator.

Example ( $B(H)$ )

$$a := \text{diag}(1 - \lambda_1, 1 - \lambda_2, \dots) \oplus \text{diag}(1 + \mu_1, 1 + \mu_2, \dots)$$

with  $0 < \lambda_j < 1, \mu_j > 0$ . Then

$$a_- = \text{diag}(\lambda_1, \lambda_2, \dots) \quad \text{and} \quad a_+ = \text{diag}(\mu_1, \mu_2, \dots).$$

## Nec and (sometimes) suff conditions

Theorem (Ng, K & Zhang (09, JFA))

Let  $M$  be a  $\sigma$ -finite factor and  $a \in M_+$ . Then  $a$  is an *infinite sum of projections (strong conv)* if and only if

(*M type I*)  $\text{tr}(a_+) \geq \text{tr}(a_-)$  and  $\text{tr}(a_+) - \text{tr}(a_-) \in \mathbb{N} \cup \{0\} \cup \{\infty\}$ ;

## Nec and (sometimes) suff conditions

Theorem (Ng, K & Zhang (09, JFA))

Let  $M$  be a  $\sigma$ -finite factor and  $a \in M_+$ . Then  $a$  is an *infinite sum of projections (strong conv)* if and only if

(M type I)  $\text{tr}(a_+) \geq \text{tr}(a_-)$  and  $\text{tr}(a_+) - \text{tr}(a_-) \in \mathbb{N} \cup \{0\} \cup \{\infty\}$ ;

(M type II)  $\tau(a_+) \geq \tau(a_-)$  (assuming further that  $a$  is *diagonalizable*; i.e.,  $a = \bigoplus_{\gamma} \alpha_{\gamma} p_{\gamma}$ )

## Nec and (sometimes) suff conditions

Theorem (Ng, K & Zhang (09, JFA))

Let  $M$  be a  $\sigma$ -finite factor and  $a \in M_+$ . Then  $a$  is an *infinite sum of projections (strong conv)* if and only if

(M type I)  $\text{tr}(a_+) \geq \text{tr}(a_-)$  and  $\text{tr}(a_+) - \text{tr}(a_-) \in \mathbb{N} \cup \{0\} \cup \{\infty\}$ ;

(M type II)  $\tau(a_+) \geq \tau(a_-)$  (assuming further that  $a$  is *diagonalizable*; i.e.,  $a = \bigoplus_{\gamma} \alpha_{\gamma} p_{\gamma}$ )

(M type III) Either  $\|a\| > 1$  or  $a$  is a projection.

## Nec and (sometimes) suff conditions

### Theorem (Ng, K & Zhang (09, JFA))

Let  $M$  be a  $\sigma$ -finite factor and  $a \in M_+$ . Then  $a$  is an *infinite sum of projections (strong conv)* if and only if

(M type I)  $\text{tr}(a_+) \geq \text{tr}(a_-)$  and  $\text{tr}(a_+) - \text{tr}(a_-) \in \mathbb{N} \cup \{0\} \cup \{\infty\}$ ;

(M type II)  $\tau(a_+) \geq \tau(a_-)$  (assuming further that  $a$  is *diagonalizable*; i.e.,  $a = \bigoplus_{\gamma} \alpha_{\gamma} p_{\gamma}$ )

(M type III) Either  $\|a\| > 1$  or  $a$  is a projection.

**Consequence** For  $h = \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$ ,  
 $h_+ = \text{diag}(1, \frac{1}{2}, \dots, \frac{1}{n}, \dots)$  and  $h_- = 0$ , hence  $\text{tr}(h_+) - \text{tr}(h_-) = \infty$   
and thus  $h$  is an infinite sum of projections.



# Nec and (sometimes) suff conditions

## Theorem (Ng, K & Zhang (09, JFA))

Let  $M$  be a  $\sigma$ -finite factor and  $a \in M_+$ . Then  $a$  is an *infinite sum of projections (strong conv)* if and only if

(M type I)  $\text{tr}(a_+) \geq \text{tr}(a_-)$  and  $\text{tr}(a_+) - \text{tr}(a_-) \in \mathbb{N} \cup \{0\} \cup \{\infty\}$ ;

(M type II)  $\tau(a_+) \geq \tau(a_-)$  (assuming further that  $a$  is *diagonalizable*; i.e.,  $a = \bigoplus_{\gamma} \alpha_{\gamma} p_{\gamma}$ )

(M type III) Either  $\|a\| > 1$  or  $a$  is a projection.

**Consequence** For  $h = \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$ ,  $h_+ = \text{diag}(1, \frac{1}{2}, \dots, \frac{1}{n}, \dots)$  and  $h_- = 0$ , hence  $\text{tr}(h_+) - \text{tr}(h_-) = \infty$  and thus  $h$  is an infinite sum of projections.

## Conjecture

We conjecture that the diagonalizability hypothesis in the type II case can be removed. *How?*

## What is known about finite sums of projections in $B(H)$ .

- ▶ **Fillmore (69)** If  $a \in M_n(\mathbb{C})_+$ , then  $a$  is a (finite) sum of projections if and only if  $\text{tr}(a) \in \mathbb{N}$  and  $\text{tr}(a) \geq \text{rank}(a)$ .

## What is known about finite sums of projections in $B(H)$ .

- ▶ **Fillmore (69)** If  $a \in M_n(\mathbb{C})_+$ , then  $a$  is a (finite) sum of projections if and only if  $\text{tr}(a) \in \mathbb{N}$  and  $\text{tr}(a) \geq \text{rank}(a)$ .
- ▶ **Fillmore (69)** Characterization of sums of two projections.

# What is known about finite sums of projections in $B(H)$ .

- ▶ **Fillmore (69)** If  $a \in M_n(\mathbb{C})_+$ , then  $a$  is a (finite) sum of projections if and only if  $\text{tr}(a) \in \mathbb{N}$  and  $\text{tr}(a) \geq \text{rank}(a)$ .
- ▶ **Fillmore (69)** Characterization of sums of two projections.
- ▶ **Kruglyak, Rabanovich & Samoilenko (2000-2)** From the characterization of

$$\Sigma_n := \{\alpha > 1 \mid \alpha I \text{ is a sum of } n \text{ projections}\}$$

follows that  $\alpha I$  is a finite sum of projections for every  $\alpha > 1$ .

# What is known about finite sums of projections in $B(H)$ .

- ▶ **Fillmore (69)** If  $a \in M_n(\mathbb{C})_+$ , then  $a$  is a (finite) sum of projections if and only if  $\text{tr}(a) \in \mathbb{N}$  and  $\text{tr}(a) \geq \text{rank}(a)$ .
- ▶ **Fillmore (69)** Characterization of sums of two projections.
- ▶ **Kruglyak, Rabanovich & Samoilenko (2000-2)** From the characterization of

$$\Sigma_n := \{\alpha > 1 \mid \alpha I \text{ is a sum of } n \text{ projections}\}$$

follows that  $\alpha I$  is a finite sum of projections for every  $\alpha > 1$ .

- ▶ **Wu (94, announcement), Choi & Wu (current preprint)**  
 $\|a\|_{\text{ess}} > 1 \Rightarrow a$  is a finite sum of projections.

## What is known about finite sums of projections in $B(H)$ .

- ▶ **Fillmore (69)** If  $a \in M_n(\mathbb{C})_+$ , then  $a$  is a (finite) sum of projections if and only if  $\text{tr}(a) \in \mathbb{N}$  and  $\text{tr}(a) \geq \text{rank}(a)$ .
- ▶ **Fillmore (69)** Characterization of sums of two projections.
- ▶ **Kruglyak, Rabanovich & Samoilenko (2000-2)** From the characterization of

$$\Sigma_n := \{\alpha > 1 \mid \alpha I \text{ is a sum of } n \text{ projections}\}$$

follows that  $\alpha I$  is a finite sum of projections for every  $\alpha > 1$ .

- ▶ **Wu (94, announcement), Choi & Wu (current preprint)**  
 $\|a\|_{\text{ess}} > 1 \Rightarrow a$  is a finite sum of projections.

Notice:  $\|a\|_{\text{ess}} > 1 \Leftrightarrow a_+ \notin K(H)$ .

# Key Lemma

## Lemma

*Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center.*

# Key Lemma

## Lemma

*Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center. Let  $a = \beta e \oplus \alpha f$ ,  $\mathbb{R} \ni \beta \geq 0$ , and  $\mathbb{R} \ni \alpha > 1$ .*



# Key Lemma

## Lemma

*Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center. Let  $a = \beta e \oplus \alpha f$ ,  $\mathbb{R} \ni \beta \geq 0$ , and  $\mathbb{R} \ni \alpha > 1$ . Then  $a$  is a finite sum of projections.*

# Key Lemma

## Lemma

Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center. Let  $a = \beta e \oplus \alpha f$ ,  $\mathbb{R} \ni \beta \geq 0$ , and  $\mathbb{R} \ni \alpha > 1$ . Then  $a$  is a finite sum of projections.

Sketch of proof (to simplify, assume  $M$  is a factor

$$\begin{aligned} a &= \beta e + \alpha \sum_1^{\infty} e_j && \text{where } e_j \sim e \quad \forall j \\ &= \underbrace{\beta e + \sum_1^{n_1-1} \alpha e_j + (\alpha - \gamma_1) e_{n_1}}_{\text{finite sum of projections for appropriate } \gamma_1} + \gamma_1 e_{n_1} + \sum_{n_1+1}^{\infty} \alpha e_j \end{aligned}$$

# Key Lemma

## Lemma

Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center. Let  $a = \beta e \oplus \alpha f$ ,  $\mathbb{R} \ni \beta \geq 0$ , and  $\mathbb{R} \ni \alpha > 1$ . Then  $a$  is a finite sum of projections.

Sketch of proof (to simplify, assume  $M$  is a factor

$$\begin{aligned} a &= \beta e + \alpha \sum_1^{\infty} e_j && \text{where } e_j \sim e \quad \forall j \\ &= \underbrace{\beta e + \sum_1^{n_1-1} \alpha e_j + (\alpha - \gamma_1) e_{n_1}}_{\text{finite sum of projections for appropriate } \gamma_1} + \gamma_1 e_{n_1} + \sum_{n_1+1}^{\infty} \alpha e_j \end{aligned}$$

Number of projections in each block uniformly bounded.

# Key Lemma

## Lemma

Assume that  $M$  is a properly infinite  $W^*$ -algebra and  $e, f \in M$  are projections with  $e \perp f$ ,  $e \prec f$ ,  $f$  properly infinite, and  $M_f (= fMf)$  has no finite type I summands with infinite dim center. Let  $a = \beta e \oplus \alpha f$ ,  $\mathbb{R} \ni \beta \geq 0$ , and  $\mathbb{R} \ni \alpha > 1$ . Then  $a$  is a finite sum of projections.

Sketch of proof (to simplify, assume  $M$  is a factor

$$\begin{aligned} a &= \beta e + \alpha \sum_1^{\infty} e_j && \text{where } e_j \sim e \quad \forall j \\ &= \underbrace{\beta e + \sum_1^{n_1-1} \alpha e_j + (\alpha - \gamma_1) e_{n_1}}_{\text{finite sum of projections for appropriate } \gamma_1} + \gamma_1 e_{n_1} + \sum_{n_1+1}^{\infty} \alpha e_j \end{aligned}$$

Number of projections in each block uniformly bounded.

Non-consecutive blocks are orthogonal.

# A sufficient condition for the properly infinite case

## Theorem

*Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . Then  $a$  is a finite sum of projections if “the central essential norm of  $a$ ”  $\geq \nu I$  for some  $\nu > 1$ .*

# A sufficient condition for the properly infinite case

## Theorem

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . Then  $a$  is a finite sum of projections if “the central essential norm of  $a$ ”  $\geq \nu I$  for some  $\nu > 1$ .

The central essential norm condition cannot be eliminated:

$a := \bigoplus (1 + \frac{1}{n})I_n \in \bigoplus B(H_n)$  is **NOT** the sum of finitely many projections because each summand  $(1 + \frac{1}{n})I_n$  requires at least  $n + 1$  projections by **Kruglyak, Rabanovich & Samoilenko**.

# A sufficient condition for the properly infinite case

## Theorem

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . Then  $a$  is a finite sum of projections if “the central essential norm of  $a$ ”  $\geq \nu I$  for some  $\nu > 1$ .

The central essential norm condition cannot be eliminated:

$a := \bigoplus (1 + \frac{1}{n})I_n \in \bigoplus B(H_n)$  is **NOT** the sum of finitely many projections because each summand  $(1 + \frac{1}{n})I_n$  requires at least  $n + 1$  projections by **Kruglyak, Rabanovich & Samoilenko**.

In particular, if  $M$  is a  $\sigma$ -finite factor

- ▶  $M$  is type I:  $\|a\|_{\text{ess}} > 1$  (usual essential norm: **Choi & Wu** result, new proof)

# A sufficient condition for the properly infinite case

## Theorem

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . Then  $a$  is a finite sum of projections if “the central essential norm of  $a$ ”  $\geq \nu I$  for some  $\nu > 1$ .

The central essential norm condition cannot be eliminated:

$a := \bigoplus (1 + \frac{1}{n})I_n \in \bigoplus B(H_n)$  is **NOT** the sum of finitely many projections because each summand  $(1 + \frac{1}{n})I_n$  requires at least  $n + 1$  projections by **Kruglyak, Rabanovich & Samoilenko**.

In particular, if  $M$  is a  $\sigma$ -finite factor

- ▶  $M$  is type I:  $\|a\|_{\text{ess}} > 1$  (usual essential norm: **Choi & Wu** result, new proof)
- ▶  $M$  is type II:  $\|a\|_{\text{ess}} > 1$  (ess. norm relative to the Breuer ideal of relative compact operators. **No need for diagonalizability.**)



# A sufficient condition for the properly infinite case

## Theorem

Let  $M$  be a properly infinite  $W^*$ -algebra  $M$  and let  $a \in M_+$  with range projection  $R_a = I$ . Then  $a$  is a finite sum of projections if “the central essential norm of  $a$ ”  $\geq \nu I$  for some  $\nu > 1$ .

The central essential norm condition cannot be eliminated:

$a := \bigoplus (1 + \frac{1}{n})I_n \in \bigoplus B(H_n)$  is **NOT** the sum of finitely many projections because each summand  $(1 + \frac{1}{n})I_n$  requires at least  $n + 1$  projections by **Kruglyak, Rabanovich & Samoilenko**.

In particular, if  $M$  is a  $\sigma$ -finite factor

- ▶  $M$  is type I:  $\|a\|_{\text{ess}} > 1$  (usual essential norm: **Choi & Wu** result, new proof)
- ▶  $M$  is type II:  $\|a\|_{\text{ess}} > 1$  (ess. norm relative to the Breuer ideal of relative compact operators. **No need for diagonalizability.**)
- ▶  $M$  is type III:  $\|a\| > 1$ .

## A sufficient condition for the type II<sub>1</sub> case

Recall that we had that if  $M$  is a type II factor,  $a \in M_+$  is diagonalizable, and  $\tau(a_+) \geq \tau(a_-)$ , then  $a$  is a possibly infinite sum of projections. We can improve this result:

## A sufficient condition for the type $II_1$ case

Recall that we had that if  $M$  is a type II factor,  $a \in M_+$  is diagonalizable, and  $\tau(a_+) \geq \tau(a_-)$ , then  $a$  is a possibly infinite sum of projections. We can improve this result:

### Theorem

*Let  $M$  be a type  $II_1$  factor and  $a \in M_+$  be diagonalizable. If  $\tau(a_+) > \tau(a_-)$ , then  $a$  is a finite sum of projections.*

## $B(H)$ : a necessary condition

### Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

## $B(H)$ : a necessary condition

### Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

## $B(H)$ : a necessary condition

### Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

- ▶ if  $a_- = 0$ , then  $a_+$  has finite rank;

# $B(H)$ : a necessary condition

## Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

- ▶ if  $a_- = 0$ , then  $a_+$  has finite rank;
- ▶ if  $a_- \neq 0$ , then  $a_+$  and  $a_-$  generate the same two-sided (non-closed) principal ideal of  $B(H)$ .

## $B(H)$ : a necessary condition

### Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

- ▶ if  $a_- = 0$ , then  $a_+$  has finite rank;
- ▶ if  $a_- \neq 0$ , then  $a_+$  and  $a_-$  generate the same two-sided (non-closed) principal ideal of  $B(H)$ .

In particular the “test”  $h = \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$  is NOT a finite sum of projections because  $h_- = 0$  and  $h_+$  has infinite rank!



## $B(H)$ : a necessary condition

### Theorem

Let  $a \in B(H)_+$  be a finite sum of projections and assume that  $\|a\|_{\text{ess}} = 1$  ( $\Leftrightarrow a_+ \in K(H)$ .) Then also  $a_- \in K(H)$  and

- ▶ if  $a_- = 0$ , then  $a_+$  has finite rank;
- ▶ if  $a_- \neq 0$ , then  $a_+$  and  $a_-$  generate the same two-sided (non-closed) principal ideal of  $B(H)$ .

In particular the “test”  $h = \text{diag}(1 + 1, 1 + \frac{1}{2}, \dots, 1 + \frac{1}{n}, \dots)$  is NOT a finite sum of projections because  $h_- = 0$  and  $h_+$  has infinite rank!

The result for  $W^*$ -algebras is similar.

## Tools in the proof

- ▶ Frame transform methods permit to construct an isometry  $w$  such that

$$\exists \sum_1^n q_j = I \quad \text{and} \quad q_j w a w^* q_j = q_j \quad \forall j$$

## Tools in the proof

- ▶ Frame transform methods permit to construct an isometry  $w$  such that

$$\exists \sum_1^n q_j = I \quad \text{and} \quad q_j w a w^* q_j = q_j \quad \forall j$$

- ▶ Let  $\Psi$  be conditional expectation  $\Psi(x) = \sum_1^n q_j x q_j$  on the block-diagonal algebra. Then  $\Psi(w a w^*) = I$  and

$$\Psi(w a_+ w^*) = \Psi(w a_- w^*) + \Psi(I - w w^*)$$

## Tools in the proof

- ▶ Frame transform methods permit to construct an isometry  $w$  such that

$$\exists \sum_1^n q_j = I \quad \text{and} \quad q_j w a w^* q_j = q_j \quad \forall j$$

- ▶ Let  $\Psi$  be conditional expectation  $\Psi(x) = \sum_1^n q_j x q_j$  on the block-diagonal algebra. Then  $\Psi(w a w^*) = I$  and

$$\Psi(w a_+ w^*) = \Psi(w a_- w^*) + \Psi(I - w w^*)$$

### Question

Find a *necessary and sufficient condition* for  $a \in B(H)_+$  to be a finite sum of projections.

# Some $C^*$ -algebra results - a preview

## Theorem

*Every positive element of  $\mathcal{A}$  is a positive combination of projections when:*

## Some $C^*$ -algebra results - a preview

### Theorem

*Every positive element of  $\mathcal{A}$  is a positive combination of projections when:*

- ▶  *$\mathcal{A}$  is be a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra.*

# Some $C^*$ -algebra results - a preview

## Theorem

*Every positive element of  $\mathcal{A}$  is a positive combination of projections when:*

- ▶  *$\mathcal{A}$  is be a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra.*
- ▶  *$\mathcal{A} = \mathcal{M}(\mathcal{B})$  is the multiplier algebra of a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra  $\mathcal{B}$ .*

# Some $C^*$ -algebra results - a preview

## Theorem

*Every positive element of  $\mathcal{A}$  is a positive combination of projections when:*

- ▶  *$\mathcal{A}$  is be a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra.*
- ▶  *$\mathcal{A} = \mathcal{M}(\mathcal{B})$  is the multiplier algebra of a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra  $\mathcal{B}$ .*

## Theorem

*Let  $\mathcal{B}$  is a purely infinite simple  $\sigma$ -unital but not unital  $C^*$ -algebra and  $a \in \mathcal{M}(\mathcal{B})_+ \setminus \mathcal{B}$ .*

*If  $\|a\|_{\text{ess}} > 1$ , then  $a$  is a finite sum of projections in  $\mathcal{M}(\mathcal{B})$ .*

*If  $\|a\|_{\text{ess}} = 1$  and  $\|a\| > 1$ , then  $a$  is an infinite sum of projections in  $\mathcal{B}$  (strict convergence).*



## Some $C^*$ -algebra results - a preview

### Theorem

*Every positive element of  $\mathcal{A}$  is a positive combination of projections when:*

- ▶  *$\mathcal{A}$  is be a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra.*
- ▶  *$\mathcal{A} = \mathcal{M}(\mathcal{B})$  is the multiplier algebra of a purely infinite simple  $\sigma$ -unital  $C^*$ -algebra  $\mathcal{B}$ .*

### Theorem

*Let  $\mathcal{B}$  is a purely infinite simple  $\sigma$ -unital but not unital  $C^*$ -algebra and  $a \in \mathcal{M}(\mathcal{B})_+ \setminus \mathcal{B}$ .*

*If  $\|a\|_{\text{ess}} > 1$ , then  $a$  is a finite sum of projections in  $\mathcal{M}(\mathcal{B})$ .*

*If  $\|a\|_{\text{ess}} = 1$  and  $\|a\| > 1$ , then  $a$  is an infinite sum of projections in  $\mathcal{B}$  (strict convergence).*

### Theorem

*If  $a \in (\mathcal{O}_n)_+$  (the Cuntz algebra) with  $2 \leq n < \infty$  and  $\|a\| > 1$ , then  $a$  is a finite sum of projections.*

THANK YOU!