

The algebra of quantum observables in a magnetic field. *Spectral continuity with respect to the magnetic field.*

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Introduction

Starting from a very interesting remark made by H. Cornean and Gh. Nenciu, together with Marius Măntoiu we have considered **quantum hamiltonians with magnetic fields** and replaced the usual translations with **magnetic translations**, generalizing some former results from constant magnetic fields to bounded smooth magnetic fields.

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This approach allowed us to obtain **a pseudodifferential Weyl calculus, twisted by a 2-cocycle associated to the flux of the magnetic field and we developped this calculus in colaboration with V. Iftimie.**

An interesting fact that we pointed out is that **the algebra of observables** is defined only **in terms of the magnetic field** without the need of a vector potential.

Moreover, we used some algebraic techniques in order to prove a number of spectral results and I shall present here one of them.

References:

- M. Măntoiu and R. Purice, *The Magnetic Weyl Calculus*, J. Math. Phys. **45**, No 4 (2004), 1394–1417.

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- V. Iftimie, M. Măntoiu and R. Purice: *Commutator Criteria for Magnetic Pseudodifferential Operators*, to appear in *Comm. P.D.E.* 2010.
- N. Athmouni, M. Măntoiu and R. Purice: *On the continuity of spectra for families of magnetic pseudodifferential operators*, preprint arXiv

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- 1 Magnetic fields and gauge transformations
- 2 The Schrödinger representation
- 3 A gauge covariant functional calculus
- 4 Continuity of the spectra
- 5 A continuous field of twisted crossed-products
- 6 Proof of our main result

Magnetic fields and gauge transformations

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These equations can be considered either in \mathcal{D}' or on smaller spaces like C^∞ or C_{pol}^∞ .

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- Apparently this prescription is highly non-unique due to the gauge ambiguity.
- In fact, one can easily see that the Hamilton equations of motion only depend on the magnetic field B .

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where j_B is the canonical isomorphism

$$j_B : \Xi \rightarrow \Xi^*, \quad \langle j_B(x), y \rangle := \sigma^B(x, y).$$

The gauge invariant formalism

Using the canonical global coordinates we have:

$$\begin{aligned} \{f, g\}^B(x, \xi) &:= \\ &= \sum_{j=1}^n [(\partial_{\xi_j} f)(x, \xi)(\partial_{x_j} g)(x, \xi) - (\partial_{x_j} f)(x, \xi)(\partial_{\xi_j} g)(x, \xi)] + \\ &\quad \sum_{j,k=1}^n B_{jk}(x)(\partial_{\xi_j} f)(x, \xi)(\partial_{\xi_k} g)(x, \xi) \end{aligned}$$

The Schrödinger representation

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- We can then define the Schrödinger operator:

$$H^A := \sum_{1 \leq j \leq n} (\Pi_j^A)^2 + V(Q)$$

The magnetic Schrödinger representation

One can easily verify that **changing the gauge**

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gives

$$\begin{aligned} H^{A'} &= H^A - \sum_{1 \leq j \leq n} [D_j(\partial_j \Phi) + (\partial_j \Phi)D_j] + |\nabla \Phi|^2 = \\ &= H^A - 2 \sum_{1 \leq j \leq n} (\partial_j \Phi)D_j + i\Delta \Phi + |\nabla \Phi|^2 = \\ &= H^A + e^{i\Phi} \sum_{1 \leq j \leq n} [D_j^2, e^{-i\Phi}] = e^{i\Phi} H^A e^{-i\Phi}. \end{aligned}$$

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- Polynomials of degree higher than 2 are no longer gauge covariant.
- What about effective Hamiltonians and functional calculus?

A gauge covariant functional calculus

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$$\begin{aligned} W^A((x, \xi)) &:= e^{-i \langle \xi, x/2 \rangle} V^A(\xi) U^A(x) = \\ &= e^{-i \langle \xi, (Q+x/2) \rangle} e^{-i \int_{[Q, Q+x]} A} e^{i \langle x, D \rangle} \end{aligned}$$

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- For any test function $f : \Xi \rightarrow \mathbb{C}$ we define the associated magnetic Weyl operator:

$$\mathfrak{Op}^A(f) := \int_{\Xi} dX \hat{f}(X) W^A(X) \in \mathbb{B}[\mathcal{H}]$$

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- In fact for any tempered distribution $F \in \mathcal{S}'(\Xi)$ we can define the linear operator:

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- It defines a linear bijection [M.P., *J. Math. Phys.* 04].
- The covariant calculus associated to any two gauge-equivalent vector potentials are unitarily equivalent:

$$A' = A + d\varphi \quad \Rightarrow \quad \mathfrak{W}^{A'}(f) = e^{i\varphi(Q)} \mathfrak{W}^A(f) e^{-i\varphi(Q)}.$$

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then

$$\mathfrak{Op}^A(h) = H$$

with H defined previously.

The *magnetic* algebra of quantum observables

The magnetic Moyal product

The above functional calculus induces a *magnetic composition* on the complex linear space of test functions $\mathcal{S}(\Xi)$:

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Explicitely we have:

$$(f \#^B g)(X) := 4^n \int_{\Xi} dY \int_{\Xi} dZ e^{-i \int_{\mathcal{T}_X(Y,Z)} \sigma^B} f(X - Y) g(X - Z)$$

where $\mathcal{T}_X(Y, Z)$ is the triangle in Ξ having vertices:

$$X - Y - Z, \quad X + Y - Z, \quad X - Y + Z.$$

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We can extend the product \sharp^B by duality to bilinear maps:

$$\mathcal{S}'(\Xi) \sharp^B \mathcal{S}(\Xi) \rightarrow \mathcal{S}'(\Xi); \quad \mathcal{S}(\Xi) \sharp^B \mathcal{S}'(\Xi) \rightarrow \mathcal{S}'(\Xi).$$

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We set:

$$\mathfrak{M}^B(\Xi) := \left\{ F \in \mathcal{S}'(\Xi) \mid F\sharp^B\phi \in \mathcal{S}(\Xi), \phi\sharp^B F \in \mathcal{S}(\Xi), \forall \phi \in \mathcal{S}(\Xi) \right\}$$

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This defines a $*$ -algebra for the *composition* \sharp^B and the usual *complex conjugation* as $*$ -conjugation.

The norm

- The family:

$$\mathfrak{C}^B(\Xi) := \left\{ F \in \mathcal{S}'(\Xi) \mid \mathfrak{Op}^A(F) \in \mathbb{B}[L^2(\mathcal{X})] \right\}$$

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- On $\mathfrak{C}^B(\Xi)$ we can define the map:

$$\|F\|_B := \|\mathfrak{Op}^A(F)\|_{\mathbb{B}[L^2(\mathcal{X})]}$$

that does not depend on the choice of A
and is in fact a C^* -norm on $\mathfrak{C}^B(\Xi)$.

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- $\mathfrak{C}^B(\Xi)$ is a C^* -algebra isomorphic to $\mathbb{B}[L^2(\mathcal{X})]$.

Continuity of the spectra

Symbols

We shall use the following Hörmander type symbols:

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$$|F|_{(a,\alpha)}^{(m)} := \sup_{(x,\xi) \in \Xi} \langle \xi \rangle^{-m+|\alpha|} |(\partial_x^a \partial_\xi^\alpha F)(x, \xi)|, \quad \forall F \in C^\infty(\Xi)$$

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Hypothesis

The magnetic field B has components of class $BC^\infty(\mathcal{X})$.

Calderon-Vaillancourt type Theorem

By usual oscillatory integrals techniques we prove that:

Proposition [I.M.P., *Proc. RIMS 07*]

For any $m \in \mathbb{R}$ we have $S^m(\Xi) \subset \mathfrak{M}^B(\Xi)$.

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Proposition [I.M.P., *Proc. RIMS 07*]

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Theorem [I.M.P., *Proc. RIMS 07*]

In any Schrödinger representation of the form \mathfrak{Op}^A ,
the operator corresponding to an observable F of class $S^0(\Xi)$, defines a
bounded operator

and there exist two constants $c(n) \in \mathbb{R}_+$ and $p(n) \in \mathbb{N}$, depending only on
the dimension n of the space \mathcal{X} , such that we have the estimation:

$$\|\mathfrak{Op}^A(F)\|_{\mathbb{B}(\mathcal{H})} \leq c(n) |F|_{(\rho(n), \rho(n))}^{(0)}.$$

Self-adjointness

Definition

For $m > 0$ a symbol $F \in S^m(\Xi)$ is said to be **elliptic** if there exist two positive constants R and C such that for any $(x, \xi) \in \Xi$ with $|\xi| \geq R$ one has that

$$|F(x, \xi)| \geq C \langle \xi \rangle^m$$

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Proposition

- if $F \in S^0(\Xi)$ is a real function, $\mathfrak{Op}_h^A(F)$ is a bounded self-adjoint operator on $L^2(\mathcal{X})$ for any vector potential A of B ;
- if $F \in S^m(\mathcal{X})$ is a real elliptic symbol with $m > 0$, then $\mathfrak{Op}_h^A(F)$ has a self-adjoint extension in $L^2(\mathcal{X})$ for any vector potential A of B .

The spectral result

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Hypothesis 1

Consider a family of Hamiltonians $\{h^\epsilon\}_{\epsilon \in I}$ with $I \subset \mathbb{R}$ a compact interval, such that

- $h^\epsilon \in S^m(\Xi)$ elliptic with $m > 0$, for each $\epsilon \in I$,
- the map $I \ni \epsilon \mapsto h^\epsilon \in S^m(\Xi)$ is continuous for the Fréchet topology on $S^m(\Xi)$.
- there exist $C \in \mathbb{R}$ such that $h^\epsilon \geq -C$, $\forall \epsilon \in I$.

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Hypothesis 2

We are given a family of magnetic fields $\{B^\epsilon\}_{\epsilon \in I}$ with the components $B_{jk}^\epsilon \in BC^\infty(\mathcal{X})$ such that the map $I \ni \epsilon \mapsto B_{jk}^\epsilon \in BC^\infty(\mathcal{X})$ is continuous for the Fréchet topology on $BC^\infty(\mathcal{X})$.

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- ① The family $\{\sigma^\epsilon\}_{\epsilon \in I}$ is called *outer continuous* at $\epsilon_0 \in I$ if for any compact $K \subset \mathbb{R}$ such that $K \cap \sigma^{\epsilon_0} = \emptyset$, there exists a neighborhood $V_K^{\epsilon_0}$ of ϵ_0 with $K \cap \sigma^\epsilon = \emptyset$, $\forall \epsilon \in V_K^{\epsilon_0}$.

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Let I be a compact interval and suppose given a family $\{\sigma^\epsilon\}_{\epsilon \in I}$ of closed subsets of \mathbb{R} .

- ① The family $\{\sigma^\epsilon\}_{\epsilon \in I}$ is called *outer continuous* at $\epsilon_0 \in I$ if for any compact $K \subset \mathbb{R}$ such that $K \cap \sigma^{\epsilon_0} = \emptyset$, there exists a neighborhood $V_K^{\epsilon_0}$ of ϵ_0 with $K \cap \sigma^\epsilon = \emptyset$, $\forall \epsilon \in V_K^{\epsilon_0}$.
- ② The family $\{\sigma^\epsilon\}_{\epsilon \in I}$ is called *inner continuous* at $\epsilon_0 \in I$ if for any open $\mathcal{O} \subset \mathbb{R}$ such that $\mathcal{O} \cap \sigma^{\epsilon_0} \neq \emptyset$, there exists a neighborhood $V_{\mathcal{O}}^{\epsilon_0} \subset I$ of ϵ_0 with $\mathcal{O} \cap \sigma^\epsilon \neq \emptyset$, $\forall \epsilon \in V_{\mathcal{O}}^{\epsilon_0}$.

The spectral result

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- ③ The family $\{\sigma^\epsilon\}_{\epsilon \in I}$ is called *continuous* at $\epsilon_0 \in I$ if it is both inner and outer continuous.

The spectral result

Theorem A.M.P. 2010

Suppose given a compact interval $I \subset \mathbb{R}$, a family of classical Hamiltonians $\{h^\epsilon\}_{\epsilon \in I}$ and a family of magnetic fields $\{B^\epsilon\}_{\epsilon \in I}$ satisfying the above Hypothesis.

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Suppose given a compact interval $I \subset \mathbb{R}$, a family of classical Hamiltonians $\{h^\epsilon\}_{\epsilon \in I}$ and a family of magnetic fields $\{B^\epsilon\}_{\epsilon \in I}$ satisfying the above Hypothesis.

Let us consider the family of quantum Hamiltonians $H^\epsilon := \mathfrak{Op}^{A^\epsilon}(h^\epsilon)$ for some choice of a vector potential A^ϵ for B^ϵ .

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Then the spectra $\sigma^\epsilon := \sigma(H^\epsilon) \subset \mathbb{R}$ form a continuous family of subsets at any point $\epsilon \in I$.

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- It extends the results of Elliott [1982] and Bellissard [1991, 1994] to the case of continuous models (with configuration space $\mathcal{X} = \mathbb{R}^n$) and non-constant magnetic fields.

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- It extends the results of Elliott [1982] and Bellissard [1991, 1994] to the case of continuous models (with configuration space $\mathcal{X} = \mathbb{R}^n$) and non-constant magnetic fields.
- It extends the known results of Nenciu [1986] and Iftimie [1993] to a large class of symbols of positive order, but with stronger regularity hypothesis on the magnetic field.

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- It extends the known results of Nenciu [1986] and Iftimie [1993] to a large class of symbols of positive order, but with stronger regularity hypothesis on the magnetic field.

Using our results in Contemp. Math. **307** (2002), the continuity result of Nenciu [1986] can be recovered.

The spectral result - Proof

Our proof is based on the following criterion:

Proposition

Suppose that $\{H^\epsilon\}_{\epsilon \in I}$ is a family of self-adjoint operators in the Hilbert space \mathcal{H} such that for any $\lambda \notin \mathbb{R}$ the map

$$I \ni \epsilon \mapsto \|(H^\epsilon - \lambda 1)^{-1}\| \in \mathbb{R}_+$$

is upper (resp. lower) semi-continuous in $\epsilon_0 \in I$.

Then the spectra $\{\sigma(H^\epsilon)\}_{\epsilon \in I}$ form an outer (resp. inner) continuous family of closed sets at the point $\epsilon_0 \in I$.

The spectral result - Proof

In connection with this Criterion, our main result is

Theorem A.M.P. 2010

Suppose given a family of symbols $\{h^\epsilon\}_{\epsilon \in I}$ and a family of magnetic fields $\{B^\epsilon\}_{\epsilon \in I}$ satisfying our previous Hypothesis, then for any choice of vector potentials $\{A^\epsilon\}_{\epsilon \in I}$ associated to the magnetic fields B^ϵ ($B^\epsilon = dA^\epsilon$) and for any $z \in \mathbb{C} \setminus \mathbb{R}$ the map

$$I \ni \epsilon \mapsto \left\| \left(\mathfrak{Op}^{A^\epsilon}(h^\epsilon) - z1 \right)^{-1} \right\| \in \mathbb{R}_+$$

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In order to prove this statement we use an operator algebraic framework inspired by the work of G. Elliott and J. Bellissard.

A continuous field of twisted crossed-products

The twisted crossed-product structure

Let us consider the inverse partial Fourier transform

$$\mathfrak{F}^- : \mathcal{S}(\Xi) \rightarrow \mathcal{S}(\mathcal{X} \times \mathcal{X}), \quad [\mathfrak{F}^- f](x, x') := \int_{\mathcal{X}^*} d\xi \, e^{i\xi \cdot x'} f(x, \xi)$$

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We can transport the Moyal product $\#^B$ to a bilinear associative product on $\mathcal{S}(\mathcal{X} \times \mathcal{X})$ or $\mathfrak{F}^{-}\mathfrak{M}^B(\Xi)$ that we denote by \diamond^B :

$$\phi \diamond^B \psi := \mathfrak{F}^{-} \left[(\mathfrak{F}\phi) \#^B (\mathfrak{F}\psi) \right].$$

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$$\phi \diamond^B \psi := \mathfrak{F}^- \left[(\mathfrak{F}\phi) \#^B (\mathfrak{F}\psi) \right].$$

$$\begin{aligned} & \left[\phi \diamond^B \psi \right](x, x') = \\ &= \int_{\mathcal{X}} dz \phi(x + (z - x')/2, z) \psi(x + z/2, x' - z) \exp\{(-i\gamma^B(x - x'/2; z, x' - z))\}, \end{aligned}$$

whith $\gamma^B(x, x', z)$ the flux of B through $\langle x, x + x', x + x' + z \rangle$.

The $(1, \infty)$ norm

On $\mathcal{S}(\mathcal{X} \times \mathcal{X})$ let us consider the norm

$$\|\phi\|_{(1,\infty)} := \int_{\mathcal{X}} dx' \sup_{x \in \mathcal{X}} |\phi(x, x')|$$

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Let $\mathfrak{L} := L^1(\mathcal{X}; BC_u(\mathcal{X}))$ be the closure of $C_c(\mathcal{X}; BC_u(\mathcal{X}))$ under the $(1, \infty)$ norm.

It is a Banach $*$ -algebra (with the involution $\phi^*(x, x') := \overline{\phi(x, -x')}$).

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Remark 1

Taking into account the unitary equivalence (associated to a gauge A)

$$L^2(\mathcal{X} \times \mathcal{X}) \stackrel{\mathfrak{Op}^A}{\equiv} \mathbb{B}_2(L^2(\mathcal{X})),$$

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$$\mathfrak{Op}^A[\mathfrak{R}^B(F)f] = \mathfrak{Op}^A[F]\mathfrak{Op}^A[f].$$

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so that $\|F\|_B = \|\mathfrak{R}^B(F)\|_{\mathbb{B}(L^2(\mathcal{X} \times \mathcal{X}))}$.

The C^* -closure

Definition

Let $\mathfrak{B}^B \subset \mathfrak{C}^B(\Xi)$ be the completion of \mathfrak{L} for the C^* -norm $\|\cdot\|_B$.

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Let us make this construction for each magnetic field B_ϵ with $\epsilon \in I$ obtaining the family of C^* -algebras $\{\mathfrak{B}^\epsilon\}_{\epsilon \in I} \equiv \{\mathfrak{B}^{B_\epsilon}\}_{\epsilon \in I}$.

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One can also form the direct product

$$\prod_{\epsilon \in I} \mathfrak{B}^\epsilon := \left\{ \{a_\epsilon\}_{\epsilon \in I} \mid a_\epsilon \in \mathfrak{B}^\epsilon, \|a\|_* := \sup_{\epsilon \in I} \|a_\epsilon\|_{\mathfrak{B}^\epsilon} < \infty \right\}.$$

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 and let us consider on $C_c(\mathcal{X}; C(I; BC_u(\mathcal{X})))$
 the composition law

$$(\Phi \diamond \Psi)(x; \epsilon, x') :=$$

$$= \int_{\mathcal{X}} dz \Phi(x + (z - x')/2; \epsilon, z) \Psi(x + z/2; \epsilon, x' - z) e^{-i\gamma_{\epsilon}^B(x - x'/2; z, x' - z)}$$

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Then we can define $\tilde{\mathcal{L}} := L^1(\mathcal{X}; C(I; BC_u(\mathcal{X})))$

as the completion of $C_c(\mathcal{X}; C(I; BC_u(\mathcal{X})))$ for the above norm.

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The evident equality

$$C_c(\mathcal{X}; C(I; BC_u(\mathcal{X}))) = C(I; C_c(\mathcal{X}; BC_u(\mathcal{X})))$$

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and the evaluation maps (surjective and contractive)

$$e_\epsilon : C(I; C_c(\mathcal{X}; BC_u(\mathcal{X}))) \rightarrow C_c(\mathcal{X}; BC_u(\mathcal{X})), \quad \epsilon \in I,$$

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allow us to define evaluation maps

$$e_\epsilon : \tilde{\mathfrak{L}} \rightarrow \mathfrak{L}, \quad \epsilon \in I,$$

and by glueing them together, a continuous injective map:

$$e : \tilde{\mathfrak{L}} \rightarrow \prod_{\epsilon \in I} \mathfrak{B}^\epsilon.$$

The cross-sections

Let us denote by $\widetilde{\mathfrak{B}}$ the closure of $\mathfrak{e}[\widetilde{\mathfrak{L}}]$ in $\prod_{\epsilon \in I} \mathfrak{B}^\epsilon$.

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Let us denote by $\tilde{\mathfrak{B}}$ the closure of $\mathfrak{e}[\tilde{\mathfrak{L}}]$ in $\prod_{\epsilon \in I} \mathfrak{B}^\epsilon$.

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For each $\epsilon \in I$, the map \mathfrak{e}_ϵ extends by continuity to a contraction:

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Proposition

For any $\Phi \in \tilde{\mathfrak{B}}$ the map:

$$I \ni \epsilon \mapsto \|\tilde{\mathfrak{e}}_\epsilon(\Phi)\|_{B_\epsilon} \in \mathbb{R}_+$$

is upper semi-continuous.

Proof of our main result

An affiliation result

Proposition

Under our Hypothesis on $\{h^\epsilon\}_{\epsilon \in I}$ and $\{B^\epsilon\}_{\epsilon \in I}$, there exists some $a > 0$ large enough such that for any $z \in \mathbb{C} \setminus [a, +\infty)$ we have:

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- ① for any $\epsilon \in I$, the function $h^\epsilon - z1 \in S_1^m(\Xi) \subset \mathfrak{M}^\epsilon(\Xi)$ is invertible for the \sharp^ϵ -product having an inverse $r_z^\epsilon \in \mathfrak{F}[\mathcal{L}]$;

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- ① for any $\epsilon \in I$, the function $h^\epsilon - \mathfrak{z}1 \in S_1^m(\Xi) \subset \mathfrak{M}^\epsilon(\Xi)$ is invertible for the \sharp^ϵ -product having an inverse $r_\mathfrak{z}^\epsilon \in \mathfrak{F}[\mathcal{L}]$;
- ② moreover the function $I \times \Xi \ni (\epsilon, X) \mapsto \tilde{r}_\mathfrak{z}(\epsilon, X) := r_\mathfrak{z}^\epsilon(X)$ belongs to the algebra $\mathfrak{F}[\tilde{\mathcal{L}}]$ and $\mathfrak{e}_\epsilon(\tilde{r}_\mathfrak{z}) = r_\mathfrak{z}^\epsilon$.

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- ② moreover the function $I \times \Xi \ni (\epsilon, X) \mapsto \tilde{r}_z(\epsilon, X) := r_z^\epsilon(X)$ belongs to the algebra $\mathfrak{F}[\tilde{\mathcal{L}}]$ and $e_\epsilon(\tilde{r}_z) = r_z^\epsilon$.

Using this result and the previous Proposition we obtain the **upper semi-continuity part of our main result**.

The lower semi-continuity

Proposition

Given a continuous function $I \ni \epsilon \mapsto \phi^\epsilon \in \mathfrak{L}$ and an element $\psi \in \mathcal{H}$, the map

$$I \ni \epsilon \mapsto \phi^\epsilon \diamond^\epsilon \psi \in \mathcal{H}$$

is continuous.

The lower semi-continuity

Proposition

Given a continuous function $I \ni \epsilon \mapsto \phi^\epsilon \in \mathfrak{L}$ and an element $\psi \in \mathcal{H}$, the map

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is continuous.

Using this result and the well known fact that if a family $\{S^\epsilon\}_{\epsilon \in I}$ of bounded linear operators in a Hilbert space \mathcal{H} is *strongly* continuous, then $\epsilon \mapsto \|S^\epsilon\|_{\mathbb{B}(\mathcal{H})}$ is lower semi-continuous we obtain the **lower semi-continuity part of our main result**.