

Propagation and controlled K -theory

(joint work with G. Yu)

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Propagation of indices

Data (Atiyah, Kasparov, Mishchenko)

- M compact manifold ;
 - D elliptic differential operator on M .
 - $\tilde{M} \xrightarrow{\Gamma} M$ covering.
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- \tilde{D} equivariant lift of D to \tilde{M} ;
 - Q parametrix supported near the diagonal for D ;
 - \tilde{Q} equivariant lift of Q to a paramétrix for \tilde{D} ;
 - $\tilde{S}_0 := Id - \tilde{Q}\tilde{D}$ and $\tilde{S}_1 := Id - \tilde{D}\tilde{Q}$ are Γ -invariant smooth kernel operators on $\tilde{M} \times \tilde{M}$ with support near the diagonal, i.e with **finite propagation**.

Equivariant Index

- $P = \begin{pmatrix} \widetilde{S}_0^2 & \widetilde{S}_0(\text{Id} + \widetilde{S}_0)\widetilde{Q} \\ \widetilde{S}_1\widetilde{D} & \text{Id} - \widetilde{S}_1^2 \end{pmatrix}$ is an idempotent. Coefficients are Γ -invariant smooth kernels on $\widetilde{M} \times \widetilde{M}$ with finite propagation.
- The reduced convolution C^* -algebra associated to these kernels is **Morita** equ. to $C_r^*(\Gamma)$. This **Morita equ. preserves propagation**

Definition (Γ -invariant Index for D)

$$\text{Ind}_\Gamma D \stackrel{\text{def}}{=} [P] - \left[\begin{pmatrix} 0 & 0 \\ 0 & \text{Id} \end{pmatrix} \right] \in K_0(C_r^*(\Gamma)).$$

- K -theory for C^* -algebra is **homotopy invariant** but we **lose track of the propagation** (problem when defining **higher order indices**).
- The K -theory for algebras that keep track of propagation (smooth algebras) is **not in general homotopy invariant**.
- **Can we keep track of the propagation within the C^* -algebra framework?**

Propagation and filtered C^* -algebras

Definition

A filtered C^* -algebra A is a C^* -algebra equipped with a family $(A_r)_{r>0}$ of closed linear subspaces:

- $A_r \subset A_{r'}$ if $r \leq r'$;
- A_r is stable by involution;
- $A_r \cdot A_{r'} \subset A_{r+r'}$;
- the subalgebra $\bigcup_{r>0} A_r$ is dense in A .

If A is unital, we also require that the identity 1 is an element of A_r for every positive number r .

The elements of A_r are said to have **propagation r** .

Exemples

- **Roe algebras:**

- Σ proper discrete metric space, H separable Hilbert space
- $C[\Sigma]_r$: space of loc. cpct operators on $\ell^2(\Sigma) \otimes H$ with propagation less than r , i.e $T = (T_{x,y})_{(x,y) \in \Sigma^2}$ with
 - $T_{x,y}$ cpct operator on H ;
 - $T_{x,y} = 0$ if $d(x, y) > r$.
- The **Roe algebra** of Σ is $C^*(\Sigma) = \overline{\cup_{r>0} C[\Sigma]_r} \subset \mathcal{L}(\ell^2(\Sigma) \otimes H)$ (filtered by $(C[\Sigma]_r)_{r>0}$).

- **C^* -algebras of groups and cross-products:**

- If Γ is a discrete group finitely generated group equipped with a word metric. Set

$$C[\Gamma]_r = \{x \in C[\Gamma] \text{ with support in } B(e, r)\}.$$

Then $C_{red}^*(\Gamma)$ and $C_{max}^*(\Gamma)$ are filtered by $(C[\Gamma]_r)_{r>0}$.

- More generally, if Γ acts on a A by automorphisms, then $A \rtimes_{red} \Gamma$ and $A \rtimes_{max} \Gamma$ are filtered C^* -algebras.

Almost projections and almost unitaries

Let A be a unital filtered C^* -algebra, $r > 0$ (propagation) and $0 < \varepsilon < 1/4$ (defect):

- $p \in A$ is a ε - r -projection if $p \in A_r$, $p = p^*$ and $\|p^2 - p\| < \varepsilon$.
- $u \in A$ is a ε - r -unitary if $u \in A_r$, $\|u^* \cdot u - I_n\| < \varepsilon$ and $\|u \cdot u^* - I_n\| < \varepsilon$.
- $\mathbf{P}^{\varepsilon,r}(A)$ is the set of ε - r -projections of A .
- a ε - r proj. p gives rise by functional calculus to a projection $\kappa_0(p)$.
- $\mathbf{U}^{\varepsilon,r}(A)$ is the set of ε - r -unitaries of A .
- $\mathbf{P}_\infty^{\varepsilon,r}(A) = \bigcup_{n \in \mathbb{N}} \mathbf{P}^{\varepsilon,r}(M_n(A))$ for $\mathbf{P}^{\varepsilon,r}(M_n(A)) \hookrightarrow \mathbf{P}^{\varepsilon,r}(M_{n+1}(A)); x \mapsto \text{diag}(x, 0)$.
- $\mathbf{U}_\infty^{\varepsilon,r}(A) = \bigcup_{n \in \mathbb{N}} \mathbf{U}^{\varepsilon,r}(M_n(A))$ for $\mathbf{U}^{\varepsilon,r}(M_n(A)) \hookrightarrow \mathbf{U}^{\varepsilon,r}(M_{n+1}(A)); x \mapsto \text{diag}(x, 1)$.

Quantitative K -semi-groups

We define for a unital filtered C^* -algebra A , $r > 0$ and $0 < \varepsilon < 1/4$ the equiv. relations on $\mathbf{P}_\infty^{\varepsilon,r}(A) \times \mathbb{N}$ and on $\mathbf{U}_\infty^{\varepsilon,r}(A)$:

- $(p, l) \sim (q, l')$ if there is $k \in \mathbb{N}$ and $h \in \mathbf{P}_\infty^{\varepsilon,r}(C([0, 1], A))$ s.t $h(0) = \text{diag}(p, l_{k+l'})$ and $h(1) = \text{diag}(q, l_{k+l})$.
- $u \sim v$ if there is $h \in \mathbf{U}_\infty^{\varepsilon,r}(C([0, 1], A))$ s.t $h(0) = u$ and $h(1) = v$.

Definition

- 1 $K_0^{\varepsilon,r}(A) = \mathbf{P}_\infty^{\varepsilon,r}(A) / \sim$ and $[p, l]_{\varepsilon,r}$ is the class of (p, l) mod. \sim ;
- 2 $K_1^{\varepsilon,r}(A) = \mathbf{U}_\infty^{\varepsilon,r}(A) / \sim$ and $[u]_{\varepsilon,r}$ is the class of u mod. \sim .

- $K_0^{\varepsilon,r}(A)$ is an **abelian group** for $[p, l]_{\varepsilon,r} + [p', l']_{\varepsilon,r} = [\text{diag}(p, p'), l + l']_{\varepsilon,r}$;
- $K_1^{\varepsilon,r}(A)$ is an **abelian semi-group** for $[u]_{\varepsilon,r} + [v]_{\varepsilon,r} = [\text{diag}(u, v)]_{\varepsilon,r}$;
- if u is a ε - r -unitary, then $[u]_{3\varepsilon,2r} + [u^*]_{3\varepsilon,2r} = [1]_{3\varepsilon,2r}$.

The non-unital case

Lemma

$$K_0^{\varepsilon,r}(\mathbb{C}) \xrightarrow{\cong} \mathbb{Z}; [p, l]_{\varepsilon,r} \mapsto \text{rank } \kappa_0(p) - l; \quad K_1^{\varepsilon,r}(\mathbb{C}) \cong \{0\}.$$

Definition

If A is a non unital filtered C^* -algebra and \tilde{A} the unitarization of A ,

- $K_0^{\varepsilon,r}(A) = \ker : K_0^{\varepsilon,r}(\tilde{A}) \rightarrow K_0^{\varepsilon,r}(\mathbb{C}) \cong \mathbb{Z};$
- $K_1^{\varepsilon,r}(A) = K_1^{\varepsilon,r}(\tilde{A});$

Definition

If A and B are filtered C^* -algebras with respect to $(A_r)_{r>0}$ and $(B_r)_{r>0}$, a homomorphism $f : A \rightarrow B$ is filtered if $f(A_r) \subset B_r$.

- A filtered $f : A \rightarrow B$ induces $f_*^{\varepsilon,r} : K_*^{\varepsilon,r}(A) \rightarrow K_*^{\varepsilon,r}(B);$
- $A \hookrightarrow A \otimes \mathcal{K}(H); a \mapsto a \otimes e_{1,1}$ induces $K_*^{\varepsilon,r}(A) \xrightarrow{\cong} K_*^{\varepsilon,r}(A \otimes \mathcal{K}(H)).$

Controlled K -theory

We have for any filtered C^* -algebra A , $0 < \varepsilon \leq \varepsilon' < 1/4$ and $0 < r \leq r'$ natural semi-group homomorphisms

- $\iota_0^{\varepsilon,r} : K_0^{\varepsilon,r}(A) \longrightarrow K_0(A); [p, l]_{\varepsilon,r} \mapsto [\kappa_0(p)] - [l];$
- $\iota_1^{\varepsilon,r} : K_1^{\varepsilon,r}(A) \longrightarrow K_1(A); [u]_{\varepsilon,r} \mapsto [u];$
- $\iota_*^{\varepsilon,r} = \iota_0^{\varepsilon,r} \oplus \iota_1^{\varepsilon,r};$
- $\iota_0^{\varepsilon,\varepsilon',r,r'} : K_0^{\varepsilon,r}(A) \longrightarrow K_0^{\varepsilon',r'}(A); [p, l]_{\varepsilon,r} \mapsto [p, l]_{\varepsilon',r'};$
- $\iota_1^{\varepsilon,\varepsilon',r,r'} : K_1^{\varepsilon,r}(A) \longrightarrow K_1^{\varepsilon',r'}(A); [u]_{\varepsilon,r} \mapsto [u]_{\varepsilon',r'}.$
- $\iota_*^{\varepsilon,\varepsilon',r,r'} = \iota_0^{\varepsilon,\varepsilon',r,r'} \oplus \iota_1^{\varepsilon,\varepsilon',r,r'}.$

Definition

If A is a filtered C^ -algebra, the controlled K -theory for A is the family*

$$\mathcal{K}_*(A) = (K_*^{\varepsilon,r}(A))_{0 < \varepsilon < 1/4, r > 0}.$$

Controlled morphisms

A **control pair** is a pair (λ, h) with $\lambda > 1$ and $h : (0, \frac{1}{4\lambda}) \rightarrow (0, +\infty)$; $\varepsilon \mapsto h_\varepsilon$ non-increasing.

Definition

Let (λ, h) be a control pair, and let A and B be filtered C^* -algebras. A **(λ, h) -controlled morphism** $\mathcal{F} : \mathcal{K}_*(\mathbf{A}) \rightarrow \mathcal{K}_*(\mathbf{B})$ is a family $\mathcal{F} = (F^{\varepsilon, r})_{0 < \varepsilon < \frac{1}{4\lambda}, r > 0}$ of semi-group homomorphisms

$$F^{\varepsilon, r} : K_*^{\varepsilon, r}(A) \rightarrow K_*^{\lambda\varepsilon, h_\varepsilon r}(B)$$

s.t for any $\varepsilon, \varepsilon', r$ and r' with $0 < \varepsilon \leq \varepsilon' < \frac{1}{4\lambda}$ and $h_\varepsilon r \leq h_{\varepsilon'} r'$, we have

$$F^{\varepsilon', r'} \circ \iota_*^{\varepsilon, \varepsilon', r, r'} = \iota_*^{\lambda\varepsilon, \lambda\varepsilon', h_\varepsilon r, h_{\varepsilon'} r'} \circ F^{\varepsilon, r}.$$

\mathcal{F} induces $F : K_*(A) \rightarrow K_*(B)$ defined in a unique way by

$$F \circ \iota_*^{\varepsilon, r} = \iota_*^{\lambda\varepsilon, h_\varepsilon r} \circ F^{\varepsilon, r}.$$

Controlled isomorphism, controlled exactness

Let (λ, h) be a control pair and let $\mathcal{F} : \mathcal{K}_*(A) \rightarrow \mathcal{K}_*(B)$ be a $(\alpha_{\mathcal{F}}, k_{\mathcal{F}})$ -controlled morphism.

- \mathcal{F} is **(λ, h) -injective** if $(\alpha_{\mathcal{F}}, k_{\mathcal{F}}) \leq (\lambda, h)$ and for any $0 < \varepsilon < \frac{1}{4\lambda}$, any $r > 0$ and any $x \in K_*^{\varepsilon, r}(A)$, then

$$F^{\varepsilon, r}(x) = 0 \text{ in } K_*^{\alpha_{\mathcal{F}}\varepsilon, k_{\mathcal{F}}, \varepsilon r}(B) \implies \iota_*^{\varepsilon, \lambda\varepsilon, r, h\varepsilon r}(x) = 0 \text{ in } K_*^{\lambda\varepsilon, h\varepsilon r}(A);$$
- \mathcal{F} is **(λ, h) -surjective**, if for any $0 < \varepsilon < \frac{1}{4\lambda\alpha_{\mathcal{F}}}$, any $r > 0$ and any $y \in K_*^{\varepsilon, r}(B)$, there exists $x \in K_*^{\lambda\varepsilon, h\varepsilon r}(A)$ s.t.

$$F^{\lambda\varepsilon, h\varepsilon r}(x) = \iota_*^{\varepsilon, \alpha_{\mathcal{F}}\lambda\varepsilon, r, k_{\mathcal{F}}, \lambda\varepsilon h\varepsilon r}(y) \text{ in } K_*^{\alpha_{\mathcal{F}}\lambda\varepsilon, k_{\mathcal{F}}, \lambda\varepsilon h\varepsilon r}(B).$$
- \mathcal{F} is a **(λ, h) -isomorphism** if \mathcal{F} is (λ, h) -injective and (λ, h) -surjective (in this case there exists a **controlled inverse**).
- In the same way, we can define **(λ, h) -exactness** for a composition

$$\mathcal{K}_*(A) \xrightarrow{\mathcal{F}} \mathcal{K}_*(B_1) \xrightarrow{\mathcal{G}} \mathcal{K}_*(B_2).$$

Examples

If q is an ε - r -projection in A unital filtered C^* -algebra, then

$$z_q : [0, 1] \rightarrow A; t \mapsto qe^{2i\pi t} + 1 - q$$

is 5ε - r -unitary in \widetilde{SA} (with $SA = C_0((0, 1), A)$). Hence

$$Z_A^{\varepsilon, r} : K_0^{\varepsilon, r}(A) \rightarrow K_1^{5\varepsilon, r}(SA); [q, k]_{\varepsilon, r} \rightarrow [z_q]_{5\varepsilon, r} + [y_k]_{5\varepsilon, r}$$

with $y_k(t) = e^{-2\pi i kt}$ defines a $(5, 1)$ -controlled morphism

$$\mathcal{Z}_A = (Z_A^{\varepsilon, r})_{0 < \varepsilon < 1/20, r > 0} : \mathcal{K}_0(A) \rightarrow \mathcal{K}_1(SA).$$

Theorem (O-Yu)

There exists a control pair (λ, h) such that \mathcal{Z}_A is a (λ, h) -isomorphism for any filtered C^ -algebra A .*

If Γ is a discrete group acting by automorphisms on A and B , then elements in $KK_*^\Gamma(A, B)$ gives rise to a (λ, h) -controlled morphisms $\mathcal{K}_*(A \rtimes_{red} \Gamma) \rightarrow \mathcal{K}_*(B \rtimes_{red} \Gamma)$ (for some universal control pair (λ, h)) compatible with Kasparov products. In particular KK -equiv. provide controlled isomorphisms.

Extension of filtered C^* -algebra

Let A be a C^* -algebra filtered by $(A_r)_{r>0}$ and let J be an ideal of A . Then A/J is filtered by $((A/J)_r)_{r>0}$, where $(A/J)_r$ is the image of A_r in A/J .

Definition

An extension of C^ -algebras*

$$0 \rightarrow J \rightarrow A \rightarrow A/J \rightarrow 0$$

is filtered and semi-split if there exists a completely positive cross-section $s : A/J \rightarrow A$ such that $s((A/J)_r) \subset A_r$ for any $r > 0$. In this case, J is filtered by $(J \cap A_r)_{r>0}$.

Example: If $0 \rightarrow J \rightarrow A \rightarrow A/J \rightarrow 0$ is a semi-split extension of Γ - C^* -algebras for a discrete group Γ , then

$0 \rightarrow J \rtimes_{red} \Gamma \rightarrow A \rtimes_{red} \Gamma \rightarrow A/J \rtimes_{red} \Gamma \rightarrow 0$ is filtered and semi-split (the same holds for max. cross-products).

The six term (α, h) -exact sequence

Theorem

There exists a control pair (λ, h) such that for any semi-split extension of filtered C^* -algebras

$$0 \longrightarrow J \xrightarrow{j} A \xrightarrow{q} A/J \longrightarrow 0,$$

there is a six-term (λ, h) -exact sequence

$$\begin{array}{ccccc} \mathcal{K}_0(J) & \xrightarrow{j_*} & \mathcal{K}_0(A) & \xrightarrow{q_*} & \mathcal{K}_0(A/J) \\ \uparrow & & & & \downarrow \\ \mathcal{K}_1(A/J) & \xleftarrow{q_*} & \mathcal{K}_1(A) & \xleftarrow{j_*} & \mathcal{K}_1(J) \end{array} .$$

Consequence : Suspension controlled isomorphism $\mathcal{K}_1(A) \xrightarrow{\cong} \mathcal{K}_0(SA)$,
controlled Bott periodicity $\mathcal{K}_*(A) \xrightarrow{\cong} \mathcal{K}_*(S^2 A)$...

Application to K -amenability

Definition

A discrete group Γ is K -amenable if $K^0(C_{red}^*(\Gamma)) \rightarrow K^0(C_{max}^*(\Gamma))$ (induced by the regular representation) is an isomorphism.

Examples :

- \mathbb{F}_n , $SL_2(\mathbb{Z})$ and group with Haagerup prop. (Higson-Kasparov);
- Γ satisfying the strong Baum-Connes conj. i.e with $\gamma = 1$ (Tu),
- π_1 of compact oriented 3-manifold (Matthey-O-Pitsch).

For any action of Γ on a C^* -algebra A , there is epimorphism

$$\lambda_{\Gamma,A} : A \rtimes_{max} \Gamma \rightarrow A \rtimes_{red} \Gamma.$$

Theorem

There exists a control pair (λ, h) s.t for any K -amenable discr. group Γ ,

$$\lambda_{\Gamma,A,*} : \mathcal{K}_*(A \rtimes_{max} \Gamma) \rightarrow \mathcal{K}_*(A \rtimes_{red} \Gamma)$$

is a (λ, h) -isomorphism for any Γ -algebra A