

ENTIRE FUNCTIONS WHOSE DERIVATIVES AND SHIFTS SHARE TWO PAIRS OF SMALL FUNCTIONS

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In this paper, we study the relationship between the k -th derivative of an entire function and its shift sharing two distinct pairs of small functions. For such a function f , a nonzero complex value c and a positive integer k , we establish a linear relationship between $f(z+c)$ and $f^{(k)}(z)$ which generalizes a result due to Huang, Deng and Fang [Open Mathematics, 19 (2021), 144–156]. The examples are also given in support of our result.

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1. INTRODUCTION, DEFINITIONS AND RESULTS

Let f be a meromorphic function in the complex plane $\mathbb{C}$. Throughout this paper, we adopt the standard notations of Nevanlinna value distribution theory as described in [3,6,13]. We use $S(r, f)$ to denote any quantity satisfying $S(r, f) = o\{T(r, f)\}$ for all r outside a possible exceptional set E of finite linear measure. A meromorphic function $a(z)$ is said to be a small function of f provided that $T(r, a) = S(r, f)$. Let f and g be any two nonconstant functions and let a and b be any two small functions of f and g . The functions f and g are said to share a pair (a, b) CM if $f - a$ and $g - b$ have the same zeros counting multiplicities; f and g are said to share a pair (a, b) IM if $f - a$ and $g - b$ have the same zeros ignoring multiplicities. We see that f and g share (a, a) CM (resp., IM) if and only if f and g share a CM (resp., IM). The same argument is also applicable when a and b are two values in $\mathbb{C} \cup \{\infty\}$. The order $\rho(f)$ and hyper-order $\rho_2(f)$ of a meromorphic function f is defined as follows:

$$\rho(f) = \limsup_{r \rightarrow \infty} \frac{\log T(r, f)}{\log r} \quad \text{and} \quad \rho_2(f) = \limsup_{r \rightarrow \infty} \frac{\log \log T(r, f)}{\log r}.$$

In 1977, Rubel and Yang [12] proved the following result.

THEOREM 1.1. *If a nonconstant entire function f and its derivative f' share two distinct finite complex values a, b CM, then $f \equiv f'$.*

In 1979, replacing CM sharing as IM sharing, Mues and Steinmetz [8] proved the following theorem.

THEOREM 1.2. *Let f be a nonconstant entire function, and let a, b be two distinct finite complex numbers. If f and f' share a, b IM, then $f \equiv f'$.*

In case of small functions sharing, Zheng and Wang [14] proved the following result.

THEOREM 1.3. *Let f be a nonconstant entire function, and let $a(\neq \infty)$, $b(\neq \infty)$ be two distinct small functions of f . If f and f' share a, b CM, then $f \equiv f'$.*

In 2000, Qiu [10] proved the following result for IM sharing.

THEOREM 1.4. *Let f be a nonconstant entire function, and let $a(\neq \infty)$, $b(\neq \infty)$ be two distinct small functions of f . If f and f' share a, b IM, then $f \equiv f'$.*

Considering k -th derivative instead of first derivative, Li and Yang [7] proved the result as follows.

THEOREM 1.5. *Let f be a nonconstant entire function, and let a, b be two distinct small functions of f . If f and $f^{(k)}$ share a CM and b IM, then $f = f^{(k)}$.*

In 2020, Qi and Yang [9] considered the first derivative of f and its shift and proved the following result.

THEOREM 1.6. *Let f be a transcendental entire function of finite order, and let $a(\neq 0) \in \mathbb{C}$. If $f'(z)$ and $f(z+c)$ share 0 CM and a IM, then we have $f'(z) \equiv f(z+c)$.*

In 2024, Huang [4] extended the result of Qi and Yang [9], and the result is as follows.

THEOREM 1.7. *Let $f(z)$ be a transcendental meromorphic function of $\rho_2(f) < 1$, let c be a nonzero finite value, k be a positive integer, and let $a(z) \neq \infty$, $b(z) \neq \infty$ be two distinct small functions. If $f^{(k)}(z)$ and $f(z+c)$ share $a(z)$, ∞ CM and share $b(z)$ IM, then $f^{(k)}(z) \equiv f(z+c)$.*

In 2021, Huang, Deng and Fang [5] considered the sharing of two pairs of small functions and obtained the following theorem.

THEOREM 1.8. *Let f be a transcendental entire function, and let a_1, a_2, b_1 and b_2 be four small functions of f such that $a_1 \neq b_1$ and $a_2 \neq b_2$, and none of them is identically equal to ∞ . If f and $f^{(k)}$ share (a_1, a_2) CM and (b_1, b_2) IM, then $(a_2 - b_2)f - (a_1 - b_1)f^{(k)} \equiv a_2b_1 - a_1b_2$.*

Now the following question is inevitable.

Question 1.9. Is it possible to establish a linear relationship between $f(z+c)$ and $f^{(k)}(z)$ whenever they share two pairs of small functions?

In this paper, we prove the following theorem which answers the above question.

THEOREM 1.10. *Let $k \geq 1$ be an integer and $c(\neq 0)$ be a finite complex value. Suppose that f is a transcendental entire function of finite order, and $a_1 \not\equiv \infty$, $a_2 \not\equiv \infty$, $b_1 \not\equiv \infty$, $b_2 \not\equiv \infty$ are four small functions of f such that $a_1 \not\equiv b_1$ and $a_2 \not\equiv b_2$, and a_1, b_1 are periodic functions with period c . If $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM, then*

$$(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) \equiv a_2b_1 - a_1b_2.$$

We provide the following example in support of Theorem 1.10.

Example 1.11. Let $f(z) = e^{2z}$, $a_1 = e^4$, $a_2 = 4$, $b_1 = 0$, $b_2 = 0$. Consider $c = 2$. Clearly, a_1, b_1 are periodic functions. Then we see that $f(z+2) - a_1 = e^4(e^{2z} - 1)$, $f''(z) - a_2 = 4(e^{2z} - 1)$, $f(z+2) - b_1 = e^4e^{2z}$ and $f''(z) - b_2 = 4e^{2z}$. Thus $f(z+2)$ and $f''(z)$ share (a_1, a_2) CM and (b_1, b_2) IM. Clearly, we find that $(a_2 - b_2)f(z+2) - (a_1 - b_1)f''(z) \equiv a_2b_1 - a_1b_2$.

In the next two examples, we show that the conditions in Theorem 1.10 are sufficient.

Example 1.12. Let $f(z) = z + e^{2z}$, $a_1 = e^2 + z + 1$, $a_2 = 4$, $b_1 = z + 1$ and $b_2 = 0$. Consider $c = 1$. Then we see that $f(z+1) - a_1 = e^2(e^{2z} - 1)$, $f''(z) - a_2 = 4(e^{2z} - 1)$, $f(z+1) - b_1 = e^2e^{2z}$ and $f''(z) - b_2 = 4e^{2z}$. Thus $f(z+1)$ and $f''(z)$ share (a_1, a_2) CM and (b_1, b_2) IM. Clearly, we find that $(a_2 - b_2)f(z+1) - (a_1 - b_1)f''(z) \equiv a_2b_1 - a_1b_2$.

Example 1.13. Let $f(z) = z^2 + e^{3z}$, $a_1 = e^3 + z^2 + 2z + 1$, $a_2 = 27$, $b_1 = z^2 + 2z + 1$ and $b_2 = 0$. Take $c = 1$. Then we see that $f(z+1) - a_1 = e^3(e^{3z} - 1)$, $f'''(z) - a_2 = 27(e^{3z} - 1)$, $f(z+1) - b_1 = e^3e^{3z}$ and $f'''(z) - b_2 = 27e^{3z}$. Thus $f(z+1)$ and $f'''(z)$ share (a_1, a_2) CM and (b_1, b_2) IM. Clearly, we find that $(a_2 - b_2)f(z+1) - (a_1 - b_1)f'''(z) \equiv a_2b_1 - a_1b_2$.

The following example shows that the condition of sharing of two pairs of small functions in Theorem 1.10 is sharp.

Example 1.14. Let $f(z) = e^z$, $a_1 = e^c$, $a_2 = 1$, $b_1 = 2$ and $b_2 = 0$, where c is a nonzero finite complex value. Then $f(z+c) - a_1 = e^c(e^z - 1)$, $f''(z) - a_2 = e^z - 1$, $f(z+c) - b_1 = e^{z+c} - 2$ and $f''(z) - b_2 = e^z$. Therefore $f(z+c)$ and $f''(z)$ share (a_1, a_2) CM but do not share (b_1, b_2) IM. Now it is easy to verify that $(a_2 - b_2)f(z+c) - (a_1 - b_1)f''(z) \not\equiv a_2b_1 - a_1b_2$.

2. LEMMAS

In order to prove our results, we need the following lemmas.

LEMMA 2.1 ([3, 11, Second fundamental theorem]). *Let $f(z)$ be a nonconstant meromorphic function on $\mathbb{C}$. Let $a_1, a_2, \dots, a_q$ be distinct meromorphic functions on $\mathbb{C}$. Assume that a_i 's are small functions with respect to f for all $i = 1, \dots, q$. Then the inequality*

$$(q-2)T(r, f) \leq \sum_{j=1}^q \overline{N}\left(r, \frac{1}{f-a_j}\right) + S(r, f),$$

holds for all r outside a set $E \subset (0, +\infty)$ with finite Lebesgue measure.

LEMMA 2.2 ([13]). *Let $f_1(z)$ and $f_2(z)$ be two nonconstant meromorphic functions in $|z| < \infty$. Then*

$$N(r, f_1 f_2) - N\left(r, \frac{1}{f_1 f_2}\right) = N(r, f_1) + N(r, f_2) - N\left(r, \frac{1}{f_1}\right) - N\left(r, \frac{1}{f_2}\right),$$

where $0 < r < \infty$.

LEMMA 2.3 ([13]). *Let $f(z)$ be a nonconstant meromorphic function, and let k be a positive integer. Then*

$$m\left(r, \frac{f^{(k)}(z)}{f(z)}\right) = S(r, f).$$

LEMMA 2.4 ([13]). *Let $f(z)$ be a nonconstant meromorphic function, and let n be a positive integer. Suppose that $\psi(f) = a_0 f + a_1 f' + \dots + a_n f^{(n)}$, where $a_0, a_1, \dots, a_n (\neq 0)$ are small functions of f . Then*

$$m\left(r, \frac{\psi(f)}{f}\right) = S(r, f), \quad T(r, \psi) \leq T(r, f) + k\overline{N}(r, f) + S(r, f).$$

LEMMA 2.5 ([1, 2]). *Let $f(z)$ be a meromorphic function of finite order. Then for any $c \in \mathbb{C} - \{0\}$, we have*

$$m\left(r, \frac{f(z+c)}{f(z)}\right) + m\left(r, \frac{f(z)}{f(z+c)}\right) = S(r, f).$$

LEMMA 2.6. *Let $f(z)$ be a nonconstant meromorphic function of finite order and $c \in \mathbb{C}$. Then for any periodic small function a of f with period c , we have*

$$m\left(r, \frac{f(z+c) - a(z)}{f(z) - a(z)}\right) = S(r, f).$$

Proof. From the proof of Lemma 2.3 in [2], we have

$$m\left(r, \frac{f(z+c) - f(z)}{f(z) - a(z)}\right) = S(r, f).$$

Using this we can conclude that

$$\begin{aligned} m\left(r, \frac{f(z+c) - a(z)}{f(z) - a(z)}\right) &\leq m\left(r, \frac{f(z+c) - f(z)}{f(z) - a(z)}\right) + O(1) \\ &= S(r, f). \end{aligned}$$

This completes the proof of Lemma 2.6. $\square$

LEMMA 2.7 ([1]). *Let $f(z)$ be a transcendental meromorphic function with finite order. Then*

$$T(r, f(z)) = T(r, f(z+c)) + S(r, f).$$

LEMMA 2.8. *Let $f(z)$ be a transcendental entire function, and let a_1, a_2, b_1, b_2 be four small functions of f such that $a_1 \not\equiv b_1$ and $a_2 \not\equiv b_2$. Assume that*

$$L(f(z+c)) = \begin{vmatrix} f(z+c) - a_1 & a_1 - b_1 \\ f'(z+c) - a'_1 & a'_1 - b'_1 \end{vmatrix}, \quad L(f^{(k)}(z)) = \begin{vmatrix} f^{(k)}(z) - a_2 & a_2 - b_2 \\ f^{(k+1)}(z) - a'_2 & a'_2 - b'_2 \end{vmatrix}.$$

If $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM, then $L(f(z+c)) \not\equiv 0$, $L(f^{(k)}(z)) \not\equiv 0$.

Proof. Suppose that $L(f(z+c)) \equiv 0$. Then we get

$$\frac{f'(z+c) - a'_1}{f(z+c) - a_1} = \frac{a'_1 - b'_1}{a_1 - b_1}.$$

Integrating, we get $f(z+c) - a_1 = c(a_1 - b_1)$, where c is a nonzero constant. Therefore using Lemma 2.7, we obtain

$$\begin{aligned} T(r, f(z)) &= T(r, f(z+c)) + S(r, f) \\ &= T(r, c(a_1 - b_1) + a_1) + S(r, f) \\ &= S(r, f), \end{aligned}$$

a contradiction. Hence, $L(f(z+c)) \not\equiv 0$.

Using the same argument as proving $L(f(z+c)) \not\equiv 0$, it is easy to obtain $L(f^{(k)}(z)) \not\equiv 0$. $\square$

LEMMA 2.9. *Let $f(z)$ be a transcendental entire function and a_1, b_1 be two distinct small functions of f . Suppose that for $j = 1, 2, \dots, q$, $d_j = a_1 - l_j(a_1 - b_1)$ where l_j 's are positive integers. Then*

$$1. \quad m\left(r, \frac{L(f(z+c))}{f(z+c) - a_1}\right) = S(r, f),$$

$$2. \ m\left(r, \frac{L(f(z+c))}{f(z+c)-b_1}\right) = S(r, f),$$

$$3. \ m\left(r, \frac{L(f(z+c))}{f(z+c)-d_j}\right) = S(r, f),$$

$$4. \ m\left(r, \frac{L(f(z+c))f(z+c)}{(f(z+c)-d_1)(f(z+c)-d_2)\cdots(f(z+c)-d_m)}\right) = S(r, f),$$

where $L(f(z+c))$ is defined as in Lemma 2.8 and $2 \leq m \leq q$.

Proof. By Lemmas 2.3 and 2.5, we have

1.

$$\begin{aligned} & m\left(r, \frac{L(f(z+c))}{f(z+c)-a_1}\right) \\ & \leq m(r, a'_1 - b'_1) + m\left(r, \frac{(a_1 - b_1)(f'(z+c) - a'_1)}{f(z+c) - a_1}\right) + S(r, f) \\ & = S(r, f). \end{aligned}$$

2.

$$\begin{aligned} & m\left(r, \frac{L(f(z+c))}{f(z+c)-b_1}\right) \\ & = m\left(r, \frac{(a'_1 - b'_1)(f(z+c) - a_1) - (a_1 - b_1)(f'(z+c) - a'_1)}{f(z+c) - b_1}\right) \\ & = m\left(r, \frac{(a'_1 - b'_1)(f(z+c) - b_1) - (a_1 - b_1)(f'(z+c) - b'_1)}{f(z+c) - b_1}\right) \\ & \leq m(r, a'_1 - b'_1) + m\left(r, \frac{(a_1 - b_1)(f'(z+c) - b'_1)}{f(z+c) - b_1}\right) + S(r, f) \\ & = S(r, f). \end{aligned}$$

3.

$$\begin{aligned} & m\left(r, \frac{L(f(z+c))}{f(z+c)-d_j}\right) \\ & = m\left(r, \frac{(a'_1 - b'_1)(f(z+c) - a_1) - (a_1 - b_1)(f'(z+c) - a'_1)}{f(z+c) - d_j}\right) \\ & \leq m(r, a'_1 - b'_1) + m\left(r, \frac{(a_1 - b_1)(f'(z+c) - (a'_1 - l_j(a'_1 - b'_1)))}{f(z+c) - d_j}\right) \\ & \quad + S(r, f) \\ & \leq m(r, a'_1 - b'_1) + m\left(r, \frac{(a_1 - b_1)(f'(z+c) - d'_j)}{f(z+c) - d_j}\right) + S(r, f) \\ & = S(r, f). \end{aligned}$$

4. We have

$$\frac{L(f(z+c))f(z+c)}{(f(z+c)-d_1)(f(z+c)-d_2)\cdots(f(z+c)-d_m)} = \sum_{i=1}^m \frac{c_i L(f(z+c))}{f(z+c)-d_i},$$

where $c_i = \prod_{j \neq i} \frac{d_i}{(d_i - d_j)}$, $(i = 1, 2, \dots, q)$ are small functions of f . Therefore we obtain

$$\begin{aligned} m \left(r, \frac{L(f(z+c))f(z+c)}{(f(z+c)-d_1)(f(z+c)-d_2)\cdots(f(z+c)-d_m)} \right) \\ = m \left(r, \sum_{i=1}^m \frac{c_i L(f(z+c))}{f(z+c)-d_i} \right) \\ \leq \sum_{i=1}^m m \left(r, \frac{L(f(z+c))}{f(z+c)-d_i} \right) + S(r, f) \\ = S(r, f). \end{aligned} \quad \square$$

LEMMA 2.10. *Let $f(z)$ be a transcendental entire function and a_2, b_2 be two distinct small functions of f . Suppose that for $j = 1, 2, \dots, q$, $d_j = a_2 - l_j(a_2 - b_2)$, where l_j 's are positive integers. Then*

1. $m \left(r, \frac{L(f^{(k)}(z))}{f^{(k)}(z)-a_2} \right) = S(r, f),$
2. $m \left(r, \frac{L(f^{(k)}(z))}{f^{(k)}(z)-b_2} \right) = S(r, f),$
3. $m \left(r, \frac{L(f^{(k)}(z))}{f^{(k)}(z)-d_j} \right) = S(r, f),$
4. $m \left(r, \frac{L(f^{(k)}(z))f^{(k)}(z)}{(f^{(k)}(z)-d_1)(f^{(k)}(z)-d_2)\cdots(f^{(k)}(z)-d_m)} \right) = S(r, f),$

where $L(f^{(k)}(z))$ is defined as in Lemma 2.8 and $2 \leq m \leq q$.

Proof. Using the same arguments as in the proof of Lemma 2.9, the above relations can be proved easily. $\square$

3. PROOF OF THEOREM 1.10

Proof. Assume that

$$(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1 \neq 0.$$

Let $L(f(z+c))$ and $L(f^{(k)}(z))$ be defined as in Lemma 2.8. Therefore by Lemma 2.8, we have $L(f(z+c)) \not\equiv 0$ and $L(f^{(k)}(z)) \not\equiv 0$.

Set

$$(1) \quad U = \frac{f(z+c) - a_1}{f^{(k)}(z) - a_2}.$$

Since f is a transcendental entire function and $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM, by (1), we have

$$(2) \quad N(r, U) = S(r, f), \quad N\left(r, \frac{1}{U}\right) = S(r, f).$$

As $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM and f is a transcendental entire function, by Lemmas 2.1, 2.3, 2.5 and 2.7, we obtain

$$\begin{aligned} T(r, f(z+c)) &\leq \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) + \overline{N}(r, f(z+c)) + S(r, f) \\ &= \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_2}\right) + \overline{N}\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) + S(r, f) \\ &\leq N\left(r, \frac{1}{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}\right) + S(r, f) \\ &\leq T(r, (a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1) + S(r, f) \\ &= m(r, (a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1) + S(r, f) \\ &\leq m(r, f(z)) + m\left(r, \frac{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z)}{f(z)}\right) + S(r, f) \\ &\leq T(r, f(z)) + S(r, f) \\ &= T(r, f(z+c)) + S(r, f). \end{aligned}$$

Thus, we have

$$(3) \quad T(r, f(z+c)) = \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) + S(r, f)$$

and

$$\begin{aligned} T(r, f(z+c)) &= T(r, (a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1) \\ &\quad + S(r, f) \\ &= N\left(r, \frac{1}{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}\right) \\ &\quad + S(r, f). \end{aligned} \quad (4)$$

Now using Lemmas 2.3, 2.6 and (2), we get

$$T(r, U) = m\left(r, \frac{1}{U}\right) + S(r, f)$$

$$\begin{aligned}
&= m\left(r, \frac{f^{(k)}(z) - a_2}{f(z+c) - a_1}\right) + S(r, f) \\
&\leq m\left(r, \frac{f^{(k)}(z) - a_1^{(k)}}{f(z) - a_1}\right) + m\left(r, \frac{f(z) - a_1}{f(z+c) - a_1}\right) \\
&\quad + m\left(r, \frac{a_1^{(k)} - a_2}{f(z+c) - a_1}\right) + S(r, f) \\
(5) \quad &\leq m\left(r, \frac{1}{f(z+c) - a_1}\right) + S(r, f).
\end{aligned}$$

From (1) and (4), we have

$$\begin{aligned}
m\left(r, \frac{1}{f(z+c) - a_1}\right) &= m\left(r, \frac{a_2 - b_2 - (a_1 - b_1)U^{-1}}{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}\right) \\
&\leq m\left(r, \frac{1}{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}\right) \\
&\quad + m\left(r, a_2 - b_2 - \frac{a_1 - b_1}{U}\right) + S(r, f) \\
(6) \quad &\leq T(r, U) + S(r, f).
\end{aligned}$$

Combining (5) and (6), we have

$$(7) \quad T(r, U) = m\left(r, \frac{1}{f(z+c) - a_1}\right) + S(r, f).$$

Now (1) can be rewritten as

$$\frac{(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}{f(z+c) - a_1} = a_2 - b_2 - (a_1 - b_1)U^{-1}.$$

Since $f(z+c)$ and $f^{(k)}(z)$ share (b_1, b_2) IM, from above we get

$$\begin{aligned}
(8) \quad \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) &\leq \overline{N}\left(r, \frac{1}{a_2 - b_2 - (a_1 - b_1)U^{-1}}\right) \\
&\leq T(r, U) + S(r, f).
\end{aligned}$$

From (3), (7) and (8), we have

$$\begin{aligned}
&m\left(r, \frac{1}{f(z+c) - a_1}\right) + N\left(r, \frac{1}{f(z+c) - a_1}\right) \\
&= \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) + S(r, f) \\
&\leq \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + m\left(r, \frac{1}{f(z+c) - a_1}\right) + S(r, f).
\end{aligned}$$

By the above relation and (7), we obtain

$$(9) \quad N\left(r, \frac{1}{f(z+c)-a_1}\right) = \overline{N}\left(r, \frac{1}{f(z+c)-a_1}\right) + S(r, f)$$

and

$$(10) \quad \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) = T(r, U) + S(r, f).$$

Set

$$(11) \quad \zeta = \frac{L(f(z+c))((a_2-b_2)f(z+c)-(a_1-b_1)f^{(k)}(z)+a_1b_2-a_2b_1)}{(f(z+c)-a_1)(f(z+c)-b_1)}$$

and

$$(12) \quad \eta = \frac{L(f^{(k)}(z))((a_2-b_2)f(z+c)-(a_1-b_1)f^{(k)}(z)+a_1b_2-a_2b_1)}{(f^{(k)}(z)-a_2)(f^{(k)}(z)-b_2)}.$$

From our assumption and Lemma 2.8, we see that $\zeta \not\equiv 0$ and obviously, $N(r, \zeta) = S(r, f)$. Using Lemmas 2.3, 2.5 and 2.9, we obtain

$$\begin{aligned} T(r, \zeta) &= m(r, \zeta) + S(r, f) \\ &= m\left(r, \frac{L(f(z+c))((a_2-b_2)f(z+c)-(a_1-b_1)f^{(k)}(z)+a_1b_2-a_2b_1)}{(f(z+c)-a_1)(f(z+c)-b_1)}\right) \\ &\quad + S(r, f) \\ &\leq m\left(r, \frac{L(f(z+c))f(z+c)}{(f(z+c)-a_1)(f(z+c)-b_1)}\right) \\ &\quad + m\left(r, \frac{(a_2-b_2)f(z+c)-(a_1-b_1)f^{(k)}(z)}{f(z+c)}\right) \\ &\quad + m\left(r, \frac{L(f(z+c))(a_1b_2-a_2b_1)}{(f(z+c)-a_1)(f(z+c)-b_1)}\right) + S(r, f) \\ &\leq m\left(r, \frac{f^{(k)}(z)}{f(z+c)}\right) + S(r, f) \\ &\leq m\left(r, \frac{f^{(k)}(z)}{f(z)}\right) + m\left(r, \frac{f(z)}{f(z+c)}\right) + S(r, f) \\ &= S(r, f). \end{aligned}$$

Let $d_1 = a_1 - k(a_1 - b_1)$ and $d_2 = a_2 - k(a_2 - b_2)$, $k \neq 0, 1$. By Lemma 2.1 and (3), we get

$$\begin{aligned} 2T(r, f(z+c)) &\leq \overline{N}\left(r, \frac{1}{f(z+c)-a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) \\ &\quad + \overline{N}\left(r, \frac{1}{f(z+c)-d_1}\right) + S(r, f) \end{aligned}$$

$$\begin{aligned} &\leq T(r, f(z+c)) + \overline{N}\left(r, \frac{1}{f(z+c)-d_1}\right) + S(r, f) \\ &\leq 2T(r, f(z+c)) - m\left(r, \frac{1}{f(z+c)-d_1}\right) + S(r, f), \end{aligned}$$

which gives

$$(13) \quad m\left(r, \frac{1}{f(z+c)-d_1}\right) = S(r, f).$$

Equation (12) can be rewritten as

$$(14) \quad \eta = \left[\frac{a_2-d_2}{a_2-b_2} \frac{L(f^{(k)}(z))}{f^{(k)}(z)-a_2} - \frac{b_2-d_2}{a_2-b_2} \frac{L(f^{(k)}(z))}{f^{(k)}(z)-b_2} \right] \left[\frac{(a_2-b_2)(f(z+c)-d_1)}{f^{(k)}(z)-d_2} - a_1+b_1 \right].$$

Set

$$(15) \quad \xi = \frac{L(f(z+c))}{(f(z+c)-a_1)(f(z+c)-b_1)} - \frac{L(f^{(k)}(z))}{(f^{(k)}(z)-a_2)(f^{(k)}(z)-b_2)}.$$

Now we consider the following two cases.

Case 1. Let $\xi = 0$. Integrating both sides of (15), we get

$$(16) \quad \frac{f(z+c)-a_1}{f(z+c)-b_1} = A \frac{f^{(k)}(z)-a_2}{f^{(k)}(z)-b_2},$$

where A is a nonzero constant.

Subcase 1.1. If $A = 1$, then from (16), we get

$$(a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1 = 0,$$

which contradicts our initial assumption.

Subcase 1.2. If $A \neq 1$, then from (16), we have

$$(17) \quad \frac{a_2 - b_2}{f^{(k)}(z) - a_2} = \frac{(A-1)f(z+c) - (Ab_1 - a_1)}{f(z+c) - a_1}$$

and

$$T(r, f(z+c)) = T(r, f^{(k)}(z)) + S(r, f).$$

Now it is easy to see that $\frac{Ab_1-a_1}{A-1} \neq a_1$, $\frac{Ab_1-a_1}{A-1} \neq b_1$. Since $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM, by (17), we get

$$N\left(r, \frac{1}{f(z+c) - \frac{Ab_1-a_1}{A-1}}\right) = N\left(r, \frac{1}{a_2 - b_2}\right) = S(r, f).$$

Then by Nevanlinna's second fundamental theorem, we have

$$\begin{aligned}
 2T(r, f(z+c)) &\leq \overline{N}\left(r, \frac{1}{f(z+c)-a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) \\
 &\quad + \overline{N}\left(r, \frac{1}{f(z+c)-\frac{Ab_1-a_1}{A-1}}\right) + S(r, f) \\
 &\leq \overline{N}\left(r, \frac{1}{f(z+c)-a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) + S(r, f),
 \end{aligned}$$

a contradiction to (3).

Case 2. Consider $\xi \neq 0$. Clearly, $m(r, \xi) = S(r, f)$. Since $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM, all poles of ξ must come from the zeros of $f(z+c) - b_1$. So we have

$$\begin{aligned}
 T(r, \xi) &= m(r, \xi) + N(r, \xi) \\
 (18) \quad &\leq \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) + S(r, f).
 \end{aligned}$$

Using (11), (12), (15), (18) and noting that $T(r, \zeta) = S(r, f)$, we have

$$\begin{aligned}
 m(r, f(z+c)) &= m(r, (a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1) \\
 &\quad + S(r, f) \\
 &= m\left(r, \frac{\xi((a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1)}{\xi}\right) \\
 &\quad + S(r, f) \\
 &= m\left(r, \frac{\zeta - \eta}{\xi}\right) + S(r, f) \\
 &\leq T\left(r, \frac{\xi}{\zeta - \eta}\right) + S(r, f) \\
 &\leq T(r, \zeta - \eta) + T(r, \xi) + S(r, f) \\
 (19) \quad &\leq T(r, \eta) + \overline{N}\left(r, \frac{1}{f(z+c)-b_1}\right) + S(r, f).
 \end{aligned}$$

Again, it is easy to obtain that $N(r, \eta) = S(r, f)$. By Lemma 2.10, (1), (9) and (10), we have

$$\begin{aligned}
 T(r, \eta) &= m\left(r, \frac{L(f^{(k)}(z))((a_2 - b_2)f(z+c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1)}{(f^{(k)}(z) - a_2)(f^{(k)}(z) - b_2)}\right) \\
 &\quad + S(r, f) \\
 &\leq m\left(r, \frac{L(f^{(k)}(z))}{f^{(k)}(z) - b_2}\right)
 \end{aligned}$$

$$\begin{aligned}
 & + m\left(r, \frac{(a_2 - b_2)f(z + c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}{f^{(k)}(z) - a_2}\right) + S(r, f) \\
 & \leq m\left(r, \frac{(a_2 - b_2)f(z + c) - (a_1 - b_1)f^{(k)}(z) + a_1b_2 - a_2b_1}{f(z + c) - a_1} \frac{f(z + c) - a_1}{f^{(k)}(z) - a_2}\right) \\
 & \quad + S(r, f) \\
 & \leq m(r, (a_2 - b_2)U - (a_1 - b_1)) + S(r, f) \\
 & \leq m\left(r, \frac{1}{f(z + c) - a_1}\right) + S(r, f) \\
 (20) \quad & = \overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) + S(r, f).
 \end{aligned}$$

Combining (19) and (20), we get

$$(21) \quad T(r, f(z + c)) \leq 2\overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) + S(r, f).$$

Now, if $a_1^{(k)} \equiv a_2$, then using Lemmas 2.3, 2.6, (1) and (2), we get

$$\begin{aligned}
 T(r, U) & = m\left(r, \frac{1}{U}\right) + S(r, f) \\
 & = m\left(r, \frac{f^{(k)} - a_1^{(k)}}{f(z + c) - a_1}\right) + S(r, f) \\
 & \leq m\left(r, \frac{f^{(k)} - a_1^{(k)}}{f(z) - a_1}\right) + m\left(r, \frac{f(z) - a_1}{f(z + c) - a_1}\right) + S(r, f) \\
 & = S(r, f).
 \end{aligned}$$

Therefore from (10) and (21), we have $T(r, f(z + c)) = S(r, f)$, a contradiction.

If $a_1^{(k)} \equiv b_2$, then from Lemma 2.6, (7), (10) and (21), we obtain

$$\begin{aligned}
 T(r, f(z + c)) & \leq m\left(r, \frac{1}{f(z + c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) + S(r, f) \\
 & \leq m\left(r, \frac{1}{f(z) - a_1}\right) + m\left(r, \frac{f(z) - a_1}{f(z + c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) \\
 & \quad + S(r, f) \\
 & \leq m\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) + N\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) + S(r, f) \\
 (22) \quad & \leq T(r, f^{(k)}(z)) + S(r, f).
 \end{aligned}$$

Again, it follows from Lemmas 2.4 and 2.7 that

$$T(r, f^{(k)}(z)) \leq T(r, f(z)) + S(r, f)$$

$$(23) \quad = T(r, f(z+c)) + S(r, f).$$

Combining (22) and (23), we get

$$(24) \quad T(r, f(z+c)) = T(r, f^{(k)}(z)) + S(r, f).$$

Therefore by Nevanlinna's second fundamental theorem, (3) and (24), we have

$$\begin{aligned} 2T(r, f(z+c)) &= 2T(r, f^{(k)}(z)) + S(r, f) \\ &\leq \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_2}\right) + \overline{N}\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) \\ &\quad + \overline{N}\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) + S(r, f) \\ &\leq \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) \\ &\quad + T\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) - m\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) + S(r, f) \\ &\leq T(r, f(z+c)) + T(r, f^{(k)}(z)) - m\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) + S(r, f) \\ &\leq 2T(r, f(z+c)) - m\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) + S(r, f). \end{aligned}$$

This implies

$$(25) \quad m\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) = S(r, f).$$

Now, by Nevanlinna's first fundamental theorem, Lemmas 2.2, 2.3, 2.6, (13), (24) and (25), we obtain

$$\begin{aligned} m\left(r, \frac{f(z+c) - d_1}{f^{(k)}(z) - d_2}\right) &= T\left(r, \frac{f^{(k)}(z) - d_2}{f(z+c) - d_1}\right) - N\left(r, \frac{f(z+c) - d_1}{f^{(k)}(z) - d_2}\right) + O(1) \\ &\leq m\left(r, \frac{f^{(k)}(z) - d_1^{(k)}}{f(z) - d_1}\right) + m\left(r, \frac{f(z) - d_1}{f(z+c) - d_1}\right) \\ &\quad + m\left(r, \frac{d_1^{(k)} - d_2}{f(z+c) - d_1}\right) + N\left(r, \frac{f^{(k)}(z) - d_2}{f(z+c) - d_1}\right) \\ &\quad - N\left(r, \frac{f(z+c) - d_1}{f^{(k)}(z) - d_2}\right) + O(1) \\ &\leq N\left(r, \frac{1}{f(z+c) - d_1}\right) - N\left(r, \frac{1}{f^{(k)}(z) - d_2}\right) + S(r, f) \\ &\leq T(r, f(z+c)) - T(r, f^{(k)}(z)) + S(r, f) \\ &= S(r, f). \end{aligned}$$

Thus, using the above inequality and Lemma 2.10, we get

$$\begin{aligned}
 T(r, \eta) &= m(r, \eta) + N(r, \eta) \\
 &\leq m\left(r, \frac{a_2 - d_2}{a_2 - b_2} \frac{L(f^{(k)}(z))}{f^{(k)}(z) - a_2}\right) + m\left(r, \frac{b_2 - d_2}{a_2 - b_2} \frac{L(f^{(k)}(z))}{f^{(k)}(z) - b_2}\right) \\
 &\quad + m\left(r, \frac{(a_2 - b_2)(f(z + c) - d_1)}{f^{(k)}(z) - d_2} - a_1 + b_1\right) + S(r, f) \\
 (26) \quad &= S(r, f).
 \end{aligned}$$

Now it follows from (3), (9), (19) and (26) that

$$(27) \quad N\left(r, \frac{1}{f(z + c) - a_1}\right) = \overline{N}\left(r, \frac{1}{f(z + c) - a_1}\right) + S(r, f) = S(r, f).$$

Again by (3), (24) and (27), we obtain

$$\begin{aligned}
 m\left(r, \frac{1}{f^{(k)}(z) - a_1^{(k)}}\right) &= m\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) \\
 &\leq T(r, f^{(k)}(z)) - \overline{N}\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) + S(r, f) \\
 &= T(r, f(z + c)) - \overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) + S(r, f) \\
 (28) \quad &= S(r, f).
 \end{aligned}$$

Using Lemma 2.6 and (28), we have

$$\begin{aligned}
 \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_1^{(k)}}\right) &= \overline{N}\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) \\
 &= \overline{N}\left(r, \frac{1}{f(z + c) - b_1}\right) \\
 &= m\left(r, \frac{1}{f(z + c) - a_1}\right) + S(r, f) \\
 &\leq m\left(r, \frac{1}{f(z) - a_1}\right) + m\left(r, \frac{f(z) - a_1}{f(z + c) - a_1}\right) + S(r, f) \\
 &\leq m\left(r, \frac{1}{f^{(k)}(z) - a_1^{(k)}}\right) + S(r, f) \\
 (29) \quad &= S(r, f).
 \end{aligned}$$

Hence by (3), (27) and (29), we get $T(r, f(z + c)) = S(r, f)$, a contradiction.

Consequently, we have $a_1^{(k)} \not\equiv a_2$ and $a_1^{(k)} \not\equiv b_2$. Therefore, from Nevanlinna's second fundamental theorem, Lemma 2.6, (3), (7), (10), (21) and the

fact that $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM, we obtain

$$\begin{aligned}
 T(r, f(z+c)) &\leq 2m\left(r, \frac{1}{f(z+c)-a_1}\right) + S(r, f) \\
 &\leq 2m\left(r, \frac{1}{f(z)-a_1}\right) + 2m\left(r, \frac{f(z)-a_1}{f(z+c)-a_1}\right) + S(r, f) \\
 &\leq 2m\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) + S(r, f) \\
 &\leq 2T(r, f^{(k)}(z)) - 2N\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) + S(r, f) \\
 &\leq \overline{N}\left(r, \frac{1}{f^{(k)}(z)-a_2}\right) + \overline{N}\left(r, \frac{1}{f^{(k)}(z)-b_2}\right) \\
 &\quad + \overline{N}\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) - 2N\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) + S(r, f) \\
 &\leq T(r, f(z+c)) - N\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) + S(r, f).
 \end{aligned}$$

This gives

$$(30) \quad N\left(r, \frac{1}{f^{(k)}(z)-a_1^{(k)}}\right) = S(r, f).$$

Now (1) can be rewritten as

$$(31) \quad f(z+c) - a_1 = U(f^{(k)}(z) - a_1^{(k)}) + U(a_1^{(k)} - a_2).$$

Let $h = f^{(k)}(z) - a_1^{(k)}$. Differentiating (31) k times, we get

$$(32) \quad f^{(k)}(z+c) - a_1^{(k)} = U^{(k)}h + kU^{(k-1)}h' + \dots + kU'h^{(k-1)} + Uh^{(k)} + B^{(k)},$$

where $B = U(a_1^{(k)} - a_2)$. Clearly $h \not\equiv 0$. Rewriting (32), we have

$$(33) \quad hU(gU^{-1} - D) = B^{(k)},$$

where

$$g = \frac{f^{(k)}(z+c) - a_1^{(k)}}{f^{(k)}(z) - a_1^{(k)}}$$

and

$$(34) \quad D = \frac{U^{(k)}}{U} + \frac{kU^{(k-1)}h'}{hU} + \dots + \frac{kU'h^{(k-1)}}{hU} + \frac{h^{(k)}}{h}.$$

Again from (30), we get $N(r, \frac{1}{h}) = S(r, f)$. Now it follows from (2) and (34) that

$$\begin{aligned}
 T(r, D) &\leq \sum_{i=1}^k \left(T\left(r, \frac{U^{(i)}}{U}\right) + T\left(r, \frac{h^{(i)}}{h}\right) \right) + S(r, f) \\
 &\leq \sum_{i=1}^k \left(m\left(r, \frac{U^{(i)}}{U}\right) + N\left(r, \frac{U^{(i)}}{U}\right) + m\left(r, \frac{h^{(i)}}{h}\right) + N\left(r, \frac{h^{(i)}}{h}\right) \right) \\
 &\quad + S(r, f) \\
 (35) \quad &= S(r, U) + S(r, f).
 \end{aligned}$$

Using Lemmas 2.2, 2.7 and (31), we get

$$\begin{aligned}
 T(r, U) &\leq T(r, f(z+c)) + T(r, f^{(k)}(z)) + S(r, f) \\
 (36) \quad &\leq 2T(r, f) + S(r, f).
 \end{aligned}$$

So from (35) and (36), we have $T(r, D) = S(r, f)$.

Now we consider the following two subcases.

Subcase 2.1. Let $gU^{-1} - D \not\equiv 0$. We claim that $D \equiv 0$. Otherwise, from (33), we see that $N(r, \frac{1}{U^{-1}-Dg^{-1}}) = S(r, f)$. Now applying Nevanlinna's first and second fundamental theorem, (2) and (36), we obtain

$$\begin{aligned}
 T(r, U) &= T(r, U^{-1}) + O(1) \\
 &\leq \overline{N}(r, U^{-1}) + \overline{N}\left(r, \frac{1}{U^{-1}}\right) + \overline{N}\left(r, \frac{1}{U^{-1}-Dg^{-1}}\right) + S(r, U) \\
 &\leq S(r, f).
 \end{aligned}$$

Hence from (10) and (21), we get $T(r, f(z+c)) = S(r, f)$, a contradiction. Thus $D \equiv 0$. Therefore from (33), we get

$$f^{(k)}(z+c) - a_1^{(k)} = B^{(k)}.$$

Integrating, we obtain

$$f(z+c) = U(a_1^{(k)} - a_2) + P(z) + a_1,$$

where $P(z)$ is a polynomial of degree at most $k-1$. Therefore

$$(37) \quad T(r, f(z+c)) = T(r, U) + S(r, f).$$

Since $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM, it follows from (3), (10) and (37) that

$$(38) \quad \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_2}\right) = \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) = S(r, f).$$

Now applying Nevanlinna's second fundamental theorem to $f^{(k)}(z)$ and using (30) and (38), we obtain

$$(39) \quad \begin{aligned} T(r, f^{(k)}(z)) &\leq \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_2}\right) + N\left(r, \frac{1}{f^{(k)}(z) - a_1^{(k)}}\right) + S(r, f) \\ &= S(r, f). \end{aligned}$$

As $f(z+c)$ and $f^{(k)}(z)$ share (a_1, a_2) CM and (b_1, b_2) IM, from (3) and (39), we get

$$\begin{aligned} T(r, f(z+c)) &= \overline{N}\left(r, \frac{1}{f(z+c) - a_1}\right) + \overline{N}\left(r, \frac{1}{f(z+c) - b_1}\right) + S(r, f) \\ &= \overline{N}\left(r, \frac{1}{f^{(k)}(z) - a_2}\right) + \overline{N}\left(r, \frac{1}{f^{(k)}(z) - b_2}\right) + S(r, f) \\ &\leq 2T(r, f^{(k)}(z)) + S(r, f) \\ &= S(r, f), \end{aligned}$$

a contradiction.

Subcase 2.2. Let $gU^{-1} - D \equiv 0$. Then from the equations (35) and (36), we have $T(r, U) \leq T(r, D) + T(r, g) = S(r, f)$. So from (10) and (21), we get $T(r, f(z+c)) = S(r, f)$, which gives a contradiction.

This completes the proof of Theorem 1.10. $\square$

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